

Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052










2012



Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation THE ROCKEFELLER FOUNDATION		<b>A Employer identification number</b>  13-1659629	
Number and street (or P O box number if mail is not delivered to street address) 420 FIFTH AVENUE		<b>B Telephone number</b> (see instructions)  (212) 852-8361	
City or town, state, and ZIP code NEW YORK, NY 100182702		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 3,695,617,868		<b>J</b> Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	33,761	33,761		
	4 Dividends and interest from securities. . . . .	43,025,922	89,922,487		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	255,042,702			
	b Gross sales price for all assets on line 6a _____ 229,396,229				
	7 Capital gain net income (from Part IV , line 2 ) . . . .		185,720,627		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	<input checked="" type="checkbox"/> 775,822	1,650,564		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	298,878,207	277,327,439		
	13 Compensation of officers, directors, trustees, etc	4,539,855	1,450,343		3,089,512
	14 Other employee salaries and wages . . . . .	17,655,284	4,247,344		13,193,074
	15 Pension plans, employee benefits . . . . .	9,571,431	652,916		6,067,617
	16a Legal fees (attach schedule) . . . . .	<input checked="" type="checkbox"/> 499,694	280,054		222,469
	b Accounting fees (attach schedule) . . . . .	<input checked="" type="checkbox"/> 382,137	196,738		138,399
	c Other professional fees (attach schedule) . . . . .	<input checked="" type="checkbox"/> 17,165,314	6,557,302		10,656,291
	17 Interest . . . . .	709,615	3,972,402		671,337
	18 Taxes (attach schedule) (see instructions)	<input checked="" type="checkbox"/> 5,990,475	628,404		0
	19 Depreciation (attach schedule) and depletion . . . .	1,960,716	321,731		
	20 Occupancy . . . . .	1,989,759	209,591		1,839,233
	21 Travel, conferences, and meetings . . . . .	3,707,388	308,963		3,336,520
	22 Printing and publications . . . . .	327,888	36,072		189,593
	23 Other expenses (attach schedule) . . . . .	<input checked="" type="checkbox"/> 3,612,846	31,382,459		3,446,198
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	68,112,402	50,244,319		42,850,243
	25 Contributions, gifts, grants paid . . . . .	131,244,908			135,082,747
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	199,357,310	50,244,319		177,932,990
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	99,520,897			
	b <b>Net investment income</b> (if negative, enter -0-)		227,083,120		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	10,324,311	12,191,041	12,191,041
	2	Savings and temporary cash investments . . . . .	57,039,630	147,559,000	147,559,000
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	522,109	205,296	205,296
	10a	Investments—U S and state government obligations (attach schedule)	877,997	 499,685	499,685
	b	Investments—corporate stock (attach schedule) . . . . .	233,012,223	 214,740,728	214,740,728
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	3,158,416,155	 3,273,279,357	3,273,279,357
	14	Land, buildings, and equipment basis ▶ <u>38,119,752</u> Less accumulated depreciation (attach schedule) ▶ <u>17,672,582</u>	20,764,394	 20,447,170	20,447,170
15	Other assets (describe ▶ _____)	 26,188,052	 26,695,591	 26,695,591	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,507,144,871	3,695,617,868	3,695,617,868	
Liabilities	17	Accounts payable and accrued expenses . . . . .	7,354,185	8,611,650	
	18	Grants payable . . . . .	55,197,645	51,359,984	
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)	 46,848,613	 46,295,915	
	23	Total liabilities (add lines 17 through 22) . . . . .	109,400,443	106,267,549	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	3,397,744,428	3,589,350,319	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	3,397,744,428	3,589,350,319	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	3,507,144,871	3,695,617,868	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	3,397,744,428
2	Enter amount from Part I, line 27a . . . . .	2	99,520,897
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	94,311,897
4	Add lines 1, 2, and 3 . . . . .	4	3,591,577,222
5	Decreases not included in line 2 (itemize) ▶ _____ 	5	2,226,903
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	3,589,350,319

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div>	2	185,720,627
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .</div>		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	171,707,357	3,509,252,056	0 048930
2010	174,424,898	3,254,142,493	0 053601
2009	177,768,975	3,021,756,161	0 058830
2008	181,791,285	3,705,828,203	0 049056
2007	201,037,622	3,907,395,237	0 051451

2	Total of line 1, column (d). . . . .	2	0 261868
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 052374
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5. . . . .	4	3,527,282,012
5	Multiply line 4 by line 3. . . . .	5	184,737,868
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	2,270,831
7	Add lines 5 and 6. . . . .	7	187,008,699
8	Enter qualifying distributions from Part XII, line 4. . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	183,007,418

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)










1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	4,541,662
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2. . . . .		3	4,541,662
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	4,541,662
6		Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	5,272,317		
b	Exempt foreign organizations—tax withheld at source . . . . .	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	750,000		
d	Backup withholding erroneously withheld . . . . .	6d			
7		Total credits and payments Add lines 6a through 6d. . . . .		7	6,022,317
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	1,480,655
11		Enter the amount of line 10 to be Credited to 2013 estimated tax  1,480,655 Refunded		11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ _____ 0 (2) On foundation managers  \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NY, CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No




















Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  . . . . .	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  WWW.ROCKEFELLERFOUNDATION.ORG	13	Yes	
14	The books are in care of  ELLEN TAUS CFO Telephone no  (212) 852-8361 Located at  420 FIFTH AVENUE NEW YORK NY ZIP+4  100182702			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . .  and enter the amount of tax-exempt interest received or accrued during the year . . . . .  15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country  BC				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . .  Yes  No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . .  Yes  No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . .  Yes  No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . 	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. . . . .  Yes  No If "Yes," list the years  20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . .  Yes  No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i> ). . . . .	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHUN LAI 420 FIFTH AVENUE NEW YORK, NY 10018	DEPUTY CHIEF INVESTM 45 00	770,087	64,583	0
DOUGLASS COYLE 420 FIFTH AVENUE NEW YORK, NY 10018	MANAGING DIRECTOR, I 45 00	541,997	63,380	0
MICHELLE PAK 420 FIFTH AVENUE NEW YORK, NY 10018	MANAGING DIRECTOR, I 45 00	516,463	62,614	0
RONALD CHEN 420 FIFTH AVENUE NEW YORK, NY 10018	MANAGING DIRECTOR, I 45 00	413,228	58,326	0
SAMANTHA GILBERT 420 FIFTH AVENUE NEW YORK, NY 10018	CHIEF HUMAN RESOURCE 45 00	295,393	64,407	0
Total number of other employees paid over \$50,000.				111

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
TENEO STRATEGY CONSULTING	CONSULTANT	5,731,639
1350 AVENUE OF THE AMERICAS SUITE 815 NEW YORK, NY 10019		
GENERATION INVESTMENT MANAGEMENT US LLP		
ONE BRYANT PARK 48TH FL NEW YORK, NY 10036	INVESTMENT MANAGEMENT	2,086,795
THE BANK OF NEW YORK MELLON	CUSTODIAL SERVICE	938,960
135 SANTILLI HIGHWAY EVERETT, MA 02149		
MONITOR INSTITUTE	CONSULTANT	937,000
101 MARKET STREET SUITE 1000 SAN FRANCISCO, CA 94105		
BAILLIE GIFFORD & CO	INVESTMENT MANAGEMENT	575,445
CALTON SQUARE 1 GREENSIDE ROW UK		
Total number of others receiving over \$50,000 for professional services. . . . .		81

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE STATEMENT 28	4,425,698
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 A PROGRAM RELATED INVESTMENT TO SUPPORT PRESERVING AND IMPROVING AFFORDABLE COMMUNITIES, INCREASING ECONOMIC SECURITY AND BUILDING FINANCIAL ASSETS FOR LOW- AND MODERATE-INCOME HOMEOWNERS BY EMPOWERING THEM TO GAIN COMMUNITY OWNERSHIP OF THE MANUFACTURED HOME COMMUNITIES IN WHICH THEY RESIDE	2,000,000
2 A PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA	598,025
All other program-related investments. See page 24 of the instructions	
3	959,438
Total. Add lines 1 through 3. . . . .	3,557,463

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	3,553,389,982
b	Average of monthly cash balances. . . . .	1b	21,171,938
c	Fair market value of all other assets (see instructions). . . . .	1c	6,435,047
d	Total (add lines 1a, b, and c). . . . .	1d	3,580,996,967
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	3,580,996,967
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	53,714,955
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,527,282,012
6	Minimum investment return. Enter 5% of line 5. . . . .	6	176,364,101

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	176,364,101
2a	Tax on investment income for 2012 from Part VI, line 5. . . . .	2a	4,541,662
b	Income tax for 2012 (This does not include the tax from Part VI ). . . . .	2b	30,818
c	Add lines 2a and 2b. . . . .	2c	4,572,480
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	171,791,621
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	2,075,316
5	Add lines 3 and 4. . . . .	5	173,866,937
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	173,866,937

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	177,932,990
b	Program-related investments—total from Part IX-B. . . . .	1b	3,557,463
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	1,516,965
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	183,007,418
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	183,007,418
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			



Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1		Distributable amount for 2012 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2012			
a		Enter amount for 2011 only. . . . . 0			
b		Total for prior years 20__ , 20__ , 20__ 0			
3		Excess distributions carryover, if any, to 2012			
a		From 2007. . . . . 14,448,470			
b		From 2008. . . . .			
c		From 2009. . . . . 24,024,684			
d		From 2010. . . . . 10,888,809			
e		From 2011. . . . .			
f		Total of lines 3a through e. . . . . 49,361,963			
4		Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 183,007,418			
a		Applied to 2011, but not more than line 2a 0			
b		Applied to undistributed income of prior years (Election required—see instructions). . . . . 0			
c		Treated as distributions out of corpus (Election required—see instructions). . . . . 0			
d		Applied to 2012 distributable amount. . . . . 173,866,937			
e		Remaining amount distributed out of corpus 9,140,481			
5		Excess distributions carryover applied to 2012 0 0			
		(If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 58,502,444			
b		Prior years' undistributed income Subtract line 4b from line 2b. . . . . 0			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . 0			
d		Subtract line 6c from line 6b Taxable amount—see instructions . . . . . 0			
e		Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . 0			
f		Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. . . . . 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . . 0			
8		Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . . 14,448,470			
9		Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a . . . . . 44,053,974			
10		Analysis of line 9			
a		Excess from 2008. . . . .			
b		Excess from 2009. . . . . 24,024,684			
c		Excess from 2010. . . . . 10,888,809			
d		Excess from 2011. . . . .			
e		Excess from 2012. . . . . 9,140,481			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

OFFICE OF GRANTS MANAGEMENT  
420 FIFTH AVENUE  
NEW YORK, NY 10018  
(212) 852-8465

b

The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 27

c

Any submission deadlines

SEE STATEMENT 27

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT 27

Form 990-PF (2012)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<div>a Paid during the year</div> <div>See Additional Data TableSee Additional Data Table</div>				
<div>Total . . . . .</div>			<div>3a</div>	135,082,747
<div>b Approved for future payment</div> <div>See Additional Data TableSee Additional Data Table</div>				
<div>Total . . . . .</div>			<div>3b</div>	51,359,984

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
<b>1</b> Program service revenue						
<b>a</b> <u>PROGRAM RELATED INVESTMENT INTEREST</u>						184,699
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments. . . . .						
<b>3</b> Interest on savings and temporary cash investments			14	33,761		
<b>4</b> Dividends and interest from securities. . . . .	525990	2,472,132	14	40,553,790		
<b>5</b> Net rental income or (loss) from real estate						
<b>a</b> Debt-financed property. . . . .						
<b>b</b> Not debt-financed property. . . . .						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income. . . . .			01	2,454,452		
<b>8</b> Gain or (loss) from sales of assets other than inventory	525990	3,469,637	18	251,573,065		
<b>9</b> Net income or (loss) from special events						
<b>10</b> Gross profit or (loss) from sales of inventory. .						
<b>11</b> Other revenue <b>a</b> <u>See Additional Data Table</u>						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal Add columns (b), (d), and (e). .		5,333,069		293,360,439		184,699
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .						298,878,207

[illegible]



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
PUBLICLY TRADED EQUITY SECURITIES	P		
PUBLICLY TRADED FIXED INCOME SECURITIES	P		
MISCELLANEOUS (FUTURES)	P		
SILCHESTER INT'L	P		
SANSAR HOLDINGS SP	P		
DYNAMO BRAZIL VIII	P		
ADAGE CAPITAL	P		
BR INT TREAS INDEX	P		
BR TIPS INDEX FUND	P		
BRIDGEWATER ALPHA STIF	P		
AMIYA	P		
BAY RES PRTNRS OFFSH	P		
CADMUS CAPITAL FUND	P		
LONE PINON	P		
PFM DIVERSFIED OFFSH	P		
SAB OVERSEAS FUND	P		
SCGE OFFSHORE FUND	P		
TIGER ASIA OS L HLDG	P		
TPG AXON PRTNR OFFSH	P		
WELLINGTON SPINDRIFT	P		
MARATHON SPECIAL OPP	P		
MONTRICA GLOBAL OPPS	P		
OCH-ZIFF EUR OVRSEAS	P		
OCH-ZIFF OVERSEAS	P		
CARL MARKS STRAT OPP	P		
MARATHON DIST SUBPRM	P		
WATERSHED	P		
CERBERUS III	P		
LONE STAR VI	P		
WHIPOORWILL	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
AETOS CAPITAL ASIA	P		
ASLAN REALTY PRTNRS	P		
CARMEL PARTNERS II	P		
CARMEL PARTNERS III	P		
COMPOSITION ASIA II	P		
FREMONT FUND I	P		
HIGHCROSS REG UK III	P		
HUTENSKY FUND II	P		
LONE STAR FUND V	P		
M&H RLTY PARTNERS V	P		
NCP IV (QUADRANGLE)	P		
PHOENIX ASIA III	P		
PROSPERITAS RE III	P		
PROSPERITAS RE III-A	P		
PROSPERITAS RE III-B	P		
SHORENSTEIN IX	P		
SHORENSTEIN VI	P		
SHORENSTEIN VII	P		
TA FUND VI	P		
CROW HLDGS RLTY II	P		
ARCLIGHT FUND III	P		
ARCLIGHT FUND IV	P		
CADENT ENERGY II	P		
COMMONFD NAT RES VI	P		
COMMONFD NAT RES VII	P		
CONDUIT LAT PWR III	P		
LIME ROCK FUND V	P		
QUANTUM ENERGY IV	P		
QUANTUM RESOURCE	P		
LYME FOREST FUND	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
ABRY PARTNERS VI	P		
ALPINE INVESTORS III	P		
AMERICAN SECS IV	P		
AMERICAN SECS V	P		
AUDAX FUND II	P		
AUDAX FUND III	P		
BAIN CAP IX CO-INV	P		
BAIN CAPITAL IX	P		
BERKSHIRE PRTS VI	P		
BERKSHIRE PRTS VII	P		
CAP RES LENDERS IV	P		
CASTANEA FUND III	P		
CODE HENN & SIMM V	P		
FORD FINANCIAL	P		
GRAHAM FUND II	P		
GRAHAM II CO-INV	P		
LITTLEJOHN III	P		
LNK PARTNERS	P		
SUMMIT PE FUND VI	P		
SUMMIT PE FUND VII	P		
SUMMIT VENTURE II-A	P		
VESTAR CAPITAL III	P		
VESTAR CAPITAL IV	P		
VESTAR CAPITAL V	P		
WELSH CARSON IX	P		
WELSH CARSON VIII	P		
ALTOR FUND II	P		
ALTOR FUND III	P		
BAIN CAP VIII EUR	P		
BAIN CAPITAL ASIA	P		



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
BC EUROPEAN CAP VII	P		
BC EUROPEAN CAPVIII	P		
CHRYSCAPITAL IV	P		
GS CAPITAL III	P		
JC FLOWERS II	P		
LONGREACH I	P		
MORGAN STANLEY ASIA	P		
TIGER GLOBAL PIP IV	P		
TIGER GLOBAL PIP V	P		
VESTAR CAPITAL II	P		
WILLIAM BLAIR MEZZ	P		
EMERALD HILL II	P		
ACCEL FUND IX	P		
ACCEL FUND X	P		
BATTERY VENTRS VI	P		
BATTERY VENTRS VII	P		
BATTERY VENTRS VIII	P		
BENCHMARK CAP IV	P		
BENCHMARK CAP V	P		
BENCHMARK CAP VI	P		
CHARLES RIVER XII	P		
CHARLES RIVER XIII	P		
CROSSPNT VENTS 2000	P		
DELPHI VENTURES IV	P		
GENERAL CATALYST III	P		
GENERAL CATALYST IV	P		
HIGHLAND CAPITAL VI	P		
NEW ENTERPRISE IX	P		
NEW ENTERPRISE X	P		
NEW ENTERPRISE XI	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
NEW ENTERPRISE XII	P		
NORTH BRIDGE GRWTH I	P		
POLARIS VENTURES IV	P		
REDPOINT VENST I	P		
REDPOINT VENST II	P		
REDPOINT VENST III	P		
REDPOINT VENTS OMEGA	P		
SEQUOIA CAPITAL IX	P		
SEQUOIA CAPITAL X	P		
SEQUOIA CAPITAL XI	P		
SEQUOIA US GRWTH III	P		
SEQUOIA US GRWTH V	P		
SIGMA PARTNERS VII	P		
US VENTURE IX	P		
US VENTURE VIII	P		
IDG-ACCEL CHN GR	P		
IDG-ACCEL CHN GR II	P		
SB ASIA INVEST II	P		
SEQUOIA CAP CHINA	P		
SEQUOIA CAP CHINA II	P		
SEQUOIA INDIA GR I	P		
SEQUOIA INDIA III	P		
SEQUOIA ISRAEL III	P		
US VENTURE V	P		
TROWE PRICE	P		
PRI-OTHER INVESTM-CENTENARY	P		
OTHER INVESTMENT-PRI-HARVEST FARM	P		
PRIOTHER INVESTM-PACIFIC COMM VEN III	P		
ADJ FOR K-1 ACTIVITY NET OF UBI	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
220,278,312		193,813,721	26,464,591
9,117,917		4,242,192	4,875,725
			-866,772
			-12,367
			259,975
			1,819,205
			6,818,738
			779,104
			1,674,390
			286,011
			-124,525
			11,569,067
			-44,714
			-10
			-52,711
			255,588
			3,959,299
			801,795
			6,947,678
			-2,705,015
			4,059,057
			-17,249
			512,134
			162,668
			3,488,973
			219,266
			66,450
			1,860,246
			715,844
			24,290

