

## Return of Organization Exempt From Income Tax

OMB No 1545-0047

2011

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

<b>A</b> For the 2011 calendar year, or tax year beginning , 2011, and ending , 20	
<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization <b>The Energy Foundation</b> Doing Business As Number and street (or P O box if mail is not delivered to street address) Room/suite <b>301 Battery Street Floor 5</b> City or town, state or country, and ZIP + 4 <b>San Francisco CA 94111-3203</b> <b>D</b> Employer identification number <b>94 3126848</b> <b>E</b> Telephone number <b>415 561 6700</b> <b>G</b> Gross receipts \$ <b>96,508,344</b> <b>H(a)</b> Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions) <b>H(c)</b> Group exemption number ▶
<b>F</b> Name and address of principal officer: <b>Eric Heitz, President</b> <b>same as C above</b> <b>I</b> Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ◀ (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 <b>J</b> Website: ▶ <b>www.ef.org</b> <b>K</b> Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶ <b>L</b> Year of formation <b>1991</b> <b>M</b> State of legal domicile <b>CA</b>	

**Part I Summary**

Activities & Governance	<b>1</b>	Briefly describe the organization's mission or most significant activities: <b>The Energy Foundation's mission is to promote the transition to a sustainable energy future by advancing energy efficiency and renewable energy.</b>		
	<b>2</b>	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	<b>3</b>	Number of voting members of the governing body (Part VI, line 1a)	<b>3</b> <b>16</b>	
	<b>4</b>	Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b> <b>13</b>	
	<b>5</b>	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	<b>5</b> <b>56</b>	
	<b>6</b>	Total number of volunteers (estimate if necessary)	<b>6</b> <b>0</b>	
	<b>7a</b>	Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b> <b>0</b>	
	<b>b</b>	Net unrelated business taxable income from Form 990-T, line 34	<b>7b</b> <b>0</b>	
	Revenue	<b>8</b>	Contributions and grants (Part VIII, line 1h)	<b>Prior Year</b> <b>101,076,051</b> <b>Current Year</b> <b>96,480,421</b>
		<b>9</b>	Program service revenue (Part VIII, line 2g)	<b>0</b> <b>0</b>
<b>10</b>		Investment income (Part VIII, column (A), lines 3, 4, and 7d)	<b>28,358</b> <b>27,923</b>	
<b>11</b>		Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	<b>875,550</b> <b>246,545</b>	
<b>12</b>		Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	<b>101,979,959</b> <b>96,754,889</b>	
Expenses		<b>13</b>	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	<b>97,441,115</b> <b>76,448,058</b>
		<b>14</b>	Benefits paid to or for members (Part IX, column (A), line 4)	<b>0</b> <b>0</b>
		<b>15</b>	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	<b>5,987,474</b> <b>6,662,111</b>
		<b>16a</b>	Professional fundraising fees (Part IX, column (A), line 11e)	<b>0</b> <b>0</b>
		<b>b</b>	Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>xxx</b>	
	<b>17</b>	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	<b>23,310,949</b> <b>20,239,867</b>	
	<b>18</b>	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	<b>126,739,538</b> <b>103,350,036</b>	
	<b>19</b>	Revenue less expenses. Subtract line 18 from line 12	<b>(24,759,579)</b> <b>(6,595,147)</b>	
Net Assets or Fund Balances	<b>20</b>	Total assets (Part X, line 16)	<b>Beginning of Current Year</b> <b>40,182,978</b> <b>End of Year</b> <b>31,915,680</b>	
	<b>21</b>	Total liabilities (Part X, line 26)	<b>7,320,401</b> <b>5,678,250</b>	
	<b>22</b>	Net assets or fund balances. Subtract line 21 from line 20	<b>32,862,577</b> <b>26,267,430</b>	

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

**Robert Crane, Treasurer**

Type or print name and title

Preparer Use Only

Print/Type preparer's name

Preparer's signature

Firm's name ▶

Firm's address ▶

May the IRS discuss this return with the preparer shown above? (see

For Paperwork Reduction Act Notice, see the separate instructions.

**Part III Statement of Program Service Accomplishments**Check if Schedule O contains a response to any question in this Part III ☒

- 1** Briefly describe the organization's mission:  
**The Energy Foundation's mission is to promote the transition to a sustainable energy future by advancing energy efficiency and renewable energy.**
- 2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No  
 If "Yes," describe these new services on Schedule O.
- 3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No  
 If "Yes," describe these changes on Schedule O.
- 4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ 76,448,058 including grants of \$ 76,448,058 ) (Revenue \$ )  
 In 2011, the Energy Foundation granted \$ 76,448,058 to organizations and institutions that made gains in the U.S. and China. Achievements included (but were not limited to) stronger fuel efficiency standards for light- and heavy-duty vehicles; the adoption of ever-stronger building codes and appliance efficiency standards; the promotion of renewable energy technologies; the retirement of existing coal-fired power plants; energy intensity reductions in China's Top-1,000 Enterprises; and gains in low-carbon growth planning, also in China. See Form 990, Schedule F, Part II, Line 1 and Form 990, Schedule I, Part II, Line 1 for a detailed listing of the Foundation's grantmaking.

**4b** (Code: ) (Expenses \$ 3,804,496 including grants of \$ -0- ) (Revenue \$ )  
 In order to support its grantmaking in China, the Energy Foundation expenditures in 2011 included the salary and benefits of twenty-nine staff members, office rental, equipment charges, and other expenses related to maintaining the Foundation's Beijing program office. The Foundation administers the China Sustainable Energy Program, which in 2011 advanced China's policy efforts in eight sectors: transportation, buildings, industry, electric utilities, renewable energy, low-carbon development paths, environmental management and sustainable cities.

**4c** (Code: ) (Expenses \$ 885,460 including grants of \$ -0- ) (Revenue \$ )  
 In order to maintain the China Sustainable Transportation Center, Foundation expenditure in 2011 included the salary and benefits of ten staff members, office rental, equipment charges, and other expenses. The China Sustainable Transportation Center advanced the following initiatives: 1) urban planning and transportation pilot projects nationwide, 2) policy and standards research, and 3) capacity building such as training programs. The Center is dedicated to creating a sustainable urban and transportation structure, to promote compact land use and transit oriented development patterns, to relieve urban congestion, and to reduce greenhouse gas emission in order to create low-carbon, sustainable and livable cities.

**4d** Other program services (Describe in Schedule O.)  
 (Expenses \$ 14,432,547 including grants of \$ -0- ) (Revenue \$ )

**4e** Total program service expenses **▶** 95,570,561

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A . . . . .	<b>1</b> ✓	
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . . . .	<b>2</b> ✓	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . .	<b>3</b>	✓
<b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II . . . . .	<b>4</b> ✓	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III . . . . .	<b>5</b>	✓
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I . . . . .	<b>6</b>	✓
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II . . . . .	<b>7</b>	✓
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III . . . . .	<b>8</b>	✓
<b>9</b> Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV . . . . .	<b>9</b>	✓
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V . . . . .	<b>10</b>	✓
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI . . . . .	<b>11a</b> ✓	
<b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII . . . . .	<b>11b</b>	✓
<b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII . . . . .	<b>11c</b>	✓
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX . . . . .	<b>11d</b>	✓
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X . . . . .	<b>11e</b> ✓	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X . . . . .	<b>11f</b>	✓
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII . . . . .	<b>12a</b> ✓	
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional . . . . .	<b>12b</b>	✓
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .	<b>13</b>	✓
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	<b>14a</b> ✓	
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV . . . . .	<b>14b</b> ✓	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV . . . . .	<b>15</b> ✓	
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV . . . . .	<b>16</b>	✓
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) . . . . .	<b>17</b>	✓
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II . . . . .	<b>18</b>	✓
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III . . . . .	<b>19</b>	✓
<b>20a</b> Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . . . .	<b>20a</b>	✓
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? . . . . .	<b>20b</b>	

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
<b>21</b> Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II . . . . .</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>22</b> Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J . . . . .</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25 . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .	<input type="checkbox"/>	<input type="checkbox"/>
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	<input type="checkbox"/>	<input type="checkbox"/>
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .	<input type="checkbox"/>	<input type="checkbox"/>
<b>25a</b> <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>26</b> Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):	<input type="checkbox"/>	<input type="checkbox"/>
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1 . . . . .</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>b</b> Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI . . . . .</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response to any question in this Part V ☐

		Yes	No
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	<b>1a</b> 9569815	
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	<b>1b</b> 0	
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	<b>1c</b>	
<b>2a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	<b>2a</b> 56	
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	<b>2b</b> ✓	
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?	<b>3a</b>	✓
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	<b>3b</b>	
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	<b>4a</b> ✓	
<b>b</b>	If "Yes," enter the name of the foreign country: <b>People's Republic of China</b> See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	<b>5a</b>	✓
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>	✓
<b>c</b>	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	<b>5c</b>	
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	<b>6a</b>	✓
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	<b>6b</b>	
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>7a</b>	✓
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>7b</b>	
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	<b>7c</b>	✓
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year	<b>7d</b>	
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>	✓
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>7f</b>	✓
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	<b>7g</b>	
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	<b>7h</b>	
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	<b>8</b>	
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>		
<b>a</b>	Did the organization make any taxable distributions under section 4966?	<b>9a</b>	
<b>b</b>	Did the organization make a distribution to a donor, donor advisor, or related person?	<b>9b</b>	
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter:		
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12	<b>10a</b>	
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	<b>10b</b>	
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter:		
<b>a</b>	Gross income from members or shareholders	<b>11a</b>	
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	<b>11b</b>	
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>	
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	<b>12b</b>	
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	<b>13a</b>	
<b>b</b>	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	<b>13b</b>	
<b>c</b>	Enter the amount of reserves on hand	<b>13c</b>	
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>	✓
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	<b>14b</b>	

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response to any question in this Part VI ☒**Section A. Governing Body and Management**

		Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year . . . . .	<b>1a</b> <b>16</b>		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent . . . . .	<b>1b</b> <b>13</b>		
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .	<b>2</b>		<input checked="" type="checkbox"/>
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . . . . .	<b>3</b>		<input checked="" type="checkbox"/>
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . .	<b>4</b>		<input checked="" type="checkbox"/>
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .	<b>5</b>		<input checked="" type="checkbox"/>
<b>6</b> Did the organization have members or stockholders? . . . . .	<b>6</b>		<input checked="" type="checkbox"/>
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . . .	<b>7a</b>		<input checked="" type="checkbox"/>
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . . .	<b>7b</b>		<input checked="" type="checkbox"/>
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
<b>a</b> The governing body? . . . . .	<b>8a</b>	<input checked="" type="checkbox"/>	
<b>b</b> Each committee with authority to act on behalf of the governing body? . . . . .	<b>8b</b>	<input checked="" type="checkbox"/>	
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . .	<b>9</b>		<input checked="" type="checkbox"/>

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates? . . . . .	<b>10a</b>	<input checked="" type="checkbox"/>
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . . .	<b>10b</b>	
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . . .	<b>11a</b>	<input checked="" type="checkbox"/>
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13 . . . . .	<b>12a</b>	<input checked="" type="checkbox"/>
<b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	<b>12b</b>	<input checked="" type="checkbox"/>
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done . . . . .	<b>12c</b>	<input checked="" type="checkbox"/>
<b>13</b> Did the organization have a written whistleblower policy? . . . . .	<b>13</b>	<input checked="" type="checkbox"/>
<b>14</b> Did the organization have a written document retention and destruction policy? . . . . .	<b>14</b>	<input checked="" type="checkbox"/>
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official . . . . .	<b>15a</b>	<input checked="" type="checkbox"/>
<b>b</b> Other officers or key employees of the organization . . . . .	<b>15b</b>	<input checked="" type="checkbox"/>
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .	<b>16a</b>	<input checked="" type="checkbox"/>
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .	<b>16b</b>	

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed ► **California**

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☒ Another's website ☒ Upon request

**19** Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

**20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► **Robert O'Connor, 301 Battery Street, Floor 5, San Francisco CA 94111. Tel 415-561-6700**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response to any question in this Part VII ☒**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Rosina Bierbaum, Board Member	2	✓						4500		
(2) Mark Burget, Board Member	3	✓						0		
(3) Robert Crane, Board Treasurer	3	✓		✓				6000		
(4) Larry Goulder, Board Member	2	✓						4500		
(5) Denis Hayes, Board Member	2	✓						6000		
(6) Eric Heitz, President	38.6	✓		✓				281336	10204	59427
(7) Khee Poh Lam, Board Member	2	✓						17200		
(8) Alan Lloyd, Board Member	2	✓						6000		
(9) Rose McKinney James, Board Member	2	✓						4500		
(10) August W. Ritter Jr., Board Member	2	✓						3000		
(11) Bill Ruckelshaus, Board Member	2	✓						6000		
(12) Phil Sharp, Board VP	2	✓						6000		
(13) Noa Staryk, Board Member	2	✓						4500		
(14) Sue Tierney, Board Member	2	✓						4500		

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) Michael Wang, Board Member	2	✓						48000		
(16) Hongjun Zhang Board Member	2	✓						6000		
(17) Robert O'Connor, CFO	37.2			✓				171198	12885	29614
(18) Jiang Lin, SVP	40				✓			238375	0	50992
(19) Charlotte Pera, SVP	39.7				✓			210530	1591	31008
(20) Barbara Wagner, COO	39.9				✓			167372	419	47596
(21) Jason Ricci, CIO	40					✓		184349	0	41370
(22) Jason Mark, VP	40					✓		180183	0	46029
(23) David Wooley, IP	40					✓		174271	0	52837
(24) Amy Fuerstenau, SCS	36.9					✓		158926	13351	20656
(25) Marcus Schneider, CPD	39.7					✓		145955	1103	35021
<b>1b Sub-total</b>								2,039,195	39,553	414,550
<b>c Total from continuation sheets to Part VII, Section A</b>										
<b>d Total (add lines 1b and 1c)</b>								2,039,195	39,553	414,550

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **22**

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		✓
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	✓	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		✓

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
Byrum & Fisk Advocacy Communication, 1501 North Shore Drive, Suite B, Ea	Communication Consulting	1034235
atter World Group, 150 E. Olive Ave. # 211, Burbank CA 91502	Education and Outreach	733574
elamed Communications LLC, 3601 Green Rd, Ste 220, Cleveland, OH 44122	Communication Design	445500
Cater Communications, 173 Reservoir Road, San Rafael CA	Media Communication	443562
Conservation Strategy Group, LLC, 1100 11th St., Suite 200, Sacramento C	Strategic Consulting	256655

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **24**



**Part VIII Statement of Revenue**

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b>	Federated campaigns . . . . .	<b>1a</b>					
	<b>b</b>	Membership dues . . . . .	<b>1b</b>					
	<b>c</b>	Fundraising events . . . . .	<b>1c</b>					
	<b>d</b>	Related organizations . . . . .	<b>1d</b>					
	<b>e</b>	Government grants (contributions)	<b>1e</b>					
	<b>f</b>	All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	96480421				
	<b>g</b>	Noncash contributions included in lines 1a-1f: \$						
	<b>h</b>	<b>Total.</b> Add lines 1a-1f . . . . . ▶		96480421				
<b>Program Service Revenue</b>			<b>Business Code</b>					
	<b>2a</b>							
	<b>b</b>							
	<b>c</b>							
	<b>d</b>							
	<b>e</b>							
	<b>f</b>	All other program service revenue .						
	<b>g</b>	<b>Total.</b> Add lines 2a-2f . . . . . ▶						
<b>Other Revenue</b>	<b>3</b>	Investment income (including dividends, interest, and other similar amounts) . . . . . ▶		27923			27923	
	<b>4</b>	Income from investment of tax-exempt bond proceeds ▶						
	<b>5</b>	Royalties . . . . . ▶						
		(i) Real	(ii) Personal					
	<b>6a</b>	Gross rents . . . . .						
	<b>b</b>	Less: rental expenses . . . . .						
	<b>c</b>	Rental income or (loss) . . . . .						
	<b>d</b>	Net rental income or (loss) . . . . . ▶						
	<b>7a</b>	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
	<b>b</b>	Less: cost or other basis and sales expenses . . . . .						
	<b>c</b>	Gain or (loss) . . . . .						
	<b>d</b>	Net gain or (loss) . . . . . ▶						
	<b>8a</b>	Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18 . . . . . <b>a</b>						
	<b>b</b>	Less: direct expenses . . . . . <b>b</b>						
	<b>c</b>	Net income or (loss) from fundraising events . ▶						
	<b>9a</b>	Gross income from gaming activities. See Part IV, line 19 . . . . . <b>a</b>						
	<b>b</b>	Less: direct expenses . . . . . <b>b</b>						
	<b>c</b>	Net income or (loss) from gaming activities . . ▶						
	<b>10a</b>	Gross sales of inventory, less returns and allowances . . . . . <b>a</b>						
	<b>b</b>	Less: cost of goods sold . . . . . <b>b</b>						
<b>c</b>	Net income or (loss) from sales of inventory . . ▶							
Miscellaneous Revenue		<b>Business Code</b>						
<b>11a</b>	Recoveries of Prior Year grants	900099	246545	246545				
<b>b</b>								
<b>c</b>								
<b>d</b>	All other revenue . . . . .							
<b>e</b>	<b>Total.</b> Add lines 11a-11d . . . . . ▶							
<b>12</b>	<b>Total revenue.</b> See instructions. . . . . ▶		96754889	246545		27923		

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX ☐

**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	51222208	51222208		
<b>2</b> Grants and other assistance to individuals in the United States. See Part IV, line 22				
<b>3</b> Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	25225850	25225850		
<b>4</b> Benefits paid to or for members				
<b>5</b> Compensation of current officers, directors, trustees, and key employees	1439247	354725	670534	413988
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
<b>7</b> Other salaries and wages	3680495	1040667	2021541	618287
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	358977	104035	202349	52593
<b>9</b> Other employee benefits	863100	211454	510953	140693
<b>10</b> Payroll taxes	320292	83124	179703	57465
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management				
<b>b</b> Legal	53878		53878	
<b>c</b> Accounting	60348		60348	
<b>d</b> Lobbying				
<b>e</b> Professional fundraising services. See Part IV, line 17				
<b>f</b> Investment management fees				
<b>g</b> Other	1004210		912085	92125
<b>12</b> Advertising and promotion	403		403	
<b>13</b> Office expenses	104387		104387	
<b>14</b> Information technology	195809		195809	
<b>15</b> Royalties				
<b>16</b> Occupancy	630424	167118	357365	105941
<b>17</b> Travel	764712	359710	189510	215492
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials				
<b>19</b> Conferences, conventions, and meetings	24919	19105	3869	1945
<b>20</b> Interest				
<b>21</b> Payments to affiliates				
<b>22</b> Depreciation, depletion, and amortization	676524	179339	383497	113688
<b>23</b> Insurance	34655		34655	
<b>24</b> Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> Foundation initiated projects	16584824	16584824		
<b>b</b> Bank charges	23456		23456	
<b>c</b> Memberships	19350	16966	2272	112
<b>d</b> Deductible for damaged computer	1436	1436		
<b>e</b> All other expenses	60532		60532	
<b>25</b> Total functional expenses. Add lines 1 through 24e	103350036	95570561	5967146	1812329
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

**Part X Balance Sheet**

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	<b>45700</b>	<b>1</b>	<b>50700</b>
	<b>2</b> Savings and temporary cash investments . . . . .	<b>18875778</b>	<b>2</b>	<b>16903470</b>
	<b>3</b> Pledges and grants receivable, net . . . . .	<b>16618051</b>	<b>3</b>	<b>11298781</b>
	<b>4</b> Accounts receivable, net . . . . .		<b>4</b>	
	<b>5</b> Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .		<b>5</b>	
	<b>6</b> Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) . . . . .		<b>6</b>	
	<b>7</b> Notes and loans receivable, net . . . . .		<b>7</b>	
	<b>8</b> Inventories for sale or use . . . . .		<b>8</b>	
	<b>9</b> Prepaid expenses and deferred charges . . . . .	<b>1333200</b>	<b>9</b>	<b>781396</b>
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	<b>10a</b> <b>5498541</b>		
	<b>b</b> Less: accumulated depreciation . . . . .	<b>10b</b> <b>-2617208</b>	<b>10c</b>	<b>2881333</b>
	<b>11</b> Investments—publicly traded securities . . . . .		<b>11</b>	
	<b>12</b> Investments—other securities. See Part IV, line 11 . . . . .		<b>12</b>	
	<b>13</b> Investments—program-related. See Part IV, line 11 . . . . .		<b>13</b>	
	<b>14</b> Intangible assets . . . . .		<b>14</b>	
	<b>15</b> Other assets. See Part IV, line 11 . . . . .		<b>15</b>	
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	<b>40182978</b>	<b>16</b>	<b>31915680</b>	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	<b>1333070</b>	<b>17</b>	<b>1493426</b>
	<b>18</b> Grants payable . . . . .	<b>5077161</b>	<b>18</b>	<b>3366010</b>
	<b>19</b> Deferred revenue . . . . .		<b>19</b>	
	<b>20</b> Tax-exempt bond liabilities . . . . .		<b>20</b>	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .		<b>21</b>	
	<b>22</b> Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .		<b>24</b>	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . .	<b>910170</b>	<b>25</b>	<b>788814</b>
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25 . . . . .	<b>7320401</b>	<b>26</b>	<b>5648250</b>
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets . . . . .	<b>13826912</b>	<b>27</b>	<b>7335937</b>
	<b>28</b> Temporarily restricted net assets . . . . .	<b>19035665</b>	<b>28</b>	<b>18931493</b>
	<b>29</b> Permanently restricted net assets . . . . .		<b>29</b>	
	<b>Organizations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds . . . . .		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund . . . . .		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .		<b>32</b>	
	<b>33</b> <b>Total net assets or fund balances.</b> . . . . .	<b>32862577</b>	<b>33</b>	<b>26267430</b>
<b>34</b> <b>Total liabilities and net assets/fund balances.</b> . . . . .	<b>40182978</b>	<b>34</b>	<b>31915680</b>	

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response to any question in this Part XI ☐

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12) . . . . .	<b>1</b>	<b>96754889</b>
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25) . . . . .	<b>2</b>	<b>103350036</b>
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1 . . . . .	<b>3</b>	<b>-6595147</b>
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	<b>4</b>	<b>32862577</b>
<b>5</b>	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	<b>5</b>	
<b>6</b>	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) . . . . .	<b>6</b>	<b>26267430</b>

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response to any question in this Part XII ☐

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . .
- b** Were the organization's financial statements audited by an independent accountant? . . . . .
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? . . . . .  
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both:  
☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
<b>2a</b>		✓
<b>2b</b>	✓	
<b>2c</b>	✓	
<b>3a</b>		✓
<b>3b</b>		

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

**2011**

**Open to Public  
Inspection**

Name of the organization

The Energy Foundation

Employer identification number

94 3126848

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I      b ☐ Type II      c ☐ Type III—Functionally integrated      d ☐ Type III—Other
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? 

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		
- (ii) A family member of a person described in (i) above? 

