

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2012**Open to Public
Inspection**

A For the 2012 calendar year, or tax year beginning , 2012, and ending , 20																							
B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td colspan="2">C Name of organization The Energy Foundation</td> <td>D Employer identification number 94 3126848</td> </tr> <tr> <td colspan="2">Doing Business As</td> <td rowspan="3">E Telephone number 415 561 6700</td> </tr> <tr> <td>Number and street (or P.O. box if mail is not delivered to street address)</td> <td>Room/suite</td> </tr> <tr> <td>301 Battery Street</td> <td>Floor 5</td> </tr> <tr> <td colspan="2">City, town or post office, state, and ZIP code San Francisco</td> <td>G Gross receipts \$ 103,161,821</td> </tr> <tr> <td colspan="2">F Name and address of principal officer. Eric Heitz, President see above</td> <td> H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "No," attach a list (see instructions) H(c) Group exemption number ▶ </td> </tr> <tr> <td colspan="3"> I Tax-exempt status: <input type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 J Website: ▶ www.ef.org </td> </tr> <tr> <td colspan="2">K Form of organization: <input type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶</td> <td>L Year of formation M State of legal domicile</td> </tr> </table>	C Name of organization The Energy Foundation		D Employer identification number 94 3126848	Doing Business As		E Telephone number 415 561 6700	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	301 Battery Street	Floor 5	City, town or post office, state, and ZIP code San Francisco		G Gross receipts \$ 103,161,821	F Name and address of principal officer. Eric Heitz, President see above		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "No," attach a list (see instructions) H(c) Group exemption number ▶	I Tax-exempt status: <input type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 J Website: ▶ www.ef.org			K Form of organization: <input type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation M State of legal domicile
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Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: The Energy Foundation's mission is to promote the transition to a sustainable energy future by advancing energy efficiency and renewable energy.		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	14
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	10
	5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	50
	6	Total number of volunteers (estimate if necessary)	6	
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	
b	Net unrelated business taxable income from Form 990-T, line 34	7b		
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	96,480,421	103,120,425
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0	0
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	27,923	41,396
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	246,545	0
	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	96,754,889	103,161,821
	14	Benefits paid to or for members (Part IX, column (A), line 4)	76,448,058	76,247,569
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	0	0
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	6,662,111	7,045,310
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶	0	0
Expenses	17	Other expenses (Part IX, column (A), lines 12a–14d, 11f–24e)	1,900,476	
	18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	20,239,867	21,046,504
	19	Revenue less expenses. Subtract line 18 from line 12	103,350,036	104,339,383
	20	Total assets (Part X, line 16)	26,267,430	25,089,868
Net Assets or Fund Balances	21	Total liabilities (Part X, line 26)	Beginning of Current Year	End of Year
	22	Net assets or fund balances. Subtract line 21 from line 20	31,915,680	32,212,733
			5,648,250	7,122,865

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer

Type or print name and title

Robert Crane**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Firm's name ▶

Firm's address ▶

May the IRS discuss this return with the preparer shown above? (see instructions)

For Paperwork Reduction Act Notice, see the separate instructions.

SCANNED DEC 17 2013

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☒**1** Briefly describe the organization's mission:

The Energy Foundation's mission is to promote the transition to a sustainable energy future by advancing energy efficiency and renewable energy.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code:) (Expenses \$ 76,247,569 including grants of \$ 76,247,569) (Revenue \$)

In 2012, the Energy Foundation granted \$ 76,247,569 to organizations and institutions that made gains in the U.S. and China. Achievements included (but were not limited to) stronger fuel efficiency standards for light- and heavy-duty vehicles; the adoption of ever-stronger building codes and appliance efficiency standards; the promotion of renewable energy technologies; the retirement of existing coal-fired power plants; energy intensity reductions in China's Top 10,000 Enterprises; and gains in low-carbon growth planning, also in China. See Form 990, Schedule F, Part II, Line 1 and Form 990, Schedule I, Part II, Line 1 for a detailed listing of the foundation's grantmaking.

4b (Code:) (Expenses \$ 5,274,504 including grants of \$ -0-) (Revenue \$)

In order to support its grantmaking in China, the Energy Foundation's expenditures in 2012 included the salary and benefits of thirty staff members, office rental, equipment charges, and other expenses related to maintaining the foundation's Beijing program office. The Foundation administers the Energy Foundation China, which in 2012 advanced China's policy efforts in eight sectors: transportation, buildings, industry, electric utilities, renewable energy, low-carbon development paths, environmental management, and sustainable cities.

4c (Code:) (Expenses \$ 994,450 including grants of \$ -0-) (Revenue \$)

In order to maintain the China Sustainable Transportation Center, foundation expenditures in 2012 included the salary and benefits of thirteen staff members, office rental, equipment charges, and other expenses. The China Sustainable Transportation Center advanced the following initiatives: 1) urban planning and transportation pilot projects nationwide, 2) policy and standards research, and 3) capacity building such as training programs. The center is dedicated to creating a sustainable urban and transportation structure, promoting compact land use and transit oriented development patterns, relieving urban congestion, and reducing greenhouse gas emission in order to create low-carbon, sustainable and livable cities.

4d Other program services (Describe in Schedule O.)
(Expenses \$ 13,411,982 including grants of \$ -0-) (Revenue \$)**4e** Total program service expenses ► 95,928,505

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	<input type="checkbox"/>	<input checked="" type="checkbox"/>
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	<input type="checkbox"/>	<input checked="" type="checkbox"/>
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	<input type="checkbox"/>	<input checked="" type="checkbox"/>
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	<input checked="" type="checkbox"/>	<input type="checkbox"/>
12 a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	<input type="checkbox"/>	<input checked="" type="checkbox"/>
14 a Did the organization maintain an office, employees, or agents outside of the United States?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	<input checked="" type="checkbox"/>	<input type="checkbox"/>
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV	<input checked="" type="checkbox"/>	<input type="checkbox"/>
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	<input type="checkbox"/>	<input checked="" type="checkbox"/>
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	<input type="checkbox"/>	<input checked="" type="checkbox"/>
20 a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	<input type="checkbox"/>	<input type="checkbox"/>

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	<input type="checkbox"/>	<input type="checkbox"/>
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	<input type="checkbox"/>	<input type="checkbox"/>
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	<input type="checkbox"/>	<input type="checkbox"/>
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
26 Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):	<input type="checkbox"/>	<input type="checkbox"/>
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a 131	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 50	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b ✓	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	✓
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a ✓	
b	If "Yes," enter the name of the foreign country: People's Republic of China See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	✓
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	✓
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	✓
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	✓
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	✓
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	✓
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	✓
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	✓
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	1a 14		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b Enter the number of voting members included in line 1a, above, who are independent	1b 10		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		<input checked="" type="checkbox"/>
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3		<input checked="" type="checkbox"/>
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		<input checked="" type="checkbox"/>
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		<input checked="" type="checkbox"/>
6 Did the organization have members or stockholders?	6		<input checked="" type="checkbox"/>
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		<input checked="" type="checkbox"/>
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		<input checked="" type="checkbox"/>
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8a	<input checked="" type="checkbox"/>	
b Each committee with authority to act on behalf of the governing body?	8b	<input checked="" type="checkbox"/>	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		<input checked="" type="checkbox"/>

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	<input checked="" type="checkbox"/>
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	<input checked="" type="checkbox"/>
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	<input checked="" type="checkbox"/>
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	<input checked="" type="checkbox"/>
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	<input checked="" type="checkbox"/>
13 Did the organization have a written whistleblower policy?	13	<input checked="" type="checkbox"/>
14 Did the organization have a written document retention and destruction policy?	14	<input checked="" type="checkbox"/>
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	<input checked="" type="checkbox"/>
b Other officers or key employees of the organization	15b	<input checked="" type="checkbox"/>
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	<input checked="" type="checkbox"/>
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► California

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☒ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Kevin McGahan, 301 Battery Street, Floor 5, San Francisco CA 94111. Tel. 415-561-6700

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Mark Burget, Board Member	3	✓						4500		
(2) Robert Crane, Board Treasurer	3	✓		✓				4500		
(3) Larry Goulder, Board Member	2	✓						3000		
(4) Eric Heitz, President	37.9 2.1	✓		✓				311213	17244	63062
(5) Khee Poh Lam, Board Member	2	✓						6000		
(6) Alan Lloyd, Board Member	2	✓						6000		
(7) Kris Mayes, Board Member	2	✓						31500		
(8) August W. Ritter Jr., Board Member	2	✓						6000		
(9) Bill Ruckelshaus, Board Member	2	✓						6000		
(10) Phil Sharp, Board President	2	✓						4500		
(11) Noa Staryk, Board Member	2	✓						4500		
(12) Susan Tierney, Board Member	2	✓						210000		
(13) Michael Wang, Board Member	2	✓						45840		
(14) Hongjun Zhang, Board Member	2	✓						6000		

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) Robert O'Connor, CFO	38.5 1.5			✓				184473	7187	36223
(16) Jiang Lin, SVP	40				✓			255582		51183
(17) Charlotte Pera, SVP	15.7 24.3				✓			102944	158861	35190
(18) Barbara Wagner, COO	40				✓			213472		59477
(19) Jason Mark, SVP	40				✓			214375		45173
(20) Zhengchun Mo, Program Director	40					✓		203355		34377
(21) Jason Ricci, CIO	40					✓		196443		21103
(22) Amy Fuerstenau, SCS	28.9 11.1					✓		128834	49483	24452
(23) Marcus Schneider, CPD	40					✓		154742		41704
(24) Katherine McCormack, Program Director	40					✓		150919		28812
(25) David Wooley, VP and consultant							✓	294625		
1b Sub-total								2749317	232775	440756
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								2749317	232775	440756

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 26**

- | | Yes | No |
|---|-----|----|
| 3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual | 3 ✓ | |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual | 4 ✓ | |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person | 5 | ✓ |

Section B. Independent Contractors

- 1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
Cater Communications, 173 Reservoir Road, San Rafael CA	Media Communication	750145
Better World Group, 150 E. Olive Ave. # 211, Burbank CA 91502	Education and Outreach	696385
Conversant Solutions, LLC, 1406 Pearl St, Suite 200, Boulder CO 80302	Organizational Consulting	597800
Glover Park Group LLC, 1025 F Street, NW 9th Floor, Washington DC 20004	Media Communication	434177
ACE SF Consulting LLC, 255 Sanchez Street, San Francisco CA 94114	IT Consulting	224896

- 2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 16**

Part VIII Statement of RevenueCheck if Schedule O contains a response to any question in this Part VIII. ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	103120425			
	g	Noncash contributions included in lines 1a-1f: \$					
	h	Total. Add lines 1a-1f		103120425			
Program Service Revenue	2a		Business Code				
	b						
	c						
	d						
	e						
	f	All other program service revenue .					
	g	Total. Add lines 2a-2f					
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		41396		
4		Income from investment of tax-exempt bond proceeds					
5		Royalties					
6a		Gross rents	(i) Real (ii) Personal				
b		Less: rental expenses					
c		Rental income or (loss)					
d		Net rental income or (loss)					
7a		Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
b		Less: cost or other basis and sales expenses					
c		Gain or (loss)					
d		Net gain or (loss)					
8a		Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18	a				
b		Less: direct expenses	b				
c		Net income or (loss) from fundraising events					
9a		Gross income from gaming activities. See Part IV, line 19	a				
b		Less: direct expenses	b				
c		Net income or (loss) from gaming activities					
10a		Gross sales of inventory, less returns and allowances	a				
b	Less: cost of goods sold	b					
c	Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code					
11a							
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d						
12	Total revenue. See instructions.		103161821			41396	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	47449884	47449884		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	28797685	28797685		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	2093999	777941	568657	747401
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	3780642	1003864	2245022	531756
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	341859	92741	198829	50289
9 Other employee benefits	817490	185315	535590	96585
10 Payroll taxes	311320	87990	170031	53299
11 Fees for services (non-employees):				
a Management				
b Legal	60662	4845	49117	6700
c Accounting	50500		50500	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	1002031	43347	765546	193138
12 Advertising and promotion	277		277	
13 Office expenses	126475	8213	103243	15019
14 Information technology	199978	5271	191097	3610
15 Royalties				
16 Occupancy	734178	144759	338039	251380
17 Travel	712578	230512	346918	135148
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	18181	18181		
19 Conferences, conventions, and meetings	42948	18551	15458	8939
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	665499		665499	
23 Insurance	24637	3589	13870	7178
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Foundation initiated projects	17069232	17069232		
b Bank Charges	26472	969	24991	512
c Memberships	15790	2189	9173	4428
d Tax filing fees	320		320	
e All other expenses Miscellaneous	-3254	-30	-3163	-61
25 Total functional expenses. Add lines 1 through 24e	104339383	95945048	6289014	2105321
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance SheetCheck if Schedule O contains a response to any question in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	50700	1	991
	2 Savings and temporary cash investments	16903470	2	17516306
	3 Pledges and grants receivable, net	11298781	3	11274843
	4 Accounts receivable, net		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	781396	9	1182472
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 5363288		
	b Less: accumulated depreciation	10b -3125167	10c	2238121
	11 Investments—publicly traded securities		11	
	12 Investments—other securities. See Part IV, line 11		12	
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11		15	
16 Total assets. Add lines 1 through 15 (must equal line 34)	31915680	16	32212733	
Liabilities	17 Accounts payable and accrued expenses	1493426	17	1841653
	18 Grants payable	3366010	18	4482261
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	131493
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	788814	25	667458
	26 Total liabilities. Add lines 17 through 25	5648250	26	7122865
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	7335937	27	10776162
	28 Temporarily restricted net assets	18931493	28	14313706
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances.	26267430	33	25089868
34 Total liabilities and net assets/fund balances.	31915680	34	32212733	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	103161821
2	Total expenses (must equal Part IX, column (A), line 25)	2	104339383
3	Revenue less expenses. Subtract line 2 from line 1	3	-1177562
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	26267430
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	25089868

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		✓
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	✓	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	✓	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		✓
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

**Open to Public
Inspection**

Name of the organization

The Energy Foundation

Employer identification number

94 3126848

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I b ☐ Type II c ☐ Type III—Functionally integrated d ☐ Type III—Non-functionally integrated
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐
- (ii) A family member of a person described in (i) above? ☐
- (iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	101966622	90717384	101076051	96480421	103120425	493360903
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	101966622	90717384	101076051	96480421	103120425	493360903
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						133579254
6 Public support. Subtract line 5 from line 4.						359781649

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	101966622	90717384	101076051	96480421	103120425	493360903
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	916431	257899	28358	27923	41396	1272007
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						494632910
12 Gross receipts from related activities, etc. (see instructions)				12		
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	73 %
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	66 %
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/>		
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	%
19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		

Part IV

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No 1545-0047

2012

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527

► **Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ.**
► **See separate instructions.**

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III

Name of organization

Employer identification number

The Energy Foundation

94 3126848

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ► \$
- 3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ► \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ► \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ► \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ► \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ► \$
- 4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)	250,000													
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	750,000													
c	Total lobbying expenditures (add lines 1a and 1b)	1,000,000													
d	Other exempt purpose expenditures	103,339,383													
e	Total exempt purpose expenditures (add lines 1c and 1d)	104,339,383													
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000.														
g	Grassroots nontaxable amount (enter 25% of line 1f)	250,000													
h	Subtract line 1g from line 1a. If zero or less, enter -0-														
i	Subtract line 1f from line 1c. If zero or less, enter -0-														
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) Total
2a Lobbying nontaxable amount			1,000,000	1,000,000	2,000,000
b Lobbying ceiling amount (150% of line 2a, column (e))					3,000,000
c Total lobbying expenditures			1,000,000	1,000,000	2,000,000
d Grassroots nontaxable amount			250,000	250,000	500,000
e Grassroots ceiling amount (150% of line 2d, column (e))					750,000
f Grassroots lobbying expenditures			250,000	250,000	500,000

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

Part IV **Supplemental Information** *(continued)*

Lined area for supplemental information.

**SCHEDULE D
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**

▶ **Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2013

**Open to Public
Inspection**

Name of the organization

The Energy Foundation

Employer identification number

94 3126848

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

- | | (a) Donor advised funds | (b) Funds and other accounts |
|---|-------------------------|------------------------------|
| 1 Total number at end of year | | |
| 2 Aggregate contributions to (during year) | | |
| 3 Aggregate grants from (during year) | | |
| 4 Aggregate value at end of year | | |
- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No
- 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply).
☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space
- 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.
- | | Held at the End of the Tax Year |
|--|---------------------------------|
| a Total number of conservation easements | 2a |
| b Total acreage restricted by conservation easements | 2b |
| c Number of conservation easements on a certified historic structure included in (a) | 2c |
| d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | 2d |
- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶
- 4 Number of states where property subject to conservation easement is located ▶
- 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No
- 6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶
- 7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$
- 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B) (i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No
- 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items
- b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
- (i) Revenues included in Form 990, Part VIII, line 1 ▶ \$
- (ii) Assets included in Form 990, Part X ▶ \$
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
- a Revenues included in Form 990, Part VIII, line 1 ▶ \$
- b Assets included in Form 990, Part X ▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** ☐ Public exhibition **d** ☐ Loan or exchange programs
- b** ☐ Scholarly research **e** ☐ Other _____
- c** ☐ Preservation for future generations
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☒ No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21? ☒ Yes ☐ No
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☒

Part V Endowment Funds.

Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment %

b Permanent endowment %

c Temporarily restricted endowment %

The percentages in lines 2a, 2b, and 2c should equal 100%.

- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		
3a(ii)		
3b		

- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements	3,851,355		1,844,084	2,007,271
d Equipment	1,511,933		1,281,083	230,850
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				2,238,121

Part VII Investments—Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ►		

Part VIII Investments—Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ►		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ►	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	0
(2) Deferred rent - tenant improvement allowance	667,458
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►	667,458

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	103,161,821
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	103,161,821

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	104,339,383
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	104,339,383

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Part IV, Question 2, line b Custodial arrangement

Energy Foundation initiated a 457(b) plan and an equivalent for a foreign employee in 2012 as a secondary form of retirement savings

beyond the Foundation's 403(b) plan.

Part X, Line 2:

The foundation is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code ("IRC") and California franchise

and/or income taxes under Section 23701(d) of the Revenue and Taxation Code. On April 28, 2011 the IRS determined that the Energy

Foundation has terminated its private foundation status and has become a tax exempt public charity.

The Foundation follows the guidance on accounting for uncertainty in income taxes issued by Financial Accounting Standards Board

("FASB") ASC Topic 740. As of December 31, 2012, Management evaluated the Foundation's tax positions and concluded that the Foundation

had maintained its tax exempt status and had taken no uncertain tax positions that required adjustment to the financial statements.