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			-41,991
			-452,300
			575,269
			47,518
			64,983
			614,999
			68,819
			225,635
			217,234
			19,770
			1,277,498
			100,559
			-184,509
			-184,509
			-184,509
			21,843
			1,339,340
			534,871
			131,752
			22,762
			1,278,246
			892,693
			-35,331
			482,573
			441,695
			2,958,884
			45,742
			2,543,760
			369,089
			676,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			4,798,454
			743,618
			-230,937
			6,344,264
			1,952,205
			1,472,730
			247,176
			332,284
			4,205,895
			3,195,810
			24,720
			6,086,102
			4,344,471
			4,300,931
			193,513
			4,897
			4,701,274
			7,157,101
			2,506,723
			101,484
			314,413
			-113,224
			671,379
			220,917
			258,188
			22,123
			4,439,003
			29,280
			213,671
			82,223

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			1,198,720
			2,325,475
			636,990
			-405,483
			12,488
			1,555,574
			581,384
			1,103,161
			2,915,444
			-754,093
			-2,931,457
			13,576
			56,493,670
			383,984
			292,112
			1,266,000
			2,133,446
			439,249
			911,402
			4,731,829
			451,873
			2,600,508
			79,626
			182,477
			1,024,031
			2,833,211
			2,716,862
			-151
			218,853
			658,335

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			909,850
			796,235
			217,377
			1,424,255
			1,617,919
			3,170,080
			678,847
			10,361
			16,314
			8,405,106
			890,451
			35,350
			218,571
			54,540
			8,090,883
			1,898,589
			1,089,925
			228,138
			569,528
			565,661
			48,970
			166,910
			918,471
			-6,538,320
			-481,382
			-29,426
			40,000
			74,599
			-69,322,075

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			26,464,591
			4,875,725
			-866,772
			-12,367
			259,975
			1,819,205
			6,818,738
			779,104
			1,674,390
			286,011
			-124,525
			11,569,067
			-44,714
			-10
			-52,711
			255,588
			3,959,299
			801,795
			6,947,678
			-2,705,015
			4,059,057
			-17,249
			512,134
			162,668
			3,488,973
			219,266
			66,450
			1,860,246
			715,844
			24,290



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-41,991
			-452,300
			575,269
			47,518
			64,983
			614,999
			68,819
			225,635
			217,234
			19,770
			1,277,498
			100,559
			-184,509
			-184,509
			-184,509
			21,843
			1,339,340
			534,871
			131,752
			22,762
			1,278,246
			892,693
			-35,331
			482,573
			441,695
			2,958,884
			45,742
			2,543,760
			369,089
			676,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,798,454
			743,618
			-230,937
			6,344,264
			1,952,205
			1,472,730
			247,176
			332,284
			4,205,895
			3,195,810
			24,720
			6,086,102
			4,344,471
			4,300,931
			193,513
			4,897
			4,701,274
			7,157,101
			2,506,723
			101,484
			314,413
			-113,224
			671,379
			220,917
			258,188
			22,123
			4,439,003
			29,280
			213,671
			82,223

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,198,720
			2,325,475
			636,990
			-405,483
			12,488
			1,555,574
			581,384
			1,103,161
			2,915,444
			-754,093
			-2,931,457
			13,576
			56,493,670
			383,984
			292,112
			1,266,000
			2,133,446
			439,249
			911,402
			4,731,829
			451,873
			2,600,508
			79,626
			182,477
			1,024,031
			2,833,211
			2,716,862
			-151
			218,853
			658,335

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			909,850
			796,235
			217,377
			1,424,255
			1,617,919
			3,170,080
			678,847
			10,361
			16,314
			8,405,106
			890,451
			35,350
			218,571
			54,540
			8,090,883
			1,898,589
			1,089,925
			228,138
			569,528
			565,661
			48,970
			166,910
			918,471
			-6,538,320
			-481,382
			-29,426
			40,000
			74,599
			-69,322,075

