

Return of Organization Exempt from Income Tax

2004

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

Department of the Treasury Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2004 calendar year, or tax year beginning Oct 1, 2004, and ending Sep 30, 2005

B Check if applicable: Address change, Name change, Initial return, Final return, Amended return, Application pending. C Name of organization: Prevention Institute, 265 - 29th Street, Oakland, CA 94611. D Employer Identification Number: 94-3282858. E Telephone number: (510) 528-4482. F Accounting method: Accrual.

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

G Web site: WWW.PREVENTIONINSTITUTE.ORG

J Organization type: 501(c) 3

K Check here if the organization's gross receipts are normally not more than \$25,000. Some states require a complete return.

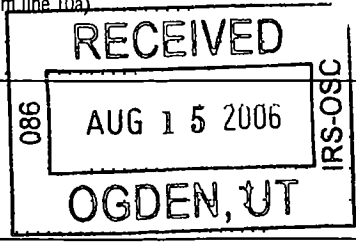
L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12: 1,069,631.

H and I are not applicable to section 527 organizations. H (a) Is this a group return for affiliates? No. H (b) If 'Yes,' enter number of affiliates. H (c) Are all affiliates included? No. H (d) Is this a separate return filed by an organization covered by a group ruling? No. I Group Exemption Number. M Check if the organization is not required to attach Schedule B.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Instructions)

Table with 21 rows and 4 columns: Description, (A) Securities, (B) Other, Amount. Includes revenue from contributions, program services, and expenses, totaling 1,583,095.

SCANNED SEP 05 2005



Handwritten mark: 20

Part II Statement of Functional Expenses All organizations must complete column (A) Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (att sch) (cash \$ _____ non-cash \$ _____)	22			
23 Specific assistance to individuals (att sch)	23			
24 Benefits paid to or for members (att sch)	24			
25 Compensation of officers, directors, etc	25	139,868.	120,620.	19,248.
26 Other salaries and wages	26	534,319.	446,272.	88,047.
27 Pension plan contributions	27	51,213.	42,619.	8,594.
28 Other employee benefits	28	60,982.	52,937.	8,045.
29 Payroll taxes	29	56,120.	47,088.	9,032.
30 Professional fundraising fees	30			
31 Accounting fees	31	6,625.	0.	6,625.
32 Legal fees	32	2,000.	0.	2,000.
33 Supplies	33	28,051.	20,153.	7,898.
34 Telephone	34	15,149.	12,934.	2,215.
35 Postage and shipping	35			
36 Occupancy	36	19,978.	13,063.	6,915.
37 Equipment rental and maintenance	37	15,449.	10,564.	4,885.
38 Printing and publications	38	3,234.	2,907.	327.
39 Travel	39	62,040.	59,014.	3,026.
40 Conferences, conventions, and meetings	40			
41 Interest	41	13,464.	10,564.	2,900.
42 Depreciation, depletion, etc (attach schedule)	42	17,468.	12,753.	4,715.
43 Other expenses not covered above (itemize)				
a Contract labor	43a	122,041.	122,041.	0.
b Other Expenses	43b	9,814.	4,137.	5,677.
c Professional fees	43c	4,405.	0.	4,405.
d Insurance	43d	2,802.	0.	2,802.
e See Other Expenses Stmt	43e	23,880.	21,829.	2,051.
44 Total functional expenses (add lines 22 - 43) Organizations completing columns (B) - (D), carry these totals to lines 13 - 15	44	1,188,902.	999,495.	189,407.

Joint Costs. Check if you are following SOP 98-2.

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No
 If 'Yes,' enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____, (iii) the amount allocated to Management and general \$ _____; and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? <u>Research & technical assistance</u> All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc Discuss achievements that are not measurable. (Section 501(c)(3) & (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants & allocations to others)	Program Service Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts, but optional for others)
a Attached _____ _____ _____ (Grants and allocations \$ 0.)	999,495.
b _____ _____ _____ (Grants and allocations \$ _____)	
c _____ _____ _____ (Grants and allocations \$ _____)	
d _____ _____ _____ (Grants and allocations \$ _____)	
e Other program services (Grants and allocations \$ _____)	
f Total of Program Service Expenses (should equal line 44, column (B), Program services)	999,495.