Part XIII Supplemental Information (continued)

[illegible]

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

- Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
► Attach to Form 990. ► See separate instructions.
► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

**Open to Public
Inspection**

Name of the organization

Employer identification number

The Energy Foundation

94 3126848

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**

- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

- 3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) East Asia and the Pacific	3	43	Grantmaking	n/a	28,697,685
(2) East Asia and the Pacific	3	141	Program Services	Meetings, Research	4,242,888
(3) North America	0	0	Grantmaking	n/a	100,000
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total	3	141			33,040,573
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)	3	141			33,040,573

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			see attachment						
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ►

3 Enter total number of other organizations or entities ►

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
1			NORTH AMERICA	To support cap-and-trade implementation in Ontario	\$110,000	Wire	\$0	n/a	n/a
2			EAST ASIA AND THE PACIFIC	To support a website for Sino-American bilateral cooperation on energy and environmental protection	\$50,000	Wire	\$0	n/a	n/a
3			EAST ASIA AND THE PACIFIC	To investigate, summarize, and publicize the best practices of China's thirteen low carbon development pilots	\$199,960	Wire	\$0	n/a	n/a
4			EAST ASIA AND THE PACIFIC	To support establishing environmental protection mechanisms for the coal chemical industry in China's western region	\$100,000	Wire	\$0	n/a	n/a
5			EAST ASIA AND THE PACIFIC	To support research on profiling Beijing's building energy use and revising Beijing's municipal regulations on building energy efficiency	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
6			EAST ASIA AND THE PACIFIC	To support air quality data analysis in Chinese cities	\$60,000	Wire	\$0	n/a	n/a
7			EAST ASIA AND THE PACIFIC	To support study on the program management mechanism of the "DSM City Program" and development of the electricity service industry based on Beijing DSM/EPP work.	\$140,000	Wire	\$0	n/a	n/a
8			EAST ASIA AND THE PACIFIC	To support to develop a national DSM action scheme in the 12th FYP period	\$200,000	Wire	\$0	n/a	n/a
9			EAST ASIA AND THE PACIFIC	With the grant support, the grantee will compile a new energy city planning guideline on how to utilize solar energy.	\$200,000	Wire	\$0	n/a	n/a
10			EAST ASIA AND THE PACIFIC	To support developing guidelines to promote alternative fuels, such as methanol, liquefied natural gas, liquefied petroleum gas, and compressed natural gas.	\$120,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
11			EAST ASIA AND THE PACIFIC	To support the research on retrofitting action plan in 12th Five-Year Plan for the Hot Summer and Cold Winter area	\$80,000	Wire	\$0	n/a	n/a
12			EAST ASIA AND THE PACIFIC	To promote the Beijing's air pollution regulation amendment and Beijing's 2012-2020 clean air action plan	\$60,000	Wire	\$0	n/a	n/a
13			EAST ASIA AND THE PACIFIC	To support the establishment of a China Clean Air Platform (CCAP).	\$160,000	Wire	\$0	n/a	n/a
14			EAST ASIA AND THE PACIFIC	To conduct research on the relationship between land use and transportation planning, and analyze and summarize the effects of the bus exclusive lanes on Jingtong Expressway	\$200,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
15			EAST ASIA AND THE PACIFIC	This grant is to prompt building energy saving in public buildings	\$100,000	Wire	\$0	n/a	n/a
16			EAST ASIA AND THE PACIFIC	To promote information disclosure and public participation in urban air pollution prevention and control	\$60,000	Wire	\$0	n/a	n/a
17			EAST ASIA AND THE PACIFIC	To research and revise the energy conservation administrative regulation for key energy-using units, develop assessment and management regulation for the national key energy conservation technologies catalogues, and conduct analysis and assessment of implementation and promotion policies.	\$200,000	Wire	\$0	n/a	n/a
18			EAST ASIA AND THE PACIFIC	To research energy auditing for industrial enterprises	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
19			EAST ASIA AND THE PACIFIC	To support producing a series of tutorial videos on green building evaluation standards and design principles for Chinese architects and green building certifying agencies.	\$30,000	Wire	\$0	n/a	n/a
20			EAST ASIA AND THE PACIFIC	To support pilot implementation of mandatory green building policies	\$120,000	Wire	\$0	n/a	n/a
21			EAST ASIA AND THE PACIFIC	To support a feasibility study on building sectoral carbon trade mechanism in China	\$50,000	Wire	\$0	n/a	n/a
22			EAST ASIA AND THE PACIFIC	To support a feasibility study on passive design buildings in China	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
23			EAST ASIA AND THE PACIFIC	To support large-scale existing building retrofits in Shanghai Changning District	\$280,000	Wire	\$0	n/a	n/a
24			EAST ASIA AND THE PACIFIC	To support the research on expansion of low carbon planning concepts in Chenggong by revising Dounan's regulatory plan and to researching how to implement and manage the new plan	\$120,000	Wire	\$0	n/a	n/a
25			EAST ASIA AND THE PACIFIC	To support the Chenggong Low-carbon City Development Office	\$100,000	Wire	\$0	n/a	n/a
26			EAST ASIA AND THE PACIFIC	To support the study of a roadmap for Tianjin green building development.	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
27			EAST ASIA AND THE PACIFIC	To support research on roadmaps, supervision measures and incentive policies for mandating green buildings in public-funded buildings	\$60,000	Wire	\$0	n/a	n/a
28			EAST ASIA AND THE PACIFIC	To support the revision of National Commercial Building Energy Efficiency Standard.	\$150,000	Wire	\$0	n/a	n/a
29			EAST ASIA AND THE PACIFIC	To support research on an overarching system of building energy efficiency standards in China	\$50,000	Wire	\$0	n/a	n/a
30			EAST ASIA AND THE PACIFIC	Support research on detail climate zoning for building energy efficiency design.	\$80,000	Wire	\$0	n/a	n/a
31			EAST ASIA AND THE PACIFIC	To draft a National Green Shopping Mall Evaluation Standard and evaluate performance of certified green buildings	\$50,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
32			EAST ASIA AND THE PACIFIC	To support the policy design of a key performance index, reporting, and energy management system to regulate transportation enterprises	\$75,000	Wire	\$0	n/a	n/a
33			EAST ASIA AND THE PACIFIC	To continuously support the Ministry of Housing and Urban-Rural Development to promote public participation and practice of green transportation across the country.	\$200,000	Wire	\$0	n/a	n/a
34			EAST ASIA AND THE PACIFIC	To support the standard development and revision work of the Standardization Committee of Urban-rural Planning Techniques, MOHURD, including general support on urban-rural planning standardization work, and specific support on preliminary research on standards for urban transportation planning and urban land use planning.	\$200,000	Wire	\$0	n/a	n/a
35			EAST ASIA AND THE PACIFIC	To support public, media, and non-governmental organization engagement around climate science and climate change policy.	\$30,000	Wire	\$0	n/a	n/a
36			EAST ASIA AND THE PACIFIC	To support the policy design for clean fuel incentives in China	\$150,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
37			EAST ASIA AND THE PACIFIC	To support improving the Ministry of Industry and Information Technology's fuel economy website	\$35,000	Wire	\$0	n/a	n/a
38			EAST ASIA AND THE PACIFIC	To support the policy design for power battery industry development.	\$70,000	Wire	\$0	n/a	n/a
39			EAST ASIA AND THE PACIFIC	To support proposing the fuel consumption limit of phase III for light-duty commercial vehicles.	\$150,000	Wire	\$0	n/a	n/a
40			EAST ASIA AND THE PACIFIC	To support the development of Phase IV fuel economy standard for light-duty passenger cars and the revision of fuel economy labeling standards for light-duty vehicles.	\$150,000	Wire	\$0	n/a	n/a
41			EAST ASIA AND THE PACIFIC	To research new ways to integrate informatization and industrialization to promote industrial energy conservation.	\$85,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
42			EAST ASIA AND THE PACIFIC	To research on the effective and comprehensive utilization of coke oven Gas in independent coke enterprises	\$100,000	Wire	\$0	n/a	n/a
43			EAST ASIA AND THE PACIFIC	To support a regional wind grid integration study for Northeast China	\$300,000	Wire	\$0	n/a	n/a
44			EAST ASIA AND THE PACIFIC	To develop a performance-based standard for coal-fired generation and continue to support capacity building for the Center for Climate Change of the Power Industry at the China Electricity Council	\$210,000	Wire	\$0	n/a	n/a
45			EAST ASIA AND THE PACIFIC	To support a safety strategy research for interconnection of distributed photovoltaics and the residential power supply and consumption of the Turpan micro-grid pilot project	\$250,000	Wire	\$0	n/a	n/a
46			EAST ASIA AND THE PACIFIC	To support the Development of China Energy Efficiency Financing and Investment Report (2012)	\$150,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
47			EAST ASIA AND THE PACIFIC	To support a study on industry policy and administrative system framework for shale gas development and utilization in China	\$160,000	Wire	\$0	n/a	n/a
48			EAST ASIA AND THE PACIFIC	To support China Energy Research Society develop a power sector reform plan and assist in building regulatory capacity for implementation	\$180,000	Wire	\$0	n/a	n/a
49			EAST ASIA AND THE PACIFIC	To support the establishment of China's energy basic information platform	\$150,000	Wire	\$0	n/a	n/a
50			EAST ASIA AND THE PACIFIC	To support energy storage technology development and application analysis for the China 2050 High Renewable Energy Penetration Scenano and Roadmap study	\$70,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
51			EAST ASIA AND THE PACIFIC	To study and develop the principles and methodology of distributed natural gas energy planning and pilot demonstration.	\$134,000	Wire	\$0	n/a	n/a
52			EAST ASIA AND THE PACIFIC	To research verification and recommendation for industrial energy saving equipment, mechanisms to eliminate backwards equipment, and a demonstration project	\$250,000	Wire	\$0	n/a	n/a
53			EAST ASIA AND THE PACIFIC	To draft five implementation regulations of the State Incentive Program for flat-panel televisions, washers and refrigerators.	\$120,000	Wire	\$0	n/a	n/a
54			EAST ASIA AND THE PACIFIC	To draft management regulations on a mandatory energy conservation standard	\$80,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
55			EAST ASIA AND THE PACIFIC	To support pilot studies on greenhouse gas emissions reporting for industrial enterprises in Guangdong and Hubei province	\$150,000	Wire	\$0	n/a	n/a
56			EAST ASIA AND THE PACIFIC	To support the 100 Energy Conservation Standards Promotion project.	\$600,000	Wire	\$0	n/a	n/a
57			EAST ASIA AND THE PACIFIC	To participate in international testing of flat panel televisions organized by the Super-Efficient Equipment and Appliance Deployment Program led by CLASP	\$30,000	Wire	\$0	n/a	n/a
58			EAST ASIA AND THE PACIFIC	To coordinate energy efficiency testing for household solar water heaters	\$80,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
59			EAST ASIA AND THE PACIFIC	To draft a policy recommendation on establishing a local supervision system on appliance energy labels based on field trips and market investigations	\$20,000	Wire	\$0	n/a	n/a
60			EAST ASIA AND THE PACIFIC	To support research and development of "China Energy Label Implementation Rules" for household range hoods, water-source heat pumps, single-capped fluorescent lamps, and household electric washing machines	\$100,000	Wire	\$0	n/a	n/a
61			EAST ASIA AND THE PACIFIC	To conduct policy research on fiscal support for mandatory energy standard setting and implementation	\$80,000	Wire	\$0	n/a	n/a
62			EAST ASIA AND THE PACIFIC	To support the 2013 China National Top List of Excellent Manufacturers and Retailers and Energy Efficiency Products Program.	\$80,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
63			EAST ASIA AND THE PACIFIC	To support the development of a supervision and information system on energy efficiency testing labs for the China Energy Label System II	\$50,000	Wire	\$0	n/a	n/a
64			EAST ASIA AND THE PACIFIC	To continue support for an annual white paper on the progress of energy efficiency developments in energy-consuming products in China.	\$66,500	Wire	\$0	n/a	n/a
65			EAST ASIA AND THE PACIFIC	To support the 100 Energy Conservation Standards Promotion project (Phase II)	\$613,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
66			EAST ASIA AND THE PACIFIC	To Develop and Pilot the Publicizing Mechanism of Energy Efficiency Top-runners in Petroleum and Chemical Industry	\$150,000	Wire	\$0	n/a	n/a
67			EAST ASIA AND THE PACIFIC	To develop of training materials and training for the Top-10,000 Enterprises Energy Efficiency Program.	\$135,000	Wire	\$0	n/a	n/a
68			EAST ASIA AND THE PACIFIC	To support a study for improving China's solar photovoltaic feed-in tariff policy.	\$100,000	Wire	\$0	n/a	n/a
69			EAST ASIA AND THE PACIFIC	To support international energy transformation strategies and renewable energy development trend analysis for the China 2050 High Renewable Energy Penetration Scenano and Roadmap Study	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
70			EAST ASIA AND THE PACIFIC	To support promoting the green freight initiative in China	\$200,000	Wire	\$0	n/a	n/a
71			EAST ASIA AND THE PACIFIC	To study the barriers to and motivations behind demand-side management implementation with an emphasis on institutional and mechanism setup considerations as well as opportunities for innovation.	\$120,000	Wire	\$0	n/a	n/a
72			EAST ASIA AND THE PACIFIC	To study and establish a cost-benefit and optimization model for enhanced power system efficiency in China	\$230,000	Wire	\$0	n/a	n/a
73			EAST ASIA AND THE PACIFIC	To support the research on Qinghai-Tibet energy planning for built environments.	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
74			EAST ASIA AND THE PACIFIC	To increase public awareness about energy efficiency labels and transform the consumer market through a media campaign on the Beijing Subway Line 4 during the month of National Energy Conservation Week	\$30,000	Wire	\$0	n/a	n/a
75			EAST ASIA AND THE PACIFIC	To support the research on provincial climate change policies in Jiangsu.	\$100,000	Wire	\$0	n/a	n/a
76			EAST ASIA AND THE PACIFIC	To support research on metro station planning land use integration and the impact of e-bikes on urban transportation	\$160,000	Wire	\$0	n/a	n/a
77			EAST ASIA AND THE PACIFIC	To support the study on internalization of the environmental costs of coal	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
78			EAST ASIA AND THE PACIFIC	To support the research on the economic evaluation of CO2-EOR (enhanced oil recovery) deployment in China	\$180,000	Wire	\$0	n/a	n/a
79			EAST ASIA AND THE PACIFIC	To develop policy recommendations for China's air pollution stationary sources emission standards system based on research on U.S. standards	\$100,000	Wire	\$0	n/a	n/a
80			EAST ASIA AND THE PACIFIC	To support an inspection of motor fuels and promote clean fuels in China	\$150,000	Wire	\$0	n/a	n/a
81			EAST ASIA AND THE PACIFIC	To support national technical assistance and application of clean air planning and management tools in pilot cities.	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
82			EAST ASIA AND THE PACIFIC	To support the evaluation on in-use vehicle emissions compliance in China	\$177,000	Wire	\$0	n/a	n/a
83			EAST ASIA AND THE PACIFIC	To support the grantee to promote and provide training for eight principles on sustainable urban planning and design	\$100,000	Wire	\$0	n/a	n/a
84			EAST ASIA AND THE PACIFIC	To support a green building outreach program.	\$70,000	Wire	\$0	n/a	n/a
85			EAST ASIA AND THE PACIFIC		\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
86			EAST ASIA AND THE PACIFIC	To develop a public transport evaluation system and related subsidy policies in Chongqing	\$150,000	Wire	\$0	n/a	n/a
87			EAST ASIA AND THE PACIFIC	To support transit-oriented development Master Plan development of the North Chongqing area.	\$167,800	Wire	\$0	n/a	n/a
88			EAST ASIA AND THE PACIFIC	To support policy research in Chongqing to draft green building technical systems and municipal administrative rules on green buildings.	\$90,000	Wire	\$0	n/a	n/a
89			EAST ASIA AND THE PACIFIC	To support the public transport planning and parking system planning for Chongqing Yuelai Eco-city based on the Yuelai Eco-city Planning developed by Chongqing Planning & Design Institute, Calthorpe Associates and the Energy Foundation	\$100,000	Wire	\$0	n/a	n/a
90			EAST ASIA AND THE PACIFIC	To support the development of energy conservation implementation plan and personnel trainings for industrial park in Chongqing.	\$110,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
91			EAST ASIA AND THE PACIFIC	To support draft a national guideline for projecting provincial-level building energy use and policy analysis methods	\$60,000	Wire	\$0	n/a	n/a
92			EAST ASIA AND THE PACIFIC	To support a study of the construction scheme for establishing China's wind power forecasting system.	\$150,000	Wire	\$0	n/a	n/a
93			EAST ASIA AND THE PACIFIC	To support the local Implementation plan (Phase II) of the Top-10,000 Program in Liaoning province.	\$201,000	Wire	\$0	n/a	n/a
94			EAST ASIA AND THE PACIFIC	To compile the 2011 Results Collection and Extraction of the China Sustainable Energy Program and the 1999-2010 Results Collection and Extraction of the Renewables Program.	\$90,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
95			EAST ASIA AND THE PACIFIC	To support the research on the evaluation indicators system for China's low-carbon development and related supporting policies	\$150,000	Wire	\$0	n/a	n/a
96			EAST ASIA AND THE PACIFIC	To support a study of the environmental impacts and regulatory framework of unconventional gas development in China	\$200,000	Wire	\$0	n/a	n/a
97			EAST ASIA AND THE PACIFIC	Support policy research to push state-owned-enterprise (SOE) developers to go green	\$100,000	Wire	\$0	n/a	n/a
98			EAST ASIA AND THE PACIFIC	To support the promotion of sustainable energy development in China	\$200,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
99			EAST ASIA AND THE PACIFIC	To support the China Energy Outlook report and build the Energy Data and Information Platform	\$150,000	Wire	\$0	n/a	n/a
100			EAST ASIA AND THE PACIFIC	To provide support to National Development and Reform Committee, Ministry of Finance, and selected cities to advance the Demand-Side Management Cities Program implementation	\$180,000	Wire	\$0	n/a	n/a
101			EAST ASIA AND THE PACIFIC	To support the research on three key issues for promoting China's industrial energy efficiency.	\$382,000	Wire	\$0	n/a	n/a
102			EAST ASIA AND THE PACIFIC	To support construct a model to accurately quantify China's current and long-term building energy consumption.	\$140,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
103			EAST ASIA AND THE PACIFIC	To support the development of implementation regulations for China's Renewable Power Quota System	\$150,000	Wire	\$0	n/a	n/a
104			EAST ASIA AND THE PACIFIC	To support capacity building for local implementation of the Green Energy County Demonstration Program	\$180,000	Wire	\$0	n/a	n/a
105			EAST ASIA AND THE PACIFIC	To support a study of offshore wind development trend and supportive policies	\$100,000	Wire	\$0	n/a	n/a
106			EAST ASIA AND THE PACIFIC	To support a study of distributed generation development models, management methods, and supportive policies.	\$120,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
107			EAST ASIA AND THE PACIFIC	To support scenario evaluation and pathways design for the China 2050 High Renewable Energy Penetration Scenario and Roadmap study.	\$200,000	Wire	\$0	n/a	n/a
108			EAST ASIA AND THE PACIFIC	To support the renewable energy technologies analysis for the China 2050 High Renewable Energy Penetration Scenario and Roadmap Study	\$100,000	Wire	\$0	n/a	n/a
109			EAST ASIA AND THE PACIFIC	To support the compilation of the integrated report and the overall project coordination for the China 2050 High Renewable Energy Penetration Scenario and Roadmap Study	\$280,000	Wire	\$0	n/a	n/a
110			EAST ASIA AND THE PACIFIC	To support modifying the taxation scheme on automobiles in China	\$125,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
111			EAST ASIA AND THE PACIFIC	To support the capacity building for utilities energy efficiency services	\$120,000	Wire	\$0	n/a	n/a
112			EAST ASIA AND THE PACIFIC	To support the study on performance evaluation on governmental expenditure in energy and environment in China.	\$200,000	Wire	\$0	n/a	n/a
113			EAST ASIA AND THE PACIFIC	To support the organization of trainings and forums to disseminate CSEP grantees' policy recommendations among journalists, and improve the media's understanding of sustainable energy and climate issues	\$120,000	Wire	\$0	n/a	n/a
114			EAST ASIA AND THE PACIFIC	To support the Capacity Building for Technology Facility for Industrial Energy Efficiency (TFIEE)	\$150,000	Wire	\$0	n/a	n/a
115			EAST ASIA AND THE PACIFIC	To provide support to National Development and Reform Committee, Ministry of Finance, and selected cities to advance the Demand-Side Management Cities Program implementation.	\$120,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
116			EAST ASIA AND THE PACIFIC	To support the film about best practice of the low-carbon pilot provinces and cities in China	\$150,810	Wire	\$0	n/a	n/a
117			EAST ASIA AND THE PACIFIC	To support the research on piloting a carbon trading mechanism in Guangdong province.	\$150,000	Wire	\$0	n/a	n/a
118			EAST ASIA AND THE PACIFIC	To support the establishment of cooperation mechanisms for energy saving measurement and verification techniques and development of the 2012 China Industrial Energy Efficiency Report	\$400,000	Wire	\$0	n/a	n/a
119			EAST ASIA AND THE PACIFIC	To support the development of an electricity savings evaluation, measurement and verification (EM&V) technical system for demand-side management (DSM) programs, and build capacity for implementation	\$130,000	Wire	\$0	n/a	n/a
120			EAST ASIA AND THE PACIFIC	To support workshops to promote strengthening environmental protection	\$60,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
121			EAST ASIA AND THE PACIFIC	To further facilitate energy efficiency power plant (EPP) implementation and realize the objectives of the medium-to-long-term plan for demand-side management in Hebei province	\$160,000	Wire	\$0	n/a	n/a
122			EAST ASIA AND THE PACIFIC	To support research on optimizing and upgrading Hebei's iron and steel industry	\$80,000	Wire	\$0	n/a	n/a
123			EAST ASIA AND THE PACIFIC	To support a study of distributed PV development policies and green electricity market mechanism in Jiangsu	\$120,000	Wire	\$0	n/a	n/a
124			EAST ASIA AND THE PACIFIC	To continue the support of rating on vehicle energy performance and environmental impacts and promoting low carbon fuel standards.	\$120,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
125			EAST ASIA AND THE PACIFIC	To continue to support the introduction of climate policies from California and the Climate Registry into China	\$120,000	Wire	\$0	n/a	n/a
126			EAST ASIA AND THE PACIFIC	To support the Livable Transport Demonstration project focusing on pilot cities selection	\$80,000	Wire	\$0	n/a	n/a
127			EAST ASIA AND THE PACIFIC	To support the establishment of ancillary service pricing and compensation mechanisms for enhanced system operational efficiency in the power sector	\$140,000	Wire	\$0	n/a	n/a
128			EAST ASIA AND THE PACIFIC	To promote information disclosures and an environmental performance report for coal enterprises	\$100,000	Wire	\$0	n/a	n/a
129			EAST ASIA AND THE PACIFIC	To prepare an outlook on global solid state lighting development and policies in both English and Chinese.	\$50,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
130			EAST ASIA AND THE PACIFIC	To support the promotion of technology to help regulate vehicle emissions in Jiangsu Province	\$100,000	Wire	\$0	n/a	n/a
131			EAST ASIA AND THE PACIFIC	To support the research on provincial climate change policies in Jiangsu	\$100,000	Wire	\$0	n/a	n/a
132			EAST ASIA AND THE PACIFIC	To support the development of 2012 China's Local Energy Conservation Development Report	\$120,000	Wire	\$0	n/a	n/a
133			EAST ASIA AND THE PACIFIC	To support a study policy system improvements for air quality management in Jiangsu Province.	\$120,000	Wire	\$0	n/a	n/a
134			EAST ASIA AND THE PACIFIC	To support a study on technologies and policies for the total emission control of nitrogen oxide in the cement sector in China	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
135			EAST ASIA AND THE PACIFIC	To support developing and applying clean air planning and management tools in Jinan.	\$80,000	Wire	\$0	n/a	n/a
136			EAST ASIA AND THE PACIFIC	To support research on parking system development and parking policies in Kunming.	\$250,000	Wire	\$0	n/a	n/a
137			EAST ASIA AND THE PACIFIC	To support the research on general planning principles for transit-oriented development areas and land uses along the rail transport system in Kunming.	\$150,000	Wire	\$0	n/a	n/a
138			EAST ASIA AND THE PACIFIC	To support the bicycle system development along the Panlong River, Kunming	\$40,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
139			EAST ASIA AND THE PACIFIC	To carry out in-depth research on a regulatory indicator system for land use in Chenggong core areas based on the interpretation of the Regulatory Detailed Planning for Chenggong Core Area.	\$100,000	Wire	\$0	n/a	n/a
140			EAST ASIA AND THE PACIFIC	To support the design of a vehicle emissions-based congestion charge scheme in Nanjing city.	\$60,000	Wire	\$0	n/a	n/a
141			EAST ASIA AND THE PACIFIC	To support the design of vehicle emissions control measures in Nanjing during the Youth Olympic Games	\$60,000	Wire	\$0	n/a	n/a
142			EAST ASIA AND THE PACIFIC	To support the co-benefit analysis of policies to improve air quality in Suzhou city.	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
143			EAST ASIA AND THE PACIFIC	To continuously support Jiangsu Province to develop a performance evaluation system on public transit and develop related incentive policies to promote public transit development throughout the province.	\$200,000	Wire	\$0	n/a	n/a
144			EAST ASIA AND THE PACIFIC	To support the mayors' training program.	\$250,000	Wire	\$0	n/a	n/a
145			EAST ASIA AND THE PACIFIC	To support a study on the guidelines for pilot emissions trading schemes design	\$200,000	Wire	\$0	n/a	n/a
146			EAST ASIA AND THE PACIFIC	To support the capacity building of the newly founded organization NCSC, and provide the substantial assistance in facilitating operation and maintenance of the Low Carbon and Green Development Project Management Office	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
147			EAST ASIA AND THE PACIFIC	To support the research on the measures for further promoting low carbon development in China.	\$100,000	Wire	\$0	n/a	n/a
148			EAST ASIA AND THE PACIFIC	To pilot an independent energy auditing system, scale up the Energy Efficiency Star Program, and develop energy assessment regulations.	\$270,000	Wire	\$0	n/a	n/a
149			EAST ASIA AND THE PACIFIC	To support a study on steam coal quality improvement	\$120,000	Wire	\$0	n/a	n/a
150			EAST ASIA AND THE PACIFIC	To support the Ningxia Hui Autonomous Region to design and implement a local demand-side management (DSM) program as a member of the first group of national DSM pilot cities.	\$130,000	Wire	\$0	n/a	n/a
151			EAST ASIA AND THE PACIFIC	To develop a national 2010-2012 demand-side management progress report.	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
152			EAST ASIA AND THE PACIFIC	To support an investigation and analysis of China's wind curtailment situation and improvement of regulatory rules and capacity to reduce wind curtailment.	\$180,000	Wire	\$0	n/a	n/a
153			EAST ASIA AND THE PACIFIC	To support China Buildings Program's first all-grantee meeting in Qinhuangdao, Hebei.	\$45,000	Wire	\$0	n/a	n/a
154			EAST ASIA AND THE PACIFIC	To support green building development, passive design house standards and building codes enforcement in Hebei Province	\$150,000	Wire	\$0	n/a	n/a
155			EAST ASIA AND THE PACIFIC	To support the China Green Growth fellowship program	\$110,000	Wire	\$0	n/a	n/a
156			EAST ASIA AND THE PACIFIC	To support a study on energy quotas for commercial buildings	\$100,000	Wire	\$0	n/a	n/a
157			EAST ASIA AND THE PACIFIC	To support public participation on air pollution control	\$130,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
158			EAST ASIA AND THE PACIFIC	To establish energy management systems in the semi-coke and calcium carbide industry of Shaanxi Province	\$80,000	Wire	\$0	n/a	n/a
159			EAST ASIA AND THE PACIFIC	To support the Center Alliance for Industrial Energy Efficiency to develop five policy reports on industrial energy efficiency.	\$250,000	Wire	\$0	n/a	n/a
160			EAST ASIA AND THE PACIFIC	To support the study on implementing provincial energy consumption control in Shandong, and related allocation and supervision mechanism.	\$100,000	Wire	\$0	n/a	n/a
161			EAST ASIA AND THE PACIFIC	To support policy research for the Top-10,000 Enterprises Energy Efficiency Program	\$150,000	Wire	\$0	n/a	n/a
162			EAST ASIA AND THE PACIFIC	To support the local implementation of the Top-10,000 Enterprises Energy Efficiency Program in Shandong provinces	\$400,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
163			EAST ASIA AND THE PACIFIC	To support a provincial pilot on building codes enforcement and green building development in Shandong	\$85,000	Wire	\$0	n/a	n/a
164			EAST ASIA AND THE PACIFIC	To support a research on e-bike battery and vehicle air conditioning refrigerant recycling in China	\$65,000	Wire	\$0	n/a	n/a
165			EAST ASIA AND THE PACIFIC	To support the Center Alliance for Industrial Energy Efficiency in the development of eight policy reports on industrial energy efficiency.	\$420,000	Wire	\$0	n/a	n/a
166			EAST ASIA AND THE PACIFIC	To support Shandong University to carry out a students' fellowship program and to join University Alliance to develop curricula and conduct training to enhance the capacity of the field.	\$60,000	Wire	\$0	n/a	n/a
167			EAST ASIA AND THE PACIFIC	To assist Shanghai to tailor the carbon trading mechanism	\$150,000	Wire	\$0	n/a	n/a
168			EAST ASIA AND THE PACIFIC	To support the research on software standardization for code compliance checks and technical guidelines for green affordable housing	\$150,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
169			EAST ASIA AND THE PACIFIC	To support research on actual green performance of over 40 certified green buildings in Shanghai	\$50,000	Wire	\$0	n/a	n/a
170			EAST ASIA AND THE PACIFIC	To support a pilot study on resident behavior of using energy	\$50,000	Wire	\$0	n/a	n/a
171			EAST ASIA AND THE PACIFIC	To support capacity building for the Third-Party Energy Saving Verification Institution of Shanghai Jiao Tong University and University Alliance for Industrial Energy Efficiency's Energy Specialist Training Center.	\$150,000	Wire	\$0	n/a	n/a
172			EAST ASIA AND THE PACIFIC	To promote advanced concepts and practices on sustainable urban planning and development around the Yangzi River Delta.	\$100,000	Wire	\$0	n/a	n/a
173			EAST ASIA AND THE PACIFIC	To support green transportation development of the Shanghai Changning district	\$180,000	Wire	\$0	n/a	n/a
174			EAST ASIA AND THE PACIFIC	To support research on promoting optimal electric power system operation and key technologies in East China.	\$140,000	Wire	\$0	n/a	n/a
175			EAST ASIA AND THE PACIFIC	To support a provincial pilot on building codes enforcement and green building development in Shanxi.	\$94,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
176			EAST ASIA AND THE PACIFIC	To establish and improve the Energy Management System (EnMS) in the key energy-intensive sectors in Shanxi and facilitate the local implementation of Top 10,000 program.	\$200,000	Wire	\$0	n/a	n/a
177			EAST ASIA AND THE PACIFIC	To support the co-control of greenhouse gases and air pollutants for Shenzhen's air pollution control	\$120,000	Wire	\$0	n/a	n/a
178			EAST ASIA AND THE PACIFIC	To support research on technical guidelines for green building district planning	\$60,000	Wire	\$0	n/a	n/a
179			EAST ASIA AND THE PACIFIC	To support the development of reporting and evaluation system for implementing the Top 10,000 Enterprises Initiative in the building sector	\$75,000	Wire	\$0	n/a	n/a
180			EAST ASIA AND THE PACIFIC	To support a study on regulatory mechanisms for shale gas pilot development in Sichuan province	\$150,000	Wire	\$0	n/a	n/a
181			EAST ASIA AND THE PACIFIC	To support to develop a large-scale promotion scheme for demand-side management implementation and to build DSM implementation capacity in Sichuan province.	\$150,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
182			EAST ASIA AND THE PACIFIC	To support the "Special Policy Study on Prevention and Control of PM2.5" and the "Special Policy Study on Oil Spill in China."	\$200,000	Wire	\$0	n/a	n/a
183			EAST ASIA AND THE PACIFIC	To support an evaluation indicator system and policies on green credits for Chinese enterprises	\$100,000	Wire	\$0	n/a	n/a
184			EAST ASIA AND THE PACIFIC	To assess the implementation of the national neighborhood electric vehicle demonstration program and the performance of hybrid electric vehicles and electric vehicle products.	\$250,000	Wire	\$0	n/a	n/a
185			EAST ASIA AND THE PACIFIC	To support the research on the supporting policies and innovative mechanism for utilities to implement demand-side management, as well as corresponding regulations in Jiangsu province.	\$130,000	Wire	\$0	n/a	n/a
186			EAST ASIA AND THE PACIFIC	To develop a business model and operating mechanisms for Jiangsu Provincial Grid Company's energy-saving subsidiaries and generate experiences for other utility-affiliated energy service companies.	\$200,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
187			EAST ASIA AND THE PACIFIC	To support a study of the implementation methods for renewable power priority dispatch.	\$150,000	Wire	\$0	n/a	n/a
188			EAST ASIA AND THE PACIFIC	To support the future power system structuring, modeling and simulation analysis for the China 2050 High Renewable Energy Penetration Scenario and Roadmap Study	\$250,000	Wire	\$0	n/a	n/a
189			EAST ASIA AND THE PACIFIC	To support the local implementation of Top-10,000 Enterprises Energy Efficiency Program in Suzhou.	\$120,000	Wire	\$0	n/a	n/a
190			EAST ASIA AND THE PACIFIC	To support research on Volatile Organic Compounds (VOCs), methane and air toxic emissions reduction from petroleum and natural gas industry.	\$110,000	Wire	\$0	n/a	n/a
191			EAST ASIA AND THE PACIFIC	To assist Tianjin to tailor the carbon trading mechanism	\$150,000	Wire	\$0	n/a	n/a
192			EAST ASIA AND THE PACIFIC	To support development of a national evaluation system for residential retrofit and heat reform in North China in the 12th Five-Year Plan.	\$60,000	Wire	\$0	n/a	n/a
193			EAST ASIA AND THE PACIFIC	To support the research on an applicable evaluation index system for green building districts	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
194			EAST ASIA AND THE PACIFIC	To support the development of the New Energy Vehicle promotion plan in Jiading District, Shanghai City	\$80,000	Wire	\$0	n/a	n/a
195			EAST ASIA AND THE PACIFIC	To support research on existing building retrofits by the energy management contract model	\$80,000	Wire	\$0	n/a	n/a
196			EAST ASIA AND THE PACIFIC	To support research on metro line planning and land use around metro stations using Shanghai as a case study.	\$100,000	Wire	\$0	n/a	n/a
197			EAST ASIA AND THE PACIFIC	To support Top10 China to conduct a market analysis on China's energy efficiency products.	\$50,000	Wire	\$0	n/a	n/a
198			EAST ASIA AND THE PACIFIC	To evaluate the energy-saving benefit of drop-and-hook pilots	\$80,000	Wire	\$0	n/a	n/a
199			EAST ASIA AND THE PACIFIC	To support a study of the national innovation system and policies for China's new energy industry	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
200			EAST ASIA AND THE PACIFIC	To support U.S.-China collaboration on new energy vehicles (NEV) and the development of a set of principles for NEV promotion in China	\$80,000	Wire	\$0	n/a	n/a
201			EAST ASIA AND THE PACIFIC	This research will assist Beijing to tailor the carbon trading mechanism	\$150,000	Wire	\$0	n/a	n/a
202			EAST ASIA AND THE PACIFIC	To support the research on modeling and analyzing environmental impacts of various urban development forms	\$225,000	Wire	\$0	n/a	n/a
203			EAST ASIA AND THE PACIFIC	To continue the support of excellent doctor candidates in the field of transportation energy saving and emission control.	\$20,000	Wire	\$0	n/a	n/a
204			EAST ASIA AND THE PACIFIC	To support city level emission inventory tools for China	\$80,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
205			EAST ASIA AND THE PACIFIC	To support a joint study between Tsinghua University and the Massachusetts Institute of Technology on modeling China's low-carbon development strategy and policies	\$120,000	Wire	\$0	n/a	n/a
206			EAST ASIA AND THE PACIFIC	To support a comparative joint study on the coal value chain and its relation to climate change in both the U.S. and China.	\$100,000	Wire	\$0	n/a	n/a
207			EAST ASIA AND THE PACIFIC	To support capacity building of the University Alliance for Industrial Energy Efficiency to train energy engineers and energy efficiency assessment	\$200,000	Wire	\$0	n/a	n/a
208			EAST ASIA AND THE PACIFIC	To support a feasibility study on Wuhan bus rapid transit construction and implementation	\$100,000	Wire	\$0	n/a	n/a
209			EAST ASIA AND THE PACIFIC	To support strategic research on bus rapid transit (BRT) development and construction in Hubei Province, and facilitate the province to develop provincial policies promoting BRT in its large- and medium-sized cities	\$100,000	Wire	\$0	n/a	n/a

	1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
210			EAST ASIA AND THE PACIFIC	To support feasibility study on piloting carbon trade in Xiamen's public buildings	\$50,000	Wire	\$0	n/a	n/a
211			EAST ASIA AND THE PACIFIC	To support a study on policy and institutional reforms to address the most urgent issues in sustainable power sector development in China during the 12th Five-Year Plan	\$180,000	Wire	\$0	n/a	n/a
212			EAST ASIA AND THE PACIFIC	To support research on forecasting the energy conservation target and capacity building of energy managers from the Top-10,000 program in Henan	\$267,000	Wire	\$0	n/a	n/a
213			EAST ASIA AND THE PACIFIC	To support a clean air knowledge management and capacity building system for Chinese cities	\$174,615	Wire	\$0	n/a	n/a

\$28,797,685

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

0

3 Enter total number of other organizations or entities

147

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) N/A							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
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(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1** Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2** Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A) ☐ Yes ☒ No
- 3** Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471) ☐ Yes ☒ No
- 4** Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621) ☐ Yes ☒ No
- 5** Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865) ☐ Yes ☒ No
- 6** Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713) ☐ Yes ☒ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Though the IRS has recognized the Energy Foundation as a Public Charity, the Foundation continues to monitor all non-public charity grants via the private foundation mechanism of Expenditure Responsibility as a best practice. For every non-public charity grantee, and over the duration of the grant, the project is monitored via an interim report and final report of activity and expenditures which are required, EF program staff reviewed, and must be approved before releasing accompanying payments. Program staff, who have reviewed and approved as reasonable proposed budget expenditures must also approve reported expenditures as reasonable before payments are released by grants and finance staff. In the case of final payments, a grantee must show the grant award expended in total, program staff must approve as reasonable, and the final payment is released as reimbursement. The final payments are usually 8 % to 10 % of the total award. This assures final accounting of all grant dollars. The number of payments are determined by responsiveness and prior history between the Foundation and the grantee.

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations, Governments, and Individuals in
the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22
Attach to Form 990.

OMB No. 1545-0047

2012

Department of the Treasury Internal Revenue Service

Name of organization
The Energy Foundation

Employer identification number

94-3126848

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

☒ Yes ☐ No

Part II

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed. ☐

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
1	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	509a1	\$470,000	\$0	n/a	n/a	To advance state and federal energy efficiency policy
2	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	509a1	\$245,000	\$0	n/a	n/a	To advocate for stringent building codes nationally
3	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	509a1	\$100,000	\$0	n/a	n/a	To advance light and heavy duty vehicle standards and promote vehicle electrification
4	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	509a1	\$60,000	\$0	n/a	n/a	To support review study and update on U.S. energy efficiency policies in both buildings and industry sectors

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5	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	509a1	\$60,000	\$0	n/a	n/a	To provide general operating support
6	Advanced Energy Economy Institute 1101 14th Street NW, Suite 1200 Washington, DC, 20005 United States	80-0373801	509a1	\$315,500	\$0	n/a	n/a	To raise the awareness of clean energy benefits.
7	Advanced Energy Economy Institute Ohio 21 West Broad Street 5th Floor Columbus, Ohio 43215 United States	80-0373801	509a1	\$33,500	\$0	n/a	n/a	To educate the public and stakeholders about the benefits of clean energy and energy efficiency in Ohio.
8	Alabama Environmental Council 2431 Second Avenue North Birmingham, Alabama 35210 United States	23-7044787	509a2	\$45,000	\$0	n/a	n/a	To increase capacity and stakeholder engagement on clean energy issues in Alabama.
9	Alabama Rivers Alliance 2027 2nd Avenue North Suite A Birmingham, Alabama 35202 United States	63-1186023	509a1	\$15,000	\$0	n/a	n/a	To increase capacity and support for clean water policies in Alabama
10	Alliance for Affordable Energy 2372 St. Claude Ave., 3rd Floor New Orleans, Louisiana 70117 United States	72-1057834	509a1	\$20,000	\$0	n/a	n/a	To advocate for energy efficiency in Louisiana
11	Alliance for Affordable Energy 2372 St. Claude Ave., 3rd Floor New Orleans, Louisiana 70117 United States	72-1057834	509a1	\$110,000	\$0	n/a	n/a	To advance energy efficiency policies in Louisiana
12	Alliance to Save Energy 1850 M Street, NW, Ste 600 Washington, District of Columbia 20036 United States	52-1082991	509a1	\$100,000	\$0	n/a	n/a	To advocate for policies and programs that support energy efficiency.

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13	Alliance to Save Energy 1850 M Street, NW, Ste 600 Washington, District of Columbia 20036 United States	52-1082991	509a1	\$100,000	\$0	n/a	n/a	To develop a stringent national model energy code and to continue the "2012 Overture" campaign to encourage adoption of the 2012 IECC in cities and states.
14	Alliance to Save Energy Building Codes Assistance Project 1850 M Street, NW, Ste 600 Washington, District of Columbia 20036 United States	52-1082991	509a1	\$225,000	\$0	n/a	n/a	To advocate for strong national model codes and provide code implementation technical assistance to states.
15	American Action Forum, Inc. 555-13th St., NW Suite 510 West Washington, District of Columbia 20004 United States	27-0567765	509a1	\$150,000	\$0	n/a	n/a	To perform analysis and outreach around carbon and energy policy.
16	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	509a1	\$120,000	\$0	n/a	n/a	To advance industrial energy efficiency and combined heat and power.
17	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20045 United States	94-2711707	509a1	\$40,000	\$0	n/a	n/a	To advance industrial energy efficiency through improved utility program offerings
18	American Council for an Energy-Efficient Economy 529 14th Street, NW Suite 600 Washington, District of Columbia 20046 United States	94-2711707	509a1	\$40,000	\$0	n/a	n/a	To provide general operating support.
19	American Council on Renewable Energy 1600 K ST NW Suite 700 Washington, District of Columbia 20006 United States	52-2353661	509a2	\$50,000	\$0	n/a	n/a	To communicate the facts and benefits of clean energy.
20	American Council on Renewable Energy 1600 K ST NW Suite 700 Washington, District of Columbia 20006 United States	52-2353661	509a2	\$85,000	\$0	n/a	n/a	To design and promote federal renewable energy finance policies.

2	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
21	American Council on Renewable Energy 1600 K ST NW Suite 700 Washington, District of Columbia 20006 United States	52-2353661	508a2	\$350,000	\$0	n/a	n/a	To provide general operating support.
22	American Council on Renewable Energy 1600 K ST NW Suite 700 Washington, District of Columbia 20006 United States	52-2353661	508a2	\$81,000	\$0	n/a	n/a	To support analysis of public opinion on clean energy
23	American Gas Foundation 400 North Capital Street NW Washington, District of Columbia 20001-1611 United States	54-1801306	508a1	\$40,000	\$0	n/a	n/a	To enhance the role of natural gas utilities in promoting energy efficiency policies.
24	American Lung Association 1301 Pennsylvania Ave., NW Suite 800 Washington, District of Columbia 20004 United States	13-1632524	508a1	\$750,000	\$0	n/a	n/a	To support the second phase of the American Lung Association's Healthy Air Campaign
25	American Lung Association 1301 Pennsylvania Ave , NW Suite 800 Washington, District of Columbia 20004 United States	13-1632524	508a1	\$500,000	\$0	n/a	n/a	To support the second phase of the American Lung Association's Healthy Air Campaign.
26	American Lung Association in California 1029 J Street Suite 450 Sacramento, California 95814 United States	94-0362650	508a1	\$75,000	\$0	n/a	n/a	To support outreach and education on the health impacts of climate change and vehicle emissions in California
27	Appalachian State University North Carolina Energy Efficiency Alliance 730 Rivers Street Boone, North Carolina 28608 United States	56-1176030	508a1	\$65,000	\$0	n/a	n/a	To advance energy efficient building codes in North Carolina.
28	Arkansas Advanced Energy Association 124 W. Capitol Avenue Suite 1630 Little Rock, Arkansas 72201 United States	45-3724039	501c6	\$15,000	\$0	n/a	n/a	To support the hiring of an economic development and public education director

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29	Better World Fund 25x'25 1800 Massachusetts Ave, NW, Suite 400 Washington, District of Columbia 20036 United States	58-2366765	508a1	\$110,000	\$0	n/a	n/a	To promote renewable energy and energy efficiency policies among rural, agricultural or forestry stakeholders, and to promote energy efficiency regulations in Mississippi.
30	Better World Fund Energy Future Coalition 1800 Massachusetts Ave, NW, Suite 400 Washington, District of Columbia 20036 United States	58-2366765	509a1	\$50,000	\$0	n/a	n/a	To support the development of effective state-based communication campaigns on behalf of energy efficiency policy in Ohio and Pennsylvania
31	Better World Fund Energy Future Coalition 1800 Massachusetts Ave, NW, Suite 400 Washington, District of Columbia 20036 United States	58-2366765	508a1	\$30,000	\$0	n/a	n/a	To conduct outreach and education on energy efficiency policies in Ohio.
32	Biomass Power Association 100 Middle Street, PO Box 9729 Portland, Maine 04104 United States	77-0508798	501c6	\$50,000	\$0	n/a	n/a	To support an education campaign on the benefits of biopower.
33	The BlueGreen Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	509a2	\$30,000	\$0	n/a	n/a	To organize labor and environmental allies supporting stronger building codes in California.
34	The BlueGreen Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	509a2	\$45,000	\$0	n/a	n/a	To support an education campaign to Michigan union members on the importance of renewable energy policies.
35	The BlueGreen Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	509a2	\$220,000	\$0	n/a	n/a	To support outreach with labor on EPA clean air rules and California climate policy
38	The BlueGreen Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	509a2	\$100,000	\$0	n/a	n/a	To support a national outreach program to encourage renewable energy manufacturing and promote the renewable energy supply chain

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37	The BlueGreen Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	509a2	\$250,000	\$0	n/a	n/a	To support labor engagement around vehicle and fuels policies.
38	The BlueGreen Alliance Foundation 2828 University Ave. SE, #200 Minneapolis, Minnesota 55414 United States	20-3477309	509a2	\$115,000	\$0	n/a	n/a	To provide general operating support
39	The Board of Regents of the University of Wisconsin System Center on Wisconsin Strategy Research & Sponsored Programs 21 North Park Street, Suite 6401 Madison, Wisconsin 53715-1218 United States	39-6006492	509a1	\$7,000	\$0	n/a	n/a	To support the final design of USDA's Rural Utilities Service Energy Efficiency Program.
40	Breakthrough Technologies Institute The GoElectricDrive Foundation 1100 H Street, NW, Suite 800 Washington, District of Columbia 20005 United States	52-1834685	509a1	\$25,000	\$0	n/a	n/a	Support outreach efforts to enable mass-market adoption of electric drive vehicles by consumers.
41	California Housing Partnership Corporation 369 Pine Street, Suite 300 San Francisco, California 94104 United States	68-0183682	509a1	\$20,000	\$0	n/a	n/a	To build capacity for energy efficiency upgrades in rental multifamily housing
42	CALSTART, Inc 48 South Chester Ave Pasadena, California 91106 United States	95-4375022	509a1	\$75,000	\$0	n/a	n/a	Support the design of strong vehicles standards and accelerate the adoption of clean trucks.
43	CALSTART, Inc. California PEV Collaborative 48 South Chester Ave Pasadena, California 91106 United States	95-4375022	509a1	\$20,000	\$0	n/a	n/a	To support electric vehicle policy development in California.
44	Calthorpe Associates, Inc 2095 Rose Street, Ste 201 Berkeley, California 94709 United States		N/A	\$490,000	\$0	n/a	n/a	To support Calthorpe Associates to develop a TOD master plan for Chongqing in which densities and types of development are rationally correlated with transit system capacities

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45	Calthorpe Associates, Inc 2095 Rose Street, Ste 201 Berkeley, California 94709 United States		N/A	\$310,000	\$0	n/a	n/a	To support Calthorpe Associates to develop urban design strategies for the growth areas of Chongqing.
46	Calthorpe Associates, Inc 2095 Rose Street, Ste 201 Berkeley, California 94709 United States		N/A	\$460,000	\$0	n/a	n/a	To support projects of Chenggong in Kunming and Yuelai in Chongqing, to develop a design manual document in concert with key planning and design professionals in China, and to provide trainings on sustainability principles.
47	Carnegie Endowment for International Peace 1779 Massachusetts Ave. Washington, District of Columbia 20036 United States	13-0552040	509a1	\$30,000	\$0	n/a	n/a	To support research on electric vehicle deployment.
48	Carnegie Endowment for International Peace 1779 Massachusetts Ave. Washington, District of Columbia 20036 United States	13-0552040	509a1	\$100,000	\$0	n/a	n/a	To support a comparative joint study on the coal value chain and its relation to climate change in both U.S. and China.
49	Carnegie Endowment for International Peace 1779 Massachusetts Ave. Washington, District of Columbia 20036 United States	13-0552040	509a1	\$30,000	\$0	n/a	n/a	To education the public and decision makers about risks from unconventional oils.
50	Catholic Charities of Stockton 1106 N El Dorado Street Stockton, California 95202 United States	94-1629114	509a1	\$50,000	\$0	n/a	n/a	To educate Catholics on California's emissions reductions policies.
51	CEL Education Fund 2150 Alston Way Suite 360 Berkeley, California 94704 United States	45-3154473	509a1	\$125,000	\$0	n/a	n/a	To engage non-traditional allies on climate change action
52	Center for Auto Safety, Inc 1825 Connecticut Avenue, NW Suite 330 Washington, District of Columbia 20009-5708 United States	52-0902868	509a1	\$100,000	\$0	n/a	n/a	To support media and communications outreach promoting federal car standards.

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53	Center for Clean Air Policy 750 First Street, NE Suite 940 Washington, District of Columbia 20002 United States	52-1423164	509a1	\$100,000	\$0	n/a	n/a	To support policy development and advocacy on EPA and other carbon policies
54	The Center for Climate Strategies 1800 K Street NW Suite 714 Washington, District of Columbia 20006 United States	31-1877573	509a1	\$200,000	\$0	n/a	n/a	To conduct the second phase of work to strengthen local capacity for the planning process and analysis of national scale low-carbon pilots, especially in Guangdong and Chongqing.
55	Center for Energy Efficiency and Renewable Technologies 1100 11th Street Suite 311 Sacramento, California 95814 United States	68-0260751	509a1	\$523,600	\$0	n/a	n/a	To accelerate big solar and other renewable power development in the West
56	Center for Energy Efficiency and Renewable Technologies 1100 11th Street Suite 311 Sacramento, California 95814 United States	68-0260751	509a1	\$60,000	\$0	n/a	n/a	To support and defend AB 32
57	Center for Energy Efficiency and Renewable Technologies Western Grid Group 1100 11th Street Suite 311 Sacramento, California 95814 United States	68-0260751	509a1	\$450,000	\$0	n/a	n/a	To support integration of clean energy resources on the Western electricity grid
58	Center for Neighborhood Technology 2125 W North Avenue Chicago, Illinois 60647 United States	38-2967283	509a1	\$60,000	\$0	n/a	n/a	To research opportunities for accelerating energy efficiency in the realty market.
59	Center for Rural Affairs PO Box 138 Lyons, Nebraska 68038 United States	47-0553823	509a1	\$50,000	\$0	n/a	n/a	To continue support for outreach to rural stakeholders on the benefits of transmission and renewable energy policy.
60	Ceres, Inc 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	509a1	\$100,000	\$0	n/a	n/a	To mobilize economic messengers to support EPA Clean Air Act rulemaking.

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61	Ceres, Inc. 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	509a1	\$265,000	\$0	n/a	n/a	To support business and investor engagement on advancing strong vehicles and fuels standards.
62	Ceres, Inc. 99 Chauncy Street Boston, Massachusetts 02111-1703 United States	22-3053747	509a1	\$40,000	\$0	n/a	n/a	To accelerate a transition from coal to clean energy in the U.S
63	Chesapeake Climate Action Network PO Box 11138 Takoma Park, Maryland 20912 United States	11-3644283	509a1	\$25,000	\$0	n/a	n/a	To conduct a comprehensive clean energy analysis in Virginia.
64	Christian Coalition PO Box 37030 Washington, District of Columbia 20013-7030 United States	52-1685899	509a1	\$100,000	\$0	n/a	n/a	To perform outreach on energy and climate change.
65	Christian Coalition PO Box 37030 Washington, District of Columbia 20013-7030 United States	52-1685899	509a1	\$100,000	\$0	n/a	n/a	To identify and educate supporters of renewable energy within the conservative community.
66	Christian Coalition PO Box 37030 Washington, District of Columbia 20013-7030 United States	52-1685899	509a1	\$100,000	\$0	n/a	n/a	To support outreach to socially conservative audiences on energy reform policies
67	Christian Coalition PO Box 37030 Washington, District of Columbia 20013-7030 United States	52-1685899	509a1	\$100,000	\$0	n/a	n/a	To perform outreach on energy and climate change.
68	Citizens Action Coalition Education Fund Inc. 603 E. Washington St. Suite 502 Indianapolis, Indiana 46204 United States	51-0181687	509a1	\$35,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Indiana.

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69	Citizens for Pennsylvania's Future 610 North Third Street Harrisburg, Pennsylvania 17101 United States	31-1607866	509a1	\$60,000	\$0	n/a	n/a	To advance EE and RE in Pennsylvania
70	Citizens for Pennsylvania's Future 610 North Third Street Harrisburg, Pennsylvania 17101 United States	31-1607866	509a1	\$50,000	\$0	n/a	n/a	To advance building codes and benchmarking policies in Pennsylvania
71	Citizens Utility Board Inc., CUB 16 N. Carroll Street, Suite 640 Madison, Wisconsin 53703 United States	39-1655109	509a1	\$60,000	\$0	n/a	n/a	To retire old coal fired generation in Wisconsin
72	Clean Air Cool Planet, Inc., A Northeast Alliance 161 Cherry Street New Canaan, Connecticut 06840 United States	04-3492988	509a2	\$75,000	\$0	n/a	n/a	To provide general operating support.
73	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	509a2	\$340,000	\$0	n/a	n/a	To support strong federal EPA regulations air pollution from coal-fired power plants.
74	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	509a2	\$100,000	\$0	n/a	n/a	To provide expert analysis of EPA clean air regulations
75	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	509a2	\$875,000	\$0	n/a	n/a	To limit greenhouse gas emissions from natural gas extraction, distribution and use.
76	Clean Air Task Force, Inc. 18 Tremont Street Suite 530 Boston, Massachusetts 02108 United States	04-3512550	509a2	\$50,000	\$0	n/a	n/a	To secure strong federal air quality rules to help transition the U.S. off of coal-fired power.

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77	Clean Economy Development Center 1707 N NW Washington, District of Columbia 20038 United States	27-1762207	509a1	\$25,000	\$0	n/a	n/a	To build support for clean energy policy in the Northwest.
78	Clean Energy Group Inc. 50 State Street Suite 1 Montpelier, Vermont 05602 United States	03-0359346	509a1	\$75,000	\$0	n/a	n/a	To continue support for the State-Federal Collaborative on Renewable Power Standards.
79	Clean Energy Group Inc. Clean Energy States Alliance 50 State Street Suite 1 Montpelier, Vermont 05602 United States	27-0029803	509a1	\$50,000	\$0	n/a	n/a	To continue support for collaboration between states and federal agencies to advance offshore wind
80	Clean Energy Project 817 S Main St Las Vegas, Nevada 89101 United States	26-2791337	509a1	\$20,000	\$0	n/a	n/a	To activate small businesses in support of clean energy policies in Nevada
81	Clean Energy Trust 2 North LaSalle Ste. 1601 Chicago, Illinois 60602 United States	27-2378677	509a1	\$50,000	\$0	n/a	n/a	To support education on clean energy policies in Illinois.
82	Clean Water Fund 1010 Vermont Avenue NW, Suite 400 Washington, District of Columbia 20005-4918 United States	52-1043444	509a1	\$255,000	\$0	n/a	n/a	To provide general operating support.
83	Clean Water Fund 1010 Vermont Avenue NW, Suite 400 Washington, District of Columbia 20005-4918 United States	52-1043444	509a1	\$45,000	\$0	n/a	n/a	To accelerate the retirement of old and high polluting coal plants and to prevent the construction of two new coal-fired power plants in Michigan.
84	Clean Wisconsin, Inc. 634 W. Main St. Suite 300 Madison, Wisconsin 53703 United States	39-1413448	509a1	\$175,000	\$0	n/a	n/a	To support efforts to retire old coal and expand the use of renewable energy and energy efficiency in Wisconsin

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85	Climate and Energy Project, Inc. PO Box 1858 Hutchinson, Kansas 67504 United States	26-3450854	509a1	\$70,000	\$0	n/a	n/a	To build support for energy efficiency policies at the Kansas Corporation Commission.
86	Climate Science, Awareness, and Solutions Inc. 43 Nance Road West Orange, New Jersey 07052 United States	27-1243892	509a1	\$50,000	\$0	n/a	n/a	To support education and outreach around climate science.
87	Climate Solutions 219 Legion Way, SW Suite 201 Olympia, Washington 98501-1113 United States	91-1123302	509a1	\$20,000	\$0	n/a	n/a	For education and outreach on the benefits of renewable energy tax credits
88	Climate Solutions 219 Legion Way, SW Suite 201 Olympia, Washington 98501-1113 United States	91-1123302	509a1	\$70,000	\$0	n/a	n/a	To reduce the pollution impacts resulting from expansion of coal transport infrastructure
89	Climate Solutions 219 Legion Way, SW Suite 201 Olympia, Washington 98501-1113 United States	91-1123302	509a1	\$15,000	\$0	n/a	n/a	To advocate the transition from coal to clean resources
90	CNA Corporation 4825 Mark Center Drive Alexandria, Virginia 22311 United States	54-1558882	509a2	\$75,000	\$0	n/a	n/a	To support outreach around the national security benefits of clean cars.
91	CNA Corporation 4825 Mark Center Drive Alexandria, Virginia 22311 United States	54-1558882	509a2	\$100,000	\$0	n/a	n/a	To support convening of and outreach by the Military Advisory Board.
92	Coalition for Clean Air 800 Wilshire Blvd, Suite 1010 Los Angeles, California 90017 United States	23-7120687	509a2	\$25,000	\$0	n/a	n/a	To support light duty vehicle standards in California.

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93	Colorado State University Foundation Center for the New Energy Economy 410 University Services Center Fort Collins, Colorado 80523 United States	23-7088397	509a1	\$180,000	\$0	n/a	n/a	To support education around the new energy economy
94	Conservation Law Foundation, Inc. 62 Summer Street Boston, Massachusetts 02110-1016 United States	04-6149986	509a1	\$60,000	\$0	n/a	n/a	To promote the Northeast clean fuels standard
95	Conservation Law Foundation, Inc. 62 Summer Street Boston, Massachusetts 02110-1016 United States	04-6149986	509a1	\$50,000	\$0	n/a	n/a	To support and defend the Regional Greenhouse Gas Initiative.
96	Conservation Law Foundation, Inc. 62 Summer Street Boston, Massachusetts 02110-1016 United States	04-6149986	509a1	\$137,000	\$0	n/a	n/a	To retire existing coal-fired power plants and expand integration of demand side resources in regional transmission planning
97	Conservation Law Foundation, Inc. 62 Summer Street Boston, Massachusetts 02110-1016 United States	04-6149986	509a1	\$75,000	\$0	n/a	n/a	To limit greenhouse gas emissions from natural gas transmission and distribution pipelines
98	Conservation Law Foundation, Inc. 62 Summer Street Boston, Massachusetts 02110-1016 United States	04-6149986	509a1	\$40,000	\$0	n/a	n/a	MATCHING GRANT: To accelerate the retirement of coal-fired power plants in the Northeast.
99	Conservation Services Group 60 Washington Street Suite 3000 Westborough, Massachusetts 01581 United States	22-2547170	509a2	\$60,000	\$0	n/a	n/a	To organize energy efficiency businesses in Florida and Georgia.
100	Conservation Voters of South Carolina Education Fund PO Box 50632 Columbia, South Carolina 29250 United States	20-0335383	509a1	\$60,000	\$0	n/a	n/a	To conduct education and outreach around the South Carolina Public Service Commission

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101	Consultative Group on Biological Diversity Climate and Energy Funders Group Presidio Building 1016 PO Box 29361 San Francisco, California 94129 United States	13-3431076	509a1	\$35,000	\$0	n/a	n/a	To promote collaboration and strategic grant making amongst philanthropic climate groups
102	Consumer Federation of America, Inc. 1620 I Street, NW - Suite 200 Washington, District of Columbia 20006 United States	52-0880625	509a1	\$40,000	\$0	n/a	n/a	To develop recommendations for building greater consumer support for appliance standards
103	Consumer Federation of America, Inc. 1620 I Street, NW - Suite 200 Washington, District of Columbia 20006 United States	52-0880625	509a1	\$150,000	\$0	n/a	n/a	To support consumer advocacy for vehicles and trucks standards.
104	Consumers Union of United States, Inc. 1101 17th Street, Suite 600 Washington, District of Columbia 20038 United States	13-1776434	509a2	\$25,000	\$0	n/a	n/a	To support the consumer voice around fuel economy standards.
105	Consumers Union of United States, Inc. 1535 Mission Street San Francisco, California 94103 United States	13-1776434	509a2	\$25,000	\$0	n/a	n/a	To support consumer based building codes advocacy
106	CUB Educational Fund, Incorporated 610 SW Broadway, Suite 308 Portland, Oregon 97205 United States	93-0921617	509a1	\$20,000	\$0	n/a	n/a	To promote clean energy replacements for retired coal plants.
107	Defenders of Wildlife 1130 17th Street NW Washington, District of Columbia 20022 United States	53-0183181	509a2	\$65,000	\$0	n/a	n/a	To promote renewable energy development in the Central Valley of California while ensuring protection of wildlife and ecosystems
108	Duke University Nicholes Institute for Environmental Policy Solutions Office of Research Support 2200 W. Main St., Ste 710 Durham, North Carolina 27705 United States	58-0532129	509a1	\$60,000	\$0	n/a	n/a	To establish baseline energy measurements and potential in the Southeast.

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109	Earth Island Institute, Inc. Coal Swarm 2150 Allston Way, Suite 460 Berkeley, California 94704 United States	94-2889684	509a1	\$10,000	\$0	n/a	n/a	To support an interactive website to accelerate coal plant retirement and prevent the expanded export of coal
110	Earth Island Institute, Inc. Renew Missouri 2150 Allston Way Suite 460 Berkeley, California 94704 United States	94-2889684	509a1	\$75,000	\$0	n/a	n/a	To support educational outreach and implementation of renewable energy policies in Missouri
111	Earth Island Institute, Inc. Renew Missouri 2150 Allston Way, Suite 460 Berkeley, California 94704 United States	94-2889684	509a1	\$80,000	\$0	n/a	n/a	To advance energy efficiency in Missouri
112	Earth Island Institute, Inc. Renew Missouri 2150 Allston Way, Suite 460 Berkeley, California 94704 United States	94-2889684	509a1	\$15,000	\$0	n/a	n/a	To secure EE business support for clean energy in Missouri
113	Earthjustice 50 California Street, Suite 500 San Francisco, California 94111 United States	94-1730465	509a2	\$85,000	\$0	n/a	n/a	To reduce pollution impacts resulting from expansion of coal transport infrastructure.
114	Earthjustice 50 California Street, Suite 500 San Francisco, California 94111 United States	94-1730465	509a2	\$85,000	\$0	n/a	n/a	To advocate for the most technically feasible stringent federal appliance standards.
115	Earthjustice 50 California Street, Suite 500 San Francisco, California 94111 United States	94-1730465	509a2	\$725,000	\$0	n/a	n/a	MATCHING GRANT: To accelerate the retirement of high polluting coal plants in the U.S.
116	Earthjustice 50 California Street, Suite 500 San Francisco, California 94111 United States	94-1730465	509a2	\$30,000	\$0	n/a	n/a	To limit greenhouse gas emissions and other externalities from natural gas extraction, distribution, and use.

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117	Earthworks 1612 K Street NW, Suite 808 Washington, District of Columbia 20006 United States	52-1557765	509a1	\$70,143	\$0	n/a	n/a	To organize activists concerned over the impacts of natural gas extraction on communities and the development of clean energy.
118	Ecology Center, Inc. 339 E. Liberty St., Suite 300 Ann Arbor, Michigan 48104 United States	38-1912803	509a2	\$50,000	\$0	n/a	n/a	To advance electric vehicle deployment in Michigan.
119	Ecology Center, Inc. 339 E. Liberty St., Suite 300 Ann Arbor, Michigan 48104 United States	38-1912803	509a2	\$40,000	\$0	n/a	n/a	To mobilize healthcare professionals to help prevent the construction of new coal-fired power plants and accelerate the retirement of high-polluting plants
120	Edison Welding Institute, Inc. 1250 Arthur E Adams Drive Columbus, Ohio 43221 United States	31-1118893	509a1	\$90,000	\$0	n/a	n/a	To conduct an energy efficiency supply chain study in Ohio.
121	Emory University 1599 Clifton RD, NE, 4th fl. Atlanta, Georgia 30322-4250 United States	58-0566256	509a1	\$160,000	\$0	n/a	n/a	To support evaluating the air quality and health impacts of China's policies that relate to vehicle emissions through modeling.
122	Energy Independence Now 714 Bond Avenue Santa Barbara, California 93103 United States	80-0066740	509a1	\$25,000	\$0	n/a	n/a	To support alternative fuels and clean vehicle policy work
123	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13-4339865	509a1	\$50,000	\$0	n/a	n/a	To generate support for federal renewable energy policy.
124	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13-4339865	509a1	\$225,000	\$0	n/a	n/a	To support grassroots organization and public education promoting federal vehicle standards.

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125	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13-4339865	509a1	\$125,000	\$0	n/a	n/a	To defend and support RGGI in the Northeast.
126	Environment America Research and Policy Center, Inc. 44 Winter Street, 4th Floor Boston, Massachusetts 02108 United States	13-4339865	509a1	\$125,000	\$0	n/a	n/a	To promote state solar policies and federal renewable energy policies.
127	Environment California Research and Policy Center, Inc. 3435 Wilshire Boulevard, #385 Los Angeles, California 90010 United States	68-0531882	509a1	\$25,000	\$0	n/a	n/a	To make Los Angeles a leader on solar energy deployment
128	Environment Northeast 8 Summer Street P.O. Box 583 Rockport, Maine 04856 United States	01-0518193	509a1	\$10,000	\$0	n/a	n/a	To support policy development and analysis of cap-and-trade in Ontario.
129	Environment Northeast 8 Summer Street P.O. Box 583 Rockport, Maine 04856 United States	01-0518193	509a1	\$175,000	\$0	n/a	n/a	To advance energy efficiency in the Northeast
130	Environment Northeast 8 Summer Street P.O. Box 583 Rockport, Maine 04856 United States	01-0518193	509a1	\$80,000	\$0	n/a	n/a	To support legal and policy advocacy around the Northeast clean fuels standard.
131	Environment Northeast 8 Summer Street P.O. Box 583 Rockport, Maine 04856 United States	01-0518193	509a1	\$150,000	\$0	n/a	n/a	To perform policy analysis and development, advocate for a strong RGGI program, and pursue linkages with EPA and California.
132	Environment Texas Research and Policy Center Inc. 815 Brazos Suite 600 Austin, Texas 78701 United States	56-2591697	509a1	\$30,000	\$0	n/a	n/a	To advance stringent energy codes in Texas.

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133	Environmental and Energy Study Institute 1112 16th Street NW, Suite 300 Washington, District of Columbia 20036-4819 United States	52-1268030	509a1	\$95,000	\$0	n/a	n/a	To educate policymakers on climate change and building energy efficiency.
134	Environmental Advocates of New York, Inc. 353 Hamilton Street Albany, New York 12210 United States	22-2360738	509a2	\$35,000	\$0	n/a	n/a	To support the Regional Greenhouse Gas Initiative in New York state.
135	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	509a1	\$75,000	\$0	n/a	n/a	To support clean energy nationally, as well as specific focus on expansion of clean energy in Texas.
136	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	509a1	\$50,000	\$0	n/a	n/a	To transition the Intermountain West from coal to clean energy
137	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	509a1	\$850,000	\$0	n/a	n/a	To limit greenhouse gas emissions from natural gas extraction, distribution, and use and reduce environmental externalities of gas production.
138	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	509a1	\$100,000	\$0	n/a	n/a	To support and defend AB 32.
139	Environmental Defense Fund Incorporated 257 Park Avenue South, 17th Floor New York, New York 10010 United States	11-6107128	509a1	\$100,000	\$0	n/a	n/a	MATCHING GRANT: To support legal work to promote and defend strong federal Clean Air Act rules which limit greenhouse gas pollution.
140	Environmental Health Coalition California Environmental Justice Alliance 2727 Hoover Ave. Suite 202 National City, California 91850 United States	95-3798782	509a1	\$13,000	\$0	n/a	n/a	To support environmental justice communities as advocates for clean energy policies in California.

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141	Environmental Integrity Project One Thomas Circle Suite 900 Washington, District of Columbia 20005 United States	20-1326922	509a1	\$180,000	\$0	n/a	n/a	To support work to prevent the construction of new coal-fired power plants, and accelerate the retirement of highly-polluting coal plants
142	Environmental Integrity Project One Thomas Circle Suite 900 Washington, District of Columbia 20005 United States	20-1326922	509a1	\$35,000	\$0	n/a	n/a	To evaluate implementation of methane controls in the chemical industry.
143	Environmental Integrity Project One Thomas Circle Suite 900 Washington, District of Columbia 20005 United States	20-1326922	509a1	\$50,000	\$0	n/a	n/a	To accelerate the retirement of high polluting coal-fired power plants in Texas.
144	Environmental Integrity Project One Thomas Circle Suite 900 Washington, District of Columbia 20005 United States	20-1326922	509a1	\$30,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Pennsylvania.
145	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$30,000	\$0	n/a	n/a	Outreach and education on the benefits of tax credits for renewable energy.
146	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$75,000	\$0	n/a	n/a	To support solar energy policies in the Midwest.
147	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$225,000	\$0	n/a	n/a	To accelerate the retirement of high-polluting coal-fired power plants in the Midwest.
148	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$5,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Illinois.

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149	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$30,000	\$0	n/a	n/a	To advance renewable energy policy and education in Michigan.
150	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$400,000	\$0	n/a	n/a	To support renewable energy and energy efficiency policies in the Midwest and Great Plains.
151	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$20,000	\$0	n/a	n/a	To support a convening on clean energy legal strategy.
152	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$75,000	\$0	n/a	n/a	To encourage the City of Chicago to buy clean energy for their Community Choice Aggregation plan.
153	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$15,000	\$0	n/a	n/a	To conduct polling around community choice aggregation in City of Chicago.
154	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$20,000	\$0	n/a	n/a	MATCHING GRANT: To accelerate the retirement of coal-fired power plants in the Midwest.
155	Environmental Law and Policy Center of the Midwest 35 East Wacker Drive Suite 1600 Chicago, Illinois 60601-2110 United States	36-3866530	509a1	\$100,000	\$0	n/a	n/a	To support a national farm-based clean energy development initiative
156	Fresh Energy 408 Saint Peter Street, Suite 220 St. Paul, Minnesota 55102 United States	41-1735501	509a1	\$285,000	\$0	n/a	n/a	To continue support for the advancement of clean energy policies in Minnesota and the Midwestern region

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157	Frosh Energy 408 Saint Peter Street, Suite 220 St. Paul, Minnesota 55102 United States	41-1735501	509a1	\$30,000	\$0	n/a	n/a	To advance stringent building energy codes in Minnesota
158	Friends of the Earth 1100 15th Street NW, 11th Floor Washington, District of Columbia 20005 United States	23-7420660	509a1	\$100,000	\$0	n/a	n/a	To advocate against high carbon fuel development.
159	GASP 732 Montgomery Hwy. #405 Birmingham, Alabama 35216 United States	27-0354485	509a1	\$50,000	\$0	n/a	n/a	To increase capacity and support for clean air policies in Alabama.
160	GASP 732 Montgomery Hwy. #405 Birmingham, Alabama 35216 United States	27-0354485	509a1	\$20,000	\$0	n/a	n/a	MATCHING GRANT: To accelerate the retirement of coal-fired power plants in Alabama.
181	George Mason University Foundation, Inc. 4400 University Drive, MS 1A3 Fairfax, Virginia United States	54-1603842	509a1	\$101,016	\$0	n/a	n/a	To support the Energy and Enterprise Initiative.
162	Georgetown University Georgetown Climate Center 37th and O Streets, NW Washington, District of Columbia 20057 United States	53-0196603	509a1	\$55,000	\$0	n/a	n/a	To support the North America 2050 states' dialogue on carbon policy issues, and provide expert advice on regulating greenhouse gases under the Clean Air Act.
163	Georgetown University Georgetown Climate Center 37th and O Streets, NW Washington, District of Columbia 20057 United States	53-0196603	509a1	\$25,000	\$0	n/a	n/a	To support legal analysis for the California Low-Carbon Fuel Standard.
164	Georgia Conservation Voters Education Fund, Inc. 175 Trinity Ave, SW Atlanta, Georgia 30303 United States	58-2559965	509a1	\$90,000	\$0	n/a	n/a	To advance solar policy development and implementation in Georgia

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165	Georgia Watch 55 Marietta Street, NW, Suite 903 Atlanta, Georgia 30303 United States	16-1639971	509a1	\$150,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants and advance energy efficiency services in Georgia.
168	Governors' Wind Energy Coalition 2200 Wilson Blvd., Suite 102-22 Arlington, Virginia 22201-3324 United States	26-3621246	509a1	\$100,000	\$0	n/a	n/a	To support outreach, education and consensus building on wind energy in America
167	Great Lakes Environmental Law Center 440 Burroughs St, Box 70 Detroit, Michigan 48202 United States	81-1557918	509a1	\$15,000	\$0	n/a	n/a	To accelerate the retirement of old and high polluting coal plants and to prevent the construction of two new coal-fired power plants in Michigan.
168	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	509a1	\$80,000	\$0	n/a	n/a	To work with the Midwest Governors' Association on transmission policy and planning in the midwest.
169	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	509a1	\$60,000	\$0	n/a	n/a	To build support for passage and implementation EPA Clean Air Act rules in the Midwest.
170	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	509a1	\$110,000	\$0	n/a	n/a	To speed commercialization of advanced and low carbon biofuels
171	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	509a1	\$23,500	\$0	n/a	n/a	To support enhanced oil recovery policy and accelerate the deployment of carbon capture and sequestration in order to reduce carbon dioxide emissions
172	Great Plains Institute for Sustainable Development 2801 21st Avenue South, Suite 220 Minneapolis, Minnesota 55407 United States	41-1921126	509a1	\$47,844	\$0	n/a	n/a	To advance industrial energy efficiency in the Midwest.

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173	Green Builder Coalition 6 Rose Ct. Glen Carbon, Illinois 62034 United States	27-3079281	509a1	\$5,000	\$0	n/a	n/a	To organize the green building industry around better efficiency energy codes.
174	Green Builder Coalition 6 Rose Ct. Glen Carbon, Illinois 62034 United States	27-3079282	509a1	\$30,000	\$0	n/a	n/a	To organize progressive builders to support energy efficient codes.
175	Green Tech Action Fund c/o 301 Battery Street, 5th Floor San Francisco, California 94111 United States	26-3390444	501c4	\$1,000,000	\$0	n/a	n/a	To promote climate protection.
176	Green Technology Leadership Group 980 Ninth Street, Suite 2000 Sacramento, California 95814 United States	27-4910811	501c4	\$225,000	\$0	n/a	n/a	To educate businesses for efficient electronics
177	Greenlaw, Inc. State Bar of Georgia Building 104 Marietta Street NW Suite 430 Atlanta, Georgia 30303 United States	91-2008028	501c4	\$125,000	\$0	n/a	n/a	To prevent the construction of new coal-fired power plants and accelerate the retirement of high-polluting coal plants in Georgia.
178	Greenlining Institute 1918 University Avenue, 2nd Floor Berkeley, California 94704 United States	94-3173571	509a2	\$50,000	\$0	n/a	n/a	To support outreach on AB 32 implementation.
179	Headwaters Economics Inc P.O. Box 7059 Bozeman, Montana 59771 United States	74-3171967	509a1	\$40,000	\$0	n/a	n/a	To support adoption of renewable energy in the West
180	Health Effects Institute 101 Federal Street, Suite 500 Boston, Massachusetts 02110-1817 United States	04-2708045	509a1	\$50,000	\$0	n/a	n/a	To support the communication of the newest WHO Global Burden of Disease to inform Chinese air quality decisions.

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181	Institute for Agriculture and Trade Policy 2105 First Avenue South Minneapolis, Minnesota 55404 United States	36-3501938	509a1	\$40,000	\$0	n/a	n/a	To support advancement of policies for high-efficiency biomass energy in Minnesota
182	Institute for Market Transformation, Inc. 1707 L Street, NW Suite 1050 Washington, District of Columbia 20036 United States	94-3241464	509a2	\$150,000	\$0	n/a	n/a	To support policies that advance energy efficiency in existing buildings.
183	Institute for Market Transformation, Inc. 1707 L Street, NW Suite 1050 Washington, District of Columbia 20036 United States	94-3241464	509a1	\$225,000	\$0	n/a	n/a	To support implementation of Seattle's benchmarking ordinance.
184	Institute for Policy Studies Labor Network for Sustainability 1112 16th Street NW Suite 600 Washington, District of Columbia 20036 United States	52-0788947	509a1	\$50,000	\$0	n/a	n/a	To roll out a primer on how to best engage labor in coal plant retirement campaigns.
185	Institute for Policy Studies Labor Network for Sustainability 1112 16th Street NW Suite 600 Washington, District of Columbia 20036 United States	52-0788947	509a1	\$50,000	\$0	n/a	n/a	To support coal plant retirement campaigns and ensure a just transition plan for power plant workers and affected communities
186	Institute for Policy Studies Voices for a Sustainable Future 1112 16th Street NW Suite 600 Washington, District of Columbia 20036 United States	27-1940927	509a1	\$85,000	\$0	n/a	n/a	To support analysis and outreach around EPA and climate change issues by the Labor Network for Sustainability.
187	International Council on Clean Transportation, Inc. 1225 I Street, NW Suite 900 Washington, District of Columbia 20005 United States	20-3076690	509a1	\$70,000	\$0	n/a	n/a	To analyze fuel economy data and the effects of light-weight materials on the safety of light-duty vehicles.
188	International Council on Clean Transportation, Inc. 1225 I Street, NW Suite 900 Washington, District of Columbia 20005 United States	20-3076690	509a1	\$100,000	\$0	n/a	n/a	To support the efforts on promoting stronger pollutant control programs for mobile source in China.

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188	International Council on Clean Transportation, Inc. 1225 I Street, NW Suite 900 Washington, District of Columbia 20005 United States	20-3076690	509a1	\$28,500	\$0	n/a	n/a	To support efforts to reduce emissions from the US transportation sector.
190	International Sustainable Systems Research Center 605 S Palm Street, Suite C La Habra, California 90631-5760 United States	67-1164239	509a1	\$75,420	\$0	n/a	n/a	To improve the air quality management process in China.
191	Interstate Renewable Energy Council P.O. Box 1156 Latham, New York 12110-1156 United States	59-2201374	509a1	\$110,000	\$0	n/a	n/a	To advance solar policies in state and federal venues.
192	Interwest Energy Alliance PO Box 8526 Santa Fe, New Mexico 87504 United States	64-2084551	509a2	\$40,000	\$0	n/a	n/a	To analyze the renewable energy supply chain in the West
193	Iowa Environmental Council 521 East Locust Street Suite 220 Des Moines, Iowa 50309 United States	42-1436090	501c6	\$115,000	\$0	n/a	n/a	To continue support for clean energy policy in Iowa.
194	The Joint Center for Political and Economic Studies 1090 Vermont Ave, Suite 1100 Washington, District of Columbia 20005-2938 United States	62-1069070	509a1	\$200,000	\$0	n/a	n/a	To analyze the impacts of federal clean air rules on the health and welfare of African-Americans
195	KEEA Energy Education Fund 1824 Arch Street Philadelphia, Pennsylvania 19103 United States	23-3007497	509a1	\$90,000	\$0	n/a	n/a	To advance energy efficiency in Pennsylvania
196	Kentucky Environmental Foundation, Inc. PO Box 467 Berea, Kentucky 40403 United States	61-1199616	509a1	\$40,000	\$0	n/a	n/a	To achieve higher environmental quality and health standards through clean energy solutions in Kentucky.

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197	League of Conservation Voters Education Fund 1920 L Street, NW Suite 800 Washington, District of Columbia 20036 United States	52-1379661	509a1	\$990,000	\$0	n/a	n/a	To provide general operating support.
198	Local Energy Alliance Program, Inc. 608 Ridge Street Charlottesville, Virginia 22902 United States	27-1155142	509a1	\$30,000	\$0	n/a	n/a	To support the development of the Virginia Energy Efficiency Council.
199	Massachusetts Institute of Technology Department of Urban Studies and Planning Office of Sponsored Programs 77 Massachusetts Ave., Bldg E19-750 Cambridge, Massachusetts 02139-4307 United States	04-2103594	509a1	\$200,110	\$0	n/a	n/a	To research the relationship between energy efficiency and urban form, create an energy pro-forma that can assess the energy performance of different urban projects before or after they are built, and develop neighborhood energy-form guidelines.
200	Mayor's Fund to Advance New York City One Centre Street, 23rd Floor New York, New York 10007 United States	13-3783906	509a1	\$25,000	\$0	n/a	n/a	To develop model green building codes for New York City.
201	Michigan Environmental Council 119 Pere Marquette Drive, Suite 2A Lansing, Michigan 48912-1270 United States	38-2517980	509a1	\$150,000	\$0	n/a	n/a	To support the expansion of the state renewable energy goal in Michigan to 25 percent.
202	Michigan Environmental Council 119 Pere Marquette Drive, Suite 2A Lansing, Michigan 48912-1270 United States	38-2517980	509a2	\$75,000	\$0	n/a	n/a	To support clean energy advocacy through the RE-AMP Project in the Midwest.
203	Michigan Environmental Council 119 Pere Marquette Drive, Suite 2A Lansing, Michigan 48912-1270 United States	38-2517980	509a2	\$135,000	\$0	n/a	n/a	To advance energy efficiency and reduce Michigan's reliance on coal-fired power.
204	Michigan Environmental Council 119 Pere Marquette Drive, Suite 2A Lansing, Michigan 48912-1270 United States	38-2517980	509a2	\$100,000	\$0	n/a	n/a	For general operating support.

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205	Michigan Jobs & Energy Council 112 E Allegan Street Suite 700 Lansing, Michigan 48933 United States	45-4649795	509a2	\$837,500	\$0	n/a	n/a	To conduct a renewable energy public education campaign in Michigan.
206	The Michigan League of Conservation Voters Education Fund 213 W. Liberty Street, Suite 300 Ann Arbor, Michigan 48104 United States	37-1430158	501c4	\$15,000	\$0	n/a	n/a	To employ an online communications staffer in Michigan to engage in educational activities.
207	Midwest Energy Efficiency Alliance 20 N. Wacker Drive Suite 1301 Chicago, Illinois 60606 United States	36-4352022	509a1	\$75,000	\$0	n/a	n/a	To advance energy efficiency in the Midwest
208	Midwest Energy Efficiency Alliance 20 N. Wacker Drive Suite 1301 Chicago, Illinois 60606 United States	36-4352022	509a1	\$200,000	\$0	n/a	n/a	To advocate for advanced building codes in the Midwest.
209	Minnesota Public Interest Research Group Institute for Local Self-Reliance 2722 University Ave. SE Minneapolis, Minnesota 55414 United States	23-7389068	509a1	\$25,000	\$0	n/a	n/a	To support education on electric utility options for municipalities in Minnesota.
210	National Institute on Money in State Politics 833 N Last Chance Gulch, Second Floor Helena, Montana 59601 United States	81-0526651	509a1	\$60,000	\$0	n/a	n/a	To improve disclosure of lobbying activity at the state level.
211	National Association of State Energy Officials 2107 Wilson Blvd. Suite 850 Arlington, Virginia 22201 United States	52-1474553	509a1	\$40,000	\$0	n/a	n/a	To engage state energy offices with other housing advocates to better support energy efficiency in multifamily buildings.
212	National Association for the Advancement of Colored People 4805 Mt. Hope Drive Baltimore, Maryland 21215 United States	13-1084135	509a1	\$60,000	\$0	n/a	n/a	To accelerate the retirement of high-polluting coal-fired power plants.

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213	National Association for the Advancement of Colored People 4805 Mt. Hope Drive Baltimore, Maryland 21216 United States	13-1084135	509a1	\$100,000	\$0	n/a	n/a	To engage African-Americans on climate and clean energy policies
214	National Association of Regional Councils 777 North Capital Street, NE Suite 305 Washington, District of Columbia 20002 United States	52-6082922	509a1	\$70,000	\$0	n/a	n/a	To conduct analysis on energy assets in the Columbus, Ohio region.
215	National Audubon Society, Inc. 225 Varick Street, 7th Floor New York, New York 10014 United States	13-1624102	509a1	\$40,000	\$0	n/a	n/a	To support responsible siting of renewable power and transmission projects in the West
216	National Audubon Society, Inc. Audubon Arkansas 225 Varick Street, 7th Floor New York, New York 10014 United States	13-1624102	509a1	\$80,000	\$0	n/a	n/a	To transition Arkansas from dirty to clean energy
217	National Consumer Law Center, Inc. 7 Winthrop Square, 4th Floor Boston, Massachusetts 02110 United States	04-2488502	509a1	\$80,000	\$0	n/a	n/a	To advocate for stringent appliance standards and efficiency programs on behalf of low-income consumers.
218	National Farmers Union Foundation 20 F Street NW, Suite 300 Washington, District of Columbia 20001 United States	31-1682315	509a1	\$85,000	\$0	n/a	n/a	To support outreach and education to rural stakeholders on clean energy policies.
219	National Governors' Association for Best Practices 444 N Capitol Street, NW Suite 267 Washington, District of Columbia 20001 United States	23-7391796	509a1	\$90,000	\$0	n/a	n/a	To advance industrial energy efficiency in the Southeast
220	National Home Performance Council Inc. 1620 Eye Street, NW, Suite 501, Washington, District of Columbia 20006 United States	27-2422233	509a1	\$100,000	\$0	n/a	n/a	To address barriers to scaling up the home energy performance market.

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221	National Housing Trust 1101 30th Street, NW Suite 400 Washington, District of Columbia 20007 United States	52-1477699	509a1	\$20,000	\$0	n/a	n/a	To advance innovative financing models for low-income multifamily properties.
222	National Parks Conservation Association 777 6th Street, NW Suite 700 Washington, District of Columbia 20001 United States	53-0225165	509a1	\$100,000	\$0	n/a	n/a	To stop the development of new coal-fired power plants and retire existing coal-fired power plants near national parks in the U S
223	National Parks Conservation Association 777 6th Street, NW Suite 700 Washington, District of Columbia 20001 United States	53-0225165	509a1	\$150,000	\$0	n/a	n/a	MATCHING GRANT. To support engagement on regional haze issues and BART determinations in the Interior West
224	National Religious Partnership for the Environment, Inc. 94 King Street Suite B Northampton, Massachusetts 01060 United States	13-6996770	509a1	\$350,000	\$0	n/a	n/a	To support faith community education on climate change and energy policy.
225	National Wildlife Federation 11100 Wildlife Center Drive Reston, Virginia 20190-6362 United States	53-0204618	509a1	\$40,000	\$0	n/a	n/a	To limit the impacts of coal transportation infrastructure on wildlife and habitat.
226	National Wildlife Federation 11100 Wildlife Center Drive Reston, Virginia 20190-6362 United States	53-0204618	509a2	\$6,000	\$0	n/a	n/a	To enhance clean energy in Michigan through widespread support of policy change.
227	Natural Resources Defense Council, Inc. 111 Sutter Street, 20th Floor San Francisco, California 94104 United States	13-2654926	509a2	\$50,000	\$0	n/a	n/a	To promote advanced codes and standards.
228	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654928	509a1	\$480,000	\$0	n/a	n/a	To advance energy efficiency and renewable energy at the state and federal levels.

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229	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$615,000	\$0	n/a	n/a	To advance clean transportation fuel and vehicle policies at the state and federal levels.
230	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$150,000	\$0	n/a	n/a	To support environmental management of the life-cycle of coal.
231	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$265,000	\$0	n/a	n/a	To support analysis, outreach and advocacy for RGGI and AB32.
232	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$250,000	\$0	n/a	n/a	To build technical capacity of promoting demand-side management (DSM) and efficiency power plant (EPP) programs and scale up DSM /EPP construction in China.
233	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$40,000	\$0	n/a	n/a	To advance clean energy policies in Montana
234	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$465,000	\$0	n/a	n/a	To integrate efficiency, renewables, and demand response into federal and regional transmission planning.
235	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$250,000	\$0	n/a	n/a	To provide general operating support
236	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$400,000	\$0	n/a	n/a	To secure strong EPA Clean Air Act rules and accelerate the retirement of high-polluting coal plants in the Midwest

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237	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$280,000	\$0	n/a	n/a	MATCHING GRANT: To strengthen EPA Clean Air Act standards, and to accelerate the retirement of coal-fired power plants.
238	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$70,000	\$0	n/a	n/a	To support a study on nuclear safety in China.
239	Natural Resources Defense Council, Inc. 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$40,000	\$0	n/a	n/a	To limit greenhouse gas emissions from natural gas extraction, distribution, and use and reduce environmental externalities of gas production.
240	Natural Resources Defense Council, Inc. Environmental Entrepreneurs 40 West 20th Street New York, New York 10011 United States	13-2654926	509a1	\$75,000	\$0	n/a	n/a	To educate the business community about clean fuels in California and Oregon.
241	Nebraska Wildlife Federation PO Box 81437 Lincoln, Nebraska 68501 United States	23-7401528	509a1	\$4,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Nebraska and advance energy efficiency and renewable energy resources.
242	Nebraska Wildlife Federation PO Box 81437 Lincoln, Nebraska 68501 United States	23-7401528	509a1	\$75,000	\$0	n/a	n/a	To advance clean energy in Nebraska
243	Nebraska Wildlife Federation PO Box 81437 Lincoln, Nebraska 68501 United States	23-7401528	509a1	\$50,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Nebraska
244	Nevada Wilderness Project 333 Flint St Reno, Nevada 89501 United States	88-0442630	509a1	\$40,000	\$0	n/a	n/a	To support responsible siting of renewable energy and transmission projects in Nevada

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245	New Buildings Institute, Inc. 1601 Broadway Vancouver, Washington 98663-3435 United States	68-0401509	509a1	\$75,000	\$0	n/a	n/a	To advance national model building energy codes.
246	New England Clean Energy Foundation, Inc. 10 High Street, Suite 610 Boston, Massachusetts 02110 United States	20-5961645	509a1	\$22,000	\$0	n/a	n/a	To engage business leaders in communicating the benefits of moving a low-carbon economy
247	New England Clean Energy Foundation, Inc. 10 High Street, Suite 610 Boston, Massachusetts 02110 United States	20-5961645	509a3	\$50,000	\$0	n/a	n/a	To support outreach and communications on clean energy policies, including the Regional Greenhouse Gas Initiative, in New England.
248	New Venture Fund PACENow 734 15th St. NW, Suite 600 Washington, District of Columbia 20005 United States	20-5806345	509a3	\$100,000	\$0	n/a	n/a	To advocate for PACE programs to support retrofitting in the commercial sector.
249	North Carolina Sustainable Energy Association PO Box 6465 Raleigh, North Carolina 27628 United States	58-1342588	509a1	\$40,000	\$0	n/a	n/a	To increase technical expertise in the Southeast through the support of a spatial analyst and database manager position.
250	North Carolina Sustainable Energy Association PO Box 6465 Raleigh, North Carolina 27628 United States	58-1342588	509a1	\$23,000	\$0	n/a	n/a	To conduct a clean energy census in North Carolina.
251	North Carolina Sustainable Energy Association PO Box 6465 Raleigh, North Carolina 27628 United States	58-1342588	509a1	\$75,000	\$0	n/a	n/a	To support the development of the North Carolina Clean Energy Business Council
252	Northeast Energy Efficiency Partnerships, Inc. 91 Hartwell Avenue Lexington, Massachusetts 02421 United States	04-3323169	509a1	\$33,000	\$0	n/a	n/a	To engage businesses in communicating the benefits of moving to a low-carbon economy.

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253	Northeast Energy Efficiency Partnerships, Inc. 91 Hartwell Avenue Lexington, Massachusetts 02421 United States	04-3323169	509a1	\$50,000	\$0	n/a	n/a	To advance energy efficiency in the Northeast
254	Northeast Energy Efficiency Partnerships, Inc. 91 Hartwell Avenue Lexington, Massachusetts 02421 United States	04-3323169	509a1	\$30,000	\$0	n/a	n/a	To advocate for stringent appliance standards at the state and federal levels.
255	Northeast Energy Efficiency Partnerships, Inc. 91 Hartwell Avenue Lexington, Massachusetts 02421 United States	04-3323169	509a1	\$180,000	\$0	n/a	n/a	To support building energy efficiency policies in the Northeast.
256	Northeast States for Coordinated Air Use Management, Inc. 89 South Street, Suite 602 Boston, Massachusetts 02111 United States	04-2814018	509a1	\$150,000	\$0	n/a	n/a	To support air quality, energy efficiency, and advanced light and heavy-duty vehicle technology promotion
257	Northeast States for Coordinated Air Use Management, Inc. 89 South Street, Suite 602 Boston, Massachusetts 02111 United States	04-2814018	509a1	\$75,000	\$0	n/a	n/a	To support the development of a clean fuels standard in the northeast.
258	NW Energy Coalition 811 1st Avenue #305 Seattle, Washington 98104 United States	91-1144122	509a1	\$200,000	\$0	n/a	n/a	To advance energy efficiency policies and programs in the Northwest
259	Oakland University Foundation 2200 Squirrel Road Rochester, Michigan 48309-4401 United States	38-6078765	509a1	\$75,000	\$0	n/a	n/a	Research to support light duty vehicles GHG and fuel economy standards.
260	Ohio Citizen Action Education Fund 614 West Superior Ave, Suite 1200 Cleveland, Ohio 44113 United States	34-1208940	509a3	\$10,000	\$0	n/a	n/a	To support coal retirement efforts in Ohio.

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261	Ohio Citizen Action Education Fund 614 West Superior Ave, Suite 1200 Cleveland, Ohio 44113 United States	34-1208940	509a1	\$65,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in Ohio.
262	Ohio Citizen Action Education Fund 614 West Superior Ave, Suite 1200 Cleveland, Ohio 44113 United States	34-1208940	509a1	\$32,000	\$0	n/a	n/a	To educate communities about the high costs of electricity from the Prairie State Plant.
263	Ohio Environmental Council 1207 Grandview Avenue Suite 201 Columbus, Ohio 43212 United States	31-0805578	509a1	\$110,000	\$0	n/a	n/a	To advance industrial energy efficiency in Ohio
264	Ohio Environmental Council 1207 Grandview Avenue Suite 201 Columbus, Ohio 43212 United States	31-0805578	509a1	\$150,000	\$0	n/a	n/a	To provide general operating support.
265	Oklahoma Sustainability Network Association 2730 E. 4th Street Tulsa, Oklahoma 74104-0000 United States	41-2030911	509a1	\$40,000	\$0	n/a	n/a	To advance energy efficiency and transition Oklahoma to clean electric generation resources
266	Oregon Environmental Council, Inc. 222 NW Davis Street Suite 309 Portland, Oregon 97209-3900 United States	93-0578714	509a2	\$50,000	\$0	n/a	n/a	To support the development of the Oregon low carbon fuels standard.
267	Oxfam America, Inc. 226 Causeway Street, 5th Floor Boston, Massachusetts 02114-2206 United States	23-7069110	509a1	\$140,000	\$0	n/a	n/a	To build support in the U.S. on international climate finance issues.
268	Pace University Pace Energy and Climate Center 78 North Broadway White Plains, New York 10603 United States	13-5562314	509a1	\$137,500	\$0	n/a	n/a	To support work with states on climate and clean energy policies.

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269	Pace University Pace Energy and Climate Center 78 North Broadway White Plains, New York 10603 United States	13-5562314	509a1	\$25,000	\$0	n/a	n/a	To support public and policymaker education around the Northeast clean fuel standard.
270	Pace University Pace Energy and Climate Center 78 North Broadway White Plains, New York 10603 United States	13-5562314	509a1	\$160,000	\$0	n/a	n/a	To advance energy efficiency in New York.
271	Pace University Pace Energy and Climate Center 78 North Broadway White Plains, New York 10603 United States	13-5562314	509a1	\$40,000	\$0	n/a	n/a	To support stakeholder outreach and policy development for RGGI.
272	Pacific Forest Trust, Inc. The Presidio 1001A O'Reilly Avenue San Francisco, California 94129 United States	68-0292509	509a1	\$25,000	\$0	n/a	n/a	To educate stakeholders about forest policies in California.
273	Pacific Institute for Studies in Development, Environment, and Security Climate Science Rapid Response Team 654 13th Street, Preservation Park Oakland, California 94612 United States	94-3050434	509a1	\$30,000	\$0	n/a	n/a	To translate climate science to the media and assist with rapid response.
274	Partnership for Responsible Business PO Box 1821 Santa Fe, New Mexico 87504 United States	27-3975486	509a1	\$50,000	\$0	n/a	n/a	To develop business support for clean energy policies in New Mexico.
275	Partnership Project, Inc. c/o The Wilderness Society 1615 M Street Washington, District of Columbia 20036 United States	52-2192070	509a1	\$2,000,000	\$0	n/a	n/a	To support educational communications in the 2012 Clean Air Act Defense Campaign.
276	Partnership Project, Inc. c/o The Wilderness Society 1615 M Street Washington, District of Columbia 20036 United States	52-2192070	509a1	\$355,000	\$0	n/a	n/a	To support the 2012 Clean Air Act Defense Campaign.

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277	Physicians for Social Responsibility Inc 1111 14th Street, NW Suite 700 Washington, District of Columbia 20005 United States	23-7059731	509a1	\$50,000	\$0	n/a	n/a	To enable physicians to support the implementation of clean air regulations
278	President and Fellows of Harvard College Office of Sponsored Programs Holyoke Center, Ste 600, 1350 Massachusetts Ave Cambridge, Massachusetts 02138 United States	04-2103580	509a1	\$200,000	\$0	n/a	n/a	To support the study on the comprehensive costs and benefits of nitrogen oxides controls in China.
279	Progress Michigan Education 115 West Allegan 7th Floor Lansing, Michigan 48933 United States	26-0900874	509a1	\$40,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants and prevent the construction of new coal plants in Michigan.
280	Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, District of Columbia 20009-1001 United States	52-1263996	509a1	\$197,000	\$0	n/a	n/a	MATCHING GRANT: To accelerate the retirement of coal-fired power plants and advance energy efficiency services in Texas
281	Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, District of Columbia 20009-1001 United States	52-1263996	509a1	\$50,000	\$0	n/a	n/a	To support a public power reform campaign.
282	Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, District of Columbia 20009-1001 United States	52-1263996	509a1	\$66,000	\$0	n/a	n/a	To support work to expand solar demand from municipal utilities and electric cooperatives, and ensure implementation of clean energy policies in Texas.
283	R Street Institute 1728 Connecticut Ave NW Washington, District of Columbia 20009 United States	26-3477125	509a1	\$100,000	\$0	n/a	n/a	To support research and outreach on carbon pricing mechanisms.
284	The Regeneration Project 369 Pine Street, Suite 700 San Francisco, 94104 United States	94-3336238	509a1	\$75,000	\$0	n/a	n/a	To educate inter-faith groups on climate and clean energy policy.

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285	The Regeneration Project 369 Pine Street, Suite 700 San Francisco, 94104 United States	94-3335236	509a1	\$50,000	\$0	n/a	n/a	To engage the faith community on climate and energy issues in the Southeast.
286	Regents of the University of California Institute for Transportation Studies, University of California, Davis Office of Sponsored Projects, University of California, Davis One Shields Avenue Davis, California 95616	94-6036494	509a1	\$100,000	\$0	n/a	n/a	To support the consumer survey on electric vehicles and high speed rail and to launch a training program for Chinese electric vehicle researchers.
287	Regulatory Assistance Project 50 State Street, Suite 3 Montpelier, Vermont 05602 United States	01-0471151	509a1	\$104,880	\$0	n/a	n/a	To foster improved dialogue and coordination between energy efficiency advocates and consumer advocates
288	Regulatory Assistance Project 50 State Street, Suite 3 Montpelier, Vermont 05602 United States	01-0471151	509a1	\$230,000	\$0	n/a	n/a	To provide technical and policy assistance to regulators and advocates on energy efficiency and renewable energy.
289	Renew Wisconsin, Inc. 222 South Hamilton Street Madison, Wisconsin 53703 United States	39-1702164	509a1	\$30,000	\$0	n/a	n/a	To get We Energies to reinstate funding for renewable energy resulting from a settlement.
290	Renewable Northwest Project 421 SW 6th Ave Suite 1125 Portland, Oregon 97204 United States	91-1816618	509a1	\$230,000	\$0	n/a	n/a	To advance renewable energy policies and markets in the Northwest.
291	Resource Media, a Non-Profit Corporation 101 Montgomery Street Suite 2600 San Francisco, California 94104 United States	82-0564961	509a1	\$81,000	\$0	n/a	n/a	To provide stakeholder input to National Climate Assessment.
292	Resource Media, a Non-Profit Corporation 101 Montgomery Street Suite 2600 San Francisco, California 94104 United States	82-0564961	509a1	\$35,000	\$0	n/a	n/a	To provide strategic communications support to grantees in Alabama and Georgia.

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293	Resources for the Future, Inc. 1616 P Street, NW Washington, District of Columbia 20036 United States	53-0220900	509a1	\$42,000	\$0	n/a	n/a	To assess policies for linking regional carbon markets.
294	Resources for the Future, Inc. 1616 P Street, NW Washington, District of Columbia 20036 United States	53-0220900	509a1	\$100,000	\$0	n/a	n/a	To conduct research and outreach on carbon pricing.
295	Resources for the Future, Inc. 1616 P Street, NW Washington, District of Columbia 20036 United States	53-0220900	509a1	\$90,000	\$0	n/a	n/a	To review experiences of U.S. shale gas development and regulation for dialogue and exchange with key Chinese stakeholders on shale gas
296	Resources Legacy Fund 556 Capitol Mall, Suite 1095 Sacramento, California 95814 United States	98-4703838	509a1	\$50,000	\$0	n/a	n/a	To support the California Desert Renewable Energy Working Group
297	Rockefeller Family Fund, Inc. 475 Riverside Drive, Suite 900 New York, New York 10115 United States	13-6257658	509a1	\$315,000	\$0	n/a	n/a	To decrease reliance on coal-fired power in Virginia and Georgia, and to undertake economic analysis of coal.
298	Rockefeller Family Fund, Inc. 475 Riverside Drive, Suite 900 New York, New York 10115 United States	13-6257658	509a1	\$100,000	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants.
299	Rockefeller Family Fund, Inc. 475 Riverside Drive, Suite 900 New York, New York 10115 United States	13-6257658	509a1	\$30,000	\$0	n/a	n/a	To provide economic analysis on energy issues to advocates in Alabama.
300	Rockefeller Philanthropy Advisors, Inc. Climate Nexus 8 West 48th Street, 10th Floor New York, New York 10036 United States	13-3615533	509a1	\$150,000	\$0	n/a	n/a	To improve climate science coverage in the media

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
301	San Francisco Chamber of Commerce Foundation Chambers for Innovation and Clean Energy 235 Montgomery St., 12th Floor San Francisco, California 94101 United States	94-3114015	509a1	\$150,000	\$0	n/a	n/a	To support the educational activities of a network of local chambers of commerce
302	Save Our Wild Salmon Coalition 200 First Avenue West #107 Seattle, Washington 98119 United States	91-1673170	509a1	\$5,108	\$0	n/a	n/a	To work on Bonneville Power Administration's over generation policy in order to aid wind energy development in the Northwest.
303	Securing America's Future Energy Foundation 1111 19th St., NW, Suite 406 Washington, District of Columbia 20036 United States	20-1727977	509a1	\$225,000	\$0	n/a	n/a	To support national security voice engagement around heavy duty vehicle standards and electrification.
304	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	509a1	\$200,000	\$0	n/a	n/a	To support clean vehicles policies
305	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	509a1	\$500,000	\$0	n/a	n/a	To apply legal pressure to accelerates the retirement of coal-fired power plants in the U.S.
306	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	509a1	\$150,000	\$0	n/a	n/a	To promote stringent building energy codes.
307	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	509a1	\$75,000	\$0	n/a	n/a	To build a case against high carbon fuels at the federal level.
308	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	509a1	\$100,000	\$0	n/a	n/a	To limit greenhouse gas emissions from natural gas extraction, distribution, and use.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
309	Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, California 94105-3459 United States	94-6069890	509a1	\$160,223	\$0	n/a	n/a	To accelerate the retirement of coal-fired power plants in the U.S.
310	Small Business Majority Foundation, Inc 4000 Bridgeway, Suite 101 Sausalito, California 20003 United States	03-0576668	509a1	\$40,000	\$0	n/a	n/a	To support advocacy and organization of small businesses around federal car standards
311	The Sonoran Institute 44 E. Broadway Blvd. Suite 350 Tucson, Arizona 85701 United States	86-0684610	509a1	\$125,000	\$0	n/a	n/a	To support the advancement of renewable power development in the Intermountain West.
312	South Carolina Coastal Conservation League PO Box 1765 Charleston, South Carolina 29402 United States	87-0887278	509a1	\$60,000	\$0	n/a	n/a	To increase technical expertise and advance clean energy policies in South Carolina.
313	South Carolina Council on Competitiveness South Carolina Clean Energy Business Alliance 1411 Gervais Street, Suite 315 Columbia, South Carolina 29201 United States	45-3570558	509a1	\$25,000	\$0	n/a	n/a	To support a clean energy business council in South Carolina.
314	Southeast Community Capital Corporation 201 Ventura Circle Nashville, Tennessee 37228 United States	62-1823596	509a1	\$120,000	\$0	n/a	n/a	To increase industrial energy efficiency in the Southeast.
315	Southeast Energy Efficiency Alliance, Inc. Hurt Building 50 Hurt Plaza SE, Suite 1250 Atlanta, Georgia 30303 United States	20-4949501	509a1	\$206,000	\$0	n/a	n/a	To support energy efficient building codes in the Southeast
316	Southern Alliance for Clean Energy PO Box 1842 Knoxville, Tennessee 37901-1842 United States	68-1620669	509a3	\$225,000	\$0	n/a	n/a	To advance clean energy in the Southeast.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
317	Southern Alliance for Clean Energy PO Box 1842 Knoxville, Tennessee 37901-1842 United States	58-1620669	509a3	\$75,000	\$0	n/a	n/a	To advance energy efficiency in Florida
318	Southern Alliance for Clean Energy PO Box 1842 Knoxville, Tennessee 37901-1842 United States	58-1620669	509a3	\$600,000	\$0	n/a	n/a	To advance clean energy in the Southeast
319	Southern Environmental Law Center, Inc. 201 West Main Street Suite 14 Charlottesville, Virginia 22901 United States	52-1436778	509a3	\$525,000	\$0	n/a	n/a	To support work to expand energy efficiency programs and decrease reliance on conventional coal-fired power plants in the Southeast.
320	Southern Environmental Law Center, Inc. 201 West Main Street Suite 14 Charlottesville, Virginia 22901 United States	52-1436778	509a1	\$160,000	\$0	n/a	n/a	MATCHING GRANT: To accelerate the retirement of coal-fired power plants in the Southeast.
321	Southern Growth Policies Board 100 Capitola Drive, Suite 100 (27713) PO Box 12293 Research Triangle, North Carolina 27709 United States	23-7344623	509a1	\$65,000	\$0	n/a	n/a	To support the Southeast Agriculture and Forestry Energy Resources Alliance.
322	Southface Energy Institute, Inc. 241 Pine Street NE Atlanta, 30309 United States	58-1357547	509a1	\$125,000	\$0	n/a	n/a	To advance energy efficient building codes in the Southeast.
323	Southwest Energy Efficiency Project, LLC 2334 N. Broadway, Suite A Boulder, Colorado 80304 United States	84-1593046	509a1	\$220,000	\$0	n/a	n/a	To expand and improve utility energy efficiency programs in six Southwestern states
324	Southwest Energy Efficiency Project, LLC 2334 N. Broadway, Suite A Boulder, Colorado 80304 United States	84-1593046	509a1	\$100,000	\$0	n/a	n/a	To advocate for the adoption of advanced building codes.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
325	Southwest Energy Efficiency Project, LLC 2334 N. Broadway, Suite A Boulder, Colorado 80304 United States	84-1593046	509a1	\$75,000	\$0	n/a	n/a	To advance industrial energy efficiency and combined heat and power in the Intermountain West.
326	Southwest Research and Information Center Coalition for Clean Affordable Energy 105 Stanford SE P.O. Box 4524 Albuquerque, New Mexico 87106 United States	23-7159949	509a1	\$15,000	\$0	n/a	n/a	To advance renewable energy and energy efficiency policies in New Mexico.
327	Strategies for the Global Environment Center for Climate and Energy Solutions 2101 Wilson Blvd. Suite 550 Arlington, Virginia 22201 United States	54-1892252	509a1	\$21,600	\$0	n/a	n/a	To support enhanced oil recovery policy and accelerate the deployment of carbon capture and sequestration.
328	Strategies for the Global Environment Center for Climate and Energy Solutions 2101 Wilson Blvd. Suite 550 Arlington, Virginia 22201 United States	54-1892252	509a1	\$50,000	\$0	n/a	n/a	To support independent validation of the National Climate Assessment (NCA), and to support the initial planning of how to improve education of decision makers and the public on the NCA findings.
329	Strategies for the Global Environment Center for Energy and Climate Solutions 2101 Wilson Blvd. Suite 550 Arlington, Virginia 22201 United States	54-1892252	509a1	\$50,000	\$0	n/a	n/a	To support the North America 2050 states' dialogue on carbon policy issues.
330	Sustainable Markets Foundation 45 West 36th Street, 6th Floor New York, New York 10018-7635 United States	13-4188834	509a1	\$200,000	\$0	n/a	n/a	To support research and media outreach on climate change.
331	Sustainable Markets Foundation 45 West 36th Street, 6th Floor New York, New York 10018-7635 United States	13-4188834	509a1	\$26,250	\$0	n/a	n/a	To support a legal intervention to strengthen EPA's clean air regulations
332	Taxpayers for Common Sense 651 Pennsylvania Avenue SE, 2nd Floor Washington, District of Columbia 20003-4303 United States	52-1941122	509a1	\$100,000	\$0	n/a	n/a	To educate the public on the cost of subsidies for high-carbon fuels

	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
333	Texas Fund for Energy and Environmental Education Texas SEED Coalition 1801 Westlake Drive, #209 Austin, Texas 78756 United States	74-2524314	509a1	\$40,000	\$0	n/a	n/a	To accelerate retirement of coal plants in Texas.
334	The AFL-CIO Working for America Institute 816 16th Street, NW Washington, District of Columbia 20006 United States	62-0884603	509a1	\$65,200	\$0	n/a	n/a	To mobilize unions to promote industrial energy efficiency
335	The Tides Center The Vote Solar Initiative Presidio Building 1014, Lincoln Boulevard and Torney Avenue P.O. Box 29907 San Francisco, California 94129-0907 United States	94-3213100	509a1	\$390,000	\$0	n/a	n/a	To support the advancement of distributed and large-scale solar.
338	The Tides Center Western Clean Energy Campaign Presidio Building 1014, Lincoln Boulevard and Torney Avenue P.O. Box 29907 San Francisco, California 94129-0907 United States	94-3213100	509a1	\$590,000	\$0	n/a	n/a	To transition the Western U.S. away from coal-fired power and toward clean energy generation
337	The Truman National Security Project Educational Institute 1050 17th St NW, Suite 375 Washington, District of Columbia 20038 United States	26-2959113	509a1	\$350,000	\$0	n/a	n/a	To support the veteran voice around clean cars and fuels
338	Trust for Conservation Innovation 150 Post Street Suite 342 San Francisco, California 94108 United States	91-2166435	509a1	\$128,300	\$0	n/a	n/a	To fund a senior engineer fellow on appliance standards development
339	Trust for Conservation Innovation Global Cool Cities Alliance 150 Post Street Suite 342 San Francisco, California 94108 United States	91-2166435	509a1	\$150,000	\$0	n/a	n/a	To advocate for cool cities.
340	U.S. Climate Action Network 1810 16th St, NW Washington, District of Columbia 20009 United States	20-4597308	509a1	\$300,000	\$0	n/a	n/a	To provide general operating support.
341	Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, Massachusetts 02238-9105 United States	04-2636767	509a1	\$260,000	\$0	n/a	n/a	To support work at the federal and state level to increase markets for and reduce barriers to greater renewable energy deployment in the U.S.

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
342	Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, Massachusetts 02238-9105 United States	04-2535767	509a1	\$550,000	\$0	n/a	n/a	To support clean vehicles and fuels policies
343	Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, Massachusetts 02238-9105 United States	04-2535767	509a1	\$200,000	\$0	n/a	n/a	To conduct outreach on climate change in California and nationwide
344	University of Tennessee Office of Research 1534 White Avenue Knoxville, Tennessee 37996 United States		509a1	\$100,000	\$0	n/a	n/a	To support the air quality management and assessment capacity building and training in China.
345	The Urban Institute Tax Policy Center 2100 M Street NW Washington, District of Columbia 20037 United States	52-0880375	509a1	\$125,000	\$0	n/a	n/a	To provide economic analysis of carbon pricing policies
346	U.S. Climate Action Network 1810 16th St, NW Washington, District of Columbia 20009 United States	20-4597308	509a1	\$85,000	\$0	n/a	n/a	To support the Southeast Climate and Energy Network.
347	U.S. Endowment for Forestry & Communities, Inc. The Northern Forest Center 908 E. North Street Greenville, South Carolina 29601 United States	20-5583324	509a1	\$35,668	\$0	n/a	n/a	To support an East-West biomass thermal energy network
348	Utah Clean Energy Alliance, Inc. 1014 East 2nd Avenue Salt Lake City, Utah 84103 United States	37-1438788	509a1	\$60,000	\$0	n/a	n/a	To support solar energy and energy efficiency in Utah and the West.
349	Washington Environmental Council 1402 3rd Avenue Suite 1400 Seattle, Washington 98101 United States	91-0839385	509a1	\$20,000	\$0	n/a	n/a	To support the Pacific Coast Collaborative
350	West Virginia Citizen Action Education Fund, Inc. 1500 Dixie St. Charleston, West Virginia 25361 United States	11-3660992	509a1	\$50,000	\$0	n/a	n/a	To advance energy efficiency policies and programs and accelerate coal plant retirement in West Virginia

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
351	Western Environmental Law Center 1216 Lincoln Street Eugene, Oregon 97401 United States	93-1010269	509a1	\$20,000	\$0	n/a	n/a	To support a clean energy transition in the San Juan Basin
352	Western Environmental Law Center 1216 Lincoln Street Eugene, Oregon 97401 United States	93-1010269	509a1	\$60,000	\$0	n/a	n/a	To limit greenhouse gas emissions from natural gas extraction, distribution, and use and reduce environmental externalities of gas production.
353	Western Governors' Foundation 1600 Broadway Suite 1700 Denver, Colorado 80202 United States	74-2368923	509a1	\$25,000	\$0	n/a	n/a	To support the North America 2050 states' dialogue on climate policy issues.
354	Western Organization of Resource Councils Education Project 220 S. 27th Street, Suite B Billings, Montana 59101 United States	84-1123481	509a3	\$15,000	\$0	n/a	n/a	To distribute a report on the impacts of coal transport by rail
355	Western Resource Advocates 2260 Baseline Road Suite 200 Boulder, Colorado 80302-7740 United States	84-1113831	509a3	\$275,000	\$0	n/a	n/a	To reduce environmental impacts of electricity production in the Interior West.
356	Western Resource Advocates 2260 Baseline Road Suite 200 Boulder, Colorado 80302-7740 United States	84-1113831	509a1	\$50,000	\$0	n/a	n/a	To continue the campaign to defend Colorado's Clean Air Clean Jobs law
357	Westside Industrial Retention and Expansion Network 4815 West 130th Street, Unit 1 Cleveland, Ohio 44135 United States	34-1596116	509a1	\$100,000	\$0	n/a	n/a	To educate policymakers on Ohio's clean energy supply chain.
358	Wildaid Inc. 744 Montgomery Street, Suite 300 San Francisco, California 94111 United States	20-3844441	509a1	\$6,383	\$0	n/a	n/a	To support the production of documentary video shorts to educate the public on current green initiatives in China and the way forward to secure a sustainable energy future - summarized in "Five to do today."
359	Wilderness Society 1815 M Street, NW Washington, District of Columbia 20036 United States	53-0167833	509a1	\$290,000	\$0	n/a	n/a	To support responsible siting of large-scale renewable energy and transmission projects while maintaining Western landscapes and wildlife habitat

#	1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
360	The Wind Coalition 919 Congress Avenue, Suite 1220 Austin, Texas 78701 United States	61-1429271	509a1	\$90,000	\$0	n/a	n/a	To support renewable energy education and policies in the Southwest Power Pool region.
361	Wind on the Wires 670 Aabury Street, Suite 201 St Paul, Minnesota 55104 United States	08-1870689	501c6	\$212,000	\$0	n/a	n/a	To continue support for transmission planning and policies favorable to wind power in the Upper Midwest. This grant is year two of a two year request.
362	Woodrow Wilson International Center for Scholars 1300 Pennsylvania Avenue, NW Washington, District of Columbia 20004 United States	52-1087541	509a1	\$118,000	\$0	n/a	n/a	To support developing a policy roadmap to address China's water-energy issues.
363	World Resources Institute 10 G Street, NE, Suite 800 Washington, District of Columbia 20002 United States	52-1257057	509a1	\$45,000	\$0	n/a	n/a	To support the North America 2050 states' dialogue on carbon policy issues
364	World Resources Institute 10 G Street, NE, Suite 800 Washington, District of Columbia 20002 United States	52-1257057	509a1	\$325,000	\$0	n/a	n/a	To foster a deeper understanding of China's clean energy actions and their implications.
365	World Resources Institute 10 G Street, NE, Suite 800 Washington, District of Columbia 20002 United States	52-1257057	509a1	\$50,000	\$0	n/a	n/a	To advance industrial energy efficiency through improved utility program offerings.

Grant Refunds

-\$216,760

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 220

3 Enter total number of other organizations listed in the line 1 table 1

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 N/A					
2					
3					
4					
5					
6					
7					

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Though the IRS has recognized the Energy Foundation as a Public Charity, the Foundation continues to monitor all non-public charity grants via the private foundation mechanism of Expenditure Responsibility as a best practice. For every non-public charity grantee, and over the duration of the grant, the project is monitored via an interim report and final report of activity and expenditures which are required, EF program staff reviewed, and must be approved before releasing accompanying payments. Program staff, who have reviewed and approved as reasonable proposed budget expenditures must also approve reported expenditures as reasonable before payments are released by grants and finance staff. In the case of final payments, a grantee must show the grant award expended in total, program staff must approve as reasonable, and the final payment is released as reimbursement. The final payments are usually 8 % to 10 % of the total award. This assures final accounting of all grant dollars. The number of payments are determined by responsiveness and prior history between the Foundation and the grantee.