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN M FUDGE	TRUSTEE 2 00	7,500	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
HELENE GAYLE	TRUSTEE 2 00	7,000	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
THOMAS J HEALEY	TRUSTEE 2 50	0	7,000	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
ALICE HUANG	TRUSTEE 2 00	5,000	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
MONICA LOZANO	TRUSTEE 2 00	7,000	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
MARTIN LEIBOWITZ	TRUSTEE 2 00	7,000	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
STRIVE MASIYIWA	TRUSTEE 2 50	8,000	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
DIANA NATALICIO	TRUSTEE 2 00	0	8,000	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
SANDRA DAY O'CONNOR	TRUSTEE 2 00	0	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
NGOZI OKONJO-IWEALA	TRUSTEE 2 00	5,000	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
RICHARD D PARSONS	TRUSTEE 2 00	7,000	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
SURIN PITSUWAN THROUGH 62012	TRUSTEE 2 00	0	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
DAVID ROCKEFELLER JR	CHAIR 3 00	8,500	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
JOHN W ROWE	TRUSTEE 2 50	8,500	0	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
JUDITH RODIN	PRESIDENT 45 00	923,567	47,070	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
PETER MADONIA	CHIEF OPERATING OFFICER 45 00	551,112	53,146	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
DONNA J DEAN	CHIEF INVESTMENT OFFICER 45 00	1,450,343	21,937	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
ELLEN TAUS	TREASURER & CHIEF FINANCIAL OFFICER 45 00	302,950	53,826	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
SHARI PATRICK	GENERAL COUNSEL & CORP SEC 45 00	372,856	38,355	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
ZIA KHAN	VICE PRESIDENT 45 00	409,460	47,730	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
HEATHER GRADY	VICE PRESIDENT 45 00	330,773	62,315	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				
NEILL COLEMAN AS OF 81312	VICE PRESIDENT 45 00	128,294	8,895	0
C/O FOUNDATION 420 FIFTH AVENUE NEW YORK,NY 10018				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
WORLD FOOD PRIZE FOUNDATION 666 GRAND AVENUESUITE 1700 DES MOINES,IA 50309	N/A	501(C)(3) OP FDN - ER	TO ENDOW AN ANNUAL AWARD IN HONOR OF DR NORMAN E BORLAUG RECOGNIZING AN OUTSTANDING YOUNG FIELD RESEARCH SCIENTIST OR EXTENSION WORKER IN AGRICULTURAL DEVELOPMENT,AND TO CONTRIBUTE TO THE BORLAUG DIALOGUE INTERNATIONAL SYMPOSIUM OVER THE NEXT 10 YEARS	250,000
WORLD HEALTH ORGANIZATION 20 AVENUE APPIACH-1211 GENEVA 27 SZ	N/A	INTL ORG BY EXEC ORD	FOR USE BY ITS DEPARTMENT OF HEALTH SYSTEMS FINANCING IN SUPPORT OF THE "PROVIDING-FOR-HEALTH"PARTNERSHIP'S EFFORTS TO INCREASE NATIONAL AND GLOBAL AWARENESS OF UNIVERSAL HEALTH COVERAGE	25,000
WORLD HEALTH ORGANIZATION 20 AVENUE APPIACH-1211 GENEVA 27 SZ	N/A	INTL ORG BY EXEC ORD	IN SUPPORT OF REVIEWING THE INTERSECTORAL ACTION ON HEALTH IN AFRICA,SOUTH EAST ASIA AND THE WESTERN PACIFIC,TO INFORM THE EIGHTH GLOBAL CONFERENCE ON HEALTH PROMOTION AND ULTIMATELY THE POST-MILLENNIUM DEVELOPMENT GOALS PROCESS	250,000
WORLD HEALTH ORGANIZATION 20 AVENUE APPIACH-1211 GENEVA 27 SZ	N/A	INTL ORG BY EXEC ORD	IN SUPPORT OF DEVELOPING A STRATEGY TOWARD A UNITED NATIONS GENERAL ASSEMBLY RESOLUTION ON UNIVERSAL HEALTH COVERAGE AND EMBEDDING IT WITHIN THE POST-2015 AGENDA,DEVELOPING A FRAMEWORK FOR ITS DEFINITION,GOALS,INDICATORS,TARGETS,TIMELINES AND MONITORING MECHANISMS,AND CONDUCTING CONSULTATIONS TO IMPLEMENT COUNTRY-LEVEL STRATEGIES FOR ACHIEVING IT	1,000,000
WORLD METEOROLOGICAL ORGANIZATION 7 BIS AVENUE DE LA PAIXCH 1211 GENEVA SZ	N/A	INTL ORG BY EXEC ORD	FOR USE BY ITS AGRICULTURAL METEOROLOGY PROGRAMME TO ASSIST THE NATIONAL METEOROLOGICAL AGENCY OF ETHIOPIA IN DEVELOPING TARGETED CLIMATE FORECASTS THAT CAN BE UNDERSTOOD AND USED BY AGRICULTURAL DECISION MAKERS - FROM RURAL EXTENSION AGENTS TO FARMERS - TO DETERMINE APPROPRIATE FARMING PRACTICES	63,000
WORLD WIDE WEB FOUNDATION 114 STATE STREETSUITE 600 BOSTON,MA 02109	N/A	501(C)(3) PUBL CHAR	IN SUPPORT OF CONDUCTING A STUDY OF USERS' EXPERIENCES ACCESSING THE INTERNET IN THE DEVELOPING WORLD AND A PILOT EXPERIMENT ON THE USE OF INTERACTIVE VOICE RESPONSE (IVR) SYSTEMS BY POOR AND VULNERABLE COMMUNITIES	20,000
WORLDWIDE INITIATIVES FOR GRANTMAKER SUPPORT INC AV 9 DE JULHO5143SUITE 61 SAO PAULO 01407-200 BR	N/A	501(C)(3) PUBL CHAR	IN GENERAL SUPPORT OF ITS MISSION TO STRENGTHEN PHILANTHROPY AND A CULTURE OF GIVING THROUGH MUTUAL LEARNING AND SUPPORT,KNOWLEDGE SHARING AND PROFESSIONAL DEVELOPMENT AMONG ITS MEMBERS	80,000
YOUNG WOMEN'S LEADERSHIP NETWORK INC 322 EIGHTH AVENUE14TH FLOOR NEW YORK,NY 10001	N/A	501(C)(3) PUBL CHAR	TOWARD THE COSTS OF 2012-2013 SCHOOL YEAR ACTIVITIES OF THE YOUNG WOMEN'S LEADERSHIP SCHOOLS,A NETWORK OF ALL-GIRLS PUBLIC SECONDARY SCHOOLS SERVING UNDERSERVED FAMILIES IN NEW YORK CITY	25,000
COMMUNITY SOLUTIONS 14 EAST 28TH STREETTOP FLOOR NEW YORK,NY 10016	N/A	501(C)(3) PUBL CHAR	UNRESTRICTED DONATION ON BEHALF OF RECIPIENT OF JANE JACOBS MEDAL	75,000
IN OUR BACKYARDS INC 540 PRESIDENT STREET3RD FL BROOKLYN,NY 11215	N/A	501(C)(3) PUBL CHAR	UNRESTRICTED DONATION ON BEHALF OF RECIPIENT OF JANE JACOBS MEDAL	25,000
NEW YORK COMMUNITY TRUST 909 THIRD AVENUE22ND FLOOR NEW YORK,NY 10022	N/A	501(C)(3) PUBL CHAR	UNRESTRICTED DONATION ON BEHALF OF RECIPIENT OF JANE JACOBS MEDAL	50,000
PRATT INSTITUTE 200 WILLOUGHBY AVEMAIN BLDG1ST FL BROOKLYN,NY 11205	N/A	501(C)(3) PUBL CHAR	UNRESTRICTED DONATION ON BEHALF OF RECIPIENT OF JANE JACOBS MEDAL	25,000
SKELTONCARL 362 WEST 30TH ST NEW YORK,NY 10001	NONE	N/A	UNRESTRICTED DONATION ON BEHALF OF RECIPIENT OF JANE JACOBS MEDAL	25,000
A NOISE WITHIN 3352 E FOOTHILL BLVD PASEDNA,CA 911070000	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	12,000
ABILITIES INC 201 IU WILLETS ROAD ALBERTSON,NY 11507	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	33,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ACTORS FUND OF AMERICA 729 7TH AVENUE NEW YORK,NY 10019	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
AFRICAN SERVICES COMMITTEE INC 429 W 127TH ST FL2 NEW YORK,NY 100272544	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
ALBANY LAW SCHOOL 80 NEW SCOTLAND AVENUE ALBANY,NY 12208	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	30,000
ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION INC 225 NORTH MICHIGAN CHICAGO,IL 60601	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	105
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK ROOM 941 NW WASHINGTON,DC 200054707	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	15,000
AMERICAN BIRD CONSERVANCY 4249 LOUDOUN AVE THE PLAINS,VA 201982237	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
AMERICAN CANCER SOCIETY INC 2255 SOUTH ONEIDA STREET DENVER,CO 80203	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
AMERICAN CANCER SOCIETY INC 250 WILLIAMS ST NW ATLANTA,GA 30303	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
AMERICAN CANCER SOCIETY INC 30 SPEEN ST FRAMINGHAM,MA 017011800	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	375
AMERICAN CIVIL LIBERTIES UNION FOUNDATION INC 125 BROAD STREET NEW YORK,NY 100042400	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,050
AMERICAN FILM INSTITUTE INC 2021 NORTH WESTERN AVENUE LOS ANGELES,CA 90027	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
AMERICAN FOUNDATION FOR SUICIDE PREVENTION 120 WALL STREET 22ND FL NEW YORK,NY 10005	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
AMERICAN FRIENDS SERVICE COMMITTEE 1501 CHERRY STREET PHILADELPHIA,PA 191021403	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
AMERICAN HEART ASSOCIATION INC 122 E 42ND ST 18TH FL NEW YORK,NY 101681898	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	225
AMERICAN HEART ASSOCIATION INC 7272 GREENVILLE AVENUE DALLAS,TX 75231	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICANS FOR OXFORD INC 500 5TH AVENUE NEW YORK,NY 101103299	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	2,700
AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION 1275 K STREET NW WASHINGTON,DC 20005	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
AN ANGEL IN QUEENS INC PO BOX 210054 WOODHAVEN,NY 11421	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
AN CLAUDHEAMH SOLUIS INCIRISH ARTS CENTER 553 WEST 51ST STREET NEW YORK,NY 10019	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	690
ANDREAS H BECHTLER ARTS FOUNDATIONBECHTLER MUSEUM OF MODERN ART 420 S TRYON ST CHARLOTTE,NC 282020000	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	12,000
ANIMAL HAVEN INC 251 CENTRE STREET NEW YORK,NY 10013	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	675
ANIMAL PLACE 17314 MCCOURTNEY RD GRASS VALLEY,CA 95949	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,170
ANNUAL CATHOLIC APPEALOFFICE OF STEWARDSHIP AND DEVELOPMENT 310 PROSPECT PARK WEST BROOKLYN,NY 11215	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	225
APROPOS HOUSING OPPORTUNITIES AND MANAGEMENT ENTERPRISES INC 141 TOMPKINS AVE STE 3 PLEASANTVILLE,NY 105703167	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	870
ARIZONA STATE UNIVERSITY FOUNDATION FOR A NEW AMERICAN UNIVERSITY PO BOX 2260 TEMPE,AZ 85280	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
ARIZONA STATE UNIV FOUNDATION FOR A NEW AMERICAN UNIV FRIENDS OF EIGHT ARIZONA STATE UNIVERSITY PHOENIX,AZ 850041252	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
ARLINGTON GARDEN IN PASADENA 295 ARLINGTON DRIVE PASADENA,CA 91105	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
ARTS CENTER AND THEATER OF SCHENECTADY INC 432 STATE STREET SCHENECTADY,NY 123052391	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
ASANTE AFRICA FOUNDATION INC 1334 CARLTON PLACE LIVERMORE,CA 94550	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
ASSOCIATION FOR WOMEN IN SCIENCE INC 1321 DUKE STREET SUITE 210 ALEXANDRIA,VA 22314	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ASSOCIATION OF GRADUATES OF THE UNITED STATES MILITARY ACADEMY 698 MILLS ROAD WEST POINT,NY 10996	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,200
AURORA HEALTH CARE METRO INC 950 N 12TH ST MILWAUKEE,WI 53233	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
BACK ON MY FEET 100 SOUTH BROAD STREET PHILADELPHIA,PA 191101005	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
BALLET TECH FOUNDATION INC 890 BROADWAY NEWYORK,NY 100031211	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
BANG ON A CAN INC 80 HANSON PLACE BROOKLYN,NY 11217	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
BARNARD COLLEGE 3009 BROADWAY NEWYORK,NY 100276598	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,650
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON ROAD KANAB,UT 847415000	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
BIKE ACROSS AMERICA INCCONNECTICUT CHALLENGE PO BOX 566 SOUTHPORT,CT 06890	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
BLUE COYOTE THEATER GROUP INC 380 BROADWAY NEWYORK,NY 100136027	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
BOWDOIN COLLEGE 4100 COLLEGE STATION BRUNSWICK,ME 04011	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,000
BOY SCOUTS OF AMERICA 25 RAMAPO VALLEY ROAD OAKLAND,NJ 07436	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
BREAST CANCER RESEARCH FOUNDATION INC 60 EAST 56TH STREET 8TH FL NEWYORK,NY 10022	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
BRICK THEATER INC PO BOX 1851 NEWYORK,NY 101011851	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	7,200
BROADWAY CARESEQUITY FIGHTS AIDS INC 165 W 46TH ST NEWYORK,NY 100362508	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	375
BROOKLYN ACADEMY OF MUSIC INC 30 LAFAYETTE AVENUE BROOKLYN,NY 11217	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	225