Part IV Balance Sheets (See Instructions)

Note: Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year
ASSETS	45 Cash – non-interest-bearing		45	
	46 Savings and temporary cash investments	729,584.	46	558,500.
	47a Accounts receivable	47a 119,958.		
	b Less allowance for doubtful accounts	47b 0.	156,148.	47c 119,958.
	48a Pledges receivable	48a		
	b Less allowance for doubtful accounts	48b		48c
	49 Grants receivable		429,909.	49 445,624.
	50 Receivables from officers, directors, trustees, and key employees (attach schedule)		22,182.	50 0.
	51a Other notes & loans receivable (attach sch)	51a		
	b Less allowance for doubtful accounts	51b		51c
	52 Inventories for sale or use			52
	53 Prepaid expenses and deferred charges			53
	54 Investments – securities (attach schedule) L-54 Stmt <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV		271,357.	54 621,001.
	55a Investments – land, buildings, & equipment basis	55a		
	b Less accumulated depreciation (attach schedule)	55b		55c
56 Investments – other (attach schedule)			56	
57a Land, buildings, and equipment basis	57a 607,321.			
b Less accumulated depreciation (attach schedule)	57b 97,354.	527,438.	57c 509,967.	
58 Other assets (describe ▶ _____)			58	
59 Total assets (add lines 45 through 58) (must equal line 74)		2,136,618.	59 2,255,050.	
LIABILITIES	60 Accounts payable and accrued expenses	145,319.	60	166,095.
	61 Grants payable		61	
	62 Deferred revenue		62	217,429.
	63 Loans from officers, directors, trustees, and key employees (attach schedule)		63	
	64a Tax-exempt bond liabilities (attach schedule)		64a	
	b Mortgages and other notes payable (attach schedule)		293,475.	64b 288,431.
	65 Other liabilities (describe ▶ _____)			65
66 Total liabilities (add lines 60 through 65)		438,794.	66 671,955.	
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74			
	67 Unrestricted	828,156.	67	1,084,289.
	68 Temporarily restricted	869,668.	68	498,806.
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
	73 Total net assets or fund balances (add lines 67 through 69 or lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)		1,697,824.	73 1,583,095.
	74 Total liabilities and net assets/fund balances (add lines 66 and 73)		2,136,618.	74 2,255,050.

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

BAA

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See instructions.)

a Total revenue, gains, and other support per audited financial statements	a N/A
b Amounts included on line a but not on line 12, Form 990:	
(1) Net unrealized gains on investments \$	
(2) Donated services and use of facilities \$	
(3) Recoveries of prior year grants \$	
(4) Other (specify)	
----- \$	
Add amounts on lines (1) through (4)	b
c Line a minus line b	c
d Amounts included on line 12, Form 990 but not on line a :	
(1) Investment expenses not included on line 6b, Form 990 \$	
(2) Other (specify)	
----- \$	
Add amounts on lines (1) and (2)	d
e Total revenue per line 12, Form 990 (line c plus line d)	e

Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a Total expenses and losses per audited financial statements	a N/A
b Amounts included on line a but not on line 17, Form 990:	
(1) Donated services and use of facilities \$	
(2) Prior year adjustments reported on line 20, Form 990 \$	
(3) Losses reported on line 20, Form 990 \$	
(4) Other (specify)	
----- \$	
Add amounts on lines (1) through (4)	b
c Line a minus line b	c
d Amounts included on line 17, Form 990 but not on line a :	
(1) Investment expenses not included on line 6b, Form 990 \$	
(2) Other (specify):	
----- \$	
Add amounts on lines (1) and (2)	d
e Total expenses per line 17, Form 990 (line c plus line d)	e

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated; see instructions.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans and deferred compensation	(E) Expense account and other allowances
Michael Pastor Bronx, NY	Director var	0.	0.	0.
Ronda Kay Garcia Concord, CA	Director var	0.	0.	0.
Daniel Peralis California	Director var	0.	0.	0.
Kathy Martin Marblehead, MA	Director var	0.	0.	0.
Larry Cohen Berkeley, CA	Executive Dir 40	128,318.	19,009.	0.
See List of Officers, Etc. Statement		11,550.	0.	0.

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations?

Yes No

If 'Yes,' attach schedule— see instructions.

Part VI Other Information (See instructions.)		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If 'Yes,' attach a detailed description of each activity		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If 'Yes,' attach a conformed copy of the changes.		X
78a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?		X
78b	If 'Yes,' has it filed a tax return on Form 990-T for this year?		
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If 'Yes,' attach a statement		X
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc. to any other exempt or nonexempt organization?		X
81a	Enter direct and indirect political expenditures See line 81 instructions <input type="checkbox"/> exempt or <input type="checkbox"/> nonexempt		
81b	Did the organization file Form 1120-POL for this year?		X
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?		X
82b	If 'Yes,' you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)		
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?	X	
83b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	X	
84a	Did the organization solicit any contributions or gifts that were not tax deductible?		X
84b	If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
85a	501(c)(4), (5), or (6) organizations Were substantially all dues nondeductible by members?	n/a	
85b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? If 'Yes' was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	n/a	
85c	Dues, assessments, and similar amounts from members	n/a	
85d	Section 162(e) lobbying and political expenditures	n/a	
85e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	n/a	
85f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	n/a	
85g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	n/a	
85h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	n/a	
86a	501(c)(7) organizations Enter a Initiation fees and capital contributions included on line 12	n/a	
86b	Gross receipts, included on line 12, for public use of club facilities	n/a	
87a	501(c)(12) organizations Enter a Gross income from members or shareholders	n/a	
87b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	n/a	
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Part IX		X
89a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 0., section 4912 0., section 4955 0.		
89b	501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If 'Yes,' attach a statement explaining each transaction		X
	c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		0.
	d Enter Amount of tax on line 89c, above, reimbursed by the organization		0.
90a	List the states with which a copy of this return is filed California		
90b	Number of employees employed in the pay period that includes March 12, 2004 (See instructions.)		14
91	The books are in care of Prevention Inst Telephone number (510) 528-4482 Located at 265 29th St., Oakland, CA ZIP + 4 94611		
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the tax year		

Part VII Analysis of Income-Producing Activities (See instructions.)

Note: Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a Contracts					383,202.
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees & contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings & temporary cash invmnts			14	3,018.	
96 Dividends & interest from securities			14	18,119.	
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from pers prop					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue a					
b Misc			01	1,066.	
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))				22,203.	383,202.
105 Total (add line 104, columns (B), (D), and (E))					405,405.

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See instructions.)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
93a	Contracts for the development of interdisciplinary approaches, training, and development of strategies promoting prevention across diverse issues, such as violence, illness, injury and crime.

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See instructions.) N/A

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See instructions.)

a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the organization, during the year, pay premiums, directly or in

Note: If 'Yes' to (b), file Form 8870 and Form 4720 (see instructions.)

Please Sign Here
 Under penalties of perjury, I declare that I have examined this return, including true, correct, and complete Declaration of preparer (other than officer) is based on all the information provided to me.
 Signature of officer: *[Signature]*
 Type or print name and title: Executive Director and Board Member

Paid Preparer's Use Only
 Preparer's signature: *Antoinette G. Nies*
 Firm's name (or yours if self-employed), address, and ZIP + 4:
 ANTOINETTE G NIES CPA
 61 PRINCE ROYAL DRIVE
 CORTE MADERA

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

**Organization Exempt Under
Section 501(c)(3)**

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information — (See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ.**

OMB No 1545-0047

2004

Name of the organization <u>Prevention Institute</u>	Employer identification number <u>94-3282858</u>
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Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See instructions. List each one. If there are none, enter 'None')

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowances
<u>Rachel Davis</u> <u>Oakland, CA</u>	<u>Mging Dir</u> 40	<u>76,468.</u>	<u>14,807.</u>	<u>0.</u>
<u>Leslie Mikkelson</u> <u>Oakland, CA</u>	<u>Mging Dir</u> 40	<u>85,123.</u>	<u>12,460.</u>	<u>0.</u>
<u>Diane Aranda</u> <u>Oakland, CA</u>	<u>Pgm Director</u> 40	<u>77,175.</u>	<u>14,486.</u>	<u>0.</u>

Total number of other employees paid over \$50,000 ▶	None			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See instructions. List each one (whether individuals or firms) If there are none, enter 'None')

