

Form **990-PF**

**Return of Private Foundation**

OMB No 1545-0052

or Section 4947(a)(1) Trust Treated as Private Foundation

**2013**

**Open to Public Inspection**

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.  
 Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013, or tax year beginning 07-01-2013, and ending 06-30-2014

Name of foundation CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING		<b>A Employer identification number</b> 13-1623924						
Number and street (or P O box number if mail is not delivered to street address) Room/suite 51 VISTA LANE		<b>B Telephone number</b> (see instructions) (650) 566-5100						
City or town, state or province, country, and ZIP or foreign postal code STANFORD, CA 94305		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>						
<b>G</b> Check all that apply: <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation								
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 120,940,171	<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)							

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	4,490,003			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	1,159	1,159	1,159	
	<b>4</b> Dividends and interest from securities	1,755,995	1,755,995	1,755,995	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	3,745,867			
	<b>b</b> Gross sales price for all assets on line 6a 3,745,867				
	<b>7</b> Capital gain net income (from Part IV, line 2)		3,745,867		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	☛ 2,228,959	20,504	2,228,959		
<b>12 Total.</b> Add lines 1 through 11	12,221,983	5,523,525	3,986,113		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	844,103	0	0	844,103
	<b>14</b> Other employee salaries and wages	5,073,091	0	0	5,073,091
	<b>15</b> Pension plans, employee benefits	1,687,722	0	0	1,687,722
	<b>16a</b> Legal fees (attach schedule)	☛ 64,108	0	0	64,108
	<b>b</b> Accounting fees (attach schedule)	☛ 59,969	0	0	59,969
	<b>c</b> Other professional fees (attach schedule)	☛ 2,346,944	238,077	0	2,108,867
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	☛ 423,096	0	0	423,096
	<b>19</b> Depreciation (attach schedule) and depletion	☛ 392,583	0	0	
	<b>20</b> Occupancy	303,003	0	0	303,003
	<b>21</b> Travel, conferences, and meetings	1,691,846	0	0	1,691,846
	<b>22</b> Printing and publications	15,884	0	0	15,884
	<b>23</b> Other expenses (attach schedule)	☛ 802,656	0	0	802,656
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	13,705,005	238,077	0	13,074,345
<b>25</b> Contributions, gifts, grants paid	383,351			383,351	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	14,088,356	238,077	0	13,457,696	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-1,866,373				
<b>b Net investment income</b> (if negative, enter -0-)		5,285,448			
<b>c Adjusted net income</b> (if negative, enter -0-)			3,986,113		

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	793,532	1,947,896	1,947,896		
	2	Savings and temporary cash investments . . . . .	181,963	555,542	555,542		
	3	Accounts receivable ▶ <u>781,974</u>					
		Less allowance for doubtful accounts ▶ _____	24,168	781,974	781,974		
	4	Pledges receivable ▶ <u>500,000</u>					
		Less allowance for doubtful accounts ▶ _____	2,300,020	500,000	500,000		
	5	Grants receivable . . . . .	724,660	383,948	383,948		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .	141,622	110,106	110,106		
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule) . . . . .	0	196,979	196,979		
	c	Investments—corporate bonds (attach schedule) . . . . .					
	11	Investments—land, buildings, and equipment basis ▶ _____					
	Less accumulated depreciation (attach schedule) ▶ _____						
12	Investments—mortgage loans . . . . .						
13	Investments—other (attach schedule) . . . . .	95,811,166	104,490,945	104,490,945			
14	Land, buildings, and equipment basis ▶ <u>14,706,441</u>						
	Less accumulated depreciation (attach schedule) ▶ <u>2,952,097</u>	11,455,489	11,754,344	11,754,344			
15	Other assets (describe ▶ _____)	232,858	218,437	218,437			
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	111,665,478	120,940,171	120,940,171			
Liabilities	17	Accounts payable and accrued expenses . . . . .	1,156,884	1,358,607			
	18	Grants payable . . . . .					
	19	Deferred revenue . . . . .	85,500	279,703			
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule) . . . . .					
	22	Other liabilities (describe ▶ _____)	1,544,928	1,715,801			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	2,787,312	3,354,111				
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>						
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>						
	24	Unrestricted . . . . .	42,451,512	49,433,321			
	25	Temporarily restricted . . . . .	54,620,020	56,346,105			
	26	Permanently restricted . . . . .	11,806,634	11,806,634			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/>						
	<b>and complete lines 27 through 31.</b>						
	27	Capital stock, trust principal, or current funds . . . . .					
28	Paid-in or capital surplus, or land, bldg, and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds						
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	108,878,166	117,586,060				
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	111,665,478	120,940,171				

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	108,878,166
2	Enter amount from Part I, line 27a . . . . .	2	-1,866,373
3	Other increases not included in line 2 (itemize) ▶ _____	3	10,574,267
4	Add lines 1, 2, and 3 . . . . .	4	117,586,060
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	117,586,060

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1 a</b> PUBLICLY TRADED SECURITIES	P	2013-06-30	2014-06-30
<b>b</b> CAPITAL GAINS DIVIDENDS	P		
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 3,041,349			3,041,349
<b>b</b> 704,518			704,518
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			3,041,349
<b>b</b>			704,518
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	3,745,867
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

<b>2</b> Total of line 1, column (d).	<b>2</b>	
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	<b>4</b>	
<b>5</b> Multiply line 4 by line 3.	<b>5</b>	
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).	<b>6</b>	
<b>7</b> Add lines 5 and 6.	<b>7</b>	
<b>8</b> Enter qualifying distributions from Part XII, line 4.	<b>8</b>	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input checked="" type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter <u>1986-02-26</u> (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	N/A
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2.	<b>3</b>	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	0
<b>6</b>	Credits/Payments		
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments Add lines 6a through 6d.	<b>7</b>	0
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	0
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <b>\$</b> <u>0</u> <b>(2)</b> On foundation managers <b>\$</b> <u>0</u>		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> <u>0</u>		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>CA</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	Yes	
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW.CARNEGIEFOUNDATION.ORG</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>DOUGLAS MIHOK</b> Telephone no <b>(650) 566-5130</b> Located at <b>51 VISTA LANE STANFORD CA</b> ZIP +4 <b>94305</b>			
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <b>15</b>			
<b>16</b>	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>20</b> ____, <b>20</b> ____, <b>20</b> ____, <b>20</b> ____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions).	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>20</b> ____, <b>20</b> ____, <b>20</b> ____, <b>20</b> ____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> )	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).  Yes  No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**  Yes  No  
Organizations relying on a current notice regarding disaster assistance check here.  Yes  No

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No  
If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BERNADINE FONG 51 VISTA LANE STANFORD, CA 94305	SR MANAGING PARTNER 40 00	224,975	56,956	0
THOMAS TOCH 51 VISTA LANE STANFORD, CA 94305	SR RESEARCH ASSOCIA 40 00	201,278	48,065	0
GAY CLYBURN 51 VISTA LANE STANFORD, CA 94305	ASSOCIATE VICE PRESI 40 00	177,525	43,917	0
CHRIS THORN 51 VISTA LANE STANFORD, CA 94305	SR RESEARCH ASSOCIA 40 00	154,156	34,759	0
ALICIA GRUNOW 51 VISTA LANE STANFORD, CA 94305	SENIOR PARTNER - CNI 40 00	150,866	31,489	0

**Total** number of other employees paid over \$50,000.  Yes  No **37**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UNIVERSITY OF MASSACHUSETTS 100 MORRISSEY BLVD BOSTON, MA 02125	CONSULTING	110,395
CARVER AND COMPANY 43 COMMERCIAL WHARF 9 BOSTON, MA 02110	CONSULTING	82,918
DAVID YEAGER 4109 PECK AVENUE AUSITN, TX 78751	CONSULTING	71,000
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> COMMUNITY COLLEGE PATHWAYS - CARNEGIE HAS ENGAGED A GROWING COMMUNITY AROUND THE DEVELOPMENT OF TWO MATHEMATICAL PATHWAYS THAT TARGET COMMUNITY COLLEGE STUDENTS WHO ARE AT GRAVE RISK OF FAILURE-STUDENTS WHO HAVE WEAK K-12 PREPARATION, FACE LANGUAGE AND SPECIAL EDUCATION CHALLENGES, OR FUNDAMENTALLY BELIEVE THAT THEY ARE DESTINED TO NOT DO WELL IN THE SUBJECT BOTH OF THESE PATHWAYS - STATWAY AND QUANTWAY - SEEK TO REVERSE A PERNICIOUS AND DISHEARTENING CYCLE OF FAILURE FOR TOO MANY STUDENTS BY EMPLOYING MATERIALS AND TEACHING APPROACHES THAT FUNDAMENTALLY PUT THEM ON A PATHWAY OF SUCCESS, NOT JUST IN COLLEGE BUT IN THEIR LIVES AND CAREERS AS WELL	3,536,609
<b>2</b> CARNEGIE HUB - THE CENTER FOR NETWORKED IMPROVEMENT (CNI)WORKS TO DEVELOP INTERNAL CAPACITY BY ACCELERATING COLLABORATIVE LEARNING AND BOTH CAPTURING AND SHARING OUR LEARNING BY DOING OUR EXPERTISE IS IN GETTING GOOD AT CLOSING CHASMS BETWEEN ASPIRATIONS AND WHAT CNI ROUTINELY DELIVERS BY LEARNING FAST THROUGH THESE EFFORTS, CNI CATALYZES AND SUPPORTS NETWORKED IMPROVEMENT COMMUNITIES THAT DEMONSTRATE EFFICACY WITH RELIABILITY AT SCALE AND SERVE AS A LEADING RESOURCE FOR OTHERS	1,994,729
<b>3</b> ADVANCING TEACHING IMPROVING LEARNING- THE ADVANCING TEACHING - IMPROVING LEARNING (ATIL) PROGRAM AT THE CARNEGIE FOUNDATION SEEKS TO ENHANCE THE CAPACITY OF THOSE WORKING IN THE FIELD OF TEACHER ASSESSMENT AND EVALUATION BY HELPING THEM TO LEARN FROM EMERGING PRACTICES IN ORDER TO BUILD MORE EFFECTIVE INFORMATION SYSTEMS TO ADVANCE TEACHER QUALITY	1,184,731
<b>4</b> BUILDING A TEACHING EFFECTIVENESS NETWORK - THE BUILDING A TEACHING EFFECTIVENESS NETWORK IS BRINGING TOGETHER LEADERS IN EDUCATION PRACTICE, POLICY AND RESEARCH TO FOCUS ON DEVELOPING AND RETAINING EFFECTIVE TEACHERS IN OUR NATION'S SCHOOLS BTEN PARTNERS COLLABORATE WITH DISTRICT, UNION AND SCHOOL LEADERS TO FOCUS ON THE NEEDS OF NEW TEACHERS AS THEY LEARN TO TEACH STUDENTS WELL, COLLABORATE WITH COLLEAGUES, ENGAGE FAMILIES AND SUCCESSFULLY NAVIGATE THE POLICIES AND ROUTINES OF THEIR DISTRICTS AND SCHOOLS THE GOAL IS TO LEARN FROM TEACHERS ABOUT THE CHALLENGES THEY DEEM MOST URGENT AND TO WORK WITH DISTRICT AND UNION LEADERS WHO ARE COMMITTED TO IMPROVING BOTH THE SYSTEMS AND SPECIFIC PRACTICES THAT SUPPORT TEACHER LEARNING	1,361,788

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> 	
<b>2</b> 	
All other program-related investments See page 24 of the instructions	
<b>3</b> 	
<b>Total.</b> Add lines 1 through 3 . . . . .	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	100,150,831
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	2,286,357
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	1,110,517
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	103,547,705
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	103,547,705
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	1,553,216
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	101,994,489
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	5,099,724

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5. . . . .	<b>2a</b>	
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	13,457,696
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	13,457,696
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	13,457,696

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only. . . . .				
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008. . . . .				
<b>b</b> From 2009. . . . .				
<b>c</b> From 2010. . . . .				
<b>d</b> From 2011. . . . .				
<b>e</b> From 2012. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ► \$ _____				
<b>a</b> Applied to 2012, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2013 distributable amount. . . . .				
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9</b> <b>Excess distributions carryover to 2014.</b> Subtract lines 7 and 8 from line 6a. . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009. . . . .				
<b>b</b> Excess from 2010. . . . .				
<b>c</b> Excess from 2011. . . . .				
<b>d</b> Excess from 2012. . . . .				
<b>e</b> Excess from 2013. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b>	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .					1986-02-26
<b>b</b>	Check box to indicate whether the organization is a private operating foundation described in section <input checked="" type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			<b>(e) Total</b>
		<b>(a) 2013</b>	<b>(b) 2012</b>	<b>(c) 2011</b>	<b>(d) 2010</b>	
		3,986,113	3,208,421	3,820,381	4,924,821	15,939,736
<b>b</b>	85% of line 2a . . . . .	3,388,196	2,727,158	3,247,324	4,186,098	13,548,776
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed . . . . .	13,457,696	12,146,554	12,150,498	11,630,821	49,385,569
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .	0	0	0	0	0
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .	13,457,696	12,146,554	12,150,498	11,630,821	49,385,569
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon					
<b>a</b>	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .	0				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .	0				
<b>b</b>	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .	3,399,816	3,097,555	2,546,921	3,283,214	12,327,506
<b>c</b>	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .	0				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .	0				
	(3) Largest amount of support from an exempt organization . . . . .	0				
	(4) Gross investment income . . . . .	0				

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
- 
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
- 
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
- 
- a** The name, address, and telephone number of the person to whom applications should be addressed
- 
- b** The form in which applications should be submitted and information and materials they should include
- 
- c** Any submission deadlines
- 
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>				<b>383,351</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>				<b>0</b>





**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANTHONY BRYK 51 VISTA LANE STANFORD, CA 94305	PRESIDENT 40 00	493,325	89,260	11,028
PAUL LEMAHIEU 51 VISTA LANE STANFORD, CA 94305	VICE PRESIDENT 40 00	229,314	55,360	0
DOUGLAS MIHOK 51 VISTA LANE STANFORD, CA 94305	TREASURER 40 00	121,464	27,976	0
GAIL MELLOW 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
THOMAS PAYZANT 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
ROB REICH 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
RICHARD RHODES 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
ANDRES ANTONIO ALONSO 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
DAVID COHEN 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
LARRY BERGER 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
PATRICIA GRAHAM 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
ELAIN HANSEN 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
KATI HAYCOCK 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
BOB HUGHES 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
PAUL ROMER 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
TOM STRITIKUS 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0
BEVERLY TATUM 51 VISTA LANE STANFORD, CA 94305	BOARD MEMBER 1 00	0	0	0

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AUSTIN INDEPENDENT SCHOOL DISTRICT 1111 W 6TH STREET SUITE A380 AUSTIN, TX 78703	NONE	501(C)(3)	PASS-THROUGH GRANT FUNDING	150,000
STEVEN POLLOCK 2255 TABLE MESA DRIVE BOULDER, CO 80305	NONE	INDIVIDUAL	U S PROFESSORS OF THE YEAR AWARD	5,000
BALTIMORE CITY PUBLIC SCHOOL SYSTEM 200 E NORTH AVENUE ROOM 403A BALTIMORE, MD 21202	NONE	501(C)(3)	PASS-THROUGH GRANT FUNDING	100,000
GINTARS DUDA 912 ROGERS DRIVE PAPILON, NE 68046	NONE	INDIVIDUAL	U S PROFESSORS OF THE YEAR AWARD	5,000
COUNCIL FOR ADVANCEMENT AND SUPPORT OF EDUCATION 1307 NEW YORK AVENUE NW SUITE 1000 WASHINGTON, DC 20005	NONE	501(C)(3)	U S PROFESSORS OF THE YEAR AWARD	20,000
ROBERT CHANEY 4732 ALEXIS AVENUE DAYTON, OH 45431	NONE	INDIVIDUAL	U S PROFESSORS OF THE YEAR AWARD	5,000
AMERICAN FEDERATION OF TEACHERS EDUCATION FOUNDATION 555 NEW JERSEY AVENUE NW WASHINGTON, DC 20001	NONE	501(C)(3)	PASS-THROUGH GRANT FUNDING	93,351
ANN WILLIAMS 1155 PIKEVIEW STREET LAKEWOOD, CO 80215	NONE	INDIVIDUAL	U S PROFESSORS OF THE YEAR AWARD	5,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	383,351

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

**Schedule of Contributors**

OMB No 1545-0047

▶ Attach to Form 990, 990-EZ, or 990-PF.

**2013**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Name of the organization**

CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**Employer identification number**

13-1623924

Organization type (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).



**Name of organization**  
 CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
 OF TEACHING

**Employer identification number**  
 13-1623924

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BILL AND MELINDA GATES FOUNDATION PO BOX 23350 SEATTLE, WA 98102	\$ 837,214	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
2	THE KRESGE FOUNDATION 3215 W BIG BEAVER ROAD TROY, MI 48084	\$ 25,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
3	LUMINA FOUNDATION PO BOX 1806 INDIANAPOLIS, IN 46206	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
4	CARNEGIE CORPORATION OF NEW YORK 437 MADISON AVENUE NEW YORK, NY 10022	\$ 1,000,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
5	RAIKES FOUNDATION 2157 N NORTHLAKE WAY SEATTLE, WA 98103	\$ 720,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

Name of organization  
CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

Employer identification number  
13-1623924

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization  
CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

Employer identification number  
13-1623924

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry  
For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ▶ \$  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

## TY 2013 Accounting Fees Schedule

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL AUDIT AND TAXES	59,969	0	0	59,969

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2013 Depreciation Schedule

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
BUILDING AND IMPROVEMENTS	2003-09-02	14,006,680	2,647,935		0 %	0	0	0	
COMPUTER EQUIPMENT	2006-11-17	699,761	304,162		0 %	0	0	0	

**TY 2013 Investments Corporate  
Stock Schedule**

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK	196,979	196,979

**TY 2013 Investments - Other Schedule**

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

<b>Category / Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
DOMESTIC EQUITY MUTUAL FUNDS	FMV	37,104,550	37,104,550
INTERNATIONAL EQUITY MUTUAL FUNDS	FMV	21,909,895	21,909,895
LIMITED PARTNERSHIPS	FMV	2,179,659	2,179,659
BOND MUTUAL FUNDS	FMV	30,064,220	30,064,220
PUBLIC REAL ASSETS	FMV	13,232,621	13,232,621

**TY 2013 Land, Etc. Schedule**

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDING AND IMPROVEMENTS	14,006,680	2,647,935	11,358,745	11,358,745
COMPUTER EQUIPMENT	699,761	304,162	395,599	395,599



## TY 2013 Legal Fees Schedule

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING - LEGAL	64,108	0	0	64,108

## TY 2013 Other Assets Schedule

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEPOSITS	88,778	21,783	21,783
INVESTMENT IN RETIREMENT ANNUITY CONTRACTS	144,080	196,654	196,654

## TY 2013 Other Expenses Schedule

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUBCONTRACTS	43,000	0	0	43,000
TELEPHONE/COMMUNICATIONS	113,261	0	0	113,261
INSURANCE	75,774	0	0	75,774
EQUIPMENT MAINTENANCE	54,777	0	0	54,777
OFFICE SUPPLIES	378,476	0	0	378,476
BANK AND PAYROLL FEES	39,629	0	0	39,629
POSTAGE AND SHIPPING	6,292	0	0	6,292
TRAINING	24,963	0	0	24,963
MEMBERSHIP FEES	2,265	0	0	2,265
BENEFIT ADMINISTRATION FEES	11,117	0	0	11,117
EQUIPMENT LEASING AND RENTAL	3,736	0	0	3,736
MISCELLANEOUS	49,366	0	0	49,366

**TY 2013 Other Income Schedule**

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTIES	20,504	20,504	20,504
CONTRACTS	1,273,003		1,273,003
REGISTRATION FEES	935,452		935,452

**TY 2013 Other Increases Schedule**

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

<b>Description</b>	<b>Amount</b>
UNREALIZED GAINS ON INVESTMENTS	10,524,611
PENSION-RELATED CHANGES OTHER THAN NET PERIODIC PENSION COST	49,656

## TY 2013 Other Liabilities Schedule

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Description	Beginning of Year - Book Value	End of Year - Book Value
POST EMPLOYMENT BENEFIT OBLIGATION	1,400,848	1,519,147
RETIREMENT ANNUITY CONTRACTS	144,080	196,654

## TY 2013 Other Professional Fees Schedule

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROGRAM CONSULTANTS	1,889,205	0	0	1,889,205
STIPENDS & HONORARIA	80,400	0	0	80,400
CONSULTANTS - OPERATIONS	7,336	0	0	7,336
TEMPORARY HELP	131,926	0	0	131,926
BROKER INVESTMENT FEES	238,077	238,077	0	0

## TY 2013 Taxes Schedule

**Name:** CARNEGIE FOUNDATION FOR THE ADVANCEMENT  
OF TEACHING

**EIN:** 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	421,023	0	0	421,023
PROPERTY TAXES	2,073	0	0	2,073