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BROOKLYN ANIMAL RESOURCES COALITION INC 253 WYTHE AVE BROOKLYN,NY 11211	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
BROOKLYN COMMUNITY PRIDE CENTER INC 310 ATLANTIC AVENUE BROOKLYN,NY 11201	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
BROOKLYN PUBLIC LIBRARY 10 GRAND ARMY PLZ BROOKLYN,NY 112385675	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	453
BROOKLYN ZEN CENTER 505 CARROLL STREET BROOKLYN,NY 11215	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	323
BROPHY COMMUNITY FOUNDATION 4701 N CENTRAL AVE PHOENIX,AZ 850121723	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
BROTHERS OF MERCY FACILITIES FOUNDATION 4520 RANSOM RD CLARENCE,NY 140312108	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
BROWN UNIVERSITY PO BOX 1877 PROVIDENCE,RI 02912	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	12,600
BRYN MAWR COLLEGE 101 NORTH MERION AVE BRYN MAWR,PA 190102899	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	4,500
CARNEGIE HALL SOCIETY INC 881 7TH AVE NEWYORK,NY 100193210	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
CAROLINA FOR KIBERA INC PO BOX 309 CHAPEL HILL,NC 27514	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
CAROLINA JUSTICE POLICY CENTER PO BOX 309 DURHAM,NC 277020309	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
CATSKILL ANIMAL SANCTUARY INC 316 OLD STAGE ROAD SAUGERTIES,NY 12477	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
CENTER FOR SCIENCE IN THE PUBLIC INTEREST 1220 L STREET NW SUITE 300 WASHINGTON,DC 200054053	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES INC 1800 K STREET NW WASHINGTON,DC 20006	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
CENTRAL PARK CONSERVANCY INC 14 EAST 60TH ST NEWYORK,NY 10022	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CENTRAL PARK EAST II ELEMENTARY SCHOOL PARENTS ASSOCIATION INC 19 EAST 103RD STREET NEW YORK,NY 10029	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
CHAUTAUQUA INSTITUTION PO BOX 28 CHAUTAUQUA,NY 14722	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
CHEWONKI FOUNDATION INC 485 CHEWONKI NECK ROAD WISCASSET,ME 04578	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
CHILDREN INTERNATIONAL 2000 E RED BRIDGE RD KANSAS CITY,MO 641313610	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
CHILDREN INTERNATIONAL 2000 EAST RED BRIDGE ROAD KANSAS CITY,MO 64131	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	4,800
CHILDRENS ADVOCACY CENTERS OF ILLINOIS 1133 SOUTH SECOND STREET SPRINGFIELD,IL 627043037	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
CHS NORTH AMERICA FOUNDATION INC 205 E 22ND ST APT 5C NEW YORK,NY 100104622	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	4,500
CHURCH OF THE MESSIAH 13 CHURCH STREET WOODS HOLE,MA 02543	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
CITY HARVEST INC 6 EAST 32ND ST NEW YORK,NY 10016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
CLOWNS WITHOUT BORDERS INC 705 ROCKCREEK RD CHARLOTTESVILLE,VA 22903	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
COLONIAL WILLIAMSBURG FOUNDATION PO BOX 1776 WILLIAMSBURG,VA 23187	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
COLUMBIA GRAMMAR & PREPARATORY SCHOOL 5 WEST 93RD STREET NEW YORK,NY 10025	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
COLUMBIA LAND CONSERVANCY INC PO BOX 299 CHATHAM,NY 12037	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
COMMITTEE OF CONCERNED SCIENTISTS INC 400 E 85TH STREET APT 10K NEW YORK,NY 100286314	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
COMMON GROUND COMMUNITY HOUSING DEVELOPMENT FUND CORPORATION INC 505 EIGHTH AVENUE NEW YORK,NY 10018	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COMMUNITY FOOD BANK OF NEW JERSEY INC 31 EVANS TERMINAL RD HILLSIDE,NJ 072052400	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
COMMUNITY TELEVISION OF S CALIFORNIA 2900 WALAMEDA AVE BURBANK,CA 91505	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
COMPREHENSIVE DEVELOPMENT INCORPORATED 240 2ND AVENUE NEW YORK,NY 100032704	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
CONNECTICUT FOOD BANK INC PO BOX 8686 NEW HAVEN,CT 06531	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
CONNECTICUT HUMANE SOCIETY 701 RUSSELL ROAD NEWINGTON,CT 061111593	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
COOPERATIVE FOR ASSISTANCE AND RELIEF EVERYWHERE INCCARE 151 ELLIS ST NE ATLANTA,GA 303032440	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	15,000
COUNCIL ON FOREIGN RELATIONS INC 58 E 68TH ST NEW YORK,NY 100655953	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	927
COVENANT HOUSE NEW JERSEY INC 330 WASHINGTON STREET NEWARK,NJ 07102	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
DEERFIELD ACADEMY BOX 306 DEERFIELD,MA 01342	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	30,000
DESIGN BUILD BLUFF PO BOX 3779 PARK CITY,UT 84060	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
DOANE ACADEMY 350 WEST RIVERBANK AVENUE BURLINGTON,NJ 08016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE NEW YORK,NY 100015004	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	5,625
DOMINICAN REPUBLIC EDUCATION AND MENTORING PROJECT INC 916 WILLIAMS RD COLCHESTER,VT 05446	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	309
DONORS CHOOSE ORG 213 W 35TH ST STE 2E NEW YORK,NY 100011948	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
DREW UNIVERSITY 36 MADISON AVENUE MADISON,NJ 07940	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	375

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<b>a</b> <i>Paid during the year</i>				
DUKE UNIVERSITY PO BOX 90581 DURHAM,NC 277080581	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	15,000
EARTH ISLAND INSTITUTE INC 2150 ALLSTON WAY BERKLEY,CA 947041375	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
ENVIRONMENTAL DEFENSE FUND INCORPORATED 257 PARK AVENUE SOUTH NEWYORK,NY 10010	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	30,000
FEDERATION OF AMERICAN SCIENTISTS 1725 DESALES STREET NORTHWEST WASHINGTON,DC 200364413	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
FELLOWSHIP OF CHRISTIANS IN UNIVERSITIES AND SCHOOLS INC PO BOX 1027 NEWCANAAN,CT 06840	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
FILM FORUM INC 209 WEST HOUSTON STREET NEWYORK,NY 10014	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
FIVER CHILDRENS FOUNDATION INC 519 8TH AVENUE NEWYORK,NY 10018	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
FLORENCE IMMIGRANT AND REFUGEE RIGHTS PROJECT INC PO BOX 654 FLORENCE,AZ 851323012	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	225
FLYING MANES THERAPEUTIC RIDING INC PO BOX 250705 NEWYORK,NY 10025	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	4,500
FOCUS HOPE 1355 OAKMAN BOULEVARD DETROIT,MI 48238	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
FOOD BANK FOR NEWYORK CITY FOOD FOR SURVIVAL 39 BROADWAY NEWYORK,NY 10006	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,515
FOUNDATION FOR EXCELLENCE IN EDUCATION INC 425 WEST 116TH STREET NEWYORK,NY 10027	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,080
FRACTURED ATLAS PRODUCTIONS INC 248 W 35TH STREET NEWYORK,NY 10001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
FRIENDS OF ALTAINC PO BOX 8126 ALTA,UT 84092	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
FRIENDS OF KAREN INC PO BOX 190 PURDYS,NY 10578	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75

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<b>a</b> <i>Paid during the year</i>				
FRIENDS OF THE HARRISON PUBLIC LIBRARY INC BRUCE AVE HARRISON,NY 105280000	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
FRIENDS OF THE HIGH LINE INC 529 W 20TH ST NEW YORK,NY 10011	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
FRIENDS OF THE SMITHSONIAN PO BOX 9016 PITTSFIELD,MA 012029951	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	828
FRIENDS SEMINARY 222 E 16TH ST NEW YORK,NY 100033703	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,000
FUND FOR THE CITY OF NEW YORK INC PROMISE PROJECT 121 AVENUE OF THE AMERICAS NEW YORK,NY 10013	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	72
GABRIEL FOUNDATION 1025 ACOMA STREET DENVER,CO 80204	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,485
GAY MENS HEALTH CRISIS INC 446 WEST 33RD STREET NEW YORK,NY 10011	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
GAY MENS HEALTH CRISIS INCAIDS WALK NEW YORK 446 WEST 33RD STREET NEW YORK,NY 10001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	525
GENERATION RWANDA INC 16 HIGHLAND STREET CAMBRIDGE,MA 021385485	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
GLOBAL WORKERS JUSTICE ALLIANCE 789 WASHINGTON AVE BROOKLYN,NY 112385485	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
GODS LOVE WE DELIVER INC 166 AVENUE OF THE AMERICAS NEW YORK,NY 10013	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
GRANTMAKERS IN THE ARTS 4055 21ST AVENUE WEST SUITE 100 SEATTLE,WA 981991247	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
GRASSROOT SOCCER INC PO BOX 712 NORWICH,VT 05055	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
GREAT COMMANDMENTS MINISTRIES PO BOX 942 NACHES,WA 98937	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOC INC WETA TV 26 3939 CAMPBELL AVENUE ARLINGTON,VA 22206	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450

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Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GROUNDWORK INC 595 SUTTER AVE BROOKLYN,NY 11207	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	9,999
GUIDING EYES FOR THE BLIND INC 611 GRANITE SPRINGS ROAD YORKTOWN HEIGHTS,NY 10598	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
HABITAT FOR HUMANITY INTERNATIONAL PO BOX 7024 AMERICUS,GA 317093498	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,450
HEDGEBROOK FOUNDATION PO BOX 1231 FREELAND,WA 98249	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
HELP OF BEAUFORT PO BOX 472 BEAUFORT,SC 29901	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
HENRY E HUNTINGTON LIBRARY & ART GALLERY ART COLLECTIONS AND BOTANICAL GARDENS SAN MARINO,CA 91108	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
HOME & HOSPICE CARE OF RHODE ISLAND 1085 NORTH MAIN STREET PROVIDENCE,RI 02904	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
HUDSON OPERA HOUSE INC 327 WARREN STREET HUDSON,NY 125340316	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
HUMAN RIGHTS IN CHINA INC 350 FIFTH AVENUE NEW YORK,NY 10118	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
HUMANE FARMING ASSOCIATION 76 BELVEDERE STREET SUITE D SAN RAFAEL,CA 949014850	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
INSTITUTE FOR ADVANCED STUDY EINSTEIN DRIVE PRINCETON,NJ 08540	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150,000
INTREPID MUSEUM FOUNDATION INC ONE INTREPID SQUARE NEW YORK,NY 10036	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
IONA COLLEGE 715 NORTH AVE NEW ROCHELLE,NY 10801	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
ISAMU NOGUCHI FOUNDATION AND GARDEN MUSEUM 3237 VERNON BLVD LONG IS CITY,NY 111064926	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
ISLAMIC RELIEF USA 3655 WHEELER AVE ALEXANDRIA,VA 223046404	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	2,550