SCHEDULE J
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
► Attach to Form 990. ► See separate instructions.
► Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2012

Open to Public Inspection

Employer identification number

The Energy Foundation

94 3126848

Part I Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items <input type="checkbox"/> First-class or charter travel <input type="checkbox"/> Travel for companions <input type="checkbox"/> Tax indemnification and gross-up payments <input type="checkbox"/> Discretionary spending account <input type="checkbox"/> Housing allowance or residence for personal use <input type="checkbox"/> Payments for business use of personal residence <input checked="" type="checkbox"/> Health or social club dues or initiation fees <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.	1b	✓
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2	✓
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <input checked="" type="checkbox"/> Compensation committee <input checked="" type="checkbox"/> Independent compensation consultant <input type="checkbox"/> Form 990 of other organizations <input type="checkbox"/> Written employment contract <input checked="" type="checkbox"/> Compensation survey or study <input checked="" type="checkbox"/> Approval by the board or compensation committee		
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment? b Participate in, or receive payment from, a supplemental nonqualified retirement plan? c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.	4a 4b 4c	✓ ✓ ✓
Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.		
5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization? b Any related organization? If "Yes" to line 5a or 5b, describe in Part III.	5a 5b	✓ ✓
6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization? b Any related organization? If "Yes" to line 6a or 6b, describe in Part III.	6a 6b	✓ ✓
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	7	✓
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	8	✓
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9	

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)–(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)–(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 Eric Heitz, President	(i)	310005		1207	30961	28789	370964	
	(ii)	17177		67	1716	1595	20555	
2 Susan Tierney, Board Member	(i)	210000					210000	
	(ii)							
3 Robert O'Connor, CFO	(i)	184473			18523	16341	219337	
	(ii)	7187			722	637	8546	
4 Jiang Lin, SVP	(i)	254643		938	25569	25613	306764	
	(ii)							
5 Charlotte Pera, SVP	(i)	73028		294	8325	5486	87135	
	(ii)	113031	74995	455	12886	8491	209859	
6 Barbara Wagner, COO	(i)	212127		1345	21329	38148	272949	
	(ii)							
7 Jason Mark, SVP	(i)	213681		695	21368	23805	259548	
	(ii)							
8 Zhengchun Mo, Program Director	(i)	202974		381	12507	21870	237731	
	(ii)							
9 Jason Ricci, CIO	(i)	195868		575	19705	38148	217549	
	(ii)							
10 Amy Fuerstenau, SCS	(i)	128460		374	10746	6921	146500	
	(ii)	49339		144	4127	2658	56268	
11 Marcus Schneider, CPD	(i)	154293		450	15577	26128	196446	
	(ii)							
Katherine McCormack, Program Director	(i)	150284		635	15046	13766	179732	
	(ii)							
13 David Wooley, VP and consultant	(i)	294625						
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Part I Line 1 b: The Foundation offers all employees a basic health club membership to help maintain wellness.

Part I line 4 a: David Wooley received a severance payment of \$ 74,625.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

**Open to Public
Inspection**

Name of the organization

The Energy Foundation

Employer identification number

94 3126848

Part III Question 4 d

Other program expenses are mainly direct charitable activities that the Foundation refers to as "foundation-initiated projects". The Foundation may pursue foundation-initiated projects when it is more efficacious than grant making. In these situations, the Foundation may convene meetings, conduct research, contract with consultants or take other direct efforts in achieving its mission. Also included are a part of salaries and other expenses for the Foundation's program personnel.

Part VI Section B. Question 11 b

The draft of Form 990 is reviewed by the Foundation's outside counsel. Furthermore, a draft version of Form 990 is provided to Audit Committee and Board of Directors prior to filing.

Part VI Section B. Question 12 c

The Energy Foundation staff aids the Board's adherence to the conflict of interest policy by ensuring "that the policy is annually distributed to all Directors, officers, and members of committees with Board-delegated powers"; and that "each such person signs an annual statement that the person:

a. Received a copy of the Policy

b. Has read and understood the Policy

c. Agrees to comply with the Policy; and

d. Understands that the Policy applies to all committees and subcommittees having Board-delegated powers."

(see attached copy of the EF conflict of interest policy and questionnaire)

In the event that the information provided by the Board member changes over the course of the following year, EF programs and grants administration staff thoroughly review prospective grantee board of directors list for further potential conflicts of interest with the Energy Foundation board members. If one is found, grants administration staff confirms with the board member directly and updates the conflict of interest disclosure form.

Part VI Section B. Question 15

Please see attached the Energy Foundation's Compensation Philosophy & Pay Practices

Part VI Section C. Question 19

The original governing documents are filed and available at the State of California. The conflict of interest policy is attached to the foundation's tax return and available on GuideStar. The Foundation makes its Annual Report available on its website. The Financials are part For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Cat. No 51056K

Schedule O (Form 990 or 990-EZ) (2013)

Name of the organization

The Energy Foundation

Employer identification number

94 3126848

of the Annual report. Governing documents, conflict of interest policy and financial statements are available at the Foundation upon request.

Part VII Section A, Line 1 a

The Following Officers, Directors, Trustees, Key Employees and highest compensated Employees had average hours per week for a related organization:

(4) Eric Hertz - Board Member - Green Tech Action Fund - approximately 2.1 hours per week

(15) Robert O'Connor - Chief Financial Officer - Green Tech Action Fund - approximately 1.5 hours per week

(17) Charlotte Pera - Interim President - ClimateWorks Foundation - approximately 24.3 hours per week on secondment

(22) Amy Fuerstenau - Executive Director - Green Tech Action Fund - approximately 11.1 hours per week

The Energy Foundation
CONFLICT-OF-INTEREST POLICY
Adopted November 6, 2003

Members of the Board of Directors and employees of the Energy Foundation shall conduct their business, investment, and personal affairs in such manner as to avoid any possible conflict with their duties and responsibilities to the Energy Foundation. This Conflict-of-Interest Policy ("Policy") shall apply to any transaction or arrangement with an "interested person" and any compensation arrangement with a "disqualified person." A person may be both an "interested person" for purposes of California law and a "disqualified person" for purposes of federal law.

Before approving an action pursuant to this Policy, the Board shall first determine whether the contemplated transaction or arrangement is a prohibited act of "self dealing" under Section 4941 of the Internal Revenue Code. If the transaction or arrangement would constitute self dealing, the Board shall not approve such action. If the arrangement or transaction concerns the approval of a compensation arrangement for a "disqualified person" for personal services, the Board shall follow the guidelines set forth in **Section A** of this Policy.

In unusual situations, typically involving indirect transactions between an insider and the Energy Foundation, the transaction will not involve the navigation of the self dealing rules of Section 4941, but will still require the approvals outlined by California law. If the arrangement or transaction does not concern the approval of a compensation arrangement and the Energy Foundation has confirmed with counsel that the transaction or arrangement does not constitute self dealing for federal income tax purposes, the Board shall follow the guidelines set forth in **Section B** of this Policy in order to satisfy California law.

The Board shall ensure that this Policy is distributed to all Directors, officers and members of committees with Board-delegated powers. Each such person shall sign an annual statement, in the form attached hereto, that the person:

- a. Received a copy of the Policy;
- b. Has read and understands the Policy;
- c. Agrees to comply with the Policy; and
- d. Understands that the Policy applies to all committees and subcommittees having Board-delegated powers.

A. Approval of Compensation Arrangement for "Disqualified Person."

This procedure applies to compensation arrangements, which are permitted if they comply with the exception to federal prohibitions on self dealing. Compliance with these procedures will also satisfy California law.

A "disqualified person" for purposes of these rules is a director, officer or substantial contributor to the organization (including persons with ownership interests of more than 20% in entities that are substantial contributors), a member of the family of a director, officer, or substantial contributor, or an entity in which a director, officer, or substantial contributor owns more than 35%.

In considering and approving a compensation arrangement for a disqualified person, the Board shall do all of the following:

1. **Comparability Data.** Obtain and rely on appropriate comparability data prior to making its decision. Appropriate comparability data includes data regarding comparable salaries ordinarily paid for like services by similar organizations. Such data may be derived from independent industry surveys, documented compensation of persons holding similar positions in similar organizations in the same geographic region, expert compensation studies, or other evidence of comparability.
2. **Recusal of Disqualified Person.** Require that the disqualified person and any other individual who is related to, is employed by, is under the control of, or is compensated by the disqualified person leave the meeting during the discussion of, and the vote on, the compensation arrangement.
3. **Adequate Documentation.** Properly document the basis for its determination concurrently with making the determination and attach such documentation to the approving resolution. Adequate documentation should reflect:
 - a. The Board's knowledge of the material facts relating to the compensation arrangement and the personal services the disqualified person will perform for the Energy Foundation.
 - b. The Board's understanding and belief that it is entering into the compensation arrangement for the benefit of the Energy Foundation and that the arrangement is fair and reasonable to the Energy Foundation.
 - c. The terms of the compensation arrangement, the date of approval, and a complete list of Board members who were present during the debate and who voted on it.
 - d. The comparability data and a description of how it was obtained.

- e. A comprehensive job description of the position or task(s), a current resume of the disqualified person, the disqualified person's qualifications for the position, and the salary, including all benefits and perquisites provided.

B. Approval of Transaction or Arrangement with "Insider."

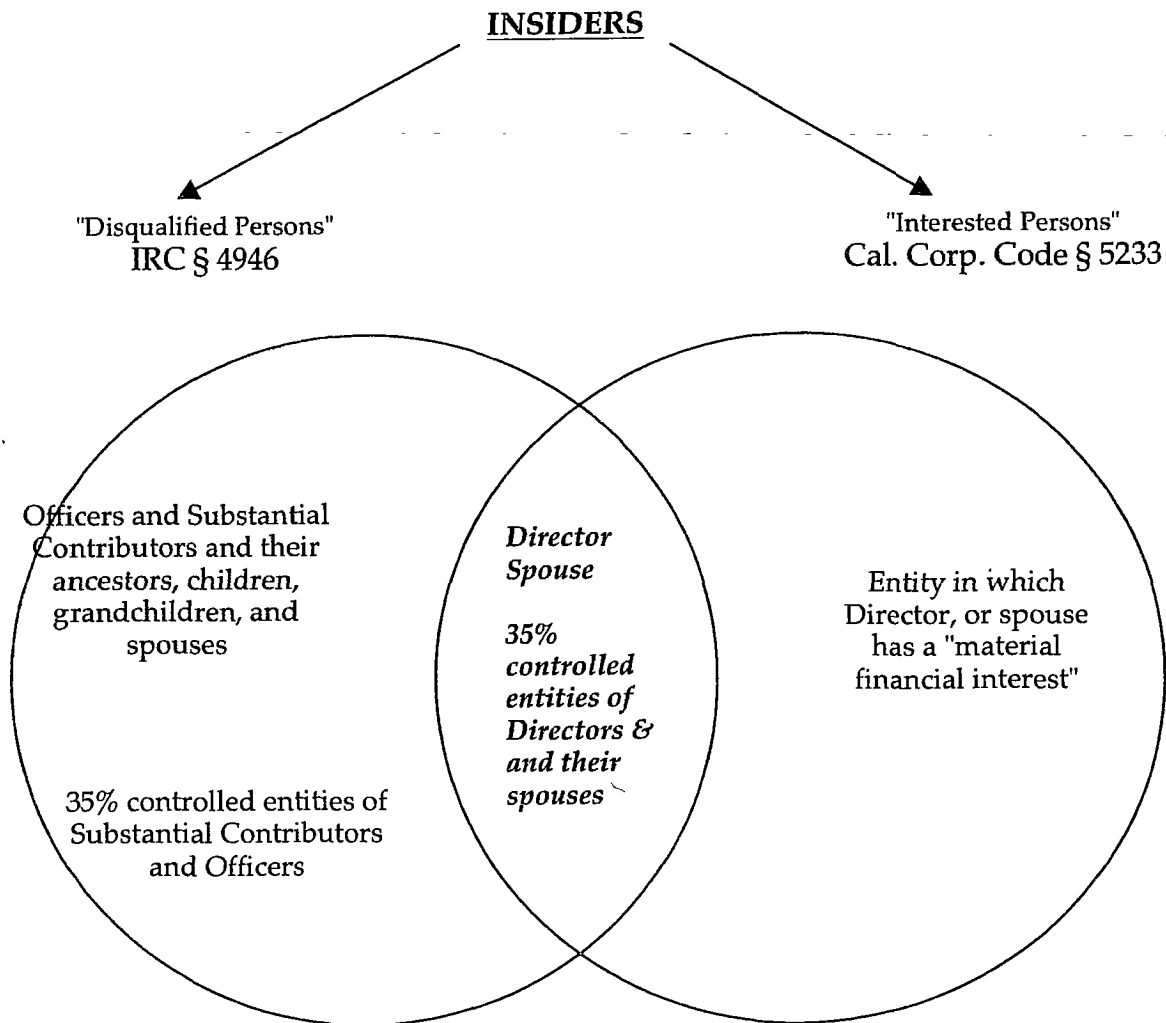
This procedure applies to transactions (other than compensation arrangements) that are not prohibited by federal self-dealing rules but are permitted under California law only if certain findings are made by the Board.

These rules apply to certain transactions with "interested persons." An "interested person" is a director, an officer, or a member of a committee with Board-delegated powers who has a direct or indirect material "financial interest" in a proposed transaction. A person has a financial interest if the person has, (i) directly or indirectly, (ii) through business, investment or family, (iii) an actual or potential ownership or investment interest, or an employment relationship or other compensation arrangement, (iv) in or with any entity or individual, (v) with which the Energy Foundation has entered into or is contemplating a transaction or arrangement.

If an interested person has a material financial interest in a proposed transaction with the Energy Foundation, then the following requirements shall apply:

1. **Complete Disclosure.** The Board shall require each interested person to disclose any financial interest and all material facts relating thereto.
2. **Comparability Data.** The Board shall obtain appropriate data regarding comparable transactions with unrelated businesses or entities.
3. **Recusal of Disqualified Person.** The Board shall require that the disqualified person and any other individual who is related to, is employed by, is under the control of, or is compensated by the disqualified person leave the meeting during the discussion of, and the vote on, the compensation arrangement.
4. **Adequate Documentation.** The minutes of the Board or committee meetings approving the transaction shall include adequate documentation for its approval of any transaction in which an interested person has a material financial interest, including:
 - a. The Board's knowledge of the material facts relating to the transaction, the name of each person who discloses a financial interest, the nature of that financial interest, and whether the Board has determined that there is a conflict of interest;
 - b. The terms of the transaction, the date of approval, and a complete list of Board members who were present during the debate and who voted on it;
 - c. The comparability data and a description of how it was obtained; and
 - d. A resolution of the Board evidencing that the Board determines, by a majority vote of the non-interested directors present, that the transaction or arrangement is in the Energy Foundation's best interests and for its own benefit; is fair and reasonable to the Energy Foundation; and, after

exercising due diligence, determines that the Energy Foundation cannot obtain a more advantageous transaction or arrangement with reasonable efforts under the circumstances.



The Energy Foundation
Annual Conflict-of-Interest Questionnaire

Before Completing This Questionnaire: This Questionnaire should be completed only after a careful reading of the Energy Foundation Conflicts-of-Interest Policy, adopted November 6, 2003. Your response should cover the period from the day you became associated with the Energy Foundation through the date you sign it.

Name of Individual Board Member or Officer: _____

Address: _____

State Offices Held with _____:

Do you or a family member hold a position as owner, officer, board member, partner, or employee of any business that does business with the Energy Foundation?

___ Yes ___ No

Are you a participant of any organization (whether nonprofit or for profit) that may have an interest adverse to the interests of the Energy Foundation, or that may cause you to have a conflict of interest in performing your duties as a Director or Officer of the Energy Foundation?

___ Yes ___ No

If yes to either of the foregoing, provide the following information:

<u>Business/ Organization(s)</u> <u>With Which You are Associated</u>	<u>Position Held/By Whom</u>
_____	_____
_____	_____
_____	_____

MAIL TO: Todd V. Foland
 Grants Manager
 The Energy Foundation
 301 Battery Street, Fifth Floor
 San Francisco, CA 94111

PLEASE SIGN AND DATE THE AFFIRMATION ON THE FOLLOWING PAGE.

AFFIRMATION: I have read the Energy Foundation Conflicts-of-Interest Policy dated November 6, 2003. I understand its provisions and I hereby affirm that, during the period indicated above, I have not, to the best of my knowledge and belief, been in a position of possible conflict of interest, **except as indicated as follows:**

**IF NO EXCEPTIONS
PLEASE CHECK**

1. FINANCIAL INTERESTS

No Exceptions (___)

Describe exceptions, if any: _____

2. USE OF FOUNDATION SERVICES,
PROPERTY AND FACILITIES

No Exceptions (___)

Describe exceptions, if any: _____

3. PRIVILEGED INFORMATION

No Exceptions (___)

Describe exceptions, if any: _____

4. ANY OTHER CONFLICT OF INTEREST

No Exceptions (___)

Describe exceptions, if any: _____

DATED: _____

SIGNATURE

Energy Foundation
Compensation Philosophy & Pay Practices
Updated: Dec. 1, 2010
Revised: April 15, 2011

Compensation Philosophy

Energy Foundation hires highly skilled staff who are dedicated to advancing the cleanest energy technologies. We pay competitive salaries relative to comparable non-profits.

Working for the Energy Foundation is a viable career that provides superior benefits: robust health and welfare benefits, compensated time off for personal well-being and retirement contributions for your future security.

As guardians of public money, we strive to keep overhead low.

Compensation Structure

EF positions are benchmarked against comparable jobs in the market every three years to ensure external equity with the market. Compensation ranges are built around the market value, or job value for positions. Jobs with comparable responsibilities, accountabilities and risk or liability to the foundation are clustered into job families. Job families share a common job value and compensation range.

Each year, in preparation for the performance p/review process, the Director of Organizational Development will issue guidelines for conducting salary reviews and recommendations. Guidelines include a scale to apply to performance based salary recommendations. Current economic indicators, budget criteria and market trends in compensation will inform the guidelines. In this way, EF can maintain internal equity and external competitiveness, allow for recognition of performance or taking on additional responsibilities, and ensure that criteria for recommended salary actions are consistent throughout EF.

The Director of Organizational Development is responsible for developing and implementing compensation structure.

Compensation Adjustments

Individual salaries may be adjusted by any or all of the following means:

- **Cost of Living Adjustment (COLA):** October data from www.bls.gov for the consumer price index for the Bay Area relative to US Cities informs any recommendation for a COLA increase. The Beijing office will use the consumer price index (CPI) from the Beijing Bureau of Statistics. COLA adjustments are at the discretion of EF and not implied or guaranteed.
- **Market (Job Value) Adjustment:** Energy Foundation will regularly conduct salary analysis to ensure compensation remains competitive with external markets.
- **Promotion:** Internal promotions usually result in a salary increase, assuming the current salary is below the job value for the new position.

- **Skills/Responsibilities/Professional Development:** Individual compensation will be reviewed annually during the performance p/review process. Supervisors may recommend a compensation increase to account for increasing skills, demonstrating enhanced competency in the position, as reflected in the skills matrix and/or taking on increasing responsibility.

The Executive Committee of the Board of Directors (ExCom) approves the overall salary cap each year. Per applicable law, they also approve the individual salaries of the President and the most senior finance position of the foundation. The President presents recommendations to ExCom annually. The ExCom reviews salary recommendations and the rationale before approving the salary cap.

Compensation Decisions and the Approval Process

As a part of the annual budget process, the President will present a recommended salary cap (the overall increase in salaries from the previous year) and salary ranges to the ExCom. The only individual salaries approved by the ExCom are the President's and the most senior finance person's, as required by California law.

The President will inform the Senior Vice President & Director US Programs, the Senior Vice President & Director China Programs and the Senior Vice President, Chief Operating Officer of the ExCom's decision. The President provide each SVP with a salary cap for their working group. The SVP's will approve the individual compensation recommendations for their staff. They are responsible to keep all increases within salary cap total. Subordinate supervisors will make recommendations for individual salary increases to their SVP.

Individual compensation decisions will be documented on an "Employee Change Form", routed to payroll for execution then filed in individual employee personnel files.

Compensation Transparency

As a matter of privacy and confidentiality, EF will not disclose the individual salaries of any employee. Every EF employee is entitled to know the compensation range for her/his position and any positions classified in the same or lower pay ranges. If you are interested in knowing this information, please make a time to meet with the Director of Organizational Development.

**SCHEDULE R
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2018

**Open to Public
Inspection**

Employer identification number

94 3212684

The Energy Foundation

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) Green Tech Action Fund, 301 Battery Street, Floor 5 San Francisco CA 94110 EIN 26-3390444	Reduce greenhouse ga	California	501 (c)(4)		Energy Foundati	✓	
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	✓
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	✓
o Sharing of paid employees with related organization(s)	1o	✓
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	✓
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) Green Tech Action Fund	b	1,000,000	Cash
(2) Green Tech Action Fund	n	12,032	Accrual
(3) Green Tech Action Fund	o	89,793	Accrual
(4) Green Tech Action Fund	q	5,550	Cash
(5)			
(6)			

Part VI **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions).

Internal Use Only
DRAFT AS OF
April 26, 2013