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
<u>None</u> -----		

Total number of others receiving over \$50,000 for professional services ▶	None	

Part III Statements About Activities (See instructions)

	Yes	No
<p>1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If 'Yes,' enter the total expenses paid or incurred in connection with the lobbying activities. \blacktriangleright \$ <u>648.</u> <u>648.</u> (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B)</p> <p>Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking 'Yes' must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities</p>	X	
<p>2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is 'Yes,' attach a detailed statement explaining the transactions)</p> <p>a Sale, exchange, or leasing of property?</p>		X
<p>b Lending of money or other extension of credit?</p>		X
<p>c Furnishing of goods, services, or facilities?</p>		X
<p>d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?</p>		X
<p>e Transfer of any part of its income or assets?</p>		X
<p>3a Do you make grants for scholarships, fellowships, student loans, etc? (If 'Yes,' attach an explanation of how you determine that recipients qualify to receive payments)</p>		X
<p>b Do you have a section 403(b) annuity plan for your employees?</p>		X
<p>4a Did you maintain any separate account for participating donors where donors have the right to provide advice on the use or distribution of funds?</p>		X
<p>b Do you provide credit counseling, debt management, credit repair, or debt negotiation services?</p>		X

Part IV Reason for Non-Private Foundation Status (See instructions)

The organization is not a private foundation because it is. (Please check only **ONE** applicable box.)

- 5 A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6 A school Section 170(b)(1)(A)(ii). (Also complete Part V.)
- 7 A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8 A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9 A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) **Enter the hospital's name, city, and state** \blacktriangleright _____
- 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)
- 11 a An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 11 b A community trust. Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 12 An organization that normally receives **(1) more than 33-1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc, functions— subject to certain exceptions, and **(2) no more than 33-1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A)
- 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in **(1)** lines 5 through 12 above, or **(2)** section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations (See instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 An organization organized and operated to test for public safety Section 509(a)(4). (See instructions)

Part IV-A. Support Schedule (Complete only if you checked a box on line 10, 11, or 12 *Use cash method of accounting.*)

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2003	(b) 2002	(c) 2001	(d) 2000	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants See line 28)	1,411,490.	1,014,526.	937,073.	623,248.	3,986,337.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	44,126.	368,062.	297,803.	258,279.	968,270.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	9,994.	3,581.	19,961.	8,683.	42,219.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets	7,925.	35.	5,610.	22.	13,592.
23 Total of lines 15 through 22	1,473,535.	1,386,204.	1,260,447.	890,232.	5,010,418.
24 Line 23 minus line 17	1,429,409.	1,018,142.	962,644.	631,953.	4,042,148.
25 Enter 1% of line 23	14,735.	13,862.	12,604.	8,902.	

26 Organizations described on lines 10 or 11:

a Enter 2% of amount in column (e), line 24 ▶ **26a** 80,843.

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2000 through 2003 exceeded the amount shown in line 26a Do not file this list with your return Enter the total of all these excess amounts ▶ **26b** 996,841.

c Total support for section 509(a)(1) test Enter line 24, column (e) ▶ **26c** 4,042,148.

d Add Amounts from column (e) for lines **18** 42,219. **19** _____ ▶ **26d** 1,052,652.
22 13,592. **26b** 996,841. ▶ **26e** 2,989,496.

e Public support (line 26c minus line 26d total) ▶ **26e** 2,989,496.

f Public support percentage (line 26e (numerator) divided by line 26c (denominator)) ▶ **26f** 73.96 %

27 Organizations described on line 12:

a For amounts included in lines 15, 16, and 17 that were received from a 'disqualified person,' prepare a list for your records to show the name of, and total amounts received in each year from, each 'disqualified person' Do not file this list with your return. Enter the sum of such amounts for each year
 (2003) _____ (2002) _____ (2001) _____ (2000) _____

b For any amount included in line 17 that was received from each person (other than 'disqualified persons'), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals. Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year
 (2003) _____ (2002) _____ (2001) _____ (2000) _____

c Add Amounts from column (e) for lines **15** _____ **16** _____ ▶ **27c** _____
17 _____ **20** _____ **21** _____ ▶ **27d** _____

d Add Line 27a total _____ and line 27b total _____ ▶ **27e** _____

e Public support (line 27c total minus line 27d total) ▶ **27e** _____

f Total support for section 509(a)(2) test Enter amount from line 23, column (e) ▶ **27f** _____

g Public support percentage (line 27e (numerator) divided by line 27f (denominator)) ▶ **27g** _____ %

h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) ▶ **27h** _____ %

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 2000 through 2003, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant Do not file this list with your return. Do not include these grants in line 15

Part V Private School Questionnaire (See instructions)
 (To be completed ONLY by schools that checked the box on line 6 in Part IV)

N/A

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If 'Yes,' please describe, if 'No,' please explain (If you need more space, attach a separate statement) ----- ----- -----		
32 Does the organization maintain the following		
a Records indicating the racial composition of the student body, faculty, and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered 'No' to any of the above, please explain (If you need more space, attach a separate statement) ----- -----		
33 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities? If you answered 'Yes' to any of the above, please explain (If you need more space, attach a separate statement.) ----- -----		
34a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered 'Yes' to either 34a or b, please explain using an attached statement		
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If 'No,' attach an explanation		

Part VI-A Lobbying Expenditures by Electing Public Charities (See instructions)
 (To be completed ONLY by an eligible organization that filed Form 5768)

N/A

Check **a** if the organization belongs to an affiliated group Check **b** if you checked **a** and 'limited control' provisions apply

Limits on Lobbying Expenditures

(The term 'expenditures' means amounts paid or incurred)

	(a) Affiliated group totals	(b) To be completed for ALL electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37	
38 Total lobbying expenditures (add lines 36 and 37)	38	
39 Other exempt purpose expenditures	39	
40 Total exempt purpose expenditures (add lines 38 and 39)	40	
41 Lobbying nontaxable amount Enter the amount from the following table-		
If the amount on line 40 is-		
Not over \$500,000		
Over \$500,000 but not over \$1,000,000		
Over \$1,000,000 but not over \$1,500,000		
Over \$1,500,000 but not over \$17,000,000		
Over \$17,000,000		
The lobbying nontaxable amount is-		
20% of the amount on line 40		
\$100,000 plus 15% of the excess over \$500,000		
\$175,000 plus 10% of the excess over \$1,000,000		
\$225,000 plus 5% of the excess over \$1,500,000		
\$1,000,000		
42 Grassroots nontaxable amount (enter 25% of line 41)	42	
43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43	
44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44	
Caution: If there is an amount on either line 43 or line 44, you must file Form 4720		

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2004	(b) 2003	(c) 2002	(d) 2001	(e) Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots non-taxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines through h.)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (add lines through h.)

Yes	No	Amount
	X	
	X	
	X	
X		370.
	X	
	X	
X		278.
	X	
		648.

If 'Yes' to any of the above, also attach a statement giving a detailed description of the lobbying activities See Part VI-B Stmt

BAA

Form 990, Page 2, Part II, Line 43

Other Expenses Stmt

Other expenses not covered above (itemize):	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
Staff Development	1,826.	957.	869.	0.
Events	22,054.	20,872.	1,182.	0.
Total	<u>23,880.</u>	<u>21,829.</u>	<u>2,051.</u>	<u>0.</u>

Form 990, Page 3, Part IV, Line 54

Investments - Securities Statement

Line 54 – Investments - Securities:	Beginning of Year	End of Year
Parnassus Mutual Funds	0.	310,082.
Ariel Mutual Funds	0.	157,994.
Domini Bond Fund	271,357.	152,925.
Total	<u>271,357.</u>	<u>621,001.</u>

Form 990, Page 4, Part V

List of Officers, Etc. Statement

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans and deferred compensation	(E) Expense account and other allowances
Marilyn Ritholz, PhD Lexington, MA	Director var	0.	0.	0.
Howard Pinderhughes California	Director var	11,550.	0.	0.
Judy Derman California	Director var	0.	0.	0.
Total		<u>11,550.</u>	<u>0.</u>	<u>0.</u>

Explanation StatementForm/Line: Schedule A, Page 5, Part VI-BExplanation of: Lobbying Activity by Nonelecting Public CharitiesCalifornia Bill SB 12 - letter in support on nutritional standardsFederal Child Nutrition Farm to School - Signed letter circulated by CFSC

Explanation Statement

Continued

Form/Line: Schedule A, Page 5, Part VI-B

Explanation of: Lobbying Activity by Nonelecting Public Charities

California Bill SB 965 - Letter in support of soda out of schools

California Bill SB 523 - Letter in support of bicycle transportation account

Visits to state legislators for ENACT Advocacy Day

PREVENTION INSTITUTE

94-3282858

FORM 990 PART III

Major PI Projects Conducted in FY 04-05

National Highway Traffic Safety Administration (NHTSA)

Through a contract with NHTSA, Prevention Institute provides consultation and technical assistance to public health and injury control practitioners in Arizona, Nevada, Hawaii, and California (Region IX). Specific areas of focus are identifying and promoting strategies to increase seatbelt and child safety seat use and reduce the incidence of driving under the influence. Prevention Institute also facilitates information exchange between practitioners in the region by disseminating a quarterly injury prevention newsletter.

Community Tool for Health & Resilience In Vulnerable Environments (THRIVE)

THRIVE – a Tool for Health and Resilience in Vulnerable Environments – was developed to help communities identify and foster factors in the community environment that will improve health outcomes and reduce disparities experienced by racial and ethnic minorities. THRIVE delineates 13 factors that represent elements that must be present in a community to ensure opportunities for a healthy population. THRIVE was developed with input from a national expert panel, and has also been successfully piloted in 3 diverse communities throughout the country, where it has been very well received. Prevention Institute is currently working to translate these materials into an interactive web-based tool that is due to be available to users in the Fall of 2006.
(<http://www.preventioninstitute.org/healthdis.html>)

From Pilots to Practice: Strategies to Support Healthy Eating and Physical Activity

From Pilots to Practice was intended to maximize the impact of efforts to improve access to healthy food and safe places for physical activity in low-income communities. The project brought together community advocates and academics from across the country to discuss strategies for improving nutrition and activity environments on a neighborhood level and how to best achieve large scale sustainability of these improvements. Convening participants included community advocates with extensive on-the-ground experience in nutrition and physical activity, academics and researchers, and advocates working in related areas. Prevention Institute will soon publish a final report of meeting proceedings.

Strategic Alliance for Healthy Food and Activity Environments

Prevention Institute staffs this coalition of more than 600 nutrition and physical activity advocates. The Strategic Alliance is shifting the debate on nutrition and physical activity away from a primary focus on personal responsibility and individual choice to one that examines corporate and government practices and the role of the environment in shaping eating and activity behaviors. The Alliance's goal is to benefit health and wellness by promoting environmental

solutions and institutional and government policies and practices that support health eating and activity. (<http://www.eatbettermovemore.org>)

Local Policy Database

The Local Policy Database catalogues promising policies in nutrition and activity to provide local policy makers, health advocates and the media with concrete examples of what's being adopted in other locales; demonstrate the range of emerging physical activity / nutrition-related policies; and facilitate networking among local policymakers and advocates around successes and challenges. (<http://preventioninstitute.org/sa/policies/index.php>)

Toward A Lifetime Commitment to Violence Prevention: The Alameda County Blueprint

Prevention Institute guided the four-phase planning effort on *Toward a Lifetime Commitment to Violence Prevention*, which culminated in a comprehensive *Blueprint* unanimously adopted by the Alameda County Board of Supervisors in July 2005. The *Blueprint* is designed to address the county's violence problem through fostering the leadership and structures necessary to ensure effective programs, policy, accountability, and coordination. View more information on the *Blueprint* and its implementation.

Healthcare crumbling

The current health care system in the U.S. is, by most accounts, broken. Despite a massive investment of public and private resources into the system and the highest rate of per capita spending in the world, it is failing to meet basic expectations with regard to access, quality, equity, and, ultimately, health outcomes. Although there is growing consensus that the health care system is in crisis, there is little agreement over how to solve the problem. Prevention Institute seeks to mobilize interested leaders to inject a message that focuses on improving the conditions that lead to poor health in the first place within the debates and discussions over health care. We will engage these leaders in discussions that will lead to a better understanding of the common perceptions related to prevention, including the barriers that keep people from promoting and investing in prevention in meaningful ways. Our goal is to integrate prevention within proposals for health system reform and develop a strategy for pursuing such opportunities.