11g(ii)		
---------	--	--
- (iii) A 35% controlled entity of a person described in (i) or (ii) above? 

11g(iii)		
----------	--	--
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	66188955	101966622	90717384	101076051	96480421	456429433
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						
<b>4 Total.</b> Add lines 1 through 3 . . . . .	6618955	101966622	90717384	101076051	96480421	456429433
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . .						152749776
<b>6 Public support.</b> Subtract line 5 from line 4. . . . .						303679657

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
<b>7</b> Amounts from line 4 . . . . .	6618955	101966622	90717384	101076051	96480421	456429433
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .	1359137	916431	257899	28358	27923	2589748
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . . .						
<b>11 Total support.</b> Add lines 7 through 10 . . . . .						459019181
<b>12</b> Gross receipts from related activities, etc. (see instructions) . . . . .					12	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2011 (line 6, column (f) divided by line 11, column (f)) . . . . .	<b>14</b>	66 %
<b>15</b> Public support percentage from 2010 Schedule A, Part II, line 14 . . . . .	<b>15</b>	59 %
<b>16a 33 1/3% support test—2011.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . . <input checked="" type="checkbox"/>		
<b>b 33 1/3% support test—2010.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>17a 10%-facts-and-circumstances test—2011.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>b 10%-facts-and-circumstances test—2010.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . <input type="checkbox"/>		
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . <input type="checkbox"/>		

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.  
If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . .						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . .						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . .						
<b>6 Total.</b> Add lines 1 through 5 . . . .						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . .						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . .						
<b>c</b> Add lines 7a and 7b . . . .						
<b>8 Public support</b> (Subtract line 7c from line 6.) . . . .						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
<b>9</b> Amounts from line 6 . . . .						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . .						
<b>c</b> Add lines 10a and 10b . . . .						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . .						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . .						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2011 (line 8, column (f) divided by line 13, column (f)) . . . .	<b>15</b>	%
<b>16</b> Public support percentage from 2010 Schedule A, Part III, line 15 . . . .	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for <b>2011</b> (line 10c, column (f) divided by line 13, column (f)) . . . .	<b>17</b>	%
<b>18</b> Investment income percentage from <b>2010</b> Schedule A, Part III, line 17 . . . .	<b>18</b>	%
<b>19a 33 1/3% support tests—2011.</b> If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . <input type="checkbox"/>		
<b>b 33 1/3% support tests—2010.</b> If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . <input type="checkbox"/>		
<b>20 Private foundation.</b> If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . <input type="checkbox"/>		

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).



**SCHEDULE C**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

- ▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **See separate instructions.**

OMB No 1545-0047

**2011**

**Open to Public  
Inspection**

**If the organization answered "Yes" to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes" to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes" to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
The Energy Foundation	94 3126648

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures . . . . . ▶ \$
- 3 Volunteer hours . . . . . ▶

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . . . ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . . . ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . . ☐ Yes ☐ No
- 4a Was a correction made? . . . . . ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . . . ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . ▶ \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$
- 4 Did the filing organization file **Form 1120-POL** for this year? . . . . . ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
<b>1a</b>	Total lobbying expenditures to influence public opinion (grass roots lobbying)	250,000													
<b>b</b>	Total lobbying expenditures to influence a legislative body (direct lobbying)	750,000													
<b>c</b>	Total lobbying expenditures (add lines 1a and 1b)	1,000,000													
<b>d</b>	Other exempt purpose expenditures	102,350,036													
<b>e</b>	Total exempt purpose expenditures (add lines 1c and 1d)	103,350,036													
<b>f</b>	Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
<b>g</b>	Grassroots nontaxable amount (enter 25% of line 1f)	250,000													
<b>h</b>	Subtract line 1g from line 1a. If zero or less, enter -0-	0													
<b>i</b>	Subtract line 1f from line 1c. If zero or less, enter -0-	0													
<b>j</b>	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) Total
<b>2a</b> Lobbying nontaxable amount				1,000,000	1,000,000
<b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))					1,500,000
<b>c</b> Total lobbying expenditures					1,000,000
<b>d</b> Grassroots nontaxable amount					250,000
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					375,000
<b>f</b> Grassroots lobbying expenditures					250,000

**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers?			
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
<b>c</b> Media advertisements?			
<b>d</b> Mailings to members, legislators, or the public?			
<b>e</b> Publications, or published or broadcast statements?			
<b>f</b> Grants to other organizations for lobbying purposes?			
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?			
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
<b>i</b> Other activities?			
<b>j</b> Total. Add lines 1c through 1i			
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	<b>2</b>	
<b>3</b> Did the organization agree to carry over lobbying and political expenditures from the prior year?	<b>3</b>	

**Part III-B** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

<b>1</b> Dues, assessments and similar amounts from members	<b>1</b>	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year	<b>2a</b>	
<b>b</b> Carryover from last year	<b>2b</b>	
<b>c</b> Total	<b>2c</b>	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	<b>4</b>	
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions)	<b>5</b>	

**Part IV** Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5, Part II-A; and Part II-B, line 1. Also, complete this part for any additional information.

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## Part IV Supplemental Information (continued)

[illegible]

**SCHEDULE D**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**  
▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No. 1545-0047

**2011**

**Open to Public Inspection**

Name of the organization

The Energy Foundation

Employer identification number

94 3126848

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year . . . . .		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year) . . . . .		
4 Aggregate value at end of year . . . . .		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? . . . . . ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? . . . . . ☐ Yes ☐ No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area

☐ Protection of natural habitat ☐ Preservation of a certified historic structure

☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements . . . . .	2a
b Total acreage restricted by conservation easements . . . . .	2b
c Number of conservation easements on a certified historic structure included in (a) . . . . .	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register . . . . .	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? . . . . . ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? . . . . . ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$

(ii) Assets included in Form 990, Part X . . . . . ▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$

b Assets included in Form 990, Part X . . . . . ▶ \$

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

**3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a** ☐ Public exhibition  
**b** ☐ Scholarly research

- d** ☐ Loan or exchange programs  
**e** ☐ Other \_\_\_\_\_

**c** ☐ Preservation for future generations

**4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

**5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

**1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

**b** If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
<b>1c</b>	
<b>1d</b>	
<b>1e</b>	
<b>1f</b>	

- c** Beginning balance . . . . .  
**d** Additions during the year . . . . .  
**e** Distributions during the year . . . . .  
**f** Ending balance . . . . .

**2a** Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

**b** If "Yes," explain the arrangement in Part XIV.

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
<b>1a</b> Beginning of year balance . . . . .					
<b>b</b> Contributions . . . . .					
<b>c</b> Net investment earnings, gains, and losses . . . . .					
<b>d</b> Grants or scholarships . . . . .					
<b>e</b> Other expenditures for facilities and programs . . . . .					
<b>f</b> Administrative expenses . . . . .					
<b>g</b> End of year balance . . . . .					

**2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment ▶ \_\_\_\_\_ %  
**b** Permanent endowment ▶ \_\_\_\_\_ %  
**c** Temporarily restricted endowment ▶ \_\_\_\_\_ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

**3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** unrelated organizations . . . . .  
**(ii)** related organizations . . . . .

	Yes	No
<b>3a(i)</b>		
<b>3a(ii)</b>		
<b>3b</b>		

**b** If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

**4** Describe in Part XIV the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
<b>1a</b> Land . . . . .				
<b>b</b> Buildings . . . . .				
<b>c</b> Leasehold improvements . . . . .	3,879,690		1,485,463	2,394,227
<b>d</b> Equipment . . . . .	1,618,851		1,131,745	487,106
<b>e</b> Other . . . . .				
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				2,881,333

**Part VII Investments—Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other . . . . .		
(A) . . . . .		
(B) . . . . .		
(C) . . . . .		
(D) . . . . .		
(E) . . . . .		
(F) . . . . .		
(G) . . . . .		
(H) . . . . .		
(I) . . . . .		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) ►		

**Part VIII Investments—Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) . . . . .		
(2) . . . . .		
(3) . . . . .		
(4) . . . . .		
(5) . . . . .		
(6) . . . . .		
(7) . . . . .		
(8) . . . . .		
(9) . . . . .		
(10) . . . . .		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.) ►		

**Part IX Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) . . . . .	
(2) . . . . .	
(3) . . . . .	
(4) . . . . .	
(5) . . . . .	
(6) . . . . .	
(7) . . . . .	
(8) . . . . .	
(9) . . . . .	
(10) . . . . .	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ►	

**Part X Other Liabilities.** See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes . . . . .	
(2) Deferred rent - tenant improvement allow . . . . .	788,814
(3) . . . . .	
(4) . . . . .	
(5) . . . . .	
(6) . . . . .	
(7) . . . . .	
(8) . . . . .	
(9) . . . . .	
(10) . . . . .	
(11) . . . . .	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►	788,814

**2. FIN 48 (ASC 740) Footnote.** In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

**Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements**

<b>1</b>	Total revenue (Form 990, Part VIII, column (A), line 12)	<b>1</b>	96,754,889
<b>2</b>	Total expenses (Form 990, Part IX, column (A), line 25)	<b>2</b>	103,350,036
<b>3</b>	Excess or (deficit) for the year. Subtract line 2 from line 1	<b>3</b>	(6,595,147)
<b>4</b>	Net unrealized gains (losses) on investments	<b>4</b>	
<b>5</b>	Donated services and use of facilities	<b>5</b>	
<b>6</b>	Investment expenses	<b>6</b>	
<b>7</b>	Prior period adjustments	<b>7</b>	
<b>8</b>	Other (Describe in Part XIV.)	<b>8</b>	
<b>9</b>	Total adjustments (net). Add lines 4 through 8	<b>9</b>	
<b>10</b>	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	<b>10</b>	(6,595,147)

**Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

<b>1</b>	Total revenue, gains, and other support per audited financial statements	<b>1</b>	96,508,344
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
<b>a</b>	Net unrealized gains on investments	<b>2a</b>	
<b>b</b>	Donated services and use of facilities	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIV.)	<b>2d</b>	246,545
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIV.)	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.)	<b>5</b>	96,754,889

**Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

<b>1</b>	Total expenses and losses per audited financial statements	<b>1</b>	103,103,491
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
<b>a</b>	Donated services and use of facilities	<b>2a</b>	
<b>b</b>	Prior year adjustments	<b>2b</b>	
<b>c</b>	Other losses	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIV.)	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIV.)	<b>4b</b>	246,545
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.)	<b>5</b>	103,350,036

**Part XIV Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information

**Part XII Question IV b Other Revenue**

246,545 Prior year grant refunds: The Foundation records prior year grant refunds net of its current year grant expense in connection with its audited financial statements. However, these grant refunds are not included as negative items in the detailed grants listings included in "Schedule F - Part II - Grants and Other Assistance to Organizations or Entities Outside the United States" and "Schedule I - Part II - Grants and Other Assistance to Governments and Organizations in the United States." As a result, this reconciling item is added to revenue per tax return.

**Part XIII Question 4 a Other expenses**

246,964 Gross Grant expenses: The Foundation records prior year grant refunds net of its current year grant expense in connection with its



**Part XIV** Supplemental Information *(continued)*

audited financial statements. However, these grant refunds are not included as negative items in the detailed grants listings included in "Schedule F - Part II - Grants and other Assistance to Organizations or Entities Outside the United States" and "Schedule I - Part II - Grants and Other Assistance to Governments and Organizations in the United States". As a result, this reconciling item is added to grant expense per tax return.

-419 Grant discount reversal: The Foundation recorded prior year grant expense at the discounted value in accordance with generally accepted accounting principles. That discount was reversed in the current year. However, the discount reversal is not included as increase in the detailed grant listings included in "Schedule F - Part II - Grants and Other Assistance to Organizations or Entities Outside the United States" and "Schedule I - Part II - Grants and Other Assistance to Governments and Organizations in the United States". As a result, this reconciling item is added to grant expenses per tax return.

**SCHEDULE F**  
**(Form 990)**Department of the Treasury  
Internal Revenue Service**Statement of Activities Outside the United States**▶ **Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.**▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

**2011****Open to Public  
Inspection**

Name of the organization

The Energy Foundation

Employer identification number

94 3126848

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ **Yes** ☐ **No**
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) East Asia and the Pacific	2	39	Grantmaking	n/a	25,225,850
(2) East Asia and the Pacific	2	149	Program Services	Meetings, Research	3,414,622
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
<b>3a</b> Sub-total . . . . .	2	149			28,640,472
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c Totals</b> (add lines 3a and 3b)	2	149			28,640,472

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 ☐ **Part II** can be duplicated if additional space is needed.

<b>1</b>	<b>(a)</b> Name of organization	<b>(b)</b> IRS code section and EIN (if applicable)	<b>(c)</b> Region	<b>(d)</b> Purpose of grant	<b>(e)</b> Amount of cash grant	<b>(f)</b> Manner of cash disbursement	<b>(g)</b> Amount of non-cash assistance	<b>(h)</b> Description of non-cash assistance	<b>(i)</b> Method of valuation (book, FMV, appraisal, other)
<b>(1)</b>			see attachment						
<b>(2)</b>									
<b>(3)</b>									
<b>(4)</b>									
<b>(5)</b>									
<b>(6)</b>									
<b>(7)</b>									
<b>(8)</b>									
<b>(9)</b>									
<b>(10)</b>									
<b>(11)</b>									
<b>(12)</b>									
<b>(13)</b>									
<b>(14)</b>									
<b>(15)</b>									
<b>(16)</b>									

**2** Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ☐

**3** Enter total number of other organizations or entities ☐

Schedule F (Form 990) 2011									
<b>Part II</b> Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000... <input type="checkbox"/>									
Part II can be duplicated if additional space is needed									
	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			East Asia	To explore methodology and organize a training program for government officials of local pilots to enhance capacity of low-carbon planning.	\$158,800	Wire Transfer			
(2)			East Asia	To support case study on low carbon lifestyles in China's official low carbon development pilots.	\$90,000	Wire Transfer			
(3)			East Asia	To support research on a marketing mechanism for the promotion of green electricity consumption in Beijing.	\$60,000	Wire Transfer			
(4)			East Asia	To further facilitate demand-side management (DSM) and energy efficiency power plant (EPP) implementation by supporting Beijing's application to become a national DSM pilot city.	\$150,000	Wire Transfer			
(5)			East Asia	To support the assessment of the implementation and effectiveness of China's 11th Five-Year Plan for energy conservation.	\$160,000	Wire Transfer			
(6)			East Asia	To support research on a quantitative evaluation of the impact of low-carbon and energy efficient policies for China.	\$160,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(7)			East Asia	To support the assessment of China's national fiscal incentive program on energy efficiency and its international comparative review.	\$100,000	Wire Transfer			
(8)			East Asia	To support a study and summary of best practices on energy conservation in China.	\$170,000	Wire Transfer			
(9)			East Asia	To further promote effective implementation of demand-side management during the 12th Five Year Plan period.	\$200,000	Wire Transfer			
(10)			East Asia	To support technical guidelines for China's environmental permitting system	\$80,000	Wire Transfer			
(11)			East Asia	To support developing a China vessel emissions inventory.	\$120,000	Wire Transfer			
(12)			East Asia	To support developing a mechanism which ensures transportation policies are in line with the vehicle emissions target.	\$200,000	Wire Transfer			
(13)			East Asia	To support research on China's national green building development strategy.	\$80,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(14)			East Asia	To support a study on a strategy for low-carbon planning and development in Beijing.	\$300,000	Wire Transfer			
(15)			East Asia	To support research on Beijing's local air regulation amendment	\$60,000	Wire Transfer			
(16)			East Asia	To support research on a co-control demo city in Urumqi.	\$100,000	Wire Transfer			
(17)			East Asia	To support research on integrating low-carbon development principals into regulatory detailed planning for new districts.	\$100,000	Wire Transfer			
(18)			East Asia	To support a study for establishing an evaluation indicator system and planning methods for the New Energy Cities Demonstration Program.	\$180,000	Wire Transfer			
(19)			East Asia	To support a pilot plan of the Industrial Energy Efficiency Top Runner Program.	\$100,000	Wire Transfer			
(20)			East Asia	To support the evaluation of the "2008-2010 National Key Energy Conservation Technologies Catalogues" and the development of the "2011 National Key Energy Conservation Technologies Catalogue".	\$120,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(21)			East Asia	To support policy research on scaling up renewable energy applications in buildings.	\$150,000	Wire Transfer			
(22)			East Asia	To write and publish the 2011 China Building Energy Efficiency Development Annual Report.	\$100,000	Wire Transfer			
(23)			East Asia	To support a study on the economics of green buildings in China.	\$90,000	Wire Transfer			
(24)			East Asia	To set up an office together with the Chenggong Management Committee to supervise the implementation of the program, including parcel by parcel characteristics that ensure development follows an appropriate pattern	\$150,000	Wire Transfer			
(25)			East Asia	To support the development of New Energy Demonstration City in Chengong District of Kunming	\$151,000	Wire Transfer			
(26)			East Asia	To support a study to revise the quality inspection code for building energy efficient construction (GB50411-2007).	\$94,000	Wire Transfer			
(27)			East Asia	To support the policy research on green retrofits.	\$72,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(28)			East Asia	To carry out research on the promotion of public transport development in China in order to facilitate the implementation of the 12th Five-Year Plan on Public Transport.	\$500,000	Wire Transfer			
(29)			East Asia	To continue to support the Ministry of Housing and Urban-Rural Development's non-motorized transit (NMT) pilot project, implement and expand the NMT net work in current pilot cities, and explore new cities with different geographic and economic situations in order to facilitate the development of national technical guidelines for NMT development	\$500,000	Wire Transfer			
(30)			East Asia	To continue to support the Ministry of Housing and Urban-Rural Development to promote green transportation throughout China via a national "car free day" event.	\$200,000	Wire Transfer			
(31)			East Asia	To support research on the China Green Urban Transport Index, which has been initiated by the Ministry of Housing and Urban-Rural Development.	\$100,000	Wire Transfer			
(32)			East Asia	To support establishing an official vehicle fuel economy administration website in China.	\$35,000	Wire Transfer			
(33)			East Asia	To support setting a 2016-2020 fuel consumption target for passenger cars and improving the fuel consumption labeling program for light-duty vehicles.	\$120,000	Wire Transfer			



	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(34)			East Asia	To support the development of a fuel consumption limit standard for heavy-duty vehicles.	\$230,000	Wire Transfer			
(35)			East Asia	To support updating the China Vehicle Emission Model to provide a technical basis for decision-making	\$80,000	Wire Transfer			
(36)			East Asia	To support research on energy intensity target decomposition and implementation of the 12th Five Year Plan for industrial energy conservation.	\$100,000	Wire Transfer			
(37)			East Asia	To support the energy conservation diagnosis of key chemical manufacturers and energy conservation technology promotion	\$80,000	Wire Transfer			
(38)			East Asia	To support the assessment of policies and routes for energy savings and emissions reductions for small and medium boilers.	\$120,000	Wire Transfer			
(39)			East Asia	To support a business model and incentive policies study to promote the development of China's energy storage industry.	\$150,000	Wire Transfer			
(40)			Europe	To support investigative reports into the whole lifecycle environmental impacts of coal production in China	\$35,070	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(41)			Europe	To support a report on policy recommendations and the translation and publication of key progress of China's green growth policies.	\$50,000	Wire Transfer			
(42)			East Asia	To support economic policy instruments for the new air pollutant standards for thermal power plants.	\$100,000	Wire Transfer			
(43)			East Asia	To continue support capacity building for the Center for Climate Change of the Power Industry to facilitate the implementation of demand-side energy efficiency and clean generation programs by utilities in China	\$400,000	Wire Transfer			
(44)			East Asia	To support a study of the operation management model and policy measures for distributed generation-based smart microgrid systems.	\$100,000	Wire Transfer			
(45)			East Asia	To study shale gas resource conditions and explore a future regulatory framework for its development and utilization in China.	\$150,000	Wire Transfer			
(46)			East Asia	To enhance the power sector's regulatory capacity in promoting power sector energy efficiency and emissions reductions.	\$150,000	Wire Transfer			
(47)			East Asia	To help with a study on the energy management poster and energy manager responsibility system in key energy use enterprises	\$50,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(48)			East Asia	To support research on sustainable disposal of the municipal solid waste in China	\$100,000	Wire Transfer			
(49)			East Asia	To analyze the technical, economic, policy, and regulatory options to promote the development of distributed natural gas power generation in China.	\$130,000	Wire Transfer			
(50)			East Asia	To support a study of the biomass gasification industry development roadmap.	\$100,000	Wire Transfer			
(51)			East Asia	To support the formulation of "Guidelines on Energy Conservation and CO2 Reduction in China's Internal Combustion (IC) Engine Industry".	\$100,000	Wire Transfer			
(52)			East Asia	To support a study on energy efficiency and statistics and calculation methods of CO2 emissions and its reduction roadmap for China's steel industry.	\$100,000	Wire Transfer			
(53)			East Asia	To support the feasibility study on the establishment of a greenhouse gas emissions reporting system for China's industrial enterprises.	\$120,000	Wire Transfer			
(54)			East Asia	To support the implementation of the standards for the maximum allowable energy consumption per unit product for high energy intensive industries.	\$200,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(55)			East Asia	To support evaluating and improving China's fiscal incentive policy for energy-saving vehicles	\$120,000	Wire Transfer			
(56)			East Asia	To support research on China's energy efficiency standards for flat panel televisions, copy machines, single voltage external AC-DC and AC-AC power supplies, and domestic gas cooking appliances	\$200,000	Wire Transfer			
(57)			East Asia	To support research and the development of "China Energy Label Implementation Rules" for four products: microcomputers, self-ballasted electrodeless fluorescent lamps, single-cap electrodeless fluorescent lamps and water dispensers.	\$70,000	Wire Transfer			
(58)			East Asia	To support the enforcement of national and local energy efficiency standards and labels (Phase Three).	\$230,000	Wire Transfer			
(59)			East Asia	To support the development of a supervision and information system on energy efficiency testing labs for the China Energy Label System.	\$95,000	Wire Transfer			
(60)			East Asia	To conduct energy efficiency round robin testing on flat panel televisions among national, local, and manufacturers' labs in order to enhance testing labs' capacity and consistency	\$80,000	Wire Transfer			
(61)			East Asia	To continue support the China National Lead List of Excellent Enterprises and Energy Efficient Products Program (IV).	\$130,080	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(62)			East Asia	To continue support for an annual white paper on the progress of energy efficiency development in energy-consuming products in China (2012).	\$95,000	Wire Transfer			
(63)			East Asia	To support the development of energy system optimization guidelines for the steel and petroleum-refining industries and energy management system guidelines for the steel and cement industries	\$200,000	Wire Transfer			
(64)			East Asia	To support the formulation of compulsory national standards of the maximum allowable energy consumption for major Industrial products and compiling implementation plans and technical specifications for key energy-saving technologies.	\$150,000	Wire Transfer			
(65)			East Asia	To support the research and development of an industrialization demonstration mechanism and implementation plan for new significant energy-saving technologies	\$130,000	Wire Transfer			
(66)			East Asia	To support launching the China National Green Freight Program.	\$200,000	Wire Transfer			
(67)			East Asia	To support a study of electricity pricing mechanisms for the promotion of grid integration of large-scale renewables	\$260,000	Wire Transfer			
(68)			East Asia	To support research on developing a set of administrative, market, and economic mechanisms and measures to further enhance coal-fired power system efficiency in the 12th Five-Year Plan period.	\$180,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(69)			East Asia	To support research on resource tax reform for promoting clean use of coal and achieving the emissions reduction target.	\$100,000	Wire Transfer			
(70)			East Asia	To support the analysis of China's existing laws and their relation to climate change.	\$120,000	Wire Transfer			
(71)			East Asia	To support the research on the identification and study of key issues in climate change laws abroad.	\$120,000	Wire Transfer			
(72)			East Asia	To support a study on the evaluation system for Regional Air Quality Management.	\$125,000	Wire Transfer			
(73)			East Asia	To support a systematic study on the impact of climate change on human health.	\$23,000	Wire Transfer			
(74)			East Asia	To support a study of coal-fired mercury pollution reduction technology assessment	\$90,000	Wire Transfer			
(75)			East Asia	To support research on the establishment of environmental admittance criteria for the steel, lead, and zinc smelting industries in China.	\$150,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(76)			East Asia	To support developing a non-CO2 GHG emissions inventory for motor vehicles.	\$100,000	Wire Transfer			
(77)			East Asia	To support the development of the 2011 China Motor Vehicle Pollution Prevention Annual Report.	\$50,000	Wire Transfer			
(78)			East Asia	To continue supporting capacity building for central and local environmental protection agencies on vehicle emissions control.	\$60,000	Wire Transfer			
(79)			East Asia	To support establishing a vehicle emissions control alliance to encourage data reporting and sharing among vehicle emissions control centers at different levels.	\$50,000	Wire Transfer			
(80)			East Asia	To support the promotion of green buildings and energy-efficiency buildings.	\$100,000	Wire Transfer			
(81)			East Asia	To facilitate the Chinese Society for Urban Studies to support the Ministry of Housing and Urban-rural Development to develop regulations, standards, management policies, and technical supporting documents to promote eco-city development in China.	\$450,000	Wire Transfer			
(82)			East Asia	To support information exchange activities on the European renewable energy grid integration experience.	\$60,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(83)			East Asia	To support building codes enforcement in Chongqing.	\$120,000	Wire Transfer			
(84)			East Asia	To support green building development in Chongqing.	\$130,000	Wire Transfer			
(85)			East Asia	To support low-carbon development in Chongqing through tailoring guidance driving the municipal low-carbon development plan making process.	\$133,700	Wire Transfer			
(86)			East Asia	To support Chongqing Yuelai eco-city's planning and design work.	\$300,000	Wire Transfer			
(87)			East Asia	To support the development of University Alliance for Industrial Energy Efficiency's industrial energy assessment guidelines and criteria, and the implementation of an energy assessment for selected industries in Chongqing.	\$120,000	Wire Transfer			
(88)			East Asia	To support research on rural building energy efficiency in northern China.	\$30,000	Wire Transfer			
(89)			East Asia	To support "Co-Benefits Works: Clean Air Reports and Action Plans".	\$100,000	Wire Transfer			



	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(90)			East Asia	To support the local implementation of the Top-10,000 Enterprises Energy Efficiency Program in Liaoning Province.	\$180,000	Wire Transfer			
(91)			East Asia	To support capacity building for the Energy Conservation Supervision Center of Xinjiang Uygur Autonomous Region.	\$150,000	Wire Transfer			
(92)			East Asia	To support compiling "The Results Collection and Extraction of CSEP" and "The Best Practice Cases of CSEP".	\$100,000	Wire Transfer			
(93)			East Asia	To support the annual report, "China Energy Economics Highlights in 2012", which will assemble energy statistics at global, national, and sectoral level based on key energy statistics.	\$110,000	Wire Transfer			
(94)			East Asia	To support research on a "China Green Building Action Plan".	\$128,000	Wire Transfer			
(95)			East Asia	To support research on the guidelines for low-carbon development planning and the evaluating indicator system.	\$120,000	Wire Transfer			
(96)			East Asia	To support the study and design of a national demand-side management (DSM) cities program and to provide technical assistance to local DSM cities.	\$180,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(97)			East Asia	To support a scoping study to help launch a China 2050 high renewable energy penetration study.	\$40,000	Wire Transfer			
(98)			East Asia	To support research on approaches for the information and communication technology industry to promote low-carbon development and economic transformation in China.	\$100,000	Wire Transfer			
(99)			East Asia	To support a study of renewable energy subsidization policies.	\$150,000	Wire Transfer			
(100)			East Asia	To support the development of supportive policies and a National Action Plan for the New Energy Cities Demonstration Program.	\$120,000	Wire Transfer			
(101)			East Asia	To support the investigation and analysis of the utilization situation of industrial waste heat resources in China	\$180,000	Wire Transfer			
(102)			East Asia	To support capacity building for utilities energy efficiency services.	\$120,000	Wire Transfer			
(103)			East Asia	To support the development of an implementation action plan for Fujian's 12th Five-Year Plan on wind energy.	\$120,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(104)			East Asia	To support a capacity building program for senior official students at the Chinese Academy of Governance.	\$150,000	Wire Transfer			
(105)			East Asia	To support the organization of trainings and forums to disseminate CSEP grantees' policy recommendations among journalists and improve the media's understanding of sustainable energy and climate issues	\$100,000	Wire Transfer			
(106)			East Asia	To identify and assess the potential of key demand-side management technologies and to develop recommendations for their large-scale application.	\$120,000	Wire Transfer			
(107)			East Asia	To support Foshan to design and implement a local demand-side management (DSM) program as a member of the first group of national DSM pilot cities.	\$140,000	Wire Transfer			
(108)			East Asia	To support a quantitative analysis on cool roof's carbon emissions potential and environmental benefits.	\$30,000	Wire Transfer			
(109)			East Asia	To support developing Guangdong Province's emissions strategy for in-use boats.	\$80,000	Wire Transfer			
(110)			East Asia	To support a study on the methodology of provincial low-carbon development plan making in Guangdong.	\$130,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(111)			East Asia	To support the development of an electricity savings evaluation, measurement and verification protocols, and methodologies for demand-side management programs.	\$140,000	Wire Transfer			
(112)			East Asia	To support Tangshan to design and implement a local demand-side management (DSM) program as a member of the first group of national DSM pilot cities.	\$140,000	Wire Transfer			
(113)			East Asia	To support integration of renewable energy and energy efficiency technologies in buildings in China's "hot-summer cold-winter" climate zone.	\$40,000	Wire Transfer			
(114)			East Asia	To support the introduction of California's AB32 and Climate Registry policies and practices into China	\$120,000	Wire Transfer			
(115)			East Asia	To support the phase II of the comprehensive research on carbon financing in China.	\$75,000	Wire Transfer			
(116)			East Asia	To support research on key technologies of smart micro-grid and the optimization of combined operation of various distributed generation sources.	\$200,000	Wire Transfer			
(117)			East Asia	To support research on an energy development strategy for Qinghai Province.	\$150,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(118)			East Asia	To support research on improving the capacity for climate change policy in China	\$200,000	Wire Transfer			
(119)			East Asia	To support the study and design of a plan to fund industrial energy savings and emissions reductions.	\$50,000	Wire Transfer			
(120)			East Asia	To support the the study on the resource-saving city construction of "Jiangsu Provincial Regulatory Detailed Planning Guide" with a focus on urban land use, resources use, and environmental protection issues to guide the cities towards economical and sustainable development.	\$120,000	Wire Transfer			
(121)			East Asia	To support the study on Jiangsu vehicle I/M program improvement, boat emissions control, and the development of a Jiangsu vehicle emissions control annual report.	\$120,000	Wire Transfer			
(122)			East Asia	To support the revision of the comprehensive urban planning of Gaochun city and the regulatory detailed planning of Kunshan county of Jiangsu province.	\$200,000	Wire Transfer			
(123)			East Asia	To support the development of a local implementation plan for the "National Top-10,000 Energy Efficiency Program" for Jiangsu Province.	\$120,000	Wire Transfer			
(124)			East Asia	To continue to support the building codes initiative pilot in Jiangsu Province.	\$150,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(125)			East Asia	To support research on the establishment of a methodology and an implementation strategy of a low-carbon transportation system in Jinan.	\$100,000	Wire Transfer			
(126)			East Asia	To support Kunming with developing low-carbon, road network planning and design guidelines	\$75,000	Wire Transfer			
(127)			East Asia	To support a study on the Kunming low-carbon urban planning and construction indicator system and the Panlong Jiang non-motorized transit demonstration project.	\$125,000	Wire Transfer			
(128)			East Asia	To support the grantee to develop constructive detailed road planning for the Chenggong low-carbon demonstration area.	\$300,000	Wire Transfer			
(129)			East Asia	To support the establishment and implementation of an energy conservation technology service platform for Jiangsu province.	\$100,000	Wire Transfer			
(130)			East Asia	To support developing the Nanjing vehicle emissions control strategy and annual report.	\$80,000	Wire Transfer			
(131)			East Asia	To continue the support of the Zero-Emissions Zone in Nanjing.	\$80,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(132)			East Asia	To support a study on fuel consumption of agricultural machines in typical agricultural provinces in China.	\$50,000	Wire Transfer			
(133)			East Asia	To carry out training programs for Chinese mayors and related senior local officials on sustainable urban transit and development.	\$250,000	Wire Transfer			
(134)			East Asia	To support the Top 10,000 Enterprises Energy Efficiency Program Implementation Plan.	\$200,000	Wire Transfer			
(135)			East Asia	To support a study of comprehensive management measures to promote the integration of large-scale wind power in the Northeast grid.	\$180,000	Wire Transfer			
(136)			East Asia	To support the implementation scheme of medium- and long-term development strategic planning of low-carbon technologies in China's power sector.	\$130,000	Wire Transfer			
(137)			East Asia	To continue support building energy efficiency and green building development in Hebei, one of our four pilot provinces.	\$150,000	Wire Transfer			
(138)			East Asia	To support research on the economics of fluorinated gases management in China, especially the challenges and opportunities	\$92,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(139)			East Asia	To support a study of the optimization of China's energy subsidization structure towards sustainable economic development.	\$160,000	Wire Transfer			
(140)			East Asia	To support clean air policy benchmarks for China's cities.	\$101,700	Wire Transfer			
(141)			East Asia	To support a study on more environmentally friendly natural gas use policies among different end users.	\$126,500	Wire Transfer			
(142)			East Asia	To support research on the integration of different industries based on coal gasification for Xuwei industrial zone in Lianyungang.	\$160,000	Wire Transfer			
(143)			East Asia	To support policy research on the Wall Material Fund.	\$100,000	Wire Transfer			
(144)			East Asia	To support a study reviewing the fiscal and tax policies on energy savings and emissions reduction during the 11th Five Year Plan (FYP) in China and recommendations for improvements during the 12th FYP.	\$150,000	Wire Transfer			
(145)			East Asia	To support the promotion and implementation of the "China Energy Efficiency Windows Label Program."	\$100,000	Wire Transfer			



	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(146)			East Asia	To support policy research on cool roofs.	\$30,000	Wire Transfer			
(147)			East Asia	To support the expansion of energy efficiency agreements and research on energy conservation assessment and management guidelines for industrial fix-assets investment in Shandong province	\$150,000	Wire Transfer			
(148)			East Asia	To support the second phase of local implementation of low-carbon development in Shandong via developing a comprehensive low-carbon growth plan.	\$100,000	Wire Transfer			
(149)			East Asia	To support the capacity building of the Center Alliance for Industrial Energy Efficiency	\$150,000	Wire Transfer			
(150)			East Asia	To support the scaling up of the energy management system and energy managers training program in Shandong.	\$150,000	Wire Transfer			
(151)			East Asia	To support building codes enforcement and green building development in Shandong.	\$200,000	Wire Transfer			
(152)			East Asia	To support capacity building through a fellowship program in Shandong University in the fields of green transportation systems and sustainable planning and design.	\$90,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(153)			East Asia	To support the design of a standardized energy efficiency information monitoring and dissemination platform and its implementation roadmap for the local energy conservation centers.	\$150,000	Wire Transfer			
(154)			East Asia	To support the energy conservation capacity building in central and western China.	\$200,000	Wire Transfer			
(155)			East Asia	To support capacity building of the University Alliance for Industrial Energy Efficiency's Eastern Universities Network on industrial energy efficiency.	\$150,000	Wire Transfer			
(156)			East Asia	To support research on energy efficiency retrofitting of historical buildings in Shanghai.	\$80,000	Wire Transfer			
(157)			East Asia	To support regulatory research on promoting optimal electric power system operation of eastern China.	\$139,000	Wire Transfer			
(158)			East Asia	To support a provincial pilot on building codes enforcement and green building development in Shanxi	\$150,000	Wire Transfer			
(159)			East Asia	To support research on measures and approaches to improve energy efficiency in the Shanxi independent coal washing and dressing industry.	\$100,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(160)			East Asia	To support the promotion of green building principles in affordable housing in Shenzhen.	\$100,000	Wire Transfer			
(161)			East Asia	To develop a pricing mechanism for hydropower to reduce coal use and emissions in China's power sector.	\$150,000	Wire Transfer			
(162)			East Asia	To support the design and implementation of an energy management/institutional system in Sichuan Province.	\$120,000	Wire Transfer			
(163)			East Asia	To support a research on a comprehensive demand-side management (DSM) implementation scheme and build DSM implementation capacity in Sichuan Province.	\$170,000	Wire Transfer			
(164)			East Asia	To continue to support the development of Euro IV & V diesel fuel standards and to conduct an implementation scenario analysis.	\$80,000	Wire Transfer			
(165)			East Asia	To support Suzhou to design and implement a local demand-side management (DSM) program as a member of the first group of national DSM pilot cities	\$140,000	Wire Transfer			
(166)			East Asia	To support research on power sector regulatory modes, considering both supply-side and demand-side in Jiangsu Province.	\$130,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(167)			East Asia	To support the implementation of Southwest University of Science and Technology's energy efficiency training program in the building materials Industry.	\$120,000	Wire Transfer			
(168)			East Asia	To support the National DSM Implementation Training and Capacity Building	\$250,000	Wire Transfer			
(169)			East Asia	To support the implementation of Phase III of the Energy Efficiency Star Program in Suzhou.	\$120,000	Wire Transfer			
(170)			East Asia	To support non-motorized transportation system development in Tangshan Caoferdian Eco-city.	\$200,000	Wire Transfer			
(171)			East Asia	To support the study of fiscal policies to promote new energy vehicle development in Turpan city.	\$50,000	Wire Transfer			
(172)			East Asia	To support research on green transportation system planning in small towns and study policies for sustainable development of a green transportation system.	\$100,000	Wire Transfer			
(173)			East Asia	To promote information disclosure and the substantive implementation of laws and regulations related to information disclosure.	\$100,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(174)			East Asia	To support a study of the industrialization policies for China's densified biomass fuel development.	\$100,000	Wire Transfer			
(175)			East Asia	To support a study of a systemic framework to control Volatile Organic Compounds (VOCs).	\$115,000	Wire Transfer			
(176)			East Asia	To support the establishment of a green campus alliance and the development of a green campus evaluation index and management mechanism.	\$80,000	Wire Transfer			
(177)			East Asia	To support research on building codes enforcement at the planning phase.	\$50,000	Wire Transfer			
(178)			East Asia	To support training and capacity building for professionals from local urban planning and management authorities, as well as university professors and students on the sustainable development of Chinese cities.	\$300,000	Wire Transfer			
(179)			East Asia	To support establishing an evaluation mechanism for drop-and-pull transport in China.	\$80,000	Wire Transfer			
(180)			East Asia	To support an assessment of local pollutants and GHG emissions and reduction policies in China's coke industry.	\$90,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(181)			East Asia	To support evaluating the policy and technology of electric vehicles to facilitate US-China clean vehicle cooperation under the Clean Energy Research Center	\$120,000	Wire Transfer			
(182)			East Asia	To support research on energy use by Chinese rural buildings.	\$100,000	Wire Transfer			
(183)			East Asia	To support evaluating the life cycle energy use, GHGs emissions, and criteria pollutant emissions of new energy vehicle in China.	\$140,000	Wire Transfer			
(184)			East Asia	To continue to support the capacity building of doctoral candidates studying transportation energy savings and emissions reductions.	\$55,000	Wire Transfer			
(185)			East Asia	To support the second phase of local implementation of low-carbon development in Shandong via developing a comprehensive low-carbon growth plan.	\$120,000	Wire Transfer			
(186)			East Asia	To support the expansion and capacity building of the University Alliance for Industrial Energy Efficiency.	\$350,000	Wire Transfer			
(187)			East Asia	To study the impact of the total energy consumption target on the power sector.	\$120,000	Wire Transfer			

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(188)			East Asia	To support research on and the implementation of the 12th Five Year Plan on Industrial Energy Conservation for Yangzhou.	\$100,000	Wire Transfer			
(189)			East Asia	To support research on industrial energy consumption caps and capacity building for energy efficiency assessment in Henan.	\$186,000	Wire Transfer			
2. Enter the number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . > 0									
3. Enter total number of other organizations or entities . . . . . > 158									

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) N/A							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							



**Part IV Foreign Forms**

- 1** Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . . ☐ Yes ☒ No
- 2** Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* . . . . . ☐ Yes ☒ No
- 3** Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)* . . . . . ☐ Yes ☒ No
- 4** Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . . . . . ☐ Yes ☒ No
- 5** Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)* . . . . . ☐ Yes ☒ No
- 6** Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)* . . . . . ☐ Yes ☒ No

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Although the Energy Foundation was recognized as a Public Charity by the US Internal Revenue Service in May of 2011, the Foundation continues to monitor all non-public charity grants via the private foundation mechanism of Expenditure Responsibility as a best practice. For every non-public charity grantee, and over the duration of the grant, the project is monitored via an interim report and final report of activity and expenditures which are required, EF program staff reviewed, and must be approved before releasing accompanying payments. Program staff, who have reviewed and approved as reasonable proposed budget expenditures must also approve reported expenditures as reasonable before payments are released by grants and finance staff. In the case of final payments, a grantee must show the grant award expended in total, program staff must approve as reasonable, and the final payment is released as reimbursement. The final payments are usually 8 % to 10 % of the total award. This assures final accounting of all grant dollars. The number of payments are determined by responsiveness and prior history between the Foundation and the grantee.

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations, Governments, and Individuals in  
the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22  
Attach to Form 990.

OMB No. 1545-0047

**2011**

Department of the Treasury Internal Revenue Service

**Open to Public Inspection**

Name of organization  
The Energy Foundation

Employer identification number  
94-3126848

**Part I General Information on Grants and Assistance**

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? .....

☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed..... ☐

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(1)	2030, Inc. 607 Cerrillos Road, Suite G Sante Fe, New Mexico 87501 United States	26-0251104	501c3	\$50,000	\$0	n/a	n/a	To promote carbon neutral buildings.
(2)	8th Day Center for Justice Pilsen Environmental Rights and Reform Organization 205 W Monroe St. Ste.500 Chicago, Illinois 60606 United States	36-2826825	501c3	\$12,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Chicago.
(4)	Alliance for Affordable Energy 2372 St. Claude Ave., 3rd Floor New Orleans, Louisiana 70117 United States	72-1057834	501c3	\$60,000	\$0	n/a	n/a	To advance energy efficiency policy in Louisiana
(5)	Alliance to Save Energy 1850 M Street, NW, Ste 600 Washington, District of Columbia 20036 United States	52-1082991	501c3	\$195,000	\$0	n/a	n/a	To advance energy efficiency policies

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(6)	Alliance to Save Energy 1850 M Street, NW, Ste 600 Washington, District of Columbia 20036 United States	52-1082991	501c3	\$150,000	\$0	n/a	n/a	To run the "2012 Overture," a campaign to engage mayors and build support for the most stringent model code, 2012 IECC.
(7)	Alliance to Save Energy Building Codes Assistance Project 1850 M Street, NW, Ste 600 Washington, District of Columbia 20036 United States	52 1082991	501c3	\$250,000	\$0	n/a	n/a	To promote efficient building codes at the state and local levels
(8)	American Action Forum, Inc. 555-13th St , NW Suite 510 West Washington, District of Columbia 20005 United States	27-0567765	501c3	\$125,000	\$0	n/a	n/a	To lead high level outreach and communications around carbon policy
(9)	American Clean Skies Foundation, Inc. 750 1st St, NE Suite 1100 Washington, District of Columbia 20002 United States	20-8837141	501c3	\$40,000	\$0	n/a	n/a	To support an expert conference to develop strategies and tools to accelerate the retirement of coal-fired power plants and expansion of energy efficiency and renewable energy sources
(10)	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	501c3	\$305,000	\$0	n/a	n/a	To promote energy efficiency in buildings through building energy codes, appliance efficiency standards, and market transformation activities.
(11)	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	501c3	\$480,000	\$0	n/a	n/a	To inform the energy efficiency debate at the state and federal levels.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(12)	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	501c3	\$100,000	\$0	n/a	n/a	To promote heavy and light duty vehicle standards
(13)	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	501c3	\$60,000	\$0	n/a	n/a	To provide general operating support.
(14)	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	501c3	\$160,000	\$0	n/a	n/a	To assess industrial energy efficiency potential and programs.
(15)	American Council for an Energy-Efficient Economy Appliance Standards Awareness Project 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	501c3	\$75,000	\$0	n/a	n/a	To hire technical consultants to engage on rulemakings at DOE.
(16)	American Council on Renewable Energy 1600 K ST NW Suite 700 Washington, District of Columbia 20006 United States	52-2353661	501c3	\$50,000	\$0	n/a	n/a	To support the design of next-generation financial policies for renewable power.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(17)	American Council on Renewable Energy 1600 K ST NW Suite 700 Washington, District of Columbia 20006 United States	52-2353661	501c3	\$50,000	\$0	n/a	n/a	To support collaboration between the U S. military and private sector to advance integration and deployment of renewable energy solutions and increase U S. military effectiveness.
(18)	American Gas Foundation 400 North Capital Street NW Washington, District of Columbia 20001-1511 United States	54-1501306	501c3	\$50,000	\$0	n/a	n/a	To work on energy efficiency policy that affects natural gas producers.
(19)	American Lung Association 1301 Pennsylvania Ave , NW Suite 800 Washington, District of Columbia 20004 United States	13-1632524	501c3	\$700,000	\$0	n/a	n/a	To support the American Lung Association's Healthy Air Campaign.
(20)	American Lung Association 1301 Pennsylvania Ave., NW Suite 800 Washington, District of Columbia 20004 United States	13-1632524	501c3	\$360,000	\$0	n/a	n/a	For the Healthy Air Campaign's national paid media ads in support of EPA.
(21)	American Lung Association 1301 Pennsylvania Ave., NW Suite 800 Washington, District of Columbia 20004 United States	13-1632524	501c3	\$350,000	\$0	n/a	n/a	To support the American Lung Association's Healthy Air Campaign
(22)	American Lung Association in California 1029 J Street Suite 450 Sacramento, California 95814 United States	94-0362650	501c3	\$100,000	\$0	n/a	n/a	To support clean cars and AB 32 implementation in California.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(23)	American Security Project 1100 New York Avenue, NW Suite 710W Washington, District of Columbia 20005 United States	20-4079553	501c3	\$65,000	\$0	n/a	n/a	To develop the national security message in support of clean cars standards
(24)	Arizona PIRG Education Fund, Inc. 130 North Central Avenue Suite 202 Phoenix, Arizona 85004 United States	35-2259362	501c3	\$10,000	\$0	n/a	n/a	To support clean cars in Arizona
(25)	Arizona PIRG Education Fund, Inc. 130 North Central Avenue Suite 202 Phoenix, Arizona 85004 United States	35-2259362	501c3	\$20,000	\$0	n/a	n/a	To build support for advancing clean energy policies in Arizona
(26)	Arkansas Wildlife Federation Little River Bottoms Coalition 9108 Rodney Parham Road, Suite 101 Little Rock, Arkansas 72205 United States	71-6059226	501c3	\$50,000	\$0	n/a	n/a	To oppose the construction of new coal-fired power plants in Arkansas
(27)	Aspen Science Center Box 4669 Aspen, Colorado 81612 United States	84-1677611	501c3	\$30,000	\$0	n/a	n/a	To support U.S. EPA Clean Air Act rulemaking to reduce air pollution from coal-fired industrial boilers and power plants.
(44)	Better World Fund 25x'25 1800 Massachusetts Ave, NW, Suite 400 Washington, District of Columbia 20036 United States	58-2366765	501c3	\$30,000	\$0	n/a	n/a	To advance energy efficiency programs in Mississippi.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(45)	Better World Fund 25x'25 1800 Massachusetts Ave, NW, Suite 400 Washington, District of Columbia 20036 United States	58-2366765	501c3	\$100,000	\$0	n/a	n/a	To support coalition building and outreach around development of state energy plans and policies to advance renewables in Arkansas, Florida, Kansas, Michigan, Oklahoma and Virginia.
(46)	Better World Fund Energy Future Coalition 1800 Massachusetts Ave, NW, Suite 400 Washington, District of Columbia 20036 United States	58-2366765	501c3	\$75,000	\$0	n/a	n/a	To work with local municipalities to create replicable and scalable commercial retrofit models.
(47)	Biomass Power Association 100 Middle Street, PO Box 9729 Portland, Maine 04104 United States	77-0508798	501c6	\$75,000	\$0	n/a	n/a	To support continued outreach and education on the benefits of biopower
(48)	California Energy Efficiency Industry Council PO Box 60782 Palo Alto, California 94306 United States	27-0180889	501c6	\$50,000	\$0	n/a	n/a	To advance business support for energy efficiency in California.
(49)	California Housing Partnership Corporation 369 Pine Street, Suite 300 San Francisco, California 94104 United States	68-0183692	501c3	\$40,000	\$0	n/a	n/a	To support their GREEN rental Home Energy Efficiency Network initiative.
(50)	CALSTART, Inc. 48 South Chester Ave Pasadena, California 91106 United States	95-4375022	501c3	\$60,000	\$0	n/a	n/a	To promote business community education on California and federal clean cars standards.



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(51)	Calthorpe Associates, Inc 2095 Rose Street, Ste 201 Berkeley, California 94709 United States	90-0002108		\$1,200,000	\$0	n/a	n/a	To support Peter Calthorpe and his team to develop a detailed district master plan and urban design standards for the Chenggong New Town and Kunming, as well as design manual and policies for Jiangsu Province.
(52)	Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, Pennsylvania 15213-3890 United States	25-0969449	501c3	\$100,000	\$0	n/a	n/a	To promote the development of web based information technology infrastructures and regulatory repository frameworks for green buildings in China.
(53)	Catholics United Education Fund PO Box 33524 Washington, District of Columbia 20033-0524 United States	27-2377406	501c3	\$116,000	\$0	n/a	n/a	To recruit and engage Catholic clergy in Pennsylvania, Ohio, Michigan, and Minnesota in support of EPA's regulatory authority.
(57)	Center for Auto Safety, Inc 1825 Connecticut Avenue, NW Suite 330 Washington, District of Columbia 20009-5708 United States	52-0902868	501c3	\$125,000	\$0	n/a	n/a	To support policymaker education and media outreach on federal and California vehicle standards.
(58)	Center for Civic Policy Partnership for Responsible Business PO Box 27616 Albuquerque, New Mexico 87125 United States	01-0869701	501c3	\$100,000	\$0	n/a	n/a	To perform outreach to business on climate and energy policies in New Mexico
(59)	Center for Clean Air Policy 750 First Street, NE Suite 940 Washington, District of Columbia 20002 United States	52-1423164	501c3	\$120,000	\$0	n/a	n/a	To support climate policy development and advocacy

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(60)	Center for Energy Efficiency and Renewable Technologies 1100 11th Street Suite 311 Sacramento, California 95814 United States	68-0260751	501c3	\$300,000	\$0	n/a	n/a	To support work to remove barriers to large-scale renewable generation, and to clear the way for growth in clean energy investment.
(61)	Center for Energy Efficiency and Renewable Technologies 1100 11th Street Suite 311 Sacramento, California 95814 United States	68-0260751	501c3	\$75,000	\$0	n/a	n/a	To perform analysis and advocacy on AB 32 implementation.
(62)	Center for Energy Efficiency and Renewable Technologies 1100 11th Street Suite 311 Sacramento, California 95814 United States	68-0260751	501c3	\$20,000	\$0	n/a	n/a	To identify opportunities and barriers to expanding distributed generation deployment in California.
(63)	Center for Energy Efficiency and Renewable Technologies Western Grid Group 1100 11th Street Suite 311 Sacramento, California 95814 United States	68-0260751	501c3	\$600,000	\$0	n/a	n/a	To support clean power planning and policy development for the Western grid
(64)	Center for Energy Efficiency and Renewable Technologies Western Grid Group 1100 11th Street Suite 311 Sacramento, California 95814 United States	68-0260751	501c3	\$110,000	\$0	n/a	n/a	To support clean power integration in the Western grid
(65)	Center for Neighborhood Technology 2125 West North Avenue Chicago, Illinois 60647 United States	36-2967283	501c3	\$40,000	\$0	n/a	n/a	To host a meeting on GreenMLS.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(66)	Center for Rural Affairs PO Box 136 Lyons, Nebraska 68038 United States	47-0553823	501c3	\$50,000	\$0	n/a	n/a	To support outreach to rural stakeholders on the benefits of transmission and renewable energy policy.
(70)	Ceres, Inc 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	501c3	\$100,000	\$0	n/a	n/a	To mobilize the utility sector and businesses to support EPA Clean Air Act rulemaking.
(71)	Ceres, Inc. 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	501c3	\$130,000	\$0	n/a	n/a	To coordinate non-traditional allies engaging in federal vehicles work.
(72)	Ceres, Inc. 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	501c3	\$84,000	\$0	n/a	n/a	To support an analysis on the job impacts of federal vehicles standards.
(73)	Ceres, Inc. 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	501c3	\$100,000	\$0	n/a	n/a	To support business leader education and engagement on climate policy.
(74)	Ceres, Inc. 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	501c3	\$100,000	\$0	n/a	n/a	To mobilize business and investor leaders to support EPA Clean Air Act rulemaking.
(75)	Ceres, Inc. 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	501c3	\$283,000	\$0	n/a	n/a	To support business and investor outreach on vehicles and fuels issues.
(76)	Ceres, Inc 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	501c3	\$75,000	\$0	n/a	n/a	To educate and engage the investment community around utility energy efficiency.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(142)	Christian Coalition PO Box 37030 Washington, District of Columbia 20013-7030 United States	52-1585899	501c3	\$300,000	\$0	n/a	n/a	To support the Christian Coalition's "America's Energy Future" Campaign.
(143)	Christian Coalition PO Box 37030 Washington, District of Columbia 20013-7030 United States	52-1585899	501c3	\$50,000	\$0	n/a	n/a	To support faith outreach on clean cars standards.
(144)	Christian Coalition PO Box 37030 Washington, District of Columbia 20013-7030 United States	52-1585899	501c3	\$211,000	\$0	n/a	n/a	To conduct education and outreach on energy and climate.
(145)	Citizens for Pennsylvania's Future 610 North Third Street Harrisburg, Pennsylvania 17101 United States	31-1607866	501c3	\$30,000	\$0	n/a	n/a	To support the Northeast low carbon fuel standard.
(146)	Citizens for Pennsylvania's Future 610 North Third Street Harrisburg, Pennsylvania 17101 United States	31-1607866	501c3	\$50,000	\$0	n/a	n/a	To support expansion and implementation of renewable energy policies in Pennsylvania.
(147)	Citizens for Pennsylvania's Future 610 North Third Street Harrisburg, Pennsylvania 17101 United States	31-1607866	501c3	\$35,000	\$0	n/a	n/a	To advance energy efficiency policies in Pennsylvania, and prevent deterioration of utility energy efficiency programs and funding
(148)	Citizens Utility Board Inc , CUB 16 N. Carroll Street, Suite 640 Madison, Wisconsin 53703 United States	39-1555109	501c3	\$50,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Wisconsin.

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(149)	Civil Society Institute, Inc. Global Warming Legal Action Project 1 Bridge St., Suite 200 Newton, Massachusetts 02458 United States	04-3272715	501c3	\$150,000	\$0	n/a	n/a	To support legal fees and expenses.
(150)	Clean Air Cool Planet, Inc., A Northeast Alliance 100 Market Street Suite 204 Portsmouth, New Hampshire 03801 United States	04-3492988	501c3	\$154,275	\$0	n/a	n/a	To provide general operating support.
(151)	Clean Air Cool Planet, Inc., A Northeast Alliance 161 Cherry Street New Canaan, Connecticut 06840 United States	04-3492988	501c3	\$15,000	\$0	n/a	n/a	To support education and outreach on RGGI in New Hampshire.
(153)	Clean Air Task Force, Inc 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	501c3	\$325,000	\$0	n/a	n/a	To support work to secure strong pollution standards from the EPA Clean Air Act rulemakings.
(154)	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	501c3	\$114,000	\$0	n/a	n/a	To initiate and manage a campaign to leverage business support for EPA Clean Air Act rulemaking
(155)	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	501c3	\$325,000	\$0	n/a	n/a	To support strong federal regulatory standards to limit pollution from coal-fired power plants, accelerate the retirement of coal plants, and drive the deployment of carbon capture and sequestration (CCS).

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(156)	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	501c3	\$90,000	\$0	n/a	n/a	To support legal advocacy and litigation related to EPA Clean Air Act rule-making
(157)	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	501c3	\$240,000	\$0	n/a	n/a	To support work to secure strong greenhouse gas pollution standards from the EPA Clean Air Act rulemaking.
(158)	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	501c3	\$266,432	\$0	n/a	n/a	To support strong federal EPA standards for oil and gas drilling.
(159)	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	501c3	\$20,000	\$0	n/a	n/a	To provide technical support for Western advocates' engagement on EPA's New Source Performance Standards.
(160)	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	501c3	\$175,000	\$0	n/a	n/a	To support work to build international support for carbon capture and storage demonstration projects.
(161)	Clean Economy Development Center 1707 N Street, NW Washington, District of Columbia 20036 United States	27-1762207	501c3	\$50,000	\$0	n/a	n/a	To build support for clean energy policy in the Northwest.

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(162)	Clean Economy Network Education Fund 1101 14th Street NW, Suite 1200 Washington, District of Columbia 20005 United States	80-0373801	501c3	\$100,000	\$0	n/a	n/a	To engage business support for light duty vehicle standards.
(163)	Clean Economy Network Education Fund 1101 14th Street NW, Suite 1200 Washington, District of Columbia 20005 United States	80-0373801	501c3	\$50,000	\$0	n/a	n/a	To support policymaker outreach and briefings by clean energy business leaders.
(164)	Clean Economy Network Education Fund 1101 14th Street NW, Suite 1200 Washington, District of Columbia 20005 United States	80-0373801	501c3	\$150,000	\$0	n/a	n/a	To support the merger and integration of Clean Economy Network with Advanced Energy Economy.
(165)	Clean Energy Group Inc. 50 State Street Suite 1 Montpelier, Vermont 05602 United States	03-0359346	501c3	\$75,000	\$0	n/a	n/a	To continue support for the RPS Collaborative, analysis, and technical assistance
(166)	Clean Energy Group Inc. 50 State Street Suite 1 Montpelier, Vermont 05602 United States	03-0359346	501c3	\$50,000	\$0	n/a	n/a	To support education and outreach on offshore wind policy and development in Maryland and regionally
(167)	Clean Energy Group Inc. Clean Energy States Alliance 50 State Street Suite 1 Montpelier, Vermont 05602 United States	03-0359346	501c3	\$100,000	\$0	n/a	n/a	To support an offshore wind collaborative between states and federal agencies.

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(168)	Clean Water Fund 1010 Vermont Avenue NW, Suite 400 Washington, District of Columbia 20005-4918 United States	52-1043444	501c3	\$77,500	\$0	n/a	n/a	To work with Michigan constituents to demonstrate support for the work of the EPA
(169)	Clean Water Fund 1010 Vermont Avenue NW, Suite 400 Washington, District of Columbia 20005-4918 United States	52-1043444	501c3	\$50,000	\$0	n/a	n/a	To prevent the development of new coal-fired power plants and accelerate the retirement of high-polluting coal plants in Michigan.
(170)	Clean Wisconsin, Inc. 634 W. Main St. Suite 300 Madison, Wisconsin 53703 United States	39-1413448	501c3	\$40,000	\$0	n/a	n/a	To support clean energy policy in Wisconsin.
(171)	Clean Wisconsin, Inc. 634 W. Main St. Suite 300 Madison, Wisconsin 53703 United States	39-1413448	501c3	\$75,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Wisconsin
(172)	Clean Wisconsin, Inc. 634 W. Main St. Suite 300 Madison, Wisconsin 53703 United States	39-1413448	501c3	\$17,425	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Wisconsin.
(173)	Clean Wisconsin, Inc. 634 W. Main St. Suite 300 Madison, Wisconsin 53703 United States	39-1413448	501c3	\$80,000	\$0	n/a	n/a	To advance energy efficiency in Wisconsin.
(174)	Climate and Energy Project, Inc. PO Box 1858 Hutchinson, Kansas 67504 United States	26-3450854	501c3	\$35,000	\$0	n/a	n/a	To continue the Heartland Alliance for Regional Transmission (HART) project, educating rural officials on the need for transmission to develop renewable energy.



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(175)	Climate Solutions 219 Legion Way, SW Suite 201 Olympia, Washington 98501-1113 United States	91-1123302	501c3	\$174,675	\$0	n/a	n/a	To reduce pollution impacts resulting from expansion of coal transport infrastructure.
(176)	Climate Solutions 219 Legion Way, SW Suite 201 Olympia, Washington 98501-1113 United States	91-1123302	501c3	\$40,000	\$0	n/a	n/a	To support the Harvesting Clean Energy program, which advances regional clean energy policies in the Pacific Northwest and federal policies that benefit rural constituencies.
(177)	Climate Solutions 219 Legion Way, SW Suite 201 Olympia, Washington 98501-1113 United States	91-1123302	501c3	\$45,000	\$0	n/a	n/a	To perform outreach on climate change and clean energy policies in the Northwest
(178)	CNA Corporation 4825 Mark Center Drive Alexandria, Virginia 22311 United States	54-1558882	501c3	\$40,000	\$0	n/a	n/a	To support outreach on the energy security benefits of vehicle efficiency.
(179)	CNA Corporation 4825 Mark Center Drive Alexandria, Virginia 22311 United States	54-1558882	501c3	\$50,000	\$0	n/a	n/a	To support military and national security outreach on clean cars standards.
(180)	CNA Corporation 4825 Mark Center Drive Alexandria, Virginia 22311 United States	54-1558882	501c3	\$85,000	\$0	n/a	n/a	To support outreach on energy and climate security by the Military Advisory Board.
(181)	Coalition for Clean Air 811 West 7th Street Suite 1100 Los Angeles, California 90017 United States	23-7120567	501c3	\$50,000	\$0	n/a	n/a	To advocate for strong light duty vehicle standards in California

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(182)	Colorado State University Foundation Center for the New Energy Economy 410 University Services Center Fort Collins, Colorado 80523 United States	23-7098397	501c3	\$180,000	\$0	n/a	n/a	To support the work of the Center for Clean Energy Policy at CSU.
(183)	Columbia Riverkeeper 724 Oak Street Hood River, Oregon 97031 United States	91-1583492	501c3	\$34,299	\$0	n/a	n/a	To reduce pollution impacts resulting from expansion of coal transport infrastructure
(184)	Conservation Law Foundation, Inc 62 Summer Street Boston, Massachusetts 02110-1016 United States	04-6149986	501c3	\$137,000	\$0	n/a	n/a	To retire existing coal-fired power plants and expand energy efficiency services in New England.
(185)	Conservation Law Foundation, Inc 62 Summer Street Boston, Massachusetts 02110-1016 United States	04-6149986	501c3	\$35,000	\$0	n/a	n/a	To support outreach and education on the Regional Greenhouse Gas Initiative in the Northeast.
(186)	Conservation Law Foundation, Inc. 62 Summer Street Boston, Massachusetts 02110-1016 United States	04-6149986	501c3	\$75,000	\$0	n/a	n/a	To support the development of a low carbon fuels standard in the Northeast.
(187)	Conservation Voters New Mexico Education Fund 320 Aztec St Ste B Santa Fe, New Mexico 87501 United States	91-1982332	501c3	\$70,000	\$0	n/a	n/a	To support outreach and education on carbon regulations in New Mexico.
(188)	Consultative Group on Biological Diversity Presidio Building 1016 PO Box 29361 San Francisco, California 94129 United States	13-3431076	501c3	\$35,000	\$0	n/a	n/a	To support the Climate and Energy Funders Group.

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(189)	Consumer Federation of America, Inc. 1620 I Street, NW - Suite 200 Washington, District of Columbia 20006 United States	52-0880625	501c3	\$40,000	\$0	n/a	n/a	To advance appliance efficiency standards
(190)	Consumer Federation of America, Inc. 1620 I Street, NW - Suite 200 Washington, District of Columbia 20006 United States	52-0880625	501c3	\$169,000	\$0	n/a	n/a	To support consumer advocacy for vehicles and trucks standards.
(191)	Consumer Federation of America, Inc. 1620 I Street, NW - Suite 200 Washington, District of Columbia 20006 United States	52-0880625	501c3	\$48,000	\$0	n/a	n/a	To support paid media demonstrating consumer support for car standards
(192)	Consumers Union of United States, Inc. 101 Truman Ave Yonkers, New York 10703-1057 United States	13-1776434	501c3	\$75,000	\$0	n/a	n/a	To raise awareness with consumers and consumer advocates on the benefits of tighter building energy codes
(193)	Consumers Union of United States, Inc. 101 Truman Ave Yonkers, New York 10703-1057 United States	13-1776434	501c3	\$50,000	\$0	n/a	n/a	To support the consumer voice around federal vehicles standards
(195)	Earth Island Institute, Inc Coal Swarm 2150 Allston Way Suite 460 Berkeley, California 94704 United States	94-2889684	501c3	\$15,000	\$0	n/a	n/a	To support an interactive website on coal resistance for national advocates, journalists, and funders.

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(196)	Earth Island Institute, Inc Energy Action Coalition 2150 Allston Way Suite 460 Berkeley, California 94704 United States	94-2889684	501c3	\$125,000	\$0	n/a	n/a	To educate and mobilize youth on climate change.
(197)	Earth Island Institute, Inc. Renew Missouri 2150 Allston Way Suite 460 Berkeley, California 94704 United States	94-2889684	501c3	\$50,000	\$0	n/a	n/a	To support educational outreach and implementation of renewable energy policies in Missouri.
(198)	Earth Island Institute, Inc. Renew Missouri 2150 Allston Way. Suite 460 Berkeley, California 94704 United States	94-2889684	501c3	\$80,000	\$0	n/a	n/a	To motivate investor-owned utilities in Missouri to capture cost-effective energy efficiency.
(199)	Earthjustice 50 California Street, Suite 500 San Francisco, California 94111 United States	94-1730465	501c3	\$100,000	\$0	n/a	n/a	To secure federal and state appliance and equipment efficiency standards at the greatest technical potential.
(200)	Earthjustice 50 California Street, Suite 500 San Francisco, California 94111 United States	94-1730465	501c3	\$92,936	\$0	n/a	n/a	To reduce pollution impacts resulting from expansion of coal transport infrastructure
(201)	Earthjustice 50 California Street, Suite 500 San Francisco, California 94111 United States	94-1730465	501c3	\$300,000	\$0	n/a	n/a	To prevent the development of new coal-fired power plants and accelerate the retirement of existing coal-fired power plants.
(202)	Ecology Center, Inc. 339 E. Liberty St., Suite 300 Ann Arbor, Michigan 48104 United States	38-1912803	501c3	\$50,000	\$0	n/a	n/a	To advance electric vehicle readiness and promote low carbon fuels policies in Michigan and regionally.

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(203)	Ecology Center, Inc. 339 E Liberty St., Suite 300 Ann Arbor, Michigan 48104 United States	38-1912803	501c3	\$40,000	\$0	n/a	n/a	To mobilize healthcare professionals to help prevent the construction of new coal-fired power plants and accelerate the retirement of high-polluting plants
(204)	Economic Policy Institute 1333 H Street, NW, Suite 300, East Tower Washington, District of Columbia 20005 United States	52-1368964	501c3	\$50,000	\$0	n/a	n/a	To assess employment and economic impacts of EPA's proposed toxics rule.
(205)	Ecotrust Economics for Equity and the Environment. E3 Network 721 NW Ninth Avenue Suite 200 Portland, Oregon 97209 United States	93-1050144	501c3	\$25,000	\$0	n/a	n/a	To support research and advocacy on the social cost of carbon.
(207)	Energy Independence Now 714 Bond Avenue Santa Barbara, California 93103 United States	80-0066740	501c3	\$30,000	\$0	n/a	n/a	To support clean cars in California.
(208)	Energy Programs Consortium 1232 31st Street NW Washington, District of Columbia 20007 United States	52-2101783	501c3	\$50,000	\$0	n/a	n/a	To encourage energy efficiency retrofits through development of financing mechanisms.
(209)	Energy Programs Consortium 1232 31st Street NW Washington, District of Columbia 20007 United States	52-2101783	501c3	\$40,000	\$0	n/a	n/a	To provide technical assistance to states on efficiency financing.

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(220)	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13-4339865	501c3	\$100,000	\$0	n/a	n/a	To increase the stringency of state and local energy efficiency codes, and to popularize the need for other measures to enhance voluntary markets for high efficiency buildings.
(221)	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13-4339865	501c3	\$100,000	\$0	n/a	n/a	To promote and defend the Regional Greenhouse Gas Initiative.
(222)	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13 4339865	501c3	\$402,000	\$0	n/a	n/a	To support strong federal vehicle efficiency and fuel economy standards.
(223)	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13-4339865	501c3	\$200,000	\$0	n/a	n/a	To support education and outreach on clean energy and jobs in key regions, and to promote solar energy policies at the state and federal level
(224)	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13-4339865	501c3	\$30,000	\$0	n/a	n/a	To support grassroots organization and outreach around federal car standards.
(225)	Environment California Research and Policy Center, Inc. 3435 Wilshire Boulevard, #385 Los Angeles, California 90010 United States	68-0531882	501c3	\$40,000	\$0	n/a	n/a	To support AB 32 implementation in California
(226)	Environment Northeast P.O. Box 583 8 Summer Street Rockport, Maine 04856-0313 United States	01-0518193	501c3	\$175 000	\$0	n/a	n/a	To advance energy efficiency programs in the Northeast.

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(227)	Environment Northeast P.O. Box 583 8 Summer Street Rockport, Maine 04856-0313 United States	01-0518193	501c3	\$45,000	\$0	n/a	n/a	To support buildings and appliances efficiency policy in the Northeast.
(228)	Environment Northeast P.O. Box 583 8 Summer Street Rockport, Maine 04856-0313 United States	01-0518193	501c3	\$125,000	\$0	n/a	n/a	To support the development of a low carbon fuels standard in the Northeast.
(229)	Environment Northeast P.O. Box 583 8 Summer Street Rockport, Maine 04856-0313 United States	01-0518193	501c3	\$150,000	\$0	n/a	n/a	To perform policy analysis and development for RGGI and EPA, and to support RGGI defense
(230)	Environment Texas Research and Policy Center Inc. 815 Brazos Suite 600 Austin, Texas 78701 United States	56-2591697	501c3	\$30,000	\$0	n/a	n/a	To promote adoption of building codes in Texas.
(231)	Environmental Advocates of New York, Inc. 353 Hamilton Street Albany, New York 12210 United States	22-2360736	501c3	\$25,000	\$0	n/a	n/a	To defend and improve RGGI.
(232)	Environmental and Energy Study Institute 1112 16th Street NW, Suite 300 Washington, District of Columbia 20036-4819 United States	52-1268030	501c3	\$140,000	\$0	n/a	n/a	To support congressional education on climate, transportation, and buildings policy issues.

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(233)	Environmental and Energy Study Institute 1112 16th Street NW, Suite 300 Washington, District of Columbia 20036-4819 United States	52-1268030	501c3	\$50,000	\$0	n/a	n/a	To support education, outreach and development of policies around biomass combined heat and power (CHP) and biopower at the state and federal levels.
(234)	Environmental and Energy Study Institute 1112 16th Street NW, Suite 300 Washington, District of Columbia 20036-4819 United States	52-1268030	501c3	\$60,000	\$0	n/a	n/a	To educate decisionmakers on high performance building policies.
(235)	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	501c3	\$65,000	\$0	n/a	n/a	To support work to secure strong environmental safeguards and incentives for the deployment of carbon capture and sequestration.
(236)	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	501c3	\$112,000	\$0	n/a	n/a	To support AB 32 implementation and RGGI.
(237)	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	501c3	\$50,000	\$0	n/a	n/a	To develop on-bill repayment programs for retrofits and renewables in California
(238)	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	501c3	\$92,886	\$0	n/a	n/a	To advocate the transition from conventional coal-based electricity generation to clean energy resources in the intermountain West.



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(239)	Environmental Integrity Project One Thomas Circle Suite 900 Washington, District of Columbia 20005 United States	20-1326922	501c3	\$148,000	\$0	n/a	n/a	To support EPA Clean Air Act rulemaking to regulate emissions from coal-fired power plants.
(240)	Environmental Integrity Project One Thomas Circle Suite 900 Washington, District of Columbia 20005 United States	20-1326922	501c3	\$160,000	\$0	n/a	n/a	To support work to prevent the construction of new coal-fired power plants in Texas, and accelerate the retirement of highly-polluting coal plants in Maryland, Pennsylvania, New Mexico and the Tennessee Valley region
(241)	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	501c3	\$50,000	\$0	n/a	n/a	To develop solar markets in Midwestern states
(242)	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	501c3	\$75,000	\$0	n/a	n/a	To accelerate the retirement on coal-fired power plants in the Northeast and Midwest.
(243)	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	501c3	\$225,000	\$0	n/a	n/a	To prevent the construction of new coal-fired power plants and accelerate the retirement of highly-polluting coal plants in the Midwest
(244)	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	501c3	\$375,000	\$0	n/a	n/a	To advocate for renewable energy and energy efficiency policies in the Midwest

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(245)	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	501c3	\$75,000	\$0	n/a	n/a	To support education on climate change science and policy in the Midwest
(246)	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	501c3	\$30,315	\$0	n/a	n/a	To support solar policy and market development in the Midwest.
(247)	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	501c3	\$20,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Illinois
(248)	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	501c3	\$150,000	\$0	n/a	n/a	To continue support for a national farm-based clean energy development initiative
(250)	Fresh Energy 408 Saint Peter Street, Suite 220 St. Paul, Minnesota 55102 United States	41-1735501	501c3	\$153,997	\$0	n/a	n/a	To support the advancement of clean energy policies in Minnesota and in the Midwestern region.
(251)	Fresh Energy 408 Saint Peter Street, Suite 220 St. Paul, Minnesota 55102 United States	41-1735501	501c3	\$50,000	\$0	n/a	n/a	To promote the adoption and enforcement of building codes

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(252)	Fresh Energy 408 Saint Peter Street, Suite 220 St Paul, Minnesota 55102 United States	41-1735501	501c3	\$50,000	\$0	n/a	n/a	To educate decision-makers about the benefits of clean energy.
(253)	Fresh Energy 408 Saint Peter Street, Suite 220 St Paul, Minnesota 55102 United States	41-1735501	501c3	\$20,000	\$0	n/a	n/a	To accelerate the retirement of highly polluting coal-fired power plants in Minnesota.
(254)	Friends of the Earth 1100 15th Street NW, 11th Floor Washington, District of Columbia 20005 United States	23-7420660	501c3	\$200,000	\$0	n/a	n/a	To promote low carbon fuels and work to block high carbon fuels.
(255)	Friends of the Earth 1100 15th Street NW, 11th Floor Washington, District of Columbia 20005 United States	23-7420660	501c3	\$20,000	\$0	n/a	n/a	To support work to prevent the construction of a coal-to-liquid (CTL) fuel plant in Wyoming.
(257)	Georgetown University Georgetown Climate Center 37th and O Streets, NW Washington, District of Columbia 20057 United States	53-0196603	501c3	\$58,500	\$0	n/a	n/a	To support a states' dialogue on climate policy issues.
(258)	Georgia Conservation Voters Education Fund, Inc 175 Trinity Ave, SW Atlanta, Georgia 30303 United States	58-2559965	501c3	\$35,000	\$0	n/a	n/a	To support solar policy development, implementation and communications strategy in Georgia.
(259)	Georgia Watch 55 Marietta Street, NW, Suite 903 Atlanta, Georgia 30303 United States	16-1639971	501c3	\$150,000	\$0	n/a	n/a	To support work to decrease reliance on coal-fired power and increase energy efficiency services in Georgia

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(261)	Global Green USA 2218 Main Street, 2nd Floor Santa Monica, California 90405 United States	77-0387124	501c3	\$50,000	\$0	n/a	n/a	To facilitate the implementation of California's AB 758 with the California Energy Commission.
(263)	Governors' Biofuels Coalition P.O. Box 94922 Lincoln, Nebraska 68509 United States	20-4079553	501c3	\$50,000	\$0	n/a	n/a	To support state actions to spur lower carbon biofuel production.
(264)	Governors' Wind Energy Coalition 2200 Wilson Blvd., Suite 102-22 Arlington, Virginia 22201 3324 United States	26-3621245	501c3	\$110,000	\$0	n/a	n/a	To support outreach, education and consensus building on wind energy in America.
(265)	Grand Canyon Trust 2601 North Fort Valley Road Flagstaff, Arizona 86001 United States	86-0512633	501c3	\$42,000	\$0	n/a	n/a	To support renewable energy policies and market development in Arizona.
(266)	Great Lakes Environmental Law Center 440 Burroughs St, Box 70 Detroit, Michigan 48202 United States	61-1557918	501c3	\$15,000	\$0	n/a	n/a	To support legal work to prevent the construction of new coal-fired power and accelerate the retirement of high-polluting coal plants in Michigan
(267)	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	501c3	\$35,000	\$0	n/a	n/a	To educate policymakers, climate advocates, and industry stakeholders about carbon capture and storage (CCS) and enhanced oil recovery (EOR) and promote sound and protective CCS EOR policies.
(268)	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	501c3	\$32,000	\$0	n/a	n/a	To support a stakeholder process in Minnesota to develop options for the state to comply with EPA greenhouse gas emission standards for coal-fired power plants

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(269)	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	501c3	\$150,000	\$0	n/a	n/a	To support advanced biofuels in the Midwest
(270)	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	501c3	\$12,500	\$0	n/a	n/a	To educate the Midwestern Governors Association on the benefits of clean industrial energy efficiency policy
(271)	Green Builder Coalition 6 Rose Ct Glen Carbon, Illinois 62034 United States	27-3079282	501c6	\$100,000	\$0	n/a	n/a	To educate the Green Building Industry community about the benefits of better energy efficiency codes.
(272)	Green Tech Action Fund c/o 301 Battery Street, 5th Floor San Francisco, California 94111 United States	26-3390444	501c4	\$1,000,000	\$0	n/a	n/a	To support clean energy policies.
(273)	Green Technology Leadership Group 980 Ninth Street, Suite 2000 Sacramento, California 95814 United States	27 4910811	501c4	\$240,000	\$0	n/a	n/a	To develop the Smart-Electronics Initiative supporting energy efficiency in consumer electronics
(275)	Greenlaw, Inc. State Bar of Georgia Building 104 Marietta Street NW Suite 430 Atlanta, Georgia 30303 United States	91-2008028	501c3	\$200,000	\$0	n/a	n/a	To support work to oppose new coal-fired power plants in Georgia.
(276)	Greenlining Institute 1918 University Avenue, 2nd Floor Berkeley, California 94704 United States	94-3173571	501c3	\$60,000	\$0	n/a	n/a	To support AB 32 implementation.

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(282)	Headwaters Economics Inc P O. Box 7059 Bozeman, Montana 59771 United States	74-3171967	501c3	\$50,000	\$0	n/a	n/a	To evaluate the benefits and costs of interstate transmission lines and renewable power facilities in the West.
(283)	Health Care Without Harm 41 Oakview Terrace Jamaica Plain, Massachusetts 02130 United States	52-2358837	501c3	\$70,000	\$0	n/a	n/a	To mobilize healthcare professionals to support U.S. EPA Clean Air rule-makings to limit pollution from coal-fired power plants.
(285)	Home Performance Resource Center 70 Zoe Street Suite 201 San Francisco, California 94107 United States	27-2541486		\$40,000	\$0	n/a	n/a	To perform a number of studies on home-performance related issues that will help educate key stakeholders on the field of home energy performance
(286)	Hoosier Environmental Council, Inc. 3951 N Meridian, Suite 100 Indianapolis, Indiana 46208 United States	35-1576694	501c3	\$50,000	\$0	n/a	n/a	To support continued education and outreach on clean energy in Indiana.
(287)	Houston Advanced Research Center 4800 Research Forest Drive The Woodlands, Texas 77381 United States	76-0038315	501c3	\$120,000	\$0	n/a	n/a	To advance Combined Heat and Power policy and implementation in Texas and Louisiana.
(289)	Innovation Center for Energy and Transportation 592 Garfield Ave. South Pasadena, California 91030 United States	26-2619591	501c3	\$120,000	\$0	n/a	n/a	To support the development of annual reports about green vehicle rating and automaker's Corporate Average Fuel Consumption trend, a case study of calculating life-cycle fuel GHG emissions and the development of low-carbon fuel standards in China
(291)	Institute for Agriculture and Trade Policy 2105 First Avenue South Minneapolis, Minnesota 55404 United States	36-3501938	501c3	\$40,000	\$0	n/a	n/a	To investigate renewable thermal incentives for Minnesota that encourage high-efficiency biomass energy

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(292)	Institute for Market Transformation, Inc. 1776 Massachusetts Ave NW, Suite 815 Washington, District of Columbia 20036 United States	94-3241464	501c3	\$150,000	\$0	n/a	n/a	To promote energy efficiency in new and existing buildings.
(293)	Institute for Market Transformation, Inc. 1776 Massachusetts Ave NW, Suite 815 Washington, District of Columbia 20036 United States	94-3241464	501c3	\$290,556	\$0	n/a	n/a	To support benchmarking and disclosure requirements in Seattle, Washington.
(294)	Institute for Market Transformation, Inc. 1776 Massachusetts Ave NW, Suite 815 Washington, District of Columbia 20036 United States	94-3241464	501c3	\$100,000	\$0	n/a	n/a	To promote the implementation of commercial building retrofit policies by cities.
(300)	International Council on Clean Transportation, Inc. 1225 Eye Street, NW Suite 900 Washington, District of Columbia 20005 United States	20-3076690	501c3	\$100,000	\$0	n/a	n/a	To support the capacity building for local Environmental Protection Bureaus to facilitate better design and execution of vehicle emissions control measures in their own jurisdictions
(301)	International Council on Clean Transportation, Inc. 1225 Eye Street, NW Suite 900 Washington, District of Columbia 20005 United States	20-3076690	501c3	\$80,000	\$0	n/a	n/a	To support technical analysis in support of light duty vehicle emission standards.

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(302)	International Economic Development Council, Inc 734 15th St. NW, Suite 900 Washington, District of Columbia 20005 United States	52-0887806	501c3	\$30,000	\$0	n/a	n/a	To support educational outreach to the economic development community to promote growth of renewable energy sectors.
(303)	International Sustainable Systems Research Center 605 S Palm Street, Suite C La Habra, California 90631-5760 United States	57-1164239	501c3	\$69,640	\$0	n/a	n/a	To develop a climate-friendly air quality management process for the Chongqing and other cities in China.
(304)	Interstate Renewable Energy Council P.O. Box 1156 Latham, New York 12110-1156 United States	59-2201374	501c3	\$120,000	\$0	n/a	n/a	To support distributed solar policy in state and federal venues.
(305)	Interstate Renewable Energy Council P.O. Box 1156 Latham, New York 12110-1156 United States	59-2201374	501c3	\$50,000	\$0	n/a	n/a	To prepare a cost/benefit analysis of California's distributed generation initiative.
(306)	Interwest Energy Alliance PO Box 261311 Denver, Colorado 80226-9311 United States	54-2084551	501c6	\$50,000	\$0	n/a	n/a	To activate businesses in the renewable power supply chain to engage on clean energy policy issues, highlighting local economic development and the clean energy economy in the West.
(307)	Iowa Environmental Council 521 East Locust Street Suite 220 Des Moines, Iowa 50309 United States	42-1436090	501c3	\$30,000	\$0	n/a	n/a	To advance energy efficiency under Iowa's utility-specific energy efficiency portfolios standard policy
(308)	Iowa Environmental Council 521 East Locust Street Suite 220 Des Moines, Iowa 50309 United States	42-1436090	501c3	\$70,000	\$0	n/a	n/a	To advance transmission, distributed renewable energy, industrial energy efficiency and coal plant retirement in Iowa.



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(309)	Izaak Walton League of America, Inc. 707 Conservation Lane Gaithersburg, Maryland 20878-2983 United States	52-2106675	501c3	\$50,000	\$0	n/a	n/a	To advance low carbon fuels in Minnesota and more broadly in the Midwest.
(310)	Izaak Walton League of America, Inc. 707 Conservation Lane Gaithersburg, Maryland 20878-2983 United States	52-2106675	501c3	\$50,000	\$0	n/a	n/a	To advance Minnesota's leadership on energy efficiency and to prevent any weakening of the state's EE policies.
(311)	Izaak Walton League of America, Inc. 707 Conservation Lane Gaithersburg, Maryland 20878-2983 United States	52-2106675	501c3	\$95,000	\$0	n/a	n/a	To support development and implementation of clean energy policies in Minnesota.
(318)	KEEA Energy Education Fund 1924 Arch Street Philadelphia, Pennsylvania 19103 United States	23-3007497	501c3	\$70,000	\$0	n/a	n/a	To advance electric and gas efficiency policies in Pennsylvania and to educate federal decision-makers about the economic value of energy efficiency
(319)	Keystone Center 1730 Rhode Island Avenue, NW Suite 509 Washington, District of Columbia 20036 United States	84-0688506	501c3	\$175,000	\$0	n/a	n/a	To support stakeholder outreach around the National Climate Assessment.
(323)	League of Conservation Voters Education Fund 1920 L Street, NW Suite 800 Washington, District of Columbia 20036 United States	52-1379661	501c3	\$40,000	\$0	n/a	n/a	To provide general operating support.

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(324)	League of Conservation Voters Education Fund 1920 L Street, NW Suite 800 Washington, District of Columbia 20036 United States	52-1379661	501c3	\$25,000	\$0	n/a	n/a	To support research and polling to test Latino attitudes about clean energy and climate change in target states.
(325)	League of Women Voters Education Fund 1730 M Street, NW Suite 1000 Washington, District of Columbia 20036-4508 United States	53-0239013	501c3	\$200,000	\$0	n/a	n/a	To conduct an issue campaign aimed at changing the debate over the Clean Air Act to one focused on protecting public health.
(326)	League of Women Voters Education Fund 1730 M Street, NW Suite 1000 Washington, District of Columbia 20036-4508 United States	53-0239013	501c3	\$72,000	\$0	n/a	n/a	To conduct an issue campaign aimed at changing the debate over the Clean Air Act to one focused on protecting public health.
(327)	Little Village Environmental Justice Organization 2856 South Millard Avenue Chicago, Illinois 60623 United States	36-4259477	501c3	\$12,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Chicago.
(328)	Local Energy Alliance Program, Inc 608 Ridge Street Charlottesville, Virginia 22902 United States	27-1155142	501c3	\$28,000	\$0	n/a	n/a	To recruit and organize Virginia business leaders to form an industry council to advocate for stronger energy efficiency policies
(329)	Mayor's Fund to Advance New York City One Centre Street, 23rd Floor New York, New York 10007 United States	13-3783906	501c3	\$25,000	\$0	n/a	n/a	To support the implementation of advanced building energy codes in New York City.

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(330)	Michigan Environmental Council 119 Pere Marquette Drive, Suite 2A Lansing, Michigan 48912-1270 United States	38-2517980	501c3	\$60,000	\$0	n/a	n/a	To make energy efficiency the top priority in Michigan's strategy to meet its long-term electric power needs
(331)	Michigan Environmental Council 119 Pere Marquette Drive, Suite 2A Lansing, Michigan 48912-1270 United States	38-2517980	501c3	\$75,000	\$0	n/a	n/a	To prevent the development of new coal-fired power plants and accelerate the retirement of high-polluting coal plants in Michigan.
(332)	Michigan Land Use Institute 148 East Front Street Suite 301 Traverse City, Michigan 49684-5725 United States	38-2314954	501c3	\$15,000	\$0	n/a	n/a	To prevent the development of new coal-fired power plants and accelerate the retirement of high-polluting coal plants in Michigan.
(333)	Middle Tennessee State University Business and Economic Research Center Box 102 Murfreesboro, Tennessee 37132 United States	62-0695507	501c3	\$42,000	\$0	n/a	n/a	To research the clean tech industry in Tennessee
(334)	Midwest Energy Efficiency Alliance 20 N. Wacker Drive Suite 1301 Chicago, Illinois 60606 United States	36-4352022	501c3	\$133,000	\$0	n/a	n/a	To promote industrial energy efficiency investment in the midwest, with emphasis on utility policy, Midwest Governors' Association activities and outreach to industrial associations.
(335)	Minnesota Center for Environmental Advocacy 26 East Exchange Street Suite 206 St. Paul, Minnesota 55101 United States	23-7412105	501c3	\$125,000	\$0	n/a	n/a	To accelerate the retirement of highly-polluting coal-fired power plants in Minnesota
(341)	National Association for the Advancement of Colored People 4805 Mt Hope Drive Baltimore, Maryland 21215 United States	13-1084135	501c3	\$200,000	\$0	n/a	n/a	To engage African-Americans on EPA pollution standards and climate policy

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(342)	National Association for the Advancement of Colored People 4805 Mt Hope Drive Baltimore, Maryland 21215 United States	13-1084135	501c3	\$30,000	\$0	n/a	n/a	To mobilize communities who are impacted by coal-fired power plants to support EPA Clean Air Act rulemakings.
(343)	National Association of Clean Air Agencies 444 North Capital Street, NW Suite 307 Washington, District of Columbia 20001 United States	42-1708016	501c3	\$100,000	\$0	n/a	n/a	To support work to secure strong pollution standards from EPA Clean Air Act rulemakings.
(344)	National Association of State Energy Officials 1414 Prince Street, Suite 200 Alexandria, Virginia 22314 United States	52-1474553	501c3	\$42,800	\$0	n/a	n/a	To convene a strategy meeting on improving adoption rates and compliance with building energy codes.
(345)	National Audubon Society, Inc. 225 Varick Street, 7th Floor New York, New York 10014 United States	13-1624102	501c3	\$70,000	\$0	n/a	n/a	To support accelerated development of green energy and transmission, while protecting sensitive bird habitats.
(346)	National Audubon Society, Inc. Audubon Arkansas 225 Varick Street, 7th Floor New York, New York 10014 United States	13-1624102	501c3	\$50,000	\$0	n/a	n/a	To advance energy efficiency through regulatory interventions in Arkansas
(347)	National Audubon Society, Inc. Audubon Arkansas 225 Varick Street, 7th Floor New York, New York 10014 United States	13-1624102	501c3	\$83,000	\$0	n/a	n/a	To support work to prevent the construction of new coal-fired power plants in Arkansas.
(348)	National Consumer Law Center, Inc 7 Winthrop Square, 4th Floor Boston, Massachusetts 02110 United States	04-2488502	501c3	\$105,000	\$0	n/a	n/a	To promote adoption of stringent appliance standards and efficient affordable housing.

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(349)	National Council of Churches of Christ in the USA 110 Maryland Ave NE, Suite 108 Washington, District of Columbia 20002 United States	13-5562417	501c3	\$100,000	\$0	n/a	n/a	To perform outreach to religious leaders and congregations on climate change and clean energy.
(351)	National Farmers Union Foundation 20 F Street NW, Suite 300 Washington, District of Columbia 20001 United States	31-1582315	501c3	\$75,000	\$0	n/a	n/a	To continue support of outreach and education on clean energy to stakeholders in the agricultural sector
(352)	National Home Performance Council Inc. 1119 Holton Lane Tacoma Park, Maryland 20912 United States	27-2422233	501c3	\$100,000	\$0	n/a	n/a	To support the residential efficiency retrofit industry.
(353)	National Housing Trust 1101 30th Street, NW Suite 400 Washington, District of Columbia 20007 United States	52-1477599	501c3	\$65,000	\$0	n/a	n/a	To promote energy efficiency in affordable and multifamily housing
(354)	National Parks Conservation Association 1777 6th Street, NW Suite 700 Washington, District of Columbia 20001 United States	53-0225165	501c3	\$100,000	\$0	n/a	n/a	To stop the development of new coal-fired power plants and retire existing coal-fired power plants near national parks in the U S.
(355)	National Religious Partnership for the Environment, Inc. 94 King Street Suite B Northampton, Massachusetts 01060 United States	13-6996770	501c3	\$500,000	\$0	n/a	n/a	To support the climate and energy policy work of the National Religious Partnership for the Environment.

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(356)	National Religious Partnership for the Environment, Inc. 94 King Street Suite B Northampton, Massachusetts 01060 United States	13-6996770	501c3	\$305,000	\$0	n/a	n/a	To support strong mercury regulation by the EPA.
(357)	National Wildlife Federation 11100 Wildlife Center Drive Reston, Virginia 20190-5362 United States	53-0204616	501c3	\$150,000	\$0	n/a	n/a	To support federal vehicle fuel economy and GHG emissions standards
(358)	National Wildlife Federation 11100 Wildlife Center Drive Reston, Virginia 20190-5362 United States	53-0204616	501c3	\$125,000	\$0	n/a	n/a	To support National Wildlife Federation's partnership with the Christian Coalition and engage conservative leaders on energy issues.
(359)	Natural Capitalism Solutions Clean Coalition 11823 N 75th St Longmont, Colorado 80503 United States	14-1901877	501c3	\$20,000	\$0	n/a	n/a	To support development of feed-in-tariff pricing methodologies.
(360)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$420,000	\$0	n/a	n/a	To advance utility and appliance energy efficiency at the state and federal levels.
(361)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$560,000	\$0	n/a	n/a	To support policies that promote clean vehicles and low carbon fuels.
(362)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$165,000	\$0	n/a	n/a	To support paid media as a part of the "Go60mpg" campaign.

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(363)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$325,000	\$0	n/a	n/a	To support analysis and advocacy on state and regional climate policies
(364)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$38,000	\$0	n/a	n/a	To support the "International Workshop on Nuclear Energy Safety: U.S.-China Post-Fukushima Technical Exchange".
(365)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$45,000	\$0	n/a	n/a	To support analysis to secure strong pollution standards from the EPA Clean Air Act rulemakings
(366)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$75,000	\$0	n/a	n/a	To support clean energy in Montana
(367)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$80,000	\$0	n/a	n/a	To support a study for regulatory system reform and policy development on nuclear safety issues.
(368)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$400,000	\$0	n/a	n/a	To support work to secure strong U.S. EPA Clean Air Act rules and to accelerate the retirement of coal-fired power plants in the Midwest.
(369)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$200,000	\$0	n/a	n/a	To promote strong environmental safeguards and incentives for the deployment of carbon capture and sequestration.

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(370)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$100,000	\$0	n/a	n/a	To support participation in the Eastern Interconnection Planning Collaborative, regional transmission organizations, and FERC policies.
(371)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$440,253	\$0	n/a	n/a	To support advocacy at FERC and in regional transmission organizations for policies promoting energy efficiency and renewable energy.
(372)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$18,811	\$0	n/a	n/a	To support electric vehicle policies in California.
(373)	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$20,000	\$0	n/a	n/a	To bolster support in the Midwest for EPA Clean Air Act regulations for coal-fired power plants
(374)	Natural Resources Defense Council, Inc. Environmental Entrepreneurs 40 West 20th Street New York, New York 10011 United States	13-2654926	501c3	\$60,000	\$0	n/a	n/a	Build business support for California's Low-Carbon Fuel Standard and the Northeast Clean Fuels Standard.
(375)	Nebraska Wildlife Federation PO Box 81437 Lincoln, Nebraska 68501 United States	23-7401528	501c3	\$45,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Nebraska and advance energy efficiency and renewable energy resources
(376)	Nevada Conservation League Education Fund 817 South Main St Las Vegas, Nevada 89101 United States	71-0931708	501c3	\$25,000	\$0	n/a	n/a	To create opportunities for the environmental community to engage with businesses and decision makers on renewable energy development.



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(377)	Nevada Wilderness Project 333 Flint St Reno, Nevada 89501 United States	88-0442530	501c3	\$80,000	\$0	n/a	n/a	To shape policy solutions that will bring renewable energy online as quickly as possible in Nevada, while conserving important wildlife habitats.
(378)	New Buildings Institute, Inc. 1601 Broadway Vancouver, Washington 98663-3435 United States	68-0401509	501c3	\$120,000	\$0	n/a	n/a	To support Phase 3 of the COMNET project.
(379)	New Buildings Institute, Inc. 1601 Broadway Vancouver, Washington 98663-3435 United States	68 0401509	501c3	\$150,000	\$0	n/a	n/a	To improve energy efficiency in new commercial buildings.
(380)	New Energy Economy, Inc. 1522 Cerro Gordo Road Santa Fe, New Mexico 87501 United States	20-2845513	501c3	\$180,000	\$0	n/a	n/a	To support climate policy outreach and education in New Mexico.
(381)	New Energy Economy, Inc. 1522 Cerro Gordo Road Santa Fe, New Mexico 87501 United States	20-2845513	501c3	\$28,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in New Mexico.
(382)	New England Clean Energy Foundation, Inc. 10 High Street, Suite 610 Boston, Massachusetts 02110 United States	20-5961645	501c3	\$100,000	\$0	n/a	n/a	To support business engagement on climate and clean energy policies in the Northeast.
(383)	New Organizing Institute Education Fund Citizen Engagement Lab 1850 M St. NW, Suite 1100 Washington, District of Columbia 20036 United States	56-2633160	501c3	\$150,000	\$0	n/a	n/a	To engage new allies on climate and energy issues

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(384)	New Venture Fund PACENow 734 15th St NW, Suite 600 Washington, District of Columbia 20005 United States	20-5806345	501c3	\$100,000	\$0	n/a	n/a	To promote PACE financing for energy retrofits.
(385)	North Carolina Sustainable Energy Association PO Box 6465 Raleigh, North Carolina 27628 United States	58-1342588	501c3	\$30,000	\$0	n/a	n/a	To provide a business-led federal energy efficiency communications effort in North Carolina
(388)	Northeast Energy Efficiency Partnerships, Inc. 91 Hartwell Avenue Lexington, Massachusetts 02421 United States	04-3323169	501c3	\$40,000	\$0	n/a	n/a	To improve national and state appliance efficiency standards.
(389)	Northeast Energy Efficiency Partnerships, Inc. 91 Hartwell Avenue Lexington, Massachusetts 02421 United States	04-3323169	501c3	\$85,000	\$0	n/a	n/a	To advance knowledge, best practices, and policies that support energy efficiency in the Northeast.
(390)	Northeast Energy Efficiency Partnerships, Inc. 91 Hartwell Avenue Lexington, Massachusetts 02421 United States	04-3323169	501c3	\$285,000	\$0	n/a	n/a	To support energy efficiency codes and policies for buildings in the Northeast
(391)	Northeast States for Coordinated Air Use Management, Inc. 89 South Street, Suite 602 Boston, Massachusetts 02111 United States	04-2814018	501c3	\$20,000	\$0	n/a	n/a	To support the 2011 MIT-NESCAUM Endicott House Symposium

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(392)	Northeast States for Coordinated Air Use Management, Inc. 89 South Street, Suite 602 Boston, Massachusetts 02111 United States	04-2814018	501c3	\$162,000	\$0	n/a	n/a	To support technical analysis on clean cars and trucks standards.
(393)	Northeast States for Coordinated Air Use Management, Inc. 89 South Street, Suite 602 Boston, Massachusetts 02111 United States	04-2814018	501c3	\$50,000	\$0	n/a	n/a	To support the development of a clean fuels standard in the Northeast.
(394)	NW Energy Coalition 811 1st Avenue #305 Seattle, Washington 98104 United States	91-1144122	501c3	\$250,000	\$0	n/a	n/a	To promote energy efficiency and renewables in the Northwest.
(395)	Oakland University Foundation 2200 Squirrel Road Rochester, Michigan 48309-4401 United States	38-6078765	501c3	\$100,000	\$0	n/a	n/a	To support technical analysis around vehicle standards
(397)	Ohio Citizen Action Education Fund 614 West Superior Ave, Suite 1200 Cleveland, Ohio 44113 United States	34-1208940	501c3	\$65,000	\$0	n/a	n/a	To support work to accelerate the retirement of coal-fired power plants in Ohio.
(398)	Ohio Environmental Council 1207 Grandview Avenue Suite 201 Columbus, Ohio 43212 United States	31-0805578	501c3	\$35,000	\$0	n/a	n/a	To advance combined heat and power and waste heat recovery deployment in Ohio, and encourage the maintenance and development of energy efficiency resource standards.
(399)	Ohio Environmental Council 1207 Grandview Avenue Suite 201 Columbus, Ohio 43212 United States	31 0805578	501c3	\$100,000	\$0	n/a	n/a	To advance industrial energy efficiency in Ohio.

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(400)	Ohio State University Suite 112 Agricultural Engineering Bldg 590 Woody Hayes Dr Columbus, Ohio 43210 United States	31-6025986	501c3	\$61,000	\$0	n/a	n/a	To research the economic impacts of climate change on Great Lakes fisheries
(401)	Oklahoma Sustainability Network Association 2730 E 4th Street Tulsa, Oklahoma 74104-0000 United States	41-2030911	501c3	\$40,000	\$0	n/a	n/a	To accelerate the retirement of existing coal-fired power plants in Oklahoma and improve energy efficiency services
(402)	Opportunity Maine 237 Oxford Street Suite 22 Portland, Maine 04101 United States	11-3793495	501c3	\$50,000	\$0	n/a	n/a	To advance industrial energy efficiency policy in Maine.
(403)	Oregon Environmental Council, Inc 222 NW Davis Street Suite 309 Portland, Oregon 97209-3900 United States	93-0578714	501c3	\$50,000	\$0	n/a	n/a	To support the development of the Oregon low carbon fuels standard.
(404)	Pace University Pace Energy and Climate Center 78 North Broadway White Plains, New York 10603 United States	13-5562314	501c3	\$160,000	\$0	n/a	n/a	To inform the energy efficiency debate in New York and parts of the Northeast
(405)	Pace University Pace Energy and Climate Center 78 North Broadway White Plains, New York 10603 United States	13-5562314	501c3	\$83,000	\$0	n/a	n/a	To support dialogue on climate issues between Western, Midwestern, and Northeastern states.

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(406)	Pace University Pace Energy and Climate Center 78 North Broadway White Plains, New York 10603 United States	13-5562314	501c3	\$45,000	\$0	n/a	n/a	To support policy analysis, workshops, outreach, and advocacy on RGGI.
(407)	Pacific Forest Trust, Inc. The Presidio 1001A O'Reilly Avenue San Francisco, California 94129 United States	68-0292509	501c3	\$25,000	\$0	n/a	n/a	To educate stakeholders about forest policies in California and the West.
(408)	Pacific Institute for Studies in Development, Environment, and Security Circle of Blue 654 13th Street, Preservation Park Oakland, California 94612 United States	94-3050434	501c3	\$10,000	\$0	n/a	n/a	To disseminate research on energy and water nexus in China, including discussion on solutions
(409)	Pacific Institute for Studies in Development, Environment, and Security Climate Science Rapid Response Team 654 13th Street, Preservation Park Oakland, California 94612 United States	94-3050434	501c3	\$71,000	\$0	n/a	n/a	To provide journalists and policymakers with accurate sources for climate science information.
(410)	Partners for Livable Communities, Inc 1429 21st Street NW Washington, District of Columbia 20036 United States	52-1107953	501c3	\$75,000	\$0	n/a	n/a	To support outreach and education with business and civic leaders about the economic potential of clean energy

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(411)	Partnership Project, Inc. c/o The Wilderness Society 1615 M Street Washington, District of Columbia 20036 United States	52-2192070	501c3	\$500,000	\$0	n/a	n/a	To support an outreach and education campaign on defense of the Clean Air Act
(412)	Partnership Project, Inc. c/o The Wilderness Society 1615 M Street Washington, District of Columbia 20036 United States	52-2192070	501c3	\$600,000	\$0	n/a	n/a	To educate the public on the benefits of EPA Clean Air Act rulemaking and build policymaker support
(413)	Partnership Project, Inc. c/o The Wilderness Society 1615 M Street Washington, District of Columbia 20036 United States	52-2192070	501c3	\$1,000,000	\$0	n/a	n/a	To educate the public and policy-makers on the public health benefits of EPA Clean Air Act regulation.
(414)	Partnership Project, Inc. c/o The Wilderness Society 1615 M Street Washington, District of Columbia 20036 United States	52-2192070	501c3	\$43,000	\$0	n/a	n/a	To work with the Clean Air Act Defense Campaign on a thank you campaign.
(417)	Pew Charitable Trusts 1025 F Street, NW, Suite 900 Washington, District of Columbia 20004 United States	56-2307147	501c3	\$17,400	\$0	n/a	n/a	To support outreach on the energy security benefits of vehicle efficiency.
(418)	Physicians for Social Responsibility Inc 1875 Connecticut Avenue, Suite 1012 Washington, District of Columbia 20009 United States	23-7059731	501c3	\$50,000	\$0	n/a	n/a	To mobilize healthcare professionals to support U.S. EPA Clean Air rule-makings to limit pollution from coal-fired power plants.

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(419)	Pilsen Alliance 1831 S. Racine, 3rd Floor Chicago, Illinois 60608 United States	36-4486055	501c3	\$6,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plant in Chicago.
(420)	Plains Justice 100 First Street SW Cedar Rapids, Iowa 52404 United States	38-3748744	501c3	\$100,000	\$0	n/a	n/a	To advance energy efficiency in the Upper-Midwest.
(421)	Progress Michigan Education 115 West Allegan 7th Floor Lansing, Michigan 48933 United States	26-0900874	501c3	\$40,000	\$0	n/a	n/a	To prevent the development of new coal-fired power plants and accelerate the retirement of high-polluting coal plants in Michigan
(422)	Progressive America Fund Center for Working Families 1133 Broadway #332 New York, New York 10010 United States	13-3885314	501c3	\$32,000	\$0	n/a	n/a	To support the development of an on-bill financing program in New York
(423)	Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, District of Columbia 20009-1001 United States	52-1263996	501c3	\$75,000	\$0	n/a	n/a	To continue support of a national coalition working to reform electric cooperatives and promote clean energy policies
(424)	Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, District of Columbia 20009-1001 United States	52-1263996	501c3	\$75,000	\$0	n/a	n/a	To support greater use of solar energy by municipal and cooperative utilities in Texas.
(425)	Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, District of Columbia 20009-1001 United States	52-1263996	501c3	\$75,000	\$0	n/a	n/a	To advance energy efficiency in Texas.

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(426)	Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, District of Columbia 20009-1001 United States	52-1263996	501c3	\$110,000	\$0	n/a	n/a	To prevent the construction of new coal-fired power plants and accelerate the retirement of high-polluting coal plants in Texas
(427)	Public Interest Projects, Inc. 45 W 36th St, 6th Floor New York, New York 10018 United States	13-3191113	501c3	\$50,000	\$0	n/a	n/a	To support objective, non-partisan reporting on climate change.
(428)	Public Policy Virginia, Inc. 620 Woodbrook Drive, Suite 4B Charlottesville, Virginia 22901 United States	20-1996189	501c3	\$75,000	\$0	n/a	n/a	To support the advancement of clean energy policies in Virginia, including outreach on bioenergy
(429)	R20-USA 2601 Ocean Park Boulevard Ste. 311 Santa Monica, California 90405 United States	27-4084623	501c3	\$40,000	\$0	n/a	n/a	Seed funding to establish on-the-ground operations for R20 in China this year, and begin technical project evaluation and implementation work
(430)	Rainforest Action Network 221 Pine Street, Suite 500 San Francisco, California 94104 United States	94-3045180	501c3	\$75,000	\$0	n/a	n/a	To call attention to the risks of financing new coal-fired power plants and retrofits to existing coal-fired power plants
(431)	Regents of the University of California 1850 Research Park Dr., Ste 300 Davis, California 95618 United States	94-6036494	501c3	\$75,000	\$0	n/a	n/a	To support technical analysis on the low-carbon fuel standard, with a focus on California.



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(432)	Regents of the University of California Berkeley Center for Law, Energy & the Environment Sponsored Projects Office, University of California 2150 Shattuck Ave, Suite 313 Berkeley, California 94704-5940 United States	94-6002123	501c3	\$25,000	\$0	n/a	n/a	To analyze the costs and benefits of California's net metering program.
(433)	Regents of the University of California Office of Sponsored Projects, University of California, Davis One Shields Avenue Davis, California 95616 United States	94-6036494	501c3	\$150,000	\$0	n/a	n/a	To support a joint team of UC Davis, Beijing Transportation Research Center, and Beijing Jiaotong University to build a test Production, Exchange, and Consumption Allocation System transportation/land use integration model for Beijing and to support the capacity building through exchanges of engineers, researchers, and graduate students between the three organizations
(434)	Regents of the University of California University of California, Berkeley Sponsored Projects Office, University of California 2150 Shattuck Ave, Suite 313 Berkeley, California 94704-5940 United States	94-6002123	501c3	\$150,000	\$0	n/a	n/a	To support research on sustainable development in Chinese metropolitan areas, which will entail the following three main tasks: 1) a compendium of cases and working papers on key themes, 2) training courses for trainees and government officials; and 3) technical assistance to cities and to the project sponsor.
(435)	Regulatory Assistance Project 50 State Street, Suite 3 Montpelier, Vermont 05602 United States	01-0471151	501c3	\$105,000	\$0	n/a	n/a	To provide additional technical support for the China Environmental Management Program.
(436)	Regulatory Assistance Project 50 State Street, Suite 3 Montpelier, Vermont 05602 United States	01-0471151	501c3	\$550,000	\$0	n/a	n/a	To promote economic and environmental sustainability in the U.S. power sector through stakeholder discussion, regulatory compliance, education, connecting markets and resource planning

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(437)	Regulatory Assistance Project 50 State Street, Suite 3 Montpelier, Vermont 05602 United States	01-0471151	501c3	\$91,090	\$0	n/a	n/a	To provide international travel expenses for NOx and volatile organic compounds control workshops.
(438)	Renew Wisconsin, Inc. 222 South Hamilton Street Madison, Wisconsin 53703 United States	39-1702164	501c3	\$30,000	\$0	n/a	n/a	To support expansion of renewable energy policy and implementation in Wisconsin.
(439)	Renewable Northwest Project 421 SW 6th Ave Suite 1125 Portland, Oregon 97204 United States	91-1815618	501c3	\$300,000	\$0	n/a	n/a	To support renewable energy markets and policies in the Northwest
(447)	Resource Media, a Non-Profit Corporation 325 Pacific Avenue, 3rd Floor San Francisco, California 94111 United States	82-0564961	501c3	\$250,000	\$0	n/a	n/a	To increase coverage and accuracy of climate science in the media.
(448)	Resources for the Future, Inc. 1616 P Street, NW Washington, District of Columbia 20036 United States	53-0220900	501c3	\$100,000	\$0	n/a	n/a	To educate policymakers on climate and energy policy issues
(449)	Resources Legacy Fund 555 Capitol Mall, Suite 675 Sacramento, California 95814 United States	95-4703838	501c3	\$250,000	\$0	n/a	n/a	To advance clean energy and job growth in California.
(450)	Rockefeller Family Fund, Inc 475 Riverside Drive, Suite 900 New York, New York 10115 United States	13-6257658	501c3	\$300,000	\$0	n/a	n/a	To support the Power Plant Finance Project and opposition to coal-fired power plants in Georgia.

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(451)	Rockefeller Family Fund, Inc. 475 Riverside Drive, Suite 900 New York, New York 10115 United States	13-6257658	501c3	\$75,000	\$0	n/a	n/a	To support clean energy advocacy through the RE-AMP Project in the Midwest
(452)	Rockefeller Family Fund, Inc. 475 Riverside Drive, Suite 900 New York, New York 10115 United States	13-6257658	501c3	\$50,000	\$0	n/a	n/a	To stop the development of new coal-fired power plants and accelerate the retirement of high-polluting existing coal plants in Virginia.
(453)	Rockefeller Family Fund, Inc. 475 Riverside Drive, Suite 900 New York, New York 10115 United States	13-6257658	501c3	\$62,978	\$0	n/a	n/a	To train advocates to use economic and financial analysis as it pertains to coal-fired power plants.
(454)	Rockefeller Philanthropy Advisors, Inc. Climate Nexus 50 California Street, Suite 3165 San Francisco, California 94111 United States	13 3615533	501c3	\$150,000	\$0	n/a	n/a	To improve coverage of climate change science in the media.
(455)	Rockefeller Philanthropy Advisors, Inc. Climate Nexus 50 California Street, Suite 3165 San Francisco, California 94111 United States	13-3615533	501c3	\$80,000	\$0	n/a	n/a	To conduct media outreach on climate science.
(456)	Rocky Mountain Farmers Union Educational and Charitable Foundation, Inc. 7900 East Union Avenue Suite 200 Denver, Colorado 80237 United States	74-2636848	501c3	\$50,000	\$0	n/a	n/a	To continue support for education and outreach on renewable energy and transmission policies in the Rocky Mountain region and nationally
(457)	Rocky Mountain Institute 2317 Snowmass Creek Road Snowmass, Colorado 81654 United States	74-2244146	501c3	\$15,000	\$0	n/a	n/a	To develop a white paper on standardization for the building energy modeling industry

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(458)	San Francisco Chamber of Commerce Foundation Chambers for Innovation and Clean Energy 235 Montgomery St., 12th Floor San Francisco, California 94101 United States	94-3114015	501c3	\$150,000	\$0	n/a	n/a	To support a network of local Chambers of Commerce to educate their members on clean energy opportunities.
(459)	San Francisco Foundation 225 Bush Street, Suite 500 San Francisco, California 94104 United States	01-0679337	501c3	\$78,000	\$0	n/a	n/a	To develop a program to finance efficiency investments for low and moderate income residences in California.
(460)	Securing America's Future Energy Foundation 1111 19th St., NW, Suite 406 Washington, District of Columbia 20036 United States	20-1727977	501c3	\$300,000	\$0	n/a	n/a	To promote the national security voice on vehicles and fuels issues.
(461)	Seventh Generation Advisors 2601 Ocean Park Blvd., Suite 311 Santa Monica, California 90405 United States	20-8771636	501c3	\$50,000	\$0	n/a	n/a	To promote state leadership on climate policies.
(479)	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	501c3	\$175,000	\$0	n/a	n/a	To promote energy efficiency in buildings through work on code adoption and enforcement.
(480)	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco California 94105-3459 United States	94-6069890	501c3	\$375,000	\$0	n/a	n/a	To support strong federal EPA regulations of coal plants in order to phase out reliance on electric coal-fired power generation in the U.S.
(481)	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	501c3	\$400,000	\$0	n/a	n/a	To support engagement on federal fuel economy and greenhouse gas emissions standards

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(482)	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	501c3	\$50,436	\$0	n/a	n/a	To reduce pollution impacts resulting from expansion of coal transport infrastructure
(483)	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	501c3	\$74,960	\$0	n/a	n/a	To support strong federal EPA rules on natural gas drilling
(484)	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	501c3	\$150,000	\$0	n/a	n/a	To build a case against high carbon fuels at the federal level.
(485)	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	501c3	\$30,000	\$0	n/a	n/a	To support energy efficiency building codes in Texas.
(486)	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	501c3	\$20,000	\$0	n/a	n/a	To support grassroots organization and outreach around federal car standards
(488)	Small Business Majority Foundation, Inc 4000 Bridgeway, Suite 101 Sausalito, California 20003 United States	03-0576666	501c3	\$130,000	\$0	n/a	n/a	To conduct research and polling on small business attitudes toward the Clean Air Act.
(489)	Small Business Majority Foundation, Inc. 4000 Bridgeway, Suite 101 Sausalito, California 20003 United States	03-0576666	501c3	\$200,000	\$0	n/a	n/a	To conduct research and polling on small businesses' attitudes toward the Clean Air Act.

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(490)	South Carolina Council on Competitiveness 1411 Gervais Street, Suite 315 Columbia, South Carolina 29201 United States	20-1690146	501c3	\$128,000	\$0	n/a	n/a	To promote clean energy in South Carolina.
(491)	South Carolina Council on Competitiveness 1411 Gervais Street, Suite 315 Columbia, South Carolina 29201 United States	20-1690146	501c3	\$36,000	\$0	n/a	n/a	To conduct a clean energy census and job impact study in South Carolina.
(492)	Southeast Community Capital Corporation 201 Ventura Circle Nashville, Tennessee 37228 United States	62-1823596	501c3	\$120,000	\$0	n/a	n/a	To encourage industrial energy efficiency best practices in the Southeast
(493)	Southeast Energy Efficiency Alliance, Inc. Hurt Building 50 Hurt Plaza SE, Suite 1250 Atlanta, Georgia 30303 United States	20-4949501	501c3	\$200,000	\$0	n/a	n/a	To support codes in the southeast.
(496)	Southern Alliance for Clean Energy PO Box 1842 Knoxville, Tennessee 37901-1842 United States	58-1620669	501c3	\$160,000	\$0	n/a	n/a	To support outreach in the Southeast on climate policies and solutions
(497)	Southern Alliance for Clean Energy PO Box 1842 Knoxville, Tennessee 37901-1842 United States	58-1620669	501c3	\$50,000	\$0	n/a	n/a	To advance energy efficiency services and decrease reliance on coal-fired power in the South through the merger of Duke and Progress.
(498)	Southern Alliance for Clean Energy PO Box 1842 Knoxville, Tennessee 37901-1842 United States	58-1620669	501c3	\$365,000	\$0	n/a	n/a	To support work to expand energy efficiency programs and decrease reliance on conventional coal-fired power plants in the South.

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(499)	Southern Alliance for Clean Energy PO Box 1842 Knoxville, Tennessee 37901-1842 United States	58 1620669	501c3	\$175,000	\$0	n/a	n/a	To support the advancement of clean energy policy in the Southeast, including outreach and education on bioenergy and solar
(500)	Southern Alliance for Clean Energy PO Box 1842 Knoxville, Tennessee 37901-1842 United States	58-1620669	501c3	\$75,000	\$0	n/a	n/a	To advance utility energy efficiency policies and programs in Florida
(501)	Southern Environmental Law Center, Inc 201 West Main Street Suite 14 Charlottesville, Virginia 22901 United States	63-0598743	501c3	\$450,000	\$0	n/a	n/a	To support work to expand energy efficiency programs and decrease reliance on conventional coal-fired power plants in the South.
(502)	Southern Growth Policies Board 100 Capitola Drive, Suite 100 (27713) PO Box 12293 Research Triangle, North Carolina 27709 United States	23-7344523	501c3	\$130,000	\$0	n/a	n/a	To educate Southeast clean energy business councils on the benefits of economic development strategies.
(503)	Southern Growth Policies Board 100 Capitola Drive, Suite 100 (27713) PO Box 12293 Research Triangle, North Carolina 27709 United States	23-7344523	501c3	\$75,000	\$0	n/a	n/a	To support the Southeast Agriculture and Forestry Energy Resources Alliance, for education and outreach around economic opportunities related to bioenergy and job creation in the Southeast.
(504)	Southwest Energy Efficiency Project, LLC 2334 N. Broadway, Suite A Boulder, Colorado 80304 United States	84-1593046	501c3	\$125,000	\$0	n/a	n/a	To advocate for increasingly efficient building codes in the Southwest.

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(505)	Southwest Energy Efficiency Project, LLC 2334 N. Broadway, Suite A Boulder, Colorado 80304 United States	84-1593046	501c3	\$225,000	\$0	n/a	n/a	To expand and improve utility energy efficiency programs in six Southwestern states
(506)	Southwest Energy Efficiency Project, LLC 2334 N. Broadway, Suite A Boulder, Colorado 80304 United States	84-1593046	501c3	\$50,000	\$0	n/a	n/a	To increase utility and business investment in industrial energy efficiency in the Southwest and Intermountain West.
(507)	Southwest Energy Efficiency Project, LLC 2334 N. Broadway, Suite A Boulder, Colorado 80304 United States	84-1593046	501c3	\$25,000	\$0	n/a	n/a	To develop a state industrial energy efficiency program in Utah
(508)	Southwest Research and Information Center Coalition for Clean Affordable Energy 105 Stanford SE P.O. Box 4524 Albuquerque, New Mexico 87106 United States	23-7159949	501c3	\$40,000	\$0	n/a	n/a	To promote renewable energy and energy efficiency in New Mexico.
(510)	State Environmental Leadership Program, Inc. 612 W. Main Street #201 Madison, Wisconsin 53703 United States	02-0695647	501c3	\$8,000	\$0	n/a	n/a	To support the energy efficiency summit in Chicago in May 2011.
(512)	Stone Soup Inc. Voices for a Sustainable Future 36 Yelping Hill Road Cornwall, Connecticut 06796 United States	06-1466438	501c3	\$75,000	\$0	n/a	n/a	To support the Labor Network for Sustainability.



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(513)	Stone Soup Inc. Voices for a Sustainable Future 36 Yelping Hill Road Cornwall, Connecticut 06796 United States	06-1466438	501c3	\$35,000	\$0	n/a	n/a	To help Labor Network for Sustainability build labor engagement and support for the accelerated retirement of highly-polluting coal-fired power plants
(514)	Strategies for the Global Environment Center for Energy and Climate Solutions 2101 Wilson Boulevard, Suite 550 Arlington, Virginia 22201 United States	54-1892252	501c3	\$125,000	\$0	n/a	n/a	To engage with business on climate policy.
(515)	Strategies for the Global Environment Pew Center on Global Climate Change 2101 Wilson Boulevard, Suite 550 Arlington, Virginia 22201 United States	54-1892252	501c3	\$58,500	\$0	n/a	n/a	To support a states' dialogue on climate policy issues
(516)	Sustainable Markets Foundation 45 West 36th Street, 6th Floor New York, New York 10018-7635 United States	13-4188834	501c3	\$250,000	\$0	n/a	n/a	To support research and media outreach on climate change
(519)	Taxpayers for Common Sense 651 Pennsylvania Avenue SE, 2nd Floor Washington, District of Columbia 20003-4303 United States	52-1941122	501c3	\$150,000	\$0	n/a	n/a	To fight subsidies for high-carbon fuels.
(520)	The AFL-CIO Working for America Institute 815 16th Street, NW Washington, District of Columbia 20006 United States	52-0884503	501c3	\$150,000	\$0	n/a	n/a	To develop policy options and build support for clean energy jobs, energy efficiency, and addressing climate change

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(521)	The Blue Green Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	501c3	\$100,000	\$0	n/a	n/a	To support outreach with labor on California climate policy
(522)	The Blue Green Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	501c3	\$175,000	\$0	n/a	n/a	To perform research and educate union members and the public on the employment impacts of Clean Air Act regulations.
(523)	The Blue Green Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	501c3	\$125,000	\$0	n/a	n/a	To analyze the solar photovoltaic manufacturing supply chain, and engage with companies on how to participate in it.
(524)	The Blue Green Alliance Foundation 2828 University Ave SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	501c3	\$400,000	\$0	n/a	n/a	To support labor engagement around vehicle and fuels policies.
(525)	The Blue Green Alliance Foundation 2828 University Ave SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	501c3	\$50,000	\$0	n/a	n/a	To support creation of a national outreach program to encourage and support renewable energy manufacturing.
(526)	The Center for Climate Strategies 1899 L Street NW, #900 Washington, District of Columbia 20036 United States	31-1677573	501c3	\$175,000	\$0	n/a	n/a	To strengthen local capacity for the planning process of Guangdong's low-carbon action plan.
(531)	The Jack Kemp Foundation 1111 19th Street NW Suite 700 Washington, District of Columbia 20036 United States	27-0856599	501c3	\$150,000	\$0	n/a	n/a	To support outreach on climate and energy policy

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(532)	The Joint Center for Political and Economic Studies 1090 Vermont Ave, Suite 1100 Washington, District of Columbia 20005-2938 United States	52-1069070	501c3	\$300,000	\$0	n/a	n/a	To support the engagement of African-Americans on climate change policy issues
(533)	The Joint Center for Political and Economic Studies 1090 Vermont Ave, Suite 1100 Washington, District of Columbia 20005-2938 United States	52-1069070	501c3	\$50,000	\$0	n/a	n/a	To analyze the impacts of proposed EPA regulations on African-Americans and perform a survey.
(534)	The Michigan League of Conservation Voters Education Fund 213 W. Liberty Street, Suite 300 Ann Arbor, Michigan 48104 United States	37-1430158	501c3	\$75,000	\$0	n/a	n/a	To educate the public and policy-makers about the benefits of sustainable clean energy to the state of Michigan.
(535)	The Regeneration Project 220 Montgomery Street, Suite 450 San Francisco, California 94104 United States	94-3335236	501c3	\$225,000	\$0	n/a	n/a	To engage faith leaders and faith communities in climate and energy policy discussions
(536)	The Riverkeeper, Inc 20 Secor Road Ossining, New York 10562 United States	13-3204621	501c3	\$40,000	\$0	n/a	n/a	To support work related to national rulemaking for cooling water intake structures of existing plants
(537)	The Solar Alliance P.O. Box 534 N. Scituate, Massachusetts 02060 United States	52-1072179	501c6	\$35,000	\$0	n/a	n/a	To support a media and education campaign on solar power in New York
(538)	The Solar Foundation 575 7th Street, NW Suite 400 Washington, District of Columbia 20004 United States	43-1669561	501c3	\$25,000	\$0	n/a	n/a	To produce a 2011 National Solar Jobs Census

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(539)	The Tides Center Apollo Alliance Presidio Building 1014, Lincoln Boulevard and Torney Avenue P.O. Box 29907 San Francisco, California 94129-0907 United States	94-3213100	501c3	\$70,000	\$0	n/a	n/a	To explore approaches to driving investment in energy efficiency upgrades for existing residential buildings.
(540)	The Tides Center New Policy Institute Presidio Building 1014, Lincoln Boulevard and Torney Avenue P.O. Box 29907 San Francisco, California 94129-0907 United States	94-3213100	501c3	\$88,000	\$0	n/a	n/a	To develop effective messaging and polling around clean energy programs.
(541)	The Tides Center The Vote Solar Initiative Presidio Building 1014, Lincoln Boulevard and Torney Avenue P.O. Box 29907 San Francisco, California 94129-0907 United States	94-3213100	501c3	\$373,000	\$0	n/a	n/a	To advance robust state distributed and large-scale solar policies, and regional planning issues in the Western states.
(542)	The Tides Center Voices for Progress Education Fund Presidio Building 1014, Lincoln Boulevard and Torney Avenue P.O. Box 29907 San Francisco, California 94129-0907 United States	94-3213100	501c3	\$150,000	\$0	n/a	n/a	For grasstop education and outreach to support the Clean Air Act.
(543)	The Tides Center Western Clean Energy Campaign Presidio Building 1014, Lincoln Boulevard and Torney Avenue P.O. Box 29907 San Francisco, California 94129-0907 United States	94-3213100	501c3	\$660,000	\$0	n/a	n/a	To support the Western Clean Energy Campaign.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(544)	The Tides Center Western Clean Energy Campaign Presidio Building 1014, Lincoln Boulevard and Torney Avenue P.O. Box 29907 San Francisco, California 94129-0907 United States	94-3213100	501c3	\$50,000	\$0	n/a	n/a	To support expert witness testimony in Best Available Retrofit Technology (BART) determination proceedings related to State Implementation Plan filings
(545)	The Truman National Security Project Educational Institute 1050 17th St. NW, Suite 375 Washington, District of Columbia 20036 United States	26-2959113	501c3	\$600,000	\$0	n/a	n/a	To engage veterans on climate and transportation policy.
(546)	The Truman National Security Project Educational Institute 1050 17th St. NW, Suite 375 Washington, District of Columbia 20036 United States	26-2959113	501c3	\$37,000	\$0	n/a	n/a	To support outreach and policymaker education by veterans and security leaders around federal clean car standards.
(547)	The Wind Coalition 919 Congress Avenue, Suite 1220 Austin, Texas 78701 United States	61-1429271	501c6	\$100,000	\$0	n/a	n/a	To continue support for renewable energy education and policies in the Southwest Power Pool region.
(549)	Tides Foundation Presidio Building 1014, Lincoln Boulevard and Torney Avenue PO Box 29903 San Francisco, California 94129-0903 United States	51-0198509	501c3	\$300,000	\$0	n/a	n/a	To provide capacity-building support for civic engagement at the state and regional levels
(550)	Tides Foundation The Connect U.S. Fund Presidio Building 1014, Lincoln Boulevard and Torney Avenue PO Box 29903 San Francisco, California 94129-0903 United States	51-0198509	501c3	\$165,000	\$0	n/a	n/a	To mobilize non-environmental allies on international climate finance issues.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(555)	Trust for Conservation Innovation Global Cool Cities Alliance 150 Post Street Suite 342 San Francisco, California 94108 United States	91-2166435	501c3	\$32,600	\$0	n/a	n/a	To serve as temporary organizational support for the White Roofs Alliance as it begins to implement its business plan and hire full-time staff.
(556)	Trust for Conservation Innovation Global Cool Cities Alliance 150 Post Street Suite 342 San Francisco, California 94108 United States		501c3	\$21,740	\$0	n/a	n/a	To serve as final temporary operating support for the Global Cool Cities Alliance as they complete their executive director search
(557)	Trust for Conservation Innovation Global Cool Cities Alliance 150 Post Street Suite 342 San Francisco, California 94108 United States		501c3	\$54,000	\$0	n/a	n/a	To support the Global Cool Cities Alliance in its first few months under a permanent executive director.
(564)	U.S. Climate Action Network 1810 16th St, NW Washington, District of Columbia 20009 United States	20-4597308	501c3	\$350,000	\$0	n/a	n/a	To provide general operating support
(565)	U.S. Climate Action Network 1810 16th St, NW Washington, District of Columbia 20009 United States	20-4597308	501c3	\$60,000	\$0	n/a	n/a	To support the Southeast Climate and Energy Network.
(566)	U.S. Climate Action Network 1810 16th St, NW Washington, District of Columbia 20009 United States	20-4597308	501c3	\$25,000	\$0	n/a	n/a	To expand collaboration with non-environmental allies in the Southeast.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(567)	Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, Massachusetts 02238-9105 United States	04-2535767	501c3	\$100,000	\$0	n/a	n/a	To support outreach on climate science.
(568)	Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, Massachusetts 02238-9105 United States	04-2535767	501c3	\$250,000	\$0	n/a	n/a	To support a Clean Energy Campaign that will increase markets for and reduce barriers to greater renewable energy deployment in the U.S.
(569)	Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, Massachusetts 02238-9105 United States	04-2535767	501c3	\$562,000	\$0	n/a	n/a	To support clean vehicles and fuels policies.
(570)	Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, Massachusetts 02238-9105 United States	04-2535767	501c3	\$150,000	\$0	n/a	n/a	To educate the public on the health, economic, and scientific impacts of climate change.
(571)	Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, Massachusetts 02238-9105 United States	04-2535767	501c3	\$100,000	\$0	n/a	n/a	To support AB 32 implementation.
(572)	University of Louisville Research Foundation Stevenson Hall - 5th Floor 2301 South Third Street Louisville, Kentucky 40292 United States	61-1029626	501c3	\$49,000	\$0	n/a	n/a	To assess and engage with the advanced energy business sector in Kentucky.
(574)	Utah Clean Energy Alliance, Inc. 1014 East 2nd Avenue Salt Lake City, Utah 84103 United States	37-1438788	501c3	\$85,000	\$0	n/a	n/a	To conduct education and outreach on energy efficiency and renewable power in Utah.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(575)	Washington Environmental Council 1402 3rd Avenue Suite 1400 Seattle, Washington 98101 United States	91-0839385	501c3	\$37,654	\$0	n/a	n/a	To reduce pollution impacts resulting from expansion of coal transport infrastructure.
(576)	West Virginia Citizen Action Education Fund, Inc. 1500 Dixie St. Charleston, West Virginia 25361 United States	11-3660992	501c3	\$25,000	\$0	n/a	n/a	To educate West Virginia policy-makers on energy efficiency and to advance strong utility efficiency programs at the West Virginia Public Service Commission.
(577)	Western Environmental Law Center 1216 Lincoln Street Eugene, Oregon 97401 United States	93-1010269	501c3	\$25,000	\$0	n/a	n/a	To facilitate smart development and siting of transmission lines and renewable power projects in Montana.
(578)	Western Governors' Foundation 1600 Broadway Suite 1700 Denver, Colorado 80202 United States	74-2368923	501c3	\$100,000	\$0	n/a	n/a	To analyze policies and grid operations that lowering Western electricity consumers' costs of variable generation grid integration
(579)	Western Mining Action Project, Inc. Energy Minerals Law Center P.O. Box 349 Lyons, Colorado 80540 United States	84-1303333	501c3	\$50,000	\$0	n/a	n/a	To support work to prevent the construction of new coal-fired power plants in the Intermountain-West.
(580)	Western Organization of Resource Councils Education Project 220 S. 27th Street, Suite B Billings, Montana 59101 United States	84-1123481	501c3	\$65,000	\$0	n/a	n/a	To reduce pollution impacts resulting from expansion of coal transport infrastructure
(581)	Western Resource Advocates 2260 Baseline Road Suite 200 Boulder, Colorado 80302-7740 United States	84-1113831	501c3	\$345,000	\$0	n/a	n/a	To support clean energy development in the West.



#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(582)	Western Resource Advocates 2260 Baseline Road Suite 200 Boulder, Colorado 80302-7740 United States	84-1113831	501c3	\$100,000	\$0	n/a	n/a	To promote Clean Air Policies in Colorado.
(583)	Western States Center Moms Rising 310 SW 4th Avenue Suite 1140 Portland, Oregon 97204 United States	93-0952137	501c3	\$200,000	\$0	n/a	n/a	To educate the public and policy-makers on the public health benefits of EPA Clean Air Act regulation, with a focus on the impact on children.
(584)	Wilderness Society 1615 M Street, NW Washington, District of Columbia 20036 United States	53-0167933	501c3	\$459,050	\$0	n/a	n/a	To support siting of renewable energy projects and transmission lines while protecting wildlife and wildlands.
(585)	Wind on the Wires 1619 Dayton Avenue, Suite 203 Saint Paul, Minnesota 55104-6200 United States	06-1670689	501c3	\$246,000	\$0	n/a	n/a	To continue support for transmission planning and policies favorable to wind power in the Upper Midwest.
(586)	Winrock International Institute for Agricultural Development 2121 Crystal Drive, Suite 500 Arlington, Virginia 22202 United States	71-0603560	501c3	\$40,000	\$0	n/a	n/a	To support educational workshops about greenhouse gas offsets with agricultural producers in California.
(587)	World Resources Institute 10 G Street, NE, Suite 800 Washington, District of Columbia 20002 United States	52-1257057	501c3	\$155,000	\$0	n/a	n/a	To support analysis, convenings, and outreach about reducing U.S. carbon pollution using existing regulatory authority, and the potential leadership role of states.
(588)	World Resources Institute 10 G Street, NE, Suite 800 Washington, District of Columbia 20002 United States	52-1257057	501c3	\$350,000	\$0	n/a	n/a	To support network for climate and energy information about China.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) purpose of grant or assistance
(589)	Environmental and Energy Study Institute 1112 16th Street NW, Suite 300 Washington, District of Columbia 20036-4819 United States	52-1268030	501c3	\$25,000	\$0	n/a	n/a	To support education and outreach on bioenergy regulatory issues.
(590)	Regents of the University of California University of California, Berkeley Sponsored Projects Office, University of California-LBNL 2150 Shattuck Ave, Suite 313 Berkeley, California 94704-5940 United States	94-6036494	501c3	\$75,000	\$0	n/a	n/a	Gift

2. Enter total number of section 501(c)(3) and government organizations . . . . . 241

3. Enter total number of other organizations .. . . . 2

**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 N/A					
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Though the Energy Foundation was recognized as a Public Charity by the US Internal Revenue Service in May of 2011, the Foundation continues to monitor all non-public charity grants via the private foundation mechanism of Expenditure Responsibility as a best practice. For every non-public charity grantee, and over the duration of the grant, the project is monitored via an interim report and final report of activity and expenditures which are required, EF program staff reviewed, and must be approved before releasing accompanying payments. Program staff, who have reviewed and approved as reasonable proposed budget expenditures must also approve reported expenditures as reasonable before payments are released by grants and finance staff. In the case of final payments, a grantee must show the grant award expended in total, program staff must approve as reasonable, and the final payment is released as reimbursement. The final payments are usually 8 % to 10 % of the total award. This assures final accounting of all grant dollars. The number of payments are determined by responsiveness and prior history between the Foundation and the grantee.

**SCHEDULE J**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 23.

► Attach to Form 990. ► See separate instructions.

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Inspection**

Name of the organization

The Energy Foundation

Employer identification number

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**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |   |
|--|---|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use          |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence          |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)          |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director. Explain in Part III.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input type="checkbox"/> Written employment contract                                |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations                | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- |  |           |                                     |
|--|-----------|-------------------------------------|
| <b>a</b> Receive a severance payment or change-of-control payment?                             | <b>4a</b> | <input checked="" type="checkbox"/> |
| <b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan? | <b>4b</b> | <input checked="" type="checkbox"/> |
| <b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement?    | <b>4c</b> | <input checked="" type="checkbox"/> |

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.**

**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- |                                    |           |                                     |
|------------------------------------|-----------|-------------------------------------|
| <b>a</b> The organization?         | <b>5a</b> | <input checked="" type="checkbox"/> |
| <b>b</b> Any related organization? | <b>5b</b> | <input checked="" type="checkbox"/> |

If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- |                                    |           |                                     |
|------------------------------------|-----------|-------------------------------------|
| <b>a</b> The organization?         | <b>6a</b> | <input checked="" type="checkbox"/> |
| <b>b</b> Any related organization? | <b>6b</b> | <input checked="" type="checkbox"/> |

If "Yes" to line 6a or 6b, describe in Part III.

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b ☒

2 ☒

4a ☒

4b ☒

4c ☒

5a ☒

5b ☒

6a ☒

6b ☒

7 ☒

8 ☒

9 ☐

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1	Eric Heitz	(i) 281336			28134	29961	339431	
		(ii) 10204			1020	1086	12310	
2	Robert O'Connor	(i) 171198			17115	11119	199432	
		(ii) 12885			1288	837	15010	
3	Jiang Lin	(i) 238375			23781	27982	290138	
		(ii)						
4	Charlotte Pera	(i) 210530			21053	10469	242052	
		(ii) 1591			159	99	1849	
5	Barbara Wagner	(i) 167372			16737	31475	215584	
		(ii) 419			42	79	540	
6	Jason Ricci	(i) 184349			18575	23529	226453	
		(ii)						
7	Jason Mark	(i) 180183			18013	28745	226941	
		(ii)						
8	David Wooley	(i) 174271			17736	35842	227849	
		(ii)						
9	Amy Fuerstenau	(i) 158926			9379	10338	178643	
		(ii) 13351			788	869	15008	
10	Marcus Schneider	(i) 145955			14721	20734	181410	
		(ii) 1103			110	155	1368	
11		(i)						
		(ii)						
12		(i)						
		(ii)						
13		(i)						
		(ii)						
14		(i)						
		(ii)						
15		(i)						
		(ii)						
16		(i)						
		(ii)						

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

**Part I Line 1 b:** The Foundation offers all employees a basic health club membership to help maintain wellness.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

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**Open to Public  
Inspection**

Name of the organization

**The Energy Foundation**

Employer identification number

**94 3126848**

**Part III Question 4 d**

Other program expenses are mainly direct charitable activities that the Foundation refers to as "foundation-initiated projects". The Foundation may pursue foundation-initiated projects when it is more efficacious than grant making. In these situations, the Foundation may convene meetings, conduct research, contract with consultants or take other direct efforts in achieving its mission. Also included are a part of salaries and other expenses for the Foundation's program personnel.

**Part VI Section B. Question 11 b**

The draft of Form 990 is reviewed by the Foundation's outside counsel. Furthermore, a draft version of Form 990 is provided to Audit Committee and Board of Directors prior to filing.

**Part VI Section B. Question 12 c**

The Energy Foundation staff aids the Board's adherence to the conflict of interest policy by ensuring "that the policy is annually distributed to all Directors, officers, and members of committees with Board-delegated powers"; and that "each such person signs an annual statement that the person:

- a. Received a copy of the Policy
- b. Has read and understood the Policy
- c. Agrees to comply with the Policy; and
- d. Understands that the Policy applies to all committees and subcommittees having Board-delegated powers."

(see attached copy of the EF conflict of interest policy and questionnaire)

In the event that the information provided by the Board member changes over the course of the following year, EF program and grants administration staff thoroughly review prospective grantee board of directors list for further potential conflicts of interest with the Energy Foundation board members. If one is found, grants administration staff confirms with the board member directly and updates the conflict of interest disclosure form.

**Part VI Section B. Question 15**

Please see attached the Energy Foundation's Compensation Philosophy & Pay Practices

**Part VI Section C. Question 19**

The original governing documents are filed and available at the State of California. The conflict of interest policy is attached to the foundation's tax return and available on GuideStar. The Foundation makes its Annual Report available on its website. The Financials are part For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Name of the organization

**The Energy Foundation**

Employer identification number

**94 3126848**

the Annual report. Governing documents, conflict of interest policy and financial statements are available at the Foundation upon request.

**Part VII Section A, Line 1 a**

The Following Officers, Directors, Trustees, Key Employees and highest compensated Employees had average hours per week for a related organization:

(6) Eric Heitz - Board Member - Green Tech Action Fund - approximately 1.4 hours per week

(14) Sue Tierney - Board Member - Green Tech Action Fund - approximately 0.4 hours per week

(17) Robert O'Connor - Chief Financial Officer - Green Tech Action Fund - approximately 2.8 hours per week

(19) Charlotte Pera - Board Member - Green Tech Action Fund - approximately 0.3 hours per week

(20) Barbara Wagner - Support - Green Tech Action Fund - approximately 0.1 hours per week

(24) Amy Fuerstenau - Executive Director - Green Tech Action Fund - approximately 3.1 hours per week

(25) Marcus Schneider - Support - Green Tech Action Fund - approximately 0.3 hours per week



**SCHEDULE R**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

The Energy Foundation

**Related Organizations and Unrelated Partnerships**

► Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.

► Attach to Form 990. ► See separate instructions.

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**Open to Public  
Inspection**

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**Part I Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) .....					
(2) .....					
(3) .....					
(4) .....					
(5) .....					
(6) .....					

**Part II Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) Green Tech Action Fund, 301 Battery Street, Floor 5 San Francisco CA 94110 EIN 26-3390444	Reduce greenhouse ga	California	501 (c)(4)		Energy Foundati	✓	
(2) .....							
(3) .....							
(4) .....							
(5) .....							
(6) .....							
(7) .....							

**Part III Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) _____												
(2) _____												
(3) _____												
(4) _____												
(5) _____												
(6) _____												
(7) _____												

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
(1) _____							
(2) _____							
(3) _____							
(4) _____							
(5) _____							
(6) _____							
(7) _____							

**Part V Transactions With Related Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II–IV?		
<b>a</b> Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity . . . . .	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s) . . . . .	<b>1b</b>	✓
<b>c</b> Gift, grant, or capital contribution from related organization(s) . . . . .	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s) . . . . .	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s) . . . . .	<b>1e</b>	
<b>f</b> Sale of assets to related organization(s) . . . . .	<b>1f</b>	
<b>g</b> Purchase of assets from related organization(s) . . . . .	<b>1g</b>	
<b>h</b> Exchange of assets with related organization(s) . . . . .	<b>1h</b>	
<b>i</b> Lease of facilities, equipment, or other assets to related organization(s) . . . . .	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets from related organization(s) . . . . .	<b>1j</b>	
<b>k</b> Performance of services or membership or fundraising solicitations for related organization(s) . . . . .	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations by related organization(s) . . . . .	<b>1l</b>	
<b>m</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .	<b>1m</b>	✓
<b>n</b> Sharing of paid employees with related organization(s) . . . . .	<b>1n</b>	✓
<b>o</b> Reimbursement paid to related organization(s) for expenses . . . . .	<b>1o</b>	
<b>p</b> Reimbursement paid by related organization(s) for expenses . . . . .	<b>1p</b>	✓
<b>q</b> Other transfer of cash or property to related organization(s) . . . . .	<b>1q</b>	
<b>r</b> Other transfer of cash or property from related organization(s) . . . . .	<b>1r</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of other organization	(b) Transaction type (a–r)	(c) Amount involved	(d) Method of determining amount involved
Green Tech Action fund (1)	b	1,000,000	Cash
Green Tech Action fund (2)	m	4784	Accrual
Green Tech Action fund (3)	n	51,767	Accrual
Green Tech Action fund (4)	p	14,714	Cash
(5)			
(6)			

**Part VI** **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1) .....													
(2) .....													
(3) .....													
(4) .....													
(5) .....													
(6) .....													
(7) .....													
(8) .....													
(9) .....													
(10) .....													
(11) .....													
(12) .....													
(13) .....													
(14) .....													
(15) .....													
(16) .....													

## Part VII

## Supplemental Information

Supplemental information. Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

This image shows a single page of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There is no handwriting or other markings on the paper.

**The Energy Foundation**  
**CONFLICT-OF-INTEREST POLICY**  
**Adopted November 6, 2003**

Members of the Board of Directors and employees of the Energy Foundation shall conduct their business, investment, and personal affairs in such manner as to avoid any possible conflict with their duties and responsibilities to the Energy Foundation. This Conflict-of-Interest Policy ("Policy") shall apply to any transaction or arrangement with an "interested person" and any compensation arrangement with a "disqualified person." A person may be both an "interested person" for purposes of California law and a "disqualified person" for purposes of federal law.

Before approving an action pursuant to this Policy, the Board shall first determine whether the contemplated transaction or arrangement is a prohibited act of "self dealing" under Section 4941 of the Internal Revenue Code. If the transaction or arrangement would constitute self dealing, the Board shall not approve such action. If the arrangement or transaction concerns the approval of a compensation arrangement for a "disqualified person" for personal services, the Board shall follow the guidelines set forth in **Section A** of this Policy.

In unusual situations, typically involving indirect transactions between an insider and the Energy Foundation, the transaction will not involve the navigation of the self dealing rules of Section 4941, but will still require the approvals outlined by California law. If the arrangement or transaction does not concern the approval of a compensation arrangement and the Energy Foundation has confirmed with counsel that the transaction or arrangement does not constitute self dealing for federal income tax purposes, the Board shall follow the guidelines set forth in **Section B** of this Policy in order to satisfy California law.

The Board shall ensure that this Policy is distributed to all Directors, officers and members of committees with Board-delegated powers. Each such person shall sign an annual statement, in the form attached hereto, that the person:

- a. Received a copy of the Policy;
- b. Has read and understands the Policy;
- c. Agrees to comply with the Policy; and
- d. Understands that the Policy applies to all committees and subcommittees having Board-delegated powers.

**A. Approval of Compensation Arrangement for "Disqualified Person."**

*This procedure applies to compensation arrangements, which are permitted if they comply with the exception to federal prohibitions on self dealing. Compliance with these procedures will also satisfy California law.*

A "disqualified person" for purposes of these rules is a director, officer or substantial contributor to the organization (including persons with ownership interests of more than 20% in entities that are substantial contributors), a member of the family of a director, officer, or substantial contributor, or an entity in which a director, officer, or substantial contributor owns more than 35%.

In considering and approving a compensation arrangement for a disqualified person, the Board shall do all of the following:

1. **Comparability Data.** Obtain and rely on appropriate comparability data prior to making its decision. Appropriate comparability data includes data regarding comparable salaries ordinarily paid for like services by similar organizations. Such data may be derived from independent industry surveys, documented compensation of persons holding similar positions in similar organizations in the same geographic region, expert compensation studies, or other evidence of comparability.
2. **Recusal of Disqualified Person.** Require that the disqualified person and any other individual who is related to, is employed by, is under the control of, or is compensated by the disqualified person leave the meeting during the discussion of, and the vote on, the compensation arrangement.
3. **Adequate Documentation.** Properly document the basis for its determination concurrently with making the determination and attach such documentation to the approving resolution. Adequate documentation should reflect:
  - a. The Board's knowledge of the material facts relating to the compensation arrangement and the personal services the disqualified person will perform for the Energy Foundation.
  - b. The Board's understanding and belief that it is entering into the compensation arrangement for the benefit of the Energy Foundation and that the arrangement is fair and reasonable to the Energy Foundation.
  - c. The terms of the compensation arrangement, the date of approval, and a complete list of Board members who were present during the debate and who voted on it.
  - d. The comparability data and a description of how it was obtained.

- e. A comprehensive job description of the position or task(s), a current resume of the disqualified person, the disqualified person's qualifications for the position, and the salary, including all benefits and perquisites provided.

**B. Approval of Transaction or Arrangement with "Insider."**

*This procedure applies to transactions (other than compensation arrangements) that are not prohibited by federal self-dealing rules but are permitted under California law only if certain findings are made by the Board.*

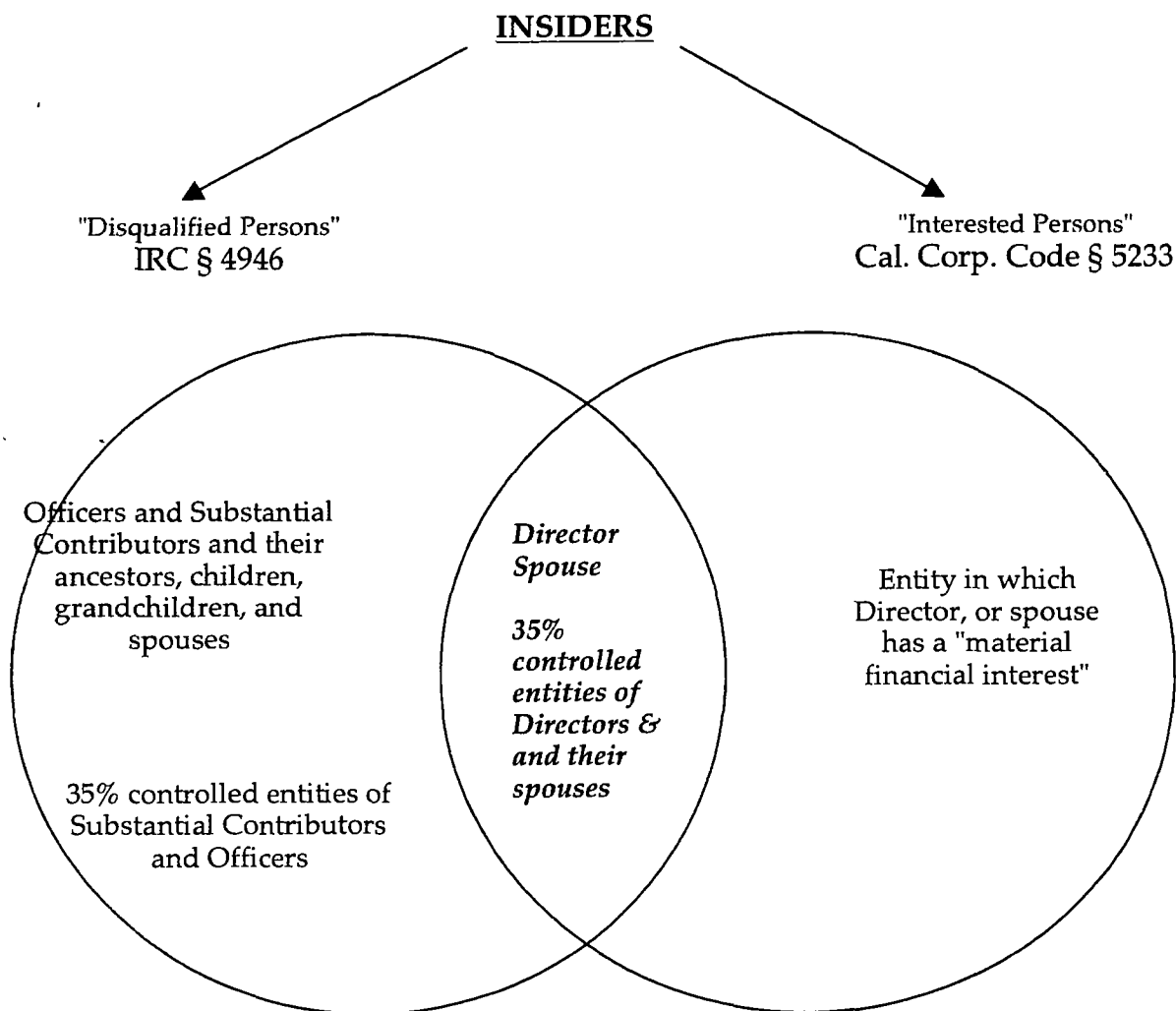
These rules apply to certain transactions with "interested persons." An "interested person" is a director, an officer, or a member of a committee with Board-delegated powers who has a direct or indirect material "financial interest" in a proposed transaction. A person has a financial interest if the person has, (i) directly or indirectly, (ii) through business, investment or family, (iii) an actual or potential ownership or investment interest, or an employment relationship or other compensation arrangement, (iv) in or with any entity or individual, (v) with which the Energy Foundation has entered into or is contemplating a transaction or arrangement.

If an interested person has a material financial interest in a proposed transaction with the Energy Foundation, then the following requirements shall apply:

1. **Complete Disclosure.** The Board shall require each interested person to disclose any financial interest and all material facts relating thereto.
2. **Comparability Data.** The Board shall obtain appropriate data regarding comparable transactions with unrelated businesses or entities.
3. **Recusal of Disqualified Person.** The Board shall require that the disqualified person and any other individual who is related to, is employed by, is under the control of, or is compensated by the disqualified person leave the meeting during the discussion of, and the vote on, the compensation arrangement.
4. **Adequate Documentation.** The minutes of the Board or committee meetings approving the transaction shall include adequate documentation for its approval of any transaction in which an interested person has a material financial interest, including:
  - a. The Board's knowledge of the material facts relating to the transaction, the name of each person who discloses a financial interest, the nature of that financial interest, and whether the Board has determined that there is a conflict of interest;
  - b. The terms of the transaction, the date of approval, and a complete list of Board members who were present during the debate and who voted on it;
  - c. The comparability data and a description of how it was obtained; and
  - d. A resolution of the Board evidencing that the Board determines, by a majority vote of the non-interested directors present, that the transaction or arrangement is in the Energy Foundation's best interests and for its own benefit; is fair and reasonable to the Energy Foundation; and, after



exercising due diligence, determines that the Energy Foundation cannot obtain a more advantageous transaction or arrangement with reasonable efforts under the circumstances.



## The Energy Foundation

### Annual Conflict-of-Interest Questionnaire

**Before Completing This Questionnaire:** This Questionnaire should be completed only after a careful reading of the Energy Foundation Conflicts-of-Interest Policy, adopted November 6, 2003. Your response should cover the period from the day you became associated with the Energy Foundation through the date you sign it.

Name of Individual Board Member or Officer: \_\_\_\_\_

Address: \_\_\_\_\_

State Offices Held with \_\_\_\_\_:

Do you or a family member hold a position as owner, officer, board member, partner, or employee of any business that does business with the Energy Foundation?

☐ Yes ☐ No

Are you a participant of any organization (whether nonprofit or for profit) that may have an interest adverse to the interests of the Energy Foundation, or that may cause you to have a conflict of interest in performing your duties as a Director or Officer of the Energy Foundation?

☐ Yes ☐ No

If yes to either of the foregoing, provide the following information:

Business/ Organization(s)

With Which You are Associated \_\_\_\_\_

Position Held/ By Whom \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

MAIL TO:

Todd V. Foland  
Vice President/Chief Operations Officer  
The Energy Foundation  
301 Battery Street, Fifth Floor  
San Francisco, CA 94111

PLEASE SIGN AND DATE THE AFFIRMATION ON THE FOLLOWING PAGE.

**AFFIRMATION:** I have read the Energy Foundation Conflicts-of-Interest Policy dated November 6, 2003. I understand its provisions and I hereby affirm that, during the period indicated above, I have not, to the best of my knowledge and belief, been in a position of possible conflict of interest, except as indicated as follows:

**IF NO EXCEPTIONS  
PLEASE CHECK**

1. FINANCIAL INTERESTS

No Exceptions (\_\_\_)

Describe exceptions, if any: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2. USE OF FOUNDATION SERVICES,  
PROPERTY AND FACILITIES

No Exceptions (\_\_\_)

Describe exceptions, if any: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. PRIVILEGED INFORMATION

No Exceptions (\_\_\_)

Describe exceptions, if any: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. ANY OTHER CONFLICT OF INTEREST

No Exceptions (\_\_\_)

Describe exceptions, if any: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

DATED: \_\_\_\_\_

\_\_\_\_\_  
SIGNATURE

## Energy Foundation Compensation Philosophy & Pay Practices

Updated: Dec. 1, 2010

Revised: April 15, 2011

### Compensation Philosophy

Energy Foundation hires highly skilled staff who are dedicated to advancing the cleanest energy technologies. We pay competitive salaries relative to comparable non-profits.

Working for the Energy Foundation is a viable career that provides superior benefits: robust health and welfare benefits, compensated time off for personal well-being and retirement contributions for your future security.

As guardians of public money, we strive to keep overhead low.

### Compensation Structure

EF positions are benchmarked against comparable jobs in the market every three years to ensure external equity with the market. Compensation ranges are built around the market value, or job value for positions. Jobs with comparable responsibilities, accountabilities and risk or liability to the foundation are clustered into job families. Job families share a common job value and compensation range.

Each year, in preparation for the performance p/review process, the Director of Organizational Development will issue guidelines for conducting salary reviews and recommendations. Guidelines include a scale to apply to performance based salary recommendations. Current economic indicators, budget criteria and market trends in compensation will inform the guidelines. In this way, EF can maintain internal equity and external competitiveness, allow for recognition of performance or taking on additional responsibilities, and ensure that criteria for recommended salary actions are consistent throughout EF.

The Director of Organizational Development is responsible for developing and implementing compensation structure.

### Compensation Adjustments

Individual salaries may be adjusted by any or all of the following means:

- **Cost of Living Adjustment (COLA):** October data from [www.bls.gov](http://www.bls.gov) for the consumer price index for the Bay Area relative to US Cities informs any recommendation for a COLA increase. The Beijing office will use the consumer price index (CPI) from the Beijing Bureau of Statistics. COLA adjustments are at the discretion of EF and not implied or guaranteed.
- **Market (Job Value) Adjustment:** Energy Foundation will regularly conduct salary analysis to ensure compensation remains competitive with external markets.
- **Promotion:** Internal promotions usually result in a salary increase, assuming the current salary is below the job value for the new position.

- **Skills/Responsibilities/Professional Development:** Individual compensation will be reviewed annually during the performance p/review process. Supervisors may recommend a compensation increase to account for increasing skills, demonstrating enhanced competency in the position, as reflected in the skills matrix and/or taking on increasing responsibility.

The Executive Committee of the Board of Directors (ExCom) approves the overall salary cap each year. Per applicable law, they also approve the individual salaries of the President and the most senior finance position of the foundation. The President presents recommendations to ExCom annually. The ExCom reviews salary recommendations and the rationale before approving the salary cap.

### **Compensation Decisions and the Approval Process**

As a part of the annual budget process, the President will present a recommended salary cap (the overall increase in salaries from the previous year) and salary ranges to the ExCom. The only individual salaries approved by the ExCom are the President's and the most senior finance person's, as required by California law.

The President will inform the Senior Vice President & Director US Programs, the Senior Vice President & Director China Programs and the Senior Vice President, Chief Operating Officer of the ExCom's decision. The President provide each SVP with a salary cap for their working group. The SVP's will approve the individual compensation recommendations for their staff. They are responsible to keep all increases within salary cap total. Subordinate supervisors will make recommendations for individual salary increases to their SVP.

Individual compensation decisions will be documented on an "Employee Change Form", routed to payroll for execution then filed in individual employee personnel files.

### **Compensation Transparency**

As a matter of privacy and confidentiality, EF will not disclose the individual salaries of any employee. Every EF employee is entitled to know the compensation range for her/his position and any positions classified in the same or lower pay ranges. If you are interested in knowing this information, please make a time to meet with the Director of Organizational Development.