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<b>a</b> <i>Paid during the year</i>				
JACKSON LABORATORY 600 MAIN STREET BAR HARBOR, ME 04609	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
JARC 30301 NORTHWESTERN HIGHWAY FARMINGTON HILLS, MI 48334	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	108
JDRF INTERNATIONAL 432 PARK AVENUE SOUTH NEW YORK, NY 10016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
JEWISH NATIONAL FUNDKEREN KAYEMETH LEISRAEL INC 78 RANDALL AVENUE ROCKVILLE CENTRE, NY 11570	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
JOHN F KENNEDY CENTER FOR THE PERFORMING ARTS 2700 F STREET NW WASHINGTON, DC 205660001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	240
JOHN F KENNEDY CENTER FOR THE PERFORMING ARTSKENNEDY CENTER MEMBERSHIP PO BOX 96533 WASHINGTON, DC 200777297	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	240
JOHNSON PARK PARENT TEACHER ORGANIZATION INC 285 ROSEDALE ROAD PRINCETON, NJ 08540	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
JUNIOR LEAGUE OF PHOENIX INC 2505 N CENTRAL AVENUE PHOENIX, AZ 85004	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	174
KAIOLA CANOE CLUB PO BOX 3502 LIHUE, HI 96766	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
KENAN FLAGLER BUSINESS SCHOOL FOUNDATION PO BOX 309 CHAPEL HILL, NC 27514	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,750
KIVA MICROFUNDS 875 HOWARD STREET SAN FRANCISCO, CA 94103	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	101
LAFAYETTE COLLEGE 1 MARKET HALL EASTON, PA 18042	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
LAMBDA LEGAL DEFENSE AND EDUCATION FUND INC 120 WALL STREET NEW YORK, NY 10005	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
LARIMER HUMANE SOCIETY 5137 SOUTH COLLEGE AVENUE FORT COLLINS, CO 80525	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
LA'S BEST 200 N SPRING STREET LOS ANGELES, CA 90012	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75



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<b>a</b> <i>Paid during the year</i>				
LEUKEMIA & LYMPHOMA SOCIETY INC 1311 MAMARONECK AVE WHITE PLAINS,NY 10605	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
LEUKEMIA & LYMPHOMA SOCIETY INC 61 BROADWAY SUITE 400 NEWYORK,NY 10016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	4,365
LIBERTY HUMANE SOCIETY INC 235 JERSEY CITY BLVD JERSEY CITY,NJ 07305	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
LOS ANGELES GAY AND LESBIAN COMMUNITY SERVICES CENTER INC 1625 NORTH SCHRADER BOULEVARD LOS ANGELES,CA 90028	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
MAKE IT RIGHT FOUNDATION PO BOX 58009 NEWORLEANS,LA 70158	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
MAKE WAY FOR BOOKS 3955 E FORT LOWELL RD TUCSON,AZ 85712	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
MANHATTAN CLASS COMPANY INC 311 WEST 43RD STREET NEWYORK,NY 10036	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
MARINE BIOLOGICAL LABORATORY MBL CANDLE HOUSE RM 208 WOODS HOLE,MA 02543	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT STREET BOSTON,MA 02114	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	90,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 600 MEMORIAL DRIVE CAMBRIDGE,MA 02139	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
MEETING HOUSE FAMILY CENTER INC THE MEETING HOUSE AFTERSCHOLL 133 EAST 58TH STREET NEWYORK,NY 10022	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,800
MEMORIAL SLOAN KETTERING CANCER CENTER 633 THIRD AVENUE NEWYORK,NY 10017	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,740
MERCY CORPS 45 SWANKENY ST PORTLAND,OR 97204	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	225
METROPOLITAN MUSEUM OF ART 1000 5TH AVE NEWYORK,NY 10028	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,488
METROPOLITAN OPERA ASSOCIATION INC 30 LINCOLN CENTER NEWYORK,NY 10023	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	31,140

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MIDTOWN PREGNANCY SUPPORT CENTER 110 E 40TH STREET NEWYORK,NY 100161821	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	37,500
MILES FOR HOPE INC 1684 N BELCHER ROAD CLEARWATER,FL 33765	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	90
MILTON ACADEMYTHE MOUNTAIN SCHOOL 151 MOUNTAIN SCHOOL ROAD VERSHIRE,VT 050799722	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
MOREHEAD SCHOLARSHIP FOUNDATION PO BOX 309 CHAPEL HILL,NC 27514	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
MOREHOUSE COLLEGE 830 WESTVIEW DRIVE SW ATLANTA,GA 30314	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	60,000
MUSLIM ADVOCATES 315 MONTGOMERY STREET FLOOR 8 SAN FRANCISCO,CA 941041803	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	2,550
NAMOLOKAMA O HANAIEI CANOE CLUB PO BOX 729 HANAIEI,HI 96714	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
NATIONAL ACADEMY OF SCIENCES OFFICE OF DEVELOPMENT WASHINGTON,DC 20001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
NATIONAL ASSOCIATION OF WOMEN JUDGES 1341 CONNECTICUT AVENUE NW WASHINGTON,DC 20036	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	525
NATIONAL AUDUBON SOCIETY INC 225 VARICK STREET NEWYORK,NY 10014	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
NATIONAL COUNCIL ON CRIME AND DELINQUENCY 1970 BROADWAY SUITE 500 OAKLAND,CA 946122217	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
NATIONAL DANCE INSTITUTE INC 217 WEST 147TH STREET NEWYORK,NY 10039	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	105
NATIONAL FOUNDATION FOR ECTODERMAL DYSPLASIAS 410 EAST MAIN STREET MASCOUTAH,IL 62258	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
NATIONAL HUMANITIES CENTER PO BOX 12256 DURHAM,NC 277092256	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	7,500
NATIONAL OUTDOOR LEADERSHIP SCHOOL 284 LINCOLN STREET LANDER,WY 82520	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300

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<b>a</b> <i>Paid during the year</i>				
NAT'L SEPT 11 MEMORIAL AND MUSEUM AT THE WORLD TRADE CENTER FOUND INC ONE LIBERTY PLAZA NEW YORK,NY 100061404	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
NATIONAL YIDDISH BOOK CENTER INC HARRY AND JEANETTE WEINBERG BUILDING AMHERST,MA 01002	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
NATURAL RESOURCES DEFENSE COUNCIL INC 40 WEST 20TH ST NEW YORK,NY 100114211	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
NAZARETH HOUSING INC 519 EAST 11TH STREET NEW YORK,NY 10009	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
NEIGHBORHOOD FALMOUTH INC PO BOX 435 FALMOUTH,MA 025410435	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
NEIGHBORS TOGETHER CORP 2094 FULTON ST BROOKLYN,NY 112336009	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,450
NETWORK FOR GOOD INC 7920 NORFOLK AVENUE BETHESDA,MD 20814	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	936
NEW HAMPSHIRE CATHOLIC CHARITIES INC 215 MYRTLE STREET MANCHESTER,NH 031044354	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
NEW SYNAGOGUE OF FORT LEE INC 1585 CENTER AVENUE FORT LEE,NJ 07024	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
NEW WORLD FOUNDATIONNEW AMERICAN LEADERS PROJECT 666 WEST END AVENUE NEW YORK,NY 10025	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,050
NEW YORK BOTANICAL GARDEN 200TH STREET AND KAZIMINOFF BLVD BRONX,NY 10458	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	360
NEW YORK CITY BALLET INC 20 LINCOLN CENTER PLAZA NEW YORK,NY 10023	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	405
NEW YORK LAW SCHOOL OFFICE OF DEVELOPMENT AND ALUMNI RELATIONS NEW YORK,NY 102132990	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
NEW YORK LAWYERS FOR THE PUBLIC INTEREST INC 151 WEST 30TH STREET 11TH FL NEW YORK,NY 100014007	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
NEW YORK NEEDS YOU 589 8TH AVENUE 5TH FL NEW YORK,NY 10018	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	900

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<b>a</b> <i>Paid during the year</i>				
NEW YORK OPPORTUNITY NETWORK 55 EXCHANGE PLACE NEW YORK,NY 10005	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
NEW YORK OUTRIGGER INC 205 WEST END EVENUE 12 C NEW YORK,NY 10023	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	4,500
NEW YORK POPS INC 333 W 52ND STREET NEW YORK,NY 10019	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
NEW YORK PUBLIC RADIO 160 VARICK ST NEW YORK,NY 10013	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	2,721
NEW YORK ROAD RUNNERS INC 156 W 56TH ST NEW YORK,NY 10019	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	570
NEW YORK ROAD RUNNERS INC 156 WEST 56TH STREET NEW YORK,NY 10019	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
NEW YORK UNIVERSITY OFFICE OF THE TREASURER NEW YORK,NY 100039580	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,525
NIAGARA HOSPICE INC 4675 SUNSET DRIVE LOCKPORT,NY 140941231	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
NORTH CAROLINA HUMANITIES COUNCIL 122 NORTH ELM ST GREENSBORO,NC 27401	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
NORTHEAST HEALTH FOUNDATION INC 2224 BURDETT AVENUE TROY,NY 121802401	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	15,000
NORTHWESTERN UNIVERSITY 1201 DAVIS STREET EVANSTON,IL 60208	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	15,000
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE NORWALK,CT 068541655	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
NORWALK HOSPITAL FOUNDATION INC 34 MAPLE STREET NORWALK,CT 06850	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
OBIE HARRINGTON HOWES FOUNDATION INC PO BOX 2221 DARIEN,CT 06820	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
O'CONNOR HOUSE 1300 N COLLEGE AVENUE TEMPE,AZ 85281	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	57,225

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<b>a</b> <i>Paid during the year</i>				
OPUS 118 HARLEM SCHOOL OF MUSIC C/O KAUFMAN MUSIC CENTER NEWYORK,NY 10023	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	4,500
ORGANIZATION JUVENTUD ECUATORIANAINC 2856 MILES AVE BRONX,NY 10465	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
OUTSTANDING RENEWAL ENTERPRISES INCLOWER EAST SIDE ECOLOGY CENTER PO BOX 20488 NEWYORK,NY 10009	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
OXFAM AMERICA INC 226 CAUSEWAY ST BOSTON,MA 02114	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	675
PACIFIC COUNCIL ON INTERNATIONAL POLICY 801 S FIGUEROA STREET SUITE 1130 LOS ANGELES,CA 900175569	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,200
PACKER COLLEGIATE INSTITUTE 170 JORALEMON ST BROOKLYN,NY 11201	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
PARKSIDE SCHOOL 48 WEST 74TH STREET NEWYORK,NY 10023	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	30,000
PARTNERS FOR A BETTER EDUCATION INC 200 N BROADWAY SLEEPY HOLLOW,NY 10591	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
PASADENA ARTS COUNCIL 65 S GRAND AVENUE PASADENA,CA 91105	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
PCA REFORMED UNIVERSITY MINISTRIES 1700 NORTH BROWN ROAD LAWRENCEVILLE,GA 30043	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	2,250
PEOPLE FOR THE ETHICAL TREATMENT OF ANIMALS INC 501 FRONT STREET NORFOLK,VA 23510	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,140
PERSON TO PERSON INC 1864 POST ROAD DARIEN,CT 068205802	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
PETS ALIVE INC PO BOX 131 ELMSFORD,NY 10523	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
PHILHARMONIC SYMPHONY SOCIETY OF NEWYORK INC 10 LINCOLN CENTER PLAZA NEWYORK,NY 10133	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,000
PHYSICIANS FOR REPRODUCTIVE CHOICE AND HEALTH INC 55 WEST 39TH STREET NEWYORK,NY 100183889	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	8,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
PLAN INTERNATIONAL USA INC 155 PLAN WAY WARWICK,RI 02886	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	900
PLANNED PARENTHOOD FEDERATION OF AMERICA 434 WEST 33RD STREET NEW YORK,NY 10001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	375
PLANNED PARENTHOOD PASADENA AND SAN GABRIEL VALLEY INC 1045 N LAKE AVENUE PASADENA,CA 911044596	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
POLY PREP COUNTRY DAY SCHOOL 9216 7TH AVE BROOKLYN,NY 112283622	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
POLYHYMNIA MUSIC FOUNDATION INC 552 WEST END AVE NEW YORK,NY 10024	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
PRESBYTERIAN CHURCH USAPRESBYTERIAN CHURCH OF UPPER MONTCLAIR 53 NORWOOD AVE UPPER MONTCLAIR,NJ 07043	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	4,350
PRESBYTERIAN CHURCH USASOUTH GREENBURG PRESBYTERIAN CHURCH 343 BROADWAY DOBBS FERRY,NY 10522	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,750
PRESIDENT & FELLOWS OF MIDDLEBURYMIDDLEBURY COLLEGE GIFT ADMINSTRATION MIDDLEBURY,VT 05753	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
PRESIDENT AND DIRECTORS OF GEORGETOWN COLLEGE FOR GEORGETOWN UNIVERSITY DEPARTMENT 0733 WASHINGTON,DC 200730733	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	90,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 124 MOUNT AUBURN STREET CAMBRIDGE,MA 02138	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
PRESIDENT AND FELLOWS OF HARVARD COLLEGEHARVARD GRAD SCHOOL OF EDU FUND 124 MOUNT AUBURN STREET CAMBRIDGE,MA 021383705	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,000
PRINCETON DAY SCHOOL INC PO BOX 75 PRINCETON,NJ 08542	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
PRINCETON FIRST AID AND RESCUE SQUAD INC 237 NORTH HARRISON STREET PRINCETON,NJ 08540	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
PROGRESSIVE AMERICA FUND 1133 BROADWAY SUITE 332 NEW YORK,NY 100107903	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
PROSPECT PARK ALLIANCE INC 95 PROSPECT PARK WEST BROOKLYN,NY 11215	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150

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<b>a</b> <i>Paid during the year</i>				
PROTESTANT EPISCOPAL CATHEDRAL FOUNDATION OF THE D OF C MOUNT SAINT ALBAN WASHINGTON,DC 200160000	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	9,000
PROTESTANT EPISCOPAL CATHEDRAL FOUNDOF THE D OF CNAT'L CATHEDRAL SCHOOL MOUNT SAINT ALBAN WASHINGTON,DC 20016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
PROTESTANT EPISCOPAL CATHEDRAL FOUNDOF THE D OF CWASHINGTON NAT'L CATH MASSACHUSETTS WISCONSIN AVENUES NW WASHINGTON,DC 200165098	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
PROTESTANT EPISCOPAL DIOCESE OF CONNECTICUTSAINT LUKE'S PARISH 1864 POST ROAD DARIEN,CT 06820	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,600
PUBLIC AGENDA FOUNDATION INC 6 EAST 39TH STREET FL 9 NEWYORK,NY 10016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
PUBLIC ALLIES INC 735 N WATER STREET NO 5550 MILWAUKEE,WI 532024104	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
QUEENS UNIVERSITY OF CHARLOTTE 1900 SELWYN AVE CHARLOTTE,NC 28274	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	22,500
RAINFOREST FOUNDATION INC 180 VARICK STREET NEWYORK,NY 10014	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
RED WAVE SWIM TEAM PO BOX 173 MONTCLAIR,NJ 070420173	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	375
REDEEMER PRESBYTERIAN CHURCH OF NEWYORK 1359 BROADWAY NEWYORK,NY 10018	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	20,010
RESEARCH AMERICA 1101 KING STREET ALEXANDRIA,VA 223142960	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
RESONATE INC 251 RHODE ISLAND STREET SAN FRANCISCO,CA 94103	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	15,000
RESTAURANT OPPORTUNITIES CENTER OF NEWYORK 275 7TH AVE SUITE 1703 NEWYORK,NY 10001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,200
RIVERKEEPER INC 20 SECOR ROAD OSSINING,NY 10562	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
S L E LUPUS FOUNDATION INC 330 SEVENTH AVENUE SUITE 1701 NEWYORK,NY 10001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150

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<b>a</b> Paid during the year				
SAILORS FOR THE SEA INC 449 THAMES STREET NEWPORT,RI 02840	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	90,000
SAINT AUGUSTINE CHURCHSAINT AUGUSTINE CATHOLIC SCHOOL 1419 V ST NW WASHINGTON,DC 20009	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
SALISBURY VOLUNTEER AMBULANCE SERVICE INC PO BOX 582 SALISBURY,CT 06068	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORRY PINES ROAD LA JOLLA,CA 92037	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
SALZBURG GLOBAL SEMINAR INC 1730 PENNSYLVANIA AVENUE NW WASHINGTON,DC 20006	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
SANCTUARY FOR FAMILIES INC PO BOX 1406 NEWYORK,NY 10268	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
SCOVILLE MEMORIAL LIBRARY ASSOCIATION INC 38 MAIN STREET SALISBURY,CT 060681805	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
SEABROOK ISLAND GREEN SPACE CONSERVANCY PO BOX 185 JOHNS ISLAND,SC 29455	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
SEAN CASEY ANIMAL RESCUE INC 153 E 3RD STREET BROOKLYN,NY 112181601	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	158
SETTLEMENT HOUSING FUND INC 247 WEST 37TH STREET FLOOR 4 NEWYORK,NY 10018	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
SEVENTH REGIMENT ARMORY CONSERVANCY INCPARK AVENUE ARMORY 643 PARK AVENUE NEWYORK,NY 10065	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,020
SOUTH ORANGE PERFORMING ARTS CENTER INC ONE SOPAC WAY SOUTH ORANGE,NJ 07079	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	375
SOUTH ORANGE RESCUE SQUAD INC 4 THIRD ST SOUTH ORANGE,NJ 07079	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
SOUTHERN APPALACHIAN HIGHLANDS CONSERVANCY 34 WALL ST ASHEVILLE,NC 288012710	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
SOUTHERN POVERTY LAW CENTER INC 400 WASHINGTON AVENUE MONTGOMERY,AL 36104	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300



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SPECIAL OLYMPICS CONNECTICUT INC 2666 STATE STREET HAMDEN,CT 065172232	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	225
SPECIAL OLYMPICS SOUTHERN CALIFORNIA INC 1411 W 190TH STREET GARDENA,CA 90248	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	225
ST CROIX LANDSMARKS SOCIETY INC 52 ESTATE WHIM FREDERIKSTED,VI 00840	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
ST JUDE CHILDRENS RESEARCH HOSPITAL INC 501 ST JUDE PLACE MEMPHIS,TN 38105	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	825
ST JUDE CHILDRENS RESEARCH HOSPITAL INC 501 ST JUDE PLACE MEMPHIS,TN 38105	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
ST WILLIAM THE ABBOT ROMAN CATHOLIC CHURCH 2000 JACKSON AVENUE SEAFORD,NY 11783	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	10,110
STANFORD UNIVERSITY BOARD OF TRUSTEES OF THE LELAND STANFORD JR UNIVERSITY 326 GALVEZ STREET STANDFORD,CA 94305	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,750
STEP UP WOMENS NETWORK 159 BLEECKER ST STE 2B NEWYORK,NY 10012	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
STEPHEN SILLER TUNNEL TO TOWERS FOUNDATION 2361 HYLAN BLVD STATEN ISLAND,NY 103063100	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
STONE CIRCLES 6602 NICKS ROAD MEBANE,NC 27302	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,050
SWARTHMORE COLLEGE 500 COLLEGE AVENUE SWARTHMORE,PA 190811306	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
SYRACUSE UNIVERSITYTHE FUND FOR SYRACUSE 820 COMSTOCK AVE SYRACUSE,NY 13244	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
TEACH FOR AMERICA INC 5855 EXECUTIVE CENTER DRIVE CHARLOTTE,NC 28212	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	7,500
TEACHERS COLLEGE COLUMBIA UNIVERSITY 525 WEST 120TH STREET NEWYORK,NY 10027	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
TECTONIC THEATER PROJECT INC 520 EIGHTH AVE SUITE 313 NEWYORK,NY 10018	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450

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<b>a</b> <i>Paid during the year</i>				
TELLER REFUGE INC PO BOX 548 CORVALLIS,MT 59828	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
THE APOLLO THEATER FOUNDATION INC 253 W 125TH ST NEW YORK,NY 100274408	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	30,000
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE WASHINGTON,DC 20036	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	9,000
THE BOARD OF VISITORS 6245 N 24TH PARKWAY SUITE 110 PHOENIX,AZ 85016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	168
THE BROTHERHOOD SISTER SOL INC 512 W 143RD ST NEW YORK,NY 10031	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
THE CITY UNIVERSITY OF NEW YORK SCHOOL OF LAW FOUNDATION INC 21 MAIN STREET FLUSHING,NY 113671358	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
THE LEUKEMIA AND LYMPHOMA SOCIETY 14 COMMERCE DRIVE CRANFORD,NJ 07016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,410
THE NATIONAL COWGIRL MUSEUM AND HALL OF FAME INC 1720 GENDY STREET FORT WORTH,TX 76107	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
THE NATIONAL ORGANIZATION FOR WOMEN FOUNDATION INC 1100 H STREET NW WASHINGTON,DC 20005	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
THE NEW YORK PUBLIC LIBRARY STEPHEN A SCHWARZMAN BUILDING 476 FIFTH AVE NEW YORK,NY 10018	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	453
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS FIFTH AVENUE AND 42ND STREET NEW YORK,NY 10018	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	375
THE PICTURE HOUSE REGIONAL FILM CENTER INC 175 WOLF LANE PELHAM,NY 10803	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	7,500
THE SALVATION ARMY PO BOX 52177 PHOENIX,AZ 85072	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
THE SIDE-OUT FOUNDATION 3935 UNIVERSITY DRIVE FAIRFAX,VA 22030	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
THE UCLA FOUNDATION 10920 WILSHIRE BOULEVARD LOS ANGELES,CA 90024	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300

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<b>a</b> <i>Paid during the year</i>				
THE VIVIAN BEAUMONT THEATERINC DBA LINCOLN CENTER THEATER 150 WEST 65TH ST NEWYORK,NY 10023	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	90,000
THIRTEEN 825 8TH AVE NEWYORK,NY 10019	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	2,175
TRANSPORTATION ALTERNATIVES INC 127 WEST 26TH ST NEWYORK,NY 10001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
TREVOR PROJECT INC 8704 SANTA MONICA BLVD SUITE 200 WEST HOLLYWOOD,CA 90069	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	17,520
TRIANGLE RESIDENTIAL OPTIONS FOR SUBSTANCE ABUSERS INC 1820 JAMES ST DURHAM,NC 27707	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
TRICKLE UP PROGRAM INC 104 WEST 27TH STREET 12TH FLOOR NEWYORK,NY 100016210	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
TRINITY COLLEGE 300 SUMMIT STREET HARTFORD,CT 061063100	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	450
TRI-STATE CENTER FOR THE ARTS INC PO BOX 1187 SHARON,CT 060691187	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 622 WEST 113TH STREET NEWYORK,NY 10025	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	2,700
TRUSTEES OF PRINCETON UNIVERSITY PO BOX 5357 PRINCETON,NJ 08543	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
TRUSTEES OF THE SMITH COLLEGE 10 ELM ST COLLEGE HALL RM 204 NORTHAMPTON,MA 010630001	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA,PA 191046284	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
TUTORPEDIA FOUNDATION 251 RHODE ISLAND STREET SAN FRANCISCO,CA 94103	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
TWO STATE YOUNG MEN'S CHRISTIAN ASSOCIATION 748 HAMILTON ROAD BECKET,MA 01223	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750
US CATHOLIC CONFERENCEORATORY CHURCHHELPING HANDS FOOD PANTRY 109 WILLOUGHBY STREET BROOKLYN,NY 11201	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,000

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US CATHOLIC CONFERENCE AUGUSTINE CHURCH HELPING HANDS FOOD PANTRY 116 SIXTH AVE BROOKLYN, NY 11217	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
UNION OF CONCERNED SCIENTISTS INC TWO BRATTLE SQUARE CAMBRIDGE, MA 02138	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	900
UNITARIAN UNIVERSALIST COMMUNITY SCHOOLS CAMPAIGN 26 EAST 35TH STREET NEW YORK, NY 10016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	375
UNITED JEWISH APPEAL FEDERATION OF JEWISH PHILANTHROPIES OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	408
UNITED STATES CATHOLIC CONFERENCE ST BONIFACE RC CHURCH 109 WILLOUGHBY STREET BROOKLYN, NY 11201	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	10,500
UNITED STATES FUND FOR UNICEF 333 EAST 38TH STREET NEW YORK, NY 10016	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
UNITING AGAINST LUNG CANCER 27 UNION SQUARE WEST NEW YORK, NY 10003	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	225
UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
UNIVERSITY OF FLORIDA FOUNDATION INC FLORIDA MUSEUM OF NATIONAL HISTORY PO BOX 14425 GAINESVILLE, FL 32604	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
UNIVERSITY OF HAWAII FOUNDATION 2444 DOLE STREET HONOLULU, HI 96822-3888	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	30,000
UNIVERSITY OF MASSACHUSETTS AMHERST FOUNDATION INC 134 HICKS WAY MEMORIAL HALL AMHERST, MA 01003	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
UNIVERSITY OF SOUTHERN CALIFORNIA THE UNIVERSITY OF SOUTHERN CALIFORNIA LOS ANGELES, CA 90089-7090	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
UNIVERSITY OF TEXAS LAW SCHOOL FOUNDATION 727 E DEAN KEETON STREET AUSTIN, TX 78705	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,000
US CATHOLIC CONFERENCE ST FRANCIS XAVIER CHURCH 225 6TH AVE BROOKLYN, NY 11215	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	2,250
US CATHOLIC CONFERENCE CARDINALS APPEAL ARCHDIOCESE OF NY 1011 FIRST AVENUE NEW YORK, NY 10022	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	750


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US CATHOLIC CONFERENCEFORDHAM PREPARATORY SCHOOL EAST FORDHAM ROAD BRONX,NY 10458	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	1,500
US CATHOLIC CONFERENCEPORTSMOUTH ABBEY SCHOOL 285 CORYS LANE PORTSMOUTH,RI 02840	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	90,000
US CATHOLIC CONFERENCEST MARY MAGDALEN CHURCH 2005 BERRYMAN STREET BERKELEY,CA 94709	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
US CATHOLIC CONFERENCEST STEPHEN OF HUNGARY CHURCH 414 E 82ND ST NEWYORK,NY 10028	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	6,900
VASSAR COLLEGE 124 RAYMOND AVE POUGHKEEPSIE,NY 126040725	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
WELLESLEY COLLEGE 106 CENTERAL STREET WELLESLEY,MA 02481	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	15,000
WESTCHESTER PHILHARMONIC INC 123 MAIN STREET WHITE PLAINS,NY 10601	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
WESTERN STATES CENTER INC PO BOX 40305 PORTLAND,OR 97240	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	75
WILLIAM BEAUMONT HOSPITAL 3711 W 13 MILE ROAD ROYAL OAK,MI 48073	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
WOMEN MAKE MOVIES INC 462 BROADWAY FL 5 NEWYORK,NY 100132618	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
WOMENS SUPPORT SERVICES INCORPORATED 158 GAY STREET SHARON,CT 06069	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
WOODS HOLE PUBLIC LIBRARY BOX 185 WOODS HOLE,MA 02543	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	600
WORLD WILDLIFE FUND INC 1250 TWENTY-FOURTH ST NW WASHINGTON,DC 20037	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	150
WOUNDED WARRIOR PROJECT INC 4899 BELFORT ROAD JACKSONVILLE,FL 32256	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	660
YALE UNIVERSITY PO BOX 2038 NEW HAVEN,CT 06520	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	30,000

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<b>a</b> Paid during the year				
YALE UNIVERSITYYALE LAW SCHOOL PO BOX 208215 NEW HAVEN,CT 06520	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
YIVO INSTITUTE FOR JEWISH RESEARCH INC 15 WEST 16TH STREET NEW YORK,NY 10011	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	108
YOUNG MENS CHRISTIAