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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form

▶ Information about Form 990 and its instructions is at *www.IRS.gov/form990*

OMB No 1545-0047

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 01-01-2013 , 2013, and ending 12-31-2013

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
UNDERWRITERS LABORATORIES INC

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
333 PFINGSTEN ROAD
Suite

City or town, state or province, country, and ZIP or foreign postal code
NORTHBROOK, IL 600622096

D Employer identification number
36-1892375

E Telephone number
(847) 272-8800

G Gross receipts $ 16,907,419

F Name and address of principal officer

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ www ul com

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1936

M State of legal domicile DE

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
SEE SCHEDULE O

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	16
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	16
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	71
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	908,868	715,026
	9	Program service revenue (Part VIII, line 2g)	14,864,481	14,657,164
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	133,000	175,873
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,304,238	1,359,356
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	17,210,587	16,907,419
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	1,602,900	1,387,479
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	9,436,115	10,302,624
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶0		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	13,148,650	15,572,631
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	24,187,665	27,262,734
	19	Revenue less expenses Subtract line 18 from line 12	-6,977,078	-10,355,315

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	852,253,845	852,473,314
	21	Total liabilities (Part X, line 26)	19,705,515	30,035,767
	22	Net assets or fund balances Subtract line 21 from line 20	832,548,330	822,437,547

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including
my knowledge and belief, it is true, correct, and complete Declaration of prepar
preparer has any knowledge

Sign Here

▶ Signature of officer

▶ M SALTZMAN SVP and CFO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name | Preparer's signature

Firm's name ▶ PricewaterhouseCoopers LLP

Firm's address ▶ 2001 MARKET ST SUITE 1700
PHILADELPHIA, PA 19103

May the IRS discuss this return with the preparer shown above? (see instructio

For Paperwork Reduction Act Notice, see the separate instructions.

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . . ☑

1 Briefly describe the organization's mission

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code 541,380) (Expenses $ 24,652,513 including grants of $ 1,387,479) (Revenue $ 16,192,393)

Underwriters Laboratories Inc develops, maintains, and distributes safety standards to support its mission of promoting safe living and working environments The organization performs research & investigation to advance safety science and uphold the safety standards Additionally, the organization engages in public advocacy and provides education to the public to enhance safety awareness

4b (Code) (Expenses $ including grants of $) (Revenue $)

4c (Code) (Expenses $ including grants of $) (Revenue $)

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶ 24,652,513

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		No
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If so, complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b	Yes	
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . . ☑

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	16			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	71			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a	Yes	
b	If "Yes," enter the name of the foreign country ▶SN , IT , HK , JA , NL , UK See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	16		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O				
b	Enter the number of voting members included in line 1a, above, who are independent	1b	16		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2			No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3			No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4			No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5			No
6	Did the organization have members or stockholders?	6		Yes	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?	8a		Yes	
b	Each committee with authority to act on behalf of the governing body?	8b		Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	9			No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	Yes	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Yes	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a		No
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes	
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		No

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶ AK , CA , DC , IN , MS , MT , NY , NC , OK , OR , PR , RI

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☑ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization

▶ MICHAEL SALTZMAN 333 PFINGSTEN ROAD
NORTHBROOK, IL 60062 (847) 272-8800

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
1b	**Sub-Total** . . . . . ▶									
c	**Total from continuation sheets to Part VII, Section A** . . . . ▶									
d	**Total (add lines 1b and 1c)** . . . . . ▶							3,022,037	16,284,975	569,078

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶29

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**	Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**	Yes	

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
DISNEY EDUCATIONAL PRODUCTIONS,	MARKETING	4,423,913
DISNEY ONLINE,	MARKETING	2,225,000
WALT DISNEY WORLD COMPANY,	MARKETING	882,121
WALT DISNEY PARKS AND RESORTS,	MARKETING	600,000
RADIO DISNEY,	MARKETING	575,000

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶14

Part VIII **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	600,125			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	114,901			
	g	Noncash contributions included in lines 1a-1f $					
	h	**Total.** Add lines 1a-1f ▶			715,026		
Program Service Revenue			Business Code				
	2a	STANDARDS FEE	541380		14,657,164	14,657,164	
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	**Total.** Add lines 2a–2f ▶			14,657,164		
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶			175,873	175,873	
	4	Income from investment of tax-exempt bond proceeds ▶			0		
	5	Royalties ▶			1,359,356	1,359,356	
			(i) Real	(ii) Personal			
	6a	Gross rents					
	b	Less rental expenses					
	c	Rental income or (loss)	0	0			
	d	Net rental income or (loss) ▶			0		
			(i) Securities	(ii) Other			
	7a	Gross amount from sales of assets other than inventory					
	b	Less cost or other basis and sales expenses					
	c	Gain or (loss)					
	d	Net gain or (loss) ▶			0		
	8a	Gross income from fundraising events (not including $ _____ of contributions reported on line 1c) See Part IV, line 18	a				
	b	Less direct expenses	b				
	c	Net income or (loss) from fundraising events ▶			0		
	9a	Gross income from gaming activities See Part IV, line 19	a				
	b	Less direct expenses	b				
	c	Net income or (loss) from gaming activities ▶			0		
	10a	Gross sales of inventory, less returns and allowances	a				
	b	Less cost of goods sold	b				
	c	Net income or (loss) from sales of inventory ▶			0		
		Miscellaneous Revenue	Business Code				
	11a						
	b						
	c						
	d	All other revenue					
	e	**Total.** Add lines 11a–11d ▶			0		
	12	**Total revenue.** See Instructions ▶			16,907,419	16,192,393	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21	949,934	949,934		
2 Grants and other assistance to individuals in the United States See Part IV, line 22	0			
3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	437,545	437,545		
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	1,948,276	1,948,276		
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	5,772,430	5,228,718	543,712	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	0			
9 Other employee benefits	2,122,683	1,969,692	152,991	
10 Payroll taxes	459,235	426,895	32,340	
11 Fees for services (non-employees)				
a Management	0			
b Legal	0			
c Accounting	95,000		95,000	
d Lobbying	0			
e Professional fundraising services See Part IV, line 17	0			
f Investment management fees	0			
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	4,318,648	4,175,688	142,960	0
12 Advertising and promotion	7,461,150	7,461,150		
13 Office expenses	253,640	234,898	18,742	
14 Information technology	82,898	77,060	5,838	
15 Royalties . .	0			
16 Occupancy	278,276	258,679	19,597	
17 Travel	1,245,440	1,157,733	87,707	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	698,310	34,475	663,835	
20 Interest	377,819		377,819	
21 Payments to affiliates	817,828	202,488	615,340	
22 Depreciation, depletion, and amortization	82,119	76,336	5,783	
23 Insurance	40,297	37,459	2,838	
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a ACCREDITATION/MEMBERSHIP FEES	32,269		32,269	
b TRAINING/RECRUITING	58,763		58,763	
c MISCELLANEOUS	-269,826	-24,513	-245,313	
d				
e All other expenses				
25 **Total functional expenses.** Add lines 1 through 24e	27,262,734	24,652,513	2,610,221	0
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X **Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X . . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing		3,128,434	1	9,255,444
	2	Savings and temporary cash investments		2,577,579	2	0
	3	Pledges and grants receivable, net		0	3	0
	4	Accounts receivable, net		7,072,535	4	3,973,030
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		7,100,000	7	0
	8	Inventories for sale or use		0	8	0
	9	Prepaid expenses and deferred charges		433,840	9	255,181
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 1,487,757			
	b	Less accumulated depreciation	10b 1,455,765	109,337	10c	31,992
	11	Investments—publicly traded securities		0	11	0
	12	Investments—other securities See Part IV, line 11		831,832,120	12	838,932,120
	13	Investments—program-related See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	25,547
	15	Other assets See Part IV, line 11		0	15	0
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		852,253,845	16	852,473,314
Liabilities	17	Accounts payable and accrued expenses		15,758,499	17	21,952,273
	18	Grants payable		0	18	0
	19	Deferred revenue		7,611	19	7,611
	20	Tax-exempt bond liabilities		0	20	0
	21	Escrow or custodial account liability Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		3,939,405	25	8,075,883
	26	**Total liabilities.** Add lines 17 through 25		19,705,515	26	30,035,767
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		832,548,330	27	822,437,547
	28	Temporarily restricted net assets		0	28	0
	29	Permanently restricted net assets		0	29	0
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		832,548,330	33	822,437,547
	34	Total liabilities and net assets/fund balances		852,253,845	34	852,473,314

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	16,907,419
2	Total expenses (must equal Part IX, column (A), line 25)	2	27,262,734
3	Revenue less expenses Subtract line 2 from line 1	3	-10,355,315
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	832,548,330
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	-133,000
9	Other changes in net assets or fund balances (explain in Schedule O)	9	377,532
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	822,437,547

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	Yes	

Additional Data

Software ID:
Software Version:
EIN: 36-1892375
Name: UNDERWRITERS LABORATORIES INC

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
F Coyne Trustee	4 3 8	X							148,578	
J Dollive Trustee	4 3 8	X							147,500	
M Funfschilling Trustee	5 4 1	X							140,881	
M Gottschalk Trustee	4 3 8	X							135,000	
D Ho Trustee	5 4 5	X							147,500	
M Hurwitz Trustee	3 2 5	X							68,750	
R Lonergan Trustee	5 4 0	X							145,000	
R Owen Trustee	5 4 1	X							137,500	
D Paulison Trustee	5 4 1	X							135,640	
T Sano Trustee	5 4 1	X							139,560	
J Shannon Trustee	5 4 5	X							147,912	
J Stone Trustee	5 4 5	X							145,537	
G Williams Trustee	5 4 1	X							137,802	
S Coley Trustee	6 5 6	X							177,500	
F Hecker Trustee	3 2 5	X							45,000	
K Williams CEO	6 0 54 0			X					1,955,042	35,716
C Anschuetz SVP	0 0 60 0			X					397,584	21,400
S Greenstein SVP	0 0 60 0			X					603,598	23,673
S Hewson SVP	0 0 60 0			X					333,785	10,821
I Ho SVP	0 0 60 0			X					204,185	1,891
C Kofman SVP	0 0 60 0			X					524,467	21,500
M Saltzman CFO	1 0 59 0			X					731,669	25,061
A Schaefer Jr SVP	60 0 0 0			X				430,533		11,089
K Szczech SVP & TREASURER	1 0 59 0			X					293,229	22,518
D Talka SVP	0 0 60 0			X					334,451	10,464

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
S Wenc President	0 0 60 0			X					470,354	22,950
S Jesudas President	0 0 60 0			X					1,428,270	
P Boyle President	0 0 60 0			X					538,218	21,400
G Schjotz SVP	0 0 60 0			X					472,315	
A Groom VP	0 0 60 0			X					334,081	20,500
H Yamaki President	0 0 60 0			X					534,112	21,400
C Gangemi SVP	0 0 60 0			X					174,996	
T Brady SVP	0 0 60 0			X					559,090	21,400
S Webster SVP	0 0 60 0			X					524,023	20,400
W Grana Jr SVP	0 0 60 0			X					424,276	24,100
B Miller SVP	0 0 60 0			X					893,229	19,700
W Zhou SVP	0 0 60 0			X					466,710	19,676
J Chapin VP	60 0 0 0				X			337,870		8,033
B Guthrie VP	60 0 0 0				X			342,898		9,082
R Williams VP	60 0 0 0				X			304,277		18,364
M Black VP	60 0 0 0				X			532,698		26,500
C Gizzi Director	60 0 0 0					X		217,198		6,800
L Emrie RESEARCH MANAGER	60 0 0 0					X		189,773		13,304
D Jordan Manager	60 0 0 0					X		189,838		15,136
R Olesen Manager	60 0 0 0					X		224,025		17,906
D Snyder Director	60 0 0 0					X		252,927		6,259
W Colavecchio VP	0 0 60 0						X		199,145	5,916
J Fischer VP	0 0 60 0						X		700,167	
R Navarrete VP	0 0 60 0						X		285,952	19,317
A Rehmke VP	3 0 57 0						X		295,196	18,529

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
C Hasbrook VP	0 0 60 0						X		280,235	17,475
A Cotrone VP	0 0 60 0						X		326,936	10,798

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**
▶ **Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNDERWRITERS LABORATORIES INC

Employer identification number
36-1892375

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☑ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions))**	**(iv)** Is the organization in col **(i)** listed in your governing document?		**(v)** Did you notify the organization in col **(i)** of your support?		**(vi)** Is the organization in col **(i)** organized in the U S ?		**(vii)** Amount of monetary support
			Yes	**No**	**Yes**	**No**	**Yes**	**No**	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 **Total support** (Add lines 7 through 10)						

12 Gross receipts from related activities, etc (see instructions) **12**

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . . ▶☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) **14**

15 Public support percentage for 2012 Schedule A, Part II, line 14 **15**

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	1,296,545	2,028,539	1,539,753	908,868	715,026	6,488,731
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	812,440,032	946,732,592	842,406,499	14,048,808	14,058,623	2,629,686,554
3 Gross receipts from activities that are not an unrelated trade or business under section 513						0
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
5 The value of services or facilities furnished by a governmental unit to the organization without charge						0
6 **Total.** Add lines 1 through 5	813,736,577	948,761,131	843,946,252	14,957,676	14,773,649	2,636,175,285
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year				534,624	600,125	1,134,749
c Add lines 7a and 7b				534,624	600,125	1,134,749
8 **Public support** (Subtract line 7c from line 6)						2,635,040,536

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	813,736,577	948,761,131	843,946,252	14,957,676	14,773,649	2,636,175,285
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	51,708,572	68,190,889	94,269,327	2,252,911	2,131,023	218,552,722
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975			852,754			852,754
c Add lines 10a and 10b	51,708,572	68,190,889	95,122,081	2,252,911	2,131,023	219,405,476
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						0
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)			1,036,609,695			1,036,609,695
13 **Total support.** (Add lines 9, 10c, 11, and 12)	865,445,149	1,016,952,020	1,975,678,028	17,210,587	16,904,672	3,892,190,456

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	67 701 %
16	Public support percentage from 2012 Schedule A, Part III, line 15	16	72 523 %

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	5 637 %
18	Investment income percentage from **2012** Schedule A, Part III, line 17	18	5 984 %

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test		
Return Reference	Explanation	

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNDERWRITERS LABORATORIES INC

Employer identification number
36-1892375

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶_____

4 Number of states where property subject to conservation easement is located ▶_____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶_____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition

b ☐ Scholarly research

c ☐ Preservation for future generations

d ☐ Loan or exchange programs

e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . . ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶

c Temporarily restricted endowment ▶

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		
(ii) related organizations	3a(ii)		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other		1,487,757	1,455,765	31,992
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* . . . . . ▶				31,992

Part VII **Investments—Other Securities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other (A) INVESTMENTS IN AFFILIATES	838,932,120	C
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	838,932,120	

Part VIII **Investments—Program Related.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX **Other Assets.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . . ▶	

Part X **Other Liabilities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1 (a) Description of liability	(b) Book value
Federal income taxes	0
NOTE PAYABLE TO AFFILIATE	8,075,883
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	8,075,883

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☐

Part XI **Reconciliation of Revenue per Audited Financial Statements With Revenue per Return** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	

Part XII **Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	

Part XIII **Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNDERWRITERS LABORATORIES INC

Employer identification number
36-1892375

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) Europe (Including Iceland and Greenland)			Grantmaking		259,477
(2) North America			Grantmaking		19,140
(3) Europe (Including Iceland and Greenland)			Program Services		158,928
(4)					
(5)					
3a Sub-total					437,545
b Total from continuation sheets to Part I					
c **Totals** (add lines 3a and 3b)					437,545

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		Europe (Including Iceland and Greenland)		70,000				
(2)		North America		19,140				
(3)		Europe (Including Iceland and Greenland)	sponsorship	189,477				
(4)								

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . . ▶ 3

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of non-cash assistance	**(g)** Description of non-cash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☐ Yes ☑ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* ☐ Yes ☑ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☐ Yes ☑ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* ☐ Yes ☑ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)* ☐ Yes ☑ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).* ☐ Yes ☑ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
PART I, LINE 2 - PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS	GRANTS AND OTHER ASSISTANCE TO ORGANIZATIONS OUTSIDE THE U S ARE MANAGED AND EVALUATED BY THE CORPORATE GIVING COMMITTEE TO MONITOR THE USE OF GRANT FUNDS, THE CORPORATE GIVING C OMMITTEE RECEIVES COPIES OF GRANTEE ORGANIZATIONS' ANNUAL REPORTS, NEWSLETTERS, AND/OR OTH ER DOCUMENTS THAT PROVIDE UPDATES ON THEIR ACTIVITIES AND ACCOMPLISHMENTS ADDITIONALLY, M EMBERS OF THE CORPORATE GIVING COMMITTEE MEET WITH EXECUTIVES OF MAJOR GRANTEE ORGANIZATIO NS TO RECEIVE UPDATES ON THE USE OF GRANT FUNDS

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNDERWRITERS LABORATORIES INC

Employer identification number
36-1892375

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶ 16

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Part I, Line 2 - Procedures for Monitoring the Use of Grant Funds	GRANTS AND OTHER ASSISTANCE TO ORGANIZATIONS OUTSIDE THE U S ARE MANAGED AND EVALUATED BY THE CORPORATE GIVING COMMITTEE TO MONITOR THE USE OF GRANT FUNDS, THE CORPORATE GIVING COMMITTEE RECEIVES COPIES OF GRANTEE ORGANIZATIONS' ANNUAL REPORTS, NEWSLETTERS, AND/OR OTHER DOCUMENTS THAT PROVIDE UPDATES ON THEIR ACTIVITIES AND ACCOMPLISHMENTS ADDITIONALLY, MEMBERS OF THE CORPORATE GIVING COMMITTEE MEET WITH EXECUTIVES OF MAJOR GRANTEE ORGANIZATIONS TO RECEIVE UPDATES ON THE USE OF GRANT FUNDS

Additional Data

Software ID:
Software Version:
EIN: 36-1892375
Name: UNDERWRITERS LABORATORIES INC

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CONSUMER FEDERATION OF AMERICA 1620 I STREET NW 200 WASHINGTON, DC 20006	52-0880625	501(c)(3)	100,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
NATIONAL SAFETY COUNCIL 1121 SPRING LAKE DRIVE ITASCA,IL 601433201	36-2167809	501(c)(3)	50,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
HEALTH WORLD CHILDREN'S MUSEUM 1301 S GROVE AVE SUITE 160 BARRINGTONIL 60010 BARRINGTON,IL 60010	36-3505833	501(c)(3)	30,000				CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ELECTRICAL SAFETY FOUNDATION INT'L 2900 CRYSTAL DRIVE SUITE 500 ARLINGTON,VA 22202	52-1892239	501(c)(3)	25,000				CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
HOME FIRE SPRINKLER COALITION INC 1 BATTERYMARCH PARK BOSTON, MA 02169	51-0620405	501(c)(3)	25,000				CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CENTER FOR CAMPUS FIRE SAFETY 10 STATE STREET NEWBURYPORT, MA 01950	14-1916059	501(c)(3)	10,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
NATIONAL JOINT APPRENTICESHIP & TRAINING COMMITTEE 301 PRINCE GEORGES BLVD SUITE D UPPER MARLBORO, MD 207747401	53-0212938	501(c)(3)	20,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
OUTREACH INC 301 CENTER ST UNION,IA 50258	20-0636360	501(c)(3)	12,500				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
NFPA (NATIONAL FIRE PROTECTION ASSN) 1 BATTERYMARCH PARK QUINCY, MA 02169	04-1653090	501(c)(3)	10,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CHICAGO FIRE DEPARTMENT FOUNDATION 1010 S CLINTON ST CHICAGO,IL 606074401	45-5005192	501(c)(3)	100,000				CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
AMERICAN INDIAN FOUNDATION (AIF) 216 E 45TH STREET 7TH FLOOR New York, NY 10017	13-4159765	501 (C)(3)	10,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
AMERICAN ASSOCIATES OF THE STS FORUM 99 BATTERY PL APT 24E NEW YORK, NY 102801328	27-4000050	501 (C)(3)	25,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
FIELD MUSEUM OF NATURAL HISTORY 1400 S LAKE SHORE DR CHICAGO,IL 606052827	36-2167011	501(c)(3)	300,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
HORTONS KIDS 110 MARYLAND AVENUE NE SUITE 207 WASHINGTON, DC 200025677	52-1755403	501 (C)(3)	10,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CALIFORNIA INSTITUTE OF THE ARTS (CALARTS) 24700 MCBEAN PKY VALENCIA,CA 91355	95-6102146	501 (C)(3)	25,000				

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
THEDACARE CENTER FOR HEALTHCARE VALUE INC 100 W LAWRENCE ST STE 422 APPLETON, WI 54911	26-2795800	501(c)(3)	135,000				

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNDERWRITERS LABORATORIES INC

Employer identification number
36-1892375

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	**2**		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☐ Compensation committee ☐ Written employment contract ☐ Independent compensation consultant ☐ Compensation survey or study ☐ Form 990 of other organizations ☐ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**	Yes	
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization?	**5b**		No
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization?	**6b**		No
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**	Yes	
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title	**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation reported as deferred in prior Form 990
	(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

Return Reference	Explanation
Part I, Line 1a - Fringe or Expense Explanation	TRAVEL FOR COMPANIONS WERE PROVIDED FROM THE RELATED ORGANIZATION TO CERTAIN TRUSTEES AND OFFICERS ALL EXPENSES FOR TRAVEL COMPANIONS WERE TREATED AS TAXABLE COMPENSATION TO THE INDIVIDUAL, PURSUANT TO THE ORGANIZATION'S GLOBAL TRAVEL POLICY
Part I, Line 4 - Severance, Nonqualified, and Equity-Based Payments	Severence Nonqualified Equity-Based Comp WILLIAMS 0 16,852 0 SALTZMAN 0 2,111 0
Part I, Line 7 - Non-Fixed Payments	THE ORGANIZATION AND ITS WORLDWIDE AFFILIATES HAVE A VARIABLE (NON-GUARANTEED) INCENTIVE PLAN ON A GLOBAL BASIS FOR MANAGEMENT AND HIGH LEVEL INDIVIDUAL CONTRIBUTORS, INCLUDING ALL PERSONS LISTED IN FORM 990, SECTION A IN ADDITION THE COMPANY HAS A GLOBAL ALL EMPLOYEE INCENTIVE PLAN BOTH PLANS ARE BASED ON A COMBINATION OF THE COMPANY'S GLOBAL FINANCIAL PERFORMANCE MEASURES, WHICH INCLUDES REVENUE, OPERATING PROFIT, CASH FLOW, AND DISCRETIONARY INDIVIDUAL ASSESSMENT, AS APPROVED BY THE COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES
Part III - Other Additional Information	THE FOLLOWING OFFICERS AND FORMER OFFICERS WERE COMPENSATED FROM UNDERWRITERS LABORATORIES INC 'S RELATED ORGANIZATIONS, UL LLC, UL ENVIRONMENT, OR A RELATED FOREIGN AFFILIATE ANSCHUETZ, BOYLE, GRANA JR , BRADY, GREENSTEIN, GROOM, HEWSON, HO, KOFMAN, MILLER, SALTZMAN, SZCZECH, TALKA, WEBSTER, WENC, WILLIAMS, YAMAKI, ZHOU, JESUDAS, SCHJOTZ, COLAVECCHIO, COTRONE, HASBROOK, FISCHER, NAVARRETE, & REHMKE GANGEMI, GENERAL COUNSEL, IS ON SECONDMENT FROM WINSTON & STRAWN LLP IN ORDER TO PERFORM DUTIES AS AN OFFICER AT UNDERWRITERS LABORATORIES INC UNDERWRITERS LABORATORIES INC 'S RELATED ORGANIZATION, UL LLC, PROVIDES A SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN TO ITS CHIEF EXECUTIVE OFFICER, K WILLIAMS AND CHIEF FINANCIAL OFFICER, M SALTZMAN IN 2013, THE COMPANY CONTRIBUTED $16,852 AND $2,111 RESPECTIVELY TO THE PLAN
Part I, Line 3 - Compensation of Organization's CEO/Executive Director	THE PROCESS OF DETERMINING COMPENSATION FOR THE CEO, ALL OFFICERS AND KEY EMPLOYEES OF THE ORGANIZATION IS PERFORMED ANNUALLY AN INDEPENDENT COMPENSATION CONSULTANT COMPLETES AN ANALYSIS OF COMPARABLE AND COMPETITIVE MARKET DATA WITH DATA ASSISTANCE FROM UNDERWRITERS LABORATORIES INC 'S HR/COMPENSATION GROUP IT COVERS TOP MANAGEMENT OFFICIALS OF THE ORGANIZATION, INCLUDING ELECTED OFFICERS THROUGH VICE PRESIDENTS THE ANALYSIS IS REVIEWED BY THE COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES, WHO PROVIDES FINAL APPROVAL OF ALL COMPENSATION ARRANGEMENTS THE CEO AND SVP OF HR ARE PRESENT IN ALL COMPENSATION COMMITTEE MEETINGS BUT RECUSE THEMSELVES FOR DISCUSSION OF THEIR COMPENSATION IN ADDITION, THE ORGANIZATION ENGAGES ANNUALLY IN A STUDY TO MEET THE REBUTTABLE PRESUMPTION OF REASONABLENESS FOR ALL TRANSACTIONS WITH DISQUALIFIED PERSONS AS STIPULATED PURSUANT TO INTERNAL REVENUE CODE SECTION 4958 AND THE REGULATIONS THEREUNDER A WRITTEN EMPLOYMENT CONTRACT IS ESTABLISHED FOR ALL OFFICERS

Additional Data

Software ID:
Software Version:
EIN: 36-1892375
Name: UNDERWRITERS LABORATORIES INC

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
C Gizzi Director	(i) (ii)	142,351	74,385	462		6,800	223,998	
K Williams CEO	(i) (ii)	714,908	1,218,570	21,564	16,852	18,864	1,990,758	
C Anschuetz SVP	(i) (ii)	287,408	97,742	12,434		21,400	418,984	
S Greenstein SVP	(i) (ii)	323,872	259,479	20,247		23,673	627,271	
S Hewson SVP	(i) (ii)	238,650	82,494	12,641		10,821	344,606	
I Ho SVP	(i) (ii)	26,064	171,636	6,485		1,891	206,076	
C Kofman SVP	(i) (ii)	363,411	142,170	18,886		21,500	545,967	
M Saltzman CFO	(i) (ii)	388,751	318,860	24,058	2,111	22,950	756,730	
A Schaefer Jr SVP	(i) (ii)	242,667	170,251	17,615		11,089	441,622	
K Szczech SVP & TREASURER	(i) (ii)	207,876	72,299	13,054		22,518	315,747	
D Talka SVP	(i) (ii)	227,557	87,782	19,112		10,464	344,915	
S Wenc President	(i) (ii)	323,348	126,720	20,286		22,950	493,304	
S Jesudas President	(i) (ii)	635,592	694,363	98,315			1,428,270	
P Boyle President	(i) (ii)	306,660	112,840	118,718		21,400	559,618	
G Schjotz SVP	(i) (ii)	298,180	113,713	60,422			472,315	
J Fischer VP	(i) (ii)	350,000	104,570	245,597			700,167	
A Groom VP	(i) (ii)	249,558	71,499	13,024		20,500	354,581	
R Navarrete VP	(i) (ii)	206,983	72,117	6,852		19,317	305,269	
A Rehmke VP	(i) (ii)	215,104	73,623	6,469		18,529	313,725	
W Colavecchio VP	(i) (ii)	146,262	27,000	25,883		5,916	205,061	

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
S Coley Trustee	(i) (ii)	177,500					177,500	
H Yamaki President	(i) (ii)	346,762	162,335	25,015		21,400	555,512	
C Gangemi SVP	(i) (ii)	174,996					174,996	
L Emrie RESEARCH MANAGER	(i) (ii)	150,190	38,759	824		13,304	203,077	
D Jordan Manager	(i) (ii)	148,514	40,867	457		15,136	204,974	
R Olesen Manager	(i) (ii)	171,293	48,346	4,386		17,906	241,931	
D Snyder Director	(i) (ii)	147,774	87,564	17,589		6,259	259,186	
J Chapin VP	(i) (ii)	195,114	135,560	7,196		8,033	345,903	
B Guthrie VP	(i) (ii)	198,407	136,388	8,103		9,082	351,980	
R Williams VP	(i) (ii)	175,258	120,580	8,439		18,364	322,641	
T Brady SVP	(i) (ii)	343,690	195,863	19,537		21,400	580,490	
S Webster SVP	(i) (ii)	362,967	142,170	18,886		20,400	544,423	
C Hasbrook VP	(i) (ii)	206,109	67,836	6,290		17,475	297,710	
A Cotrone VP	(i) (ii)	231,266	82,304	13,366		10,798	337,734	
W Grana Jr SVP	(i) (ii)	292,627	100,609	31,040		24,100	448,376	
B Miller SVP	(i) (ii)	418,210	456,344	18,675		19,700	912,929	
W Zhou SVP	(i) (ii)	226,578	141,400	98,732		19,676	486,386	
M Black VP	(i) (ii)	302,135	222,264	8,299		26,500	559,198	

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNDERWRITERS LABORATORIES INC

Employer identification number
36-1892375

Return Reference	Explanation
Form 990, Part I, Line 1	THE SLOGAN FOR UNDERWRITERS LABORATORIES INC AND ITS AFFILIATES IS "WORKING FOR A SAFER WORLD," AND ITS MISSION IS - TO PROMOTE SAFE LIVING AND WORKING ENVIRONMENTS FOR PEOPLE BY THE APPLICATION OF SAFETY SCIENCE AND HAZARD-BASED SAFETY ENGINEERING - TO SUPPORT THE PRODUCTION AND USE OF PRODUCTS WHICH ARE PHYSICALLY AND EVIRONMENTALLY SAFE AND TO APPLY OUR EFFORTS TO PREVENT OR REDUCE LOSS OF LIFE AND PROPERTY - TO ADVANCE SAFETY SCIENCE THROUGH RESEARCH AND INVESTIGATION - TO CONCENTRATE OUR EFFORTS AND RESOURCES ON PUBLIC SAFETY IN THOSE AREAS WHERE WE CAN MAKE VALUABLE CONTRIBUTIONS - TO CHARGE FAIR PRICES THAT ALLOW US TO MEET OUR OBLIGATIONS, SUSTAIN GROWTH, AND INVEST IN SAFETY SCIENCE AND EDUCATION - TO INVEST IN OUR PEOPLE AND ENCOURAGE OUR PEOPLE TO INVEST IN THEMSELVES - TO BE A GOOD EXAMPLE OF CORPORATE CITIZENSHIP AND SOCIAL RESPONSIBILITY

Return Reference	Explanation
Form 990 - Part VI, Line 6 - Classes of Members or Stockholders	THE ORGANIZATION HAS MEMBERS DESIGNATED AS "CORPORATE MEMBERS," WHOSE PRIMARY PURPOSE IS TO ELECT MEMBERS OF THE ORGANIZATION'S GOVERNING BODY CORPORATE MEMBERS CONSIST OF PROMINENT INDIVIDUALS ASSOCIATED WITH THE FOLLOWING CATEGORIES PROPERTY & CASUALTY INSURANCE INDUSTRY, CONSUMER INTEREST, EDUCATION, PUBLIC SAFETY BODY OR AGENCY, SAFETY EXPERT, STANDARDIZATION EXPERT, PUBLIC UTILITY, OFFICER OF THE ORGANIZATION, OR AT LARGE CORPORATE MEMBERS DO NOT RECEIVE ANY SHARE OF THE ORGANIZATION'S PROFITS OR EXCESS DUES, AND THERE ARE NO DISTINGUISHING CLASSES OR LEVELS FOR CORPORATE MEMBERS

Return Reference	Explanation
Form 990 - Part VI, Line 7a - Election of Members and Their Rights	THE CORPORATE MEMBERS HAVE THE DUTY TO APPOINT ONE OR MORE MEMBERS OF THE ORGANIZATION'S GOVERNING BODY, WHICH IS THE BOARD OF TRUSTEES A MAJORITY OF THE MEMBERS, PRESENT IN PERSON OR REPRESENTED BY PROXY, CONSTITUTES A QUORUM AT ALL MEETINGS OF CORPORATE MEMBERS FOR THE TRANSACTION OF BUSINESS EXCEPT AS OTHERWISE PROVIDED BY STATUTE OR CERTIFICATE OF INCORPORATION EACH CORPORATE MEMBER IS ENTITLED TO ONE VOTE IN PERSON OR PROXY

Return Reference	Explanation
Form 990 - Part VI, Line 7b - Decisions Subject to Approval of Members	UNDER THE LAW OF THE STATE OF INCORPORATION, CERTAIN ACTIONS SUCH AS COMPLETE DISSOLUTION OF THE ORGANIZATION, AS DECIDED BY THE BOARD OF TRUSTEES WOULD BE SUBJECT TO APPROVAL BY THE CORPORATE MEMBERS EACH CORPORATE MEMBER IS ENTITLED TO ONE VOTE IN PERSON OR PROXY

Return Reference	Explanation
Form 990 - Part VI, Line 10b - Policies and Procedures Governing Chapters	THE ORGANIZATION HAS WRITTEN POLICIES AND PROCEDURES GOVERNING THE ACTIVITIES OF CHAPTERS, AFFILIATES, AND BRANCHES TO ENSURE THEIR OPERATIONS ARE CONSISTENT WITH THOSE OF THE ORGANIZATION

Return Reference	Explanation
Form 990 - Part VI, Line 11b - Organization's Process to Review Form 990	THE FORM 990 TAX RETURN IS PREPARED INTERNALLY BY TAX ACCOUNTANTS IN THE ORGANIZATION'S GLOBAL TAX DEPARTMENT A DRAFT OF THE RETURN IS INITIALLY REVIEWED BY THE TAX DIRECTOR, THEN THE DEPARTMENT'S VICE PRESIDENT, AFTER WHICH IT IS SENT TO THE ORGANIZATION'S OUTSIDE PROFESSIONAL ACCOUNTING FIRM FOR AN INDEPENDENT REVIEW IT IS THEN REVIEWED BY THE SENIOR VICE PRESIDENT/TREASURER BEFORE IT GOES THROUGH FINAL REVIEW FOR SIGNATURE BY THE SENIOR VICE PRESIDENT/CHIEF FINANCIAL OFFICER OF THE ORGANIZATION THE PROFESSIONAL ACCOUNTING FIRM ALSO SIGNS OFF ON THE RETURN AS THE PAID PREPARER OF THE RETURN

Return Reference	Explanation
Form 990 - Part VI, Line 12c - Enforcement of Conflicts Policy	UNDERWRITERS LABORATORIES INC REQUIRES ITS TRUSTEES AND OFFICERS TO SIGN OFF ON A CERTIFICATION OF COMPLIANCE TO THE ORGANIZATION'S STANDARDS OF BUSINESS CONDUCT AS WELL AS A DECLARATION OF COMPLIANCE WITH THE ORGANIZATION'S CONFLICT OF INTEREST POLICY ON AN ANNUAL BASIS ADDITIONALLY, THE ORGANIZATION REQUIRES ALL OF ITS EMPLOYEES TO UNDERGO ETHICS TRAINING AND SIGN A CERTIFICATION OF COMPLIANCE ON AN ANNUAL BASIS

Return Reference	Explanation
Form 990 - Part VI, Line 15a - Compensation Process for Top Official	THE PROCESS OF DETERMINING COMPENSATION FOR THE CEO, ALL OFFICERS AND KEY EMPLOYEES OF THE ORGANIZATION IS PERFORMED ANNUALLY AN INDEPENDENT COMPENSATION CONSULTANT COMPLETES AN ANALYSIS OF COMPARABLE AND COMPETITIVE MARKET DATA WITH DATA ASSISTANCE FROM UNDERWRITERS LABORATORIES INC 'S HR/COMPENSATION GROUP IT COVERS TOP MANAGEMENT OFFICIALS OF THE ORGANIZATION, INCLUDING ELECTED OFFICERS THROUGH VICE PRESIDENTS THE ANALYSIS IS REVIEWED BY THE COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES, WHO PROVIDES FINAL APPROVAL OF ALL COMPENSATION ARRANGEMENTS THE CEO AND SVP OF HR ARE PRESENT IN ALL COMPENSATION COMMITTEE MEETINGS BUT RECUSE THEMSELVES FOR DISCUSSION OF THEIR COMPENSATION IN ADDITION, THE ORGANIZATION ENGAGES ANNUALLY IN A STUDY TO MEET THE REBUTTABLE PRESUMPTION OF REASONABLENESS FOR ALL TRANSACTIONS WITH DISQUALIFIED PERSONS AS STIPULATED PURSUANT TO INTERNAL REVENUE CODE SECTION 4958 AND THE REGULATIONS THEREUNDER

Return Reference	Explanation
Form 990 - Part VI, Line 15b - Compensation Process for Officers	PLEASE SEE ABOVE

Return Reference	Explanation
Form 990 - Part VI, Line 19 - Governing Documents Disclosure Explanation	THE BY-LAWS OF THE ORGANIZATION ARE PRINTED AS A BROCHURE AND MADE AVAILABLE TO THE PUBLIC UPON REQUEST THE DELAWARE CERTIFICATE OF INCORPORATION CAN BE OBTAINED BY ANYONE WHO REQUESTS IT THROUGH THE DELAWARE SECRETARY OF STATE'S OFFICE. UNDERWRITERS LABORATORIES INC DOES NOT MAKE ITS CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC IN ADDITION TO BEING AVAILABLE BY REQUEST FROM THE ORGANIZATION, THE FORM 990 IS ALSO AVAILABLE AT GUIDESTAR ORG

Return Reference	Explanation
Form 990 - Part XI, Line 9 - OTHER CHANGES IN NET ASSETS	AMOUNT REPRESENTS INTEREST EXPENSE FOR AN INTERCOMPANY LOAN WITH AFFILIATE

Return Reference	Explanation
FORM 990 PART IX LINE 11G	DESCRIPTION SERVICES-OTHER TOTAL FEES 4508125

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule R (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNDERWRITERS LABORATORIES INC

Employer identification number
36-1892375

Part I **Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II **Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
(1) UL DO BRASIL CERTIFICACOES Rua Fidencio Ramos 195-5 Andar Vila Ol, Sao Paulo CEP04551-0 BR	PBLC SFTY	BR			UL INC	Yes	
(2) ULC STANDARDS	PBLC SFTY	CA			UL INC	Yes	

Part III **Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
See Additional Data Table									

Part V **Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

		Yes	No
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a	Yes	
b Gift, grant, or capital contribution to related organization(s)	1b		No
c Gift, grant, or capital contribution from related organization(s)	1c		No
d Loans or loan guarantees to or for related organization(s)	1d	Yes	
e Loans or loan guarantees by related organization(s)	1e		No
f Dividends from related organization(s)	1f		
g Sale of assets to related organization(s)	1g		No
h Purchase of assets from related organization(s)	1h		No
i Exchange of assets with related organization(s)	1i		No
j Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	Yes	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	Yes	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		
o Sharing of paid employees with related organization(s)	1o		No
p Reimbursement paid to related organization(s) for expenses	1p	Yes	
q Reimbursement paid by related organization(s) for expenses	1q		No
r Other transfer of cash or property to related organization(s)	1r		No
s Other transfer of cash or property from related organization(s)	1s		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	**(b)** Transaction type (a-s)	**(c)** Amount involved	**(d)** Method of determining amount involved
See Additional Data Table			

Part VI **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V?UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 36-1892375
Name: UNDERWRITERS LABORATORIES INC

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
(1) WHM HOLDINGS LLC 333 PFINGSTEN RD NORTHBROOK, IL 60062	HOLDING CO	DE		113,001,336	UL INC
(1) UL QUALITY ASSURANCE LLC 10 WATER STREET ENFIELD, CT 06082 80-0743340	PBLC SFTY	DE			UL VS
(2) UL REGISTRAR LLC 639 MAIN STREET STROUDSBURG, PA 18360 06-1597136	PBLC SFTY	CT	406,284	7,543,740	UL VS
(3) UNDERWRITERS LABORATORIES BV DELTA 1B 6835 ML ARNHEM,NL NL	HOLDING CO	NL	-3,671,305	208,446,654	UL SARL
(4) UNDERWRITERS LABORATORIES HLD BV DELTA 1B 6825 ML NL	HOLDING CO	NL	1,886,857	72,452,515	UL SARL
(5) UL INTERNATIONAL LLC 333 PFINGSTEN ROAD NORTHBROOK, IL 60062 36-4217655	PBLC SAFETY	DE	0	34,901	UL INC
(6) UL INTERNATIONAL SERVICES BV DELTA 1B 6825ML ARNHEM NL	HOLDING CO	NL	344,594	3,961,038	UL SARL
(7) INNOVADEX GMBH RHEINEAHRSTRAUSSE 135 41468,NEUSS GM	PBLC SAFETY	GM	-8,020	146,014	INNOVADEX

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	No
COMPLIANCE ENGINEERING SERVICE INC 4717 BENICIA STREET FREEMONT, CA 94538 77-0175203	PBLC SFTY	CA	UL LLC	C	-174,962	0	100 000 %	Yes	
UL LLC 333 PFINGSTEN RD NORTHBROOK, IL 60062 94-3282454	PBLC SFTY	DE	UL INC	C	36,359,252	1,428,560,560	100 000 %	Yes	
UL ENVIRONMENT INC 333 PFINGSTEN RD NORTHBROOK, IL 60062 26-3995033	PBLC SFTY	DE	UL LLC	C	-4,121,314	33,959,691	100 000 %	Yes	
UL VERIFICATION SERVICES INC 333 PFINGSTEN RD NORTHBROOK, IL 60062 27-2031099	PBLC SFTY	DE	UL LLC	C	-17,277,416	190,212,142	100 000 %	Yes	
TERRACHOICE GROUP INC 171 NEPEAN STREET SUITE 400 OTTAWA, ONTARIO K2P 0B4 CA	PBLC SFTY	CA	Ul Canada	C	-755,106	4,379,043	100 000 %	Yes	
UL ASG JAPAN INC 3-2-3 MARUNOUCHI CHIYODA-KU TOKYO 100-0005 JA	PBLC SFTY	JA	UL SARL	C	-778,518	1,343,591	100 000 %	Yes	
UL CCIC CO LTD 98 HENGSHAN RD SUZHOU CH	PBLC SFTY	CH	UL INC	C	13,274,415	100,044,770	70 000 %	Yes	
UL DE ARGENTINA SRL FLORIDA 833 PISO 2DO BUENOS AIRES AR	PBLC SFTY	AR	UL Sa rl	C	805,707	1,905,026	100 000 %	Yes	
UL DE MEXICO SA DE CV BLAS PASCAL 205 2DO LOS MORALES MEXICO CITY 11510 MX	PBLC SFTY	MX	UL Sa rl	C	-297,585	1,811,661	100 000 %	Yes	
UL DO BRASIL LTDA 195 FIDENCIO RAMOS ST 5TH FLR STE 1 SAO PAULO BR	PBLC SFTY	BR	UL Sa rl	C	-2,858,692	9,526,461	100 000 %	Yes	
UL ELECTRICAL & MECHANICAL (SHANGHAI) 38F CIROs PLAZA 388 W NANJING RD SHANGHAI CH	PBLC SFTY	CH	UL SINGAPORE	C	249,945	3,548,348	100 000 %	Yes	
UL INDIA PRIVATE LTD KALYANI PLATINAPHS I 3-FL EPIP-2 KUNDALAHALLI IN	PBLC SFTY	IN	UL SINGAPORE	C	-1,624,551	17,121,224	100 000 %	Yes	
UL INTERNATIONAL (FRANCE) SA 4 RUE RENE RAZEL SACLAY 91894 FR	PBLC SFTY	FR	UL Sa rl	C	165,295	1,798,348	100 000 %	Yes	
UL INTERNATIONAL (GERMANY) GMBH ADMIRAL-ROSENDAHL-STRASSE 9 D63236 NEU ISENBURG GM	PBLC SFTY	GM	UL Sa rl	C	-452,337	84,783,969	100 000 %	Yes	
UL INTERNATIONAL (ITALIA) SRL VIA ARCHIMEDE 42 20864 AGRATE BRIANZA, MI IT	PBLC SFTY	IT	UL Sa rl	C	-1,664,654	92,350,037	100 000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	No
UL INTERNATIONAL (NETHERLANDS) BV DELTA 1A 6825ML ARNHEM NL	PBLC SFTY	NL	UL AG	C	344,594	3,961,038	100 000 %	Yes	
UL INTERNATIONAL (SWEDEN) AB STORBYVAGEN 2-4 163 29 SPANGA CTR SPANGA SW	PBLC SFTY	SW	UL Sa rl	C	49,731	509,035	100 000 %	Yes	
UL INTERNATIONAL (UK) LTD WONERSH HSE OLD PORTSMOUTH RD GUILDFORD UK	PBLC SFTY	UK	UL Sa rl	C	911,615	23,124,170	100 000 %	Yes	
UL INTERNATIONAL AUSTRALIA PTY LTD 600 BOURKE STREET MELBOURNE AS	PBLC SFTY	AS	UL AG	C	-16,683	2,823,746	100 000 %	Yes	
UL INTERNATIONAL DEMKO AS BORUPVANG 5A 2750 BALLERUP DA	PBLC SFTY	DA	UL Sa rl	C	-241,406	5,650,575	100 000 %	Yes	
UL INTERNATIONAL FINLAND OY HITSAAJANKATU 20 FIN-00810 HELSINKI FI	PBLC SFTY	FI	UL Sa rl	C	20,294	682,949	100 000 %	Yes	
UL INTERNATIONAL LTD DELTA HOUSE 3 ON YIU ST 18TH FL SHATIN,NT HK	PBLC SFTY	HK	UL Sa rl	C	711,478	8,766,438	100 000 %	Yes	
UL INTERNATIONAL NEW ZEALAND LTD 234-29 ALBERT ST AUCKLAND NZ	PBLC SFTY	NZ	UL Sa rl	C	107,097	5,425,410	100 000 %	Yes	
UL INTERNATIONAL POLSKA SP ZOO A1 KRAKOWSKA 81 05-090 SEKOCIN NOWY PL	PBLC SFTY	PL	UL Sa rl	C	113,913	983,721	100 000 %	Yes	
UL INTERNATIONAL SINGAPORE PVT LTD 1 MARITIME SQUARE 11-03 HARBOURFRO MAKATI 099253 SN	PBLC SFTY	SN	UL Sa rl	C	510,605	16,811,316	100 000 %	Yes	
UL INTERNATIONAL TTC GMBH RHEINUFERSTRABE 7-9 BLD R033 D47829 KRENFELD-UERDINGEN GM	PBLC SFTY	GM	UL GERMANY	C	102,600	25,412,886	100 000 %	Yes	
UL JAPAN Inc 4383-326 ASAMA-CHO ISE-SHI,MIE 516-0021 JA	PBLC SFTY	JA	UL Sa rl	C	519,914	75,673,281	100 000 %	Yes	
UL KOREA LTD 707-34 YEOKSAM-DONG SEOUL,Gangnam-gu 135-080 KS	PBLC SFTY	KS	UL Sa rl	C	1,096,156	10,540,313	100 000 %	Yes	
UL SERVICES (MALAYSIA) SDN BHD LINGKARAN SYED PUTRA 59200 KUALA LUMPUR MY	PBLC SFTY	MY	UL Sa rl	C	15,835	162,253	100 000 %	Yes	
UL VERIFICATION SERVICES (GUANGZHOU) RM 104 NO 265 ZHUJIANG EAST RD GUANGZHOU CITY CH	PBLC SFTY	CH	UL VS PTY LTD	C	-355,306	9,343,026	100 000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	No
UL VERIFICATION SERVICES PVT LTD 39 ROBINSON RD 07-01 ROBINSON POINT 068911 SN	PBLC SFTY	SN	UL Sa rl	C	267,613	15,868,070	100 000 %	Yes	
UNDERWRITERS LABORATORIES IBERICA SL AVDA DIAGONAL 407 4 1 A BARCELONA 08008 SP	PBLC SFTY	SP	UL Sa rl	C	89,545	985,528	100 000 %	Yes	
UNDERWRITERS LABORATORIES TAIWAN CO LTD 260 DA-YEH RD 1ST FLR TAIPEI CITY, PEITOU DISTRICT 112 TW	PBLC SFTY	TW	UL AG	C	1,253,466	26,625,012	100 000 %	Yes	
UNDERWRITERS LABORATORIES THAILAND LTD 29TH FL NO 999/9 RAMA I RD BANGKOK TH	PBLC SFTY	TH	UL LLC	C	9,866	137,663	100 000 %	Yes	
UNDERWRITERS LABORATORIES OF CANADA 7 UNDERWRITERS ROAD TORONTO, ON M1R 3A9 CA	PBLC SFTY	CA	UL Sa rl	C	1,652,082	25,787,503	100 000 %	Yes	
PUREWORKS CANADA INC 2562 MAIN ST C WESTBANK, B C V4T 2N5 CA	PBLC SFTY	CA	PUREWORKS	C	12,070	615,169	100 000 %	Yes	
MD REGISTRATION SUPPORT LTD GRENZENSTRASSE 13 D-88416 OCHSENHAUSEN GM	PBLC SFTY	GM	UL Sa rl	C	4,706	1,484,168	100 000 %	Yes	
MDT MEDICAL DEVICE TESTING GMBH GRENZENSTRASSE 13 D-88416 OCHSENHAUSEN GM	PBLC SFTY	GM	UL GERMANY	C	-387,763	9,720,642	100 000 %	Yes	
STR LANKA PVT LTD 176 A POLHENGODA RD COLOMBO CE	PBLC SFTY	CE	UL Sa rl	C	3,287	404,007	100 000 %	Yes	
AIR QUALITY SCIENCES LTD (UK) 1 MITCHELL LANE BRISTOL BS1 6BU UK	PBLC SFTY	UK	UL ENVIRONMENT	C			100 000 %	Yes	
UL RESPONSIBLE SOURCING INC 5777 W CENTURY BLVD 1790 LOS ANGELES, CA 90045 95-4407732	PBLC SFTY	CA	UL VS	C	-819,805	54,227,681	100 000 %	Yes	
PUREWORKS INC 730 COOLSPRINGS BLVD SUITE 400 FRANKLIN, TN 37067 62-1777630	PBLC SFTY	DE	UL LLC	C	-7,063,035	182,908,199	100 000 %	Yes	
UL PS HOLDINGS LLC 333 PFINGSTEN RD NORTHBROOK, IL 60062 27-3924665	PBLC SFTY	DE	UL LLC	C	-969,532	141,352,090	100 000 %	Yes	
UL INVESTMENTS LLC 333 PFINGSTEN RD NORTHBROOK, IL 60062 45-2723808	PBLC SFTY	DE	UL LLC	C	0		100 000 %	Yes	
GREENGUARD ENVIRONMENTAL INSTITUTE INC 2211 NEWMARKET PKWY STE 106 MARIETTA, GA 30067 58-2633162	PBLC SFTY	GA	AQS INC	C	-624,247	0	100 000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

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UL AG RINGSTRASSE 1 CH-8603 SCHWERZENBACH SZ	PBLC SFTY	SZ	UL Sa rl	C	104,335,430	340,893,112	100 000 %	Yes	
UNDERWRITERS LABORATORIES ME FZCO AIRPORT FREEZONE OFFICE NO 4WA 421 DUBAI AE	PBLC SFTY	AE	UL Sa rl	C	29,545	263,731	100 000 %	Yes	
RFI CHINA HOLDINGS LIMITED ROOM 1902 19F ASIA ORIENT TOWER 33 ROCKHART ROAD HK	PBLC SFTY	HK	UL Sa rl	C			100 000 %	Yes	
UL INC (FKA UL USA INC) 333 PFINGSTEN ROAD NORTHBROOK, IL 60062 27-0913800	PBLC SFTY	DE	UL INC(NFP)	C	14,386,798	838,662,316	100 000 %	Yes	
COLLIS PACIFIC PTY LTD 842 HIGH ST KEW EAST AS	PBLC SFTY	AS	COLLIS H BV	C			100 000 %	Yes	
UL TRANSACTION SECURITY PTY LTD 130 HIGH STREET ROAD UNIT 3 ASHWOOD AS	PBLC SAFETY	AS	UL AG	C	38,775	3,701,459	100 000 %	Yes	
STR BANGLADESH PRIVATE LTD 16 KEMAL ATATURK AVE BANANI BG	PBLC SFTY	BG	UL VS HK	C	-4	180,923	100 000 %	Yes	
UL VS BANGLADESH LTD HOUSE NO-1 1ST FLR RD NO-12 BLOCK K GULSHAN BG	PBLC SFTY	BG	UL Sa rl	C	176,049	3,828,914	100 000 %	Yes	
DEWI DO BRASIL EDEE LTDA AV NOVE DE JULHO 95 ED CTR 9 RM 23 SAO JOSE BR	PBLC SFTY	BR	DEWI GMBH	C	22,765	441,851	95 000 %	Yes	
DEWI NORTH AMERICA 7 UNDERWRITERS RD TORONTO, ONTARIO M1R 3A9 CA	PBLC SFTY	CA	DEWI GMBH	C	-7,356	16,584	100 000 %	Yes	
TERRACHOICE ENVIRO MARKETING 2009 LTD 171 NEPEAN STREET SUITE 400 OTTAWA, ONTARIO K2P 0B4 CA	PBLC SFTY	CA	TERRACHOICE GP	C			100 000 %	Yes	
TERRAVERITAS VALIDATION SCIENCES INC 171 NEPEAN STREET SUITE 400 OTTAWA, ONTARIO K2P 0B4 CA	PBLC SFTY	CA	TERRACHOICE GP	C			100 000 %	Yes	
UL VS SHANGHAI LIMITED 38F CIROs PLAZA 388 W NANJING RD SHANGHAI CH	PBLC SFTY	HK	UL VS HK	C	-2,533,253	67,009,140	100 000 %	Yes	
DEWI WIND ENERGY (BEIJING) CO LTD 37 NANMOFANG ROAD BEIJING CH	PBLC SFTY	CH	UL Sa rl	C	-214,395	171,483	100 000 %	Yes	
UL STS CO LTD RM 629 NO 1128 WENHUI RD SHANGHAI, SONGJIANG DISTRIC CH	PBLC SFTY	CH	UL EMT	C	-825,058	727,391	100 000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

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COLLIS NORDIC OY SARKINIEMENTIE 3/5TH FLR 00210 HELSINKI FI	PBLC SFTY	FI	COLLIS H BV	C			100 000 %	Yes	
ECO-INSTITUT GMBH SACHSENRING 69 50677 KOLN GM	PBLC SFTY	GM	UL GERMANY	C	-840,290	4,669,144	100 000 %	Yes	
DEWI GMBH DEUTSCHES WINDENERGIE INSTITUT EBERSTRASSE 96 WIHE GM	PBLC SFTY	GM	UL GERMANY	C	-3,644,442	40,099,776	100 000 %	Yes	
DEWI OFFSHORE & CERTIFICATION CTNR GMBH AM SEEDEICH 9 D27472 CUXHAVEN GM	PBLC SFTY	GM	DEWI GMBH	C	-387,462	16,377,488	100 000 %	Yes	
UL VS HONG KONG LIMITED 16-17F TOWER B REGENT CENTER KWAI CHUNG N T HK	PBLC SFTY	HK	UL Sa rl	C	316,045	90,266,771	100 000 %	Yes	
ICQ (HK) SUITES 5801 5804-06 CENTRAL PLAZA WANCHAI HK	PBLC SFTY	HK	ICQ SRL	C	2,167,303	32,684,762	100 000 %	Yes	
UL QA PRIVATE LIMITED A-12 INFOCITY SECTOR-3334 122001 GURGAON IN	PBLC SFTY	IN	UL VS HK	C	-454,817	4,609,018	100 000 %	Yes	
ICQ HOLDING SRL VIA FABIO FILZI 27 MILAN IT	PBLC SFTY	IT	UL ITALY	C	-122,190	82,983,524	100 000 %	Yes	
INSTITUTE CERTIFICAZIONE QUALITA SLR VIA EUROPA 28 CABIATE IT	PBLC SFTY	IT	ICQ H SRL	C	-221,706	38,421,827	100 000 %	Yes	
NUOVO ISTITUTO ITALIANO SRL VIA EUROPA 28 CABIATE IT	PBLC SFTY	IT	ICQ H SRL	C	-1,818,505	51,719,546	100 000 %	Yes	
CONAL SRL VIA EUROPA 28 CABIATE IT	PBLC SFTY	IT	ICQ H SRL	C	-944,204	1,693,663	100 000 %	Yes	
UNDERWRITERS LABORATORIES SARL 2-3 AVENUE CHARLES DE GAULLE L- 1653 LUXEMBOURG LU	PBLC SFTY	LU	UL INC	C	11,829,082	297,311,205	100 000 %	Yes	
UL RESPONSIBLE SOURCING MEXICO SA DE CV AVE JUAREZ 2111601 CO LA PAZ PUEBLA, PUE 72160 MX	PBLC SFTY	MX	UL RS	C	-45,425	40,190	100 000 %	Yes	
UL TS BV (AFK COLLIS BV) DE HEYDERWEG 1 2314 XZ LEIDEN NL	PBLC SFTY	NL	COLLIS H BV	C	-1,166,593	46,196,029	100 000 %	Yes	
COLLIS HOLDING BV DE HEYDERWEG 1 2314 XZ LEIDEN NL	PBLC SFTY	NL	UL Sa rl	C	79,856	41,889,596	100 000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

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UL VS SINGAPORE PTE LTD 33 JALAN AFIFI 05-03 ETONHOUSE SN	PBLC SFTY	SN	UL Sa rl	C	140,627	2,152,260	100 000 %	Yes	
COLLIS ASIA PTE LTD NO3 INTL BSN PRK 02-27 609927 SN	PBLC SFTY	SN	COLLIS H BV	C	172,707	2,775,040	100 000 %	Yes	
UL VS SOUTH AFRICA PROPRIETARY LTD 2 EGLIN RD SUNNINGHIL 2157 SF	PBLC SFTY	SF	UL Sa rl	C			100 000 %	Yes	
UL VS TAIWAN LTD 260 DA-YEH RD 1ST FLR TAIPEI CITY, PEITOU DISTRICT TW	PBLC SFTY	TW	UL Sa rl	C	-1,012,601	3,159,667	100 000 %	Yes	
ICQ (MED) SARL 33 BLOC A RESIDENCE FAYROUZ LAC II TUNIS TS	PBLC SFTY	TS	ICQ SRL	C	-286,840	1,425,362	90 000 %	Yes	
UL VS LHAS TAKSIM LAMARTIN CADDESI NO 10 ISTANBUL TU	PBLC SFTY	TU	STR TURKEY	C	-89,911	4,130,066	100 000 %	Yes	
DEWI DMT LTD ATATURK CAD NO 174/1 EKIM ISHANI ALSANCAK TU	PBLC SFTY	TU	DEWI GHBM	C	-425,053	829,639	100 000 %	Yes	
UL TS FZE PO BOX 341060TECHNOPOINT BLDG DUBAI AE	PBLC SFTY	AE	COLLIS H BV	C	293,003	2,014,490	100 000 %	Yes	
UL TRANSACTION SECURITY LTD 16 CALTON RD EDINBURGH, SCOTLAND EH8 8DL UK	PBLC SFTY	UK	COLLIS H BV	C	229,856	3,211,006	100 000 %	Yes	
RFI GLOBAL SERVICES LTD ASHWOOD PRK ASHWOOD WAY BASINGSTOKE, HAMPSHIRE UK	PBLC SFTY	UK	UL UK	C	-982,374	20,793,752	100 000 %	Yes	
UL VS UK LTD 10 PORTMAN RD READING, BERKSHIRE UK	PBLC SFTY	UK	UL Sa rl	C	-2,671,058	25,210,197	100 000 %	Yes	
EDUNEERING EUROPE LTD 22 WYCOMBE END BEACONSFIELD, BUCKS, ENGLAND UK	PBLC SFTY	UK	EDUNEERING	C	34,541	445,516	100 000 %	Yes	
UL VS VIETNAM CO LTD 9/9 NGUYEN TUONG ST WARD 6 BINH THANH DISTRI VM	PBLC SFTY	VM	UL Sa rl	C	-50,207	1,257,022	100 000 %	Yes	
EDUNEERING HOLDINGS INC 202 CARNEGIE CENTER SUITE 301 PRINCETON, NJ 55437 51-0559052	PBLC SFTY	DE	UL LLC	C	-6,074,947	81,115,590	100 000 %	Yes	
INNOVADEX LLC 7930 SANTA FE 3RD FLOOR OVERLAND PARK, KS 66204 27-1907272	PBLC SFTY	KS	UL LLC	C	-1,683,170	25,014,013	100 000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	No
NATIONAL EVERCLEAN SERVICES INC 28632 ROADSIDE DRIVE SUITE 275 AGOURA HILLS, CA 91301 94-4692862	PBLC SFTY	CA	UL LLC	C	2,286,363	27,475,400	100 000 %	Yes	
GOOD GUIDE INC 98 BATTERY STREETSUITE 400 SAN FRANCISCO, CA 94111 32-0214327	PBLC SFTY	NY	UL LLC	C	-1,112,820	4,793,227	100 000 %	Yes	
WIKLUND RESEARCH AND DESIGN 152 Commonwealth Ave Concord, MA 01742 25-1907272	PBLC SFTY	MA	UL LLC	C	-11,937	0	100 000 %	Yes	
INTEGRATED DESIGN ENGINEERING SYSTEMS CO PO Box 2131 Laramie, WY 82073 83-0281317	PBLC SFTY	WY	UL LLC	C	1,295,953	12,947,288	100 000 %	Yes	
ICQ USA 10 WATER STREET ENFIELD, CT 06082 26-4372296	PBLC SFTY	DE	ICQ H	C	0	0	100 000 %	Yes	
UL TS INC (FKA COLLIS AMERICA INC) 8400 NORMANDALE LAKE BLVD SUITE 92 BLOOMINGTON, MN 55437 26-1207429	PBLC SFTY	MN	UL BV	C	225,887	3,970,029	100 000 %	Yes	
TESTTECH LABORATORIOS DE AVALIACAO DA CO RUA SANTA MADALENA 263 BARRIO BOM PORTO ALEGRE 91420-490 BR 27-0913800	PBLC SFTY	BR	UL do Brasil lt	C	1,337,224	9,901,063	100 000 %	Yes	
UL STS CO LTD 1128 WENHUI ROAD SONGJIANG,SHANGHAI CH	PBLC SFTY	CH	EMT	c	-825,058	727,391	100 000 %	Yes	
STR FRANCE 4 RUE HERMANN FRENKEL CEDEX 07 LYON 69637 FR	PBLC SFTY		UL Sa rl	C		0	50 000 %	Yes	
UL KASHIMA INC (FKA EMC KASHIMA CORP) 1614 MUSHIHATA CHIBA-KEN KATORI-SHI 289-0341 JA	PBLC SFTY	JA	UL JAPAN	C		6,807,076	100 000 %	Yes	
AIR QUALITY SCIENCES INC 2211 NEW MARKET PKWY MARIETTA, GA 30067 58-1831441	PBLC SFTY	GA	UL ENVIRONMENT	C	-364,917		100 000 %	Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
UL India Private Ltd	A	175,873	FMV
UL India Private Ltd	M	57,674	FMV
UL AG	L	10,162,622	FMV
UL AG	M	774,863	FMV
UL AG	D	643,240	FMV
UL Verification Services Private Limited	L	15,017	FMV
UL Registrar LLC	L	5,250	FMV
UL Responsible Sourcing Inc	L	15,000	FMV
ULC Standards	L	19,365	FMV
Underwriters Laboratories of Canada Inc	L	538,452	FMV
UL Environment Inc	L	176,420	FMV
UL LLC	L	17,191,124	FMV
UL LLC	P	788,616	FMV
UL LLC	M	2,074,079	FMV
UL LLC	E	8,075,883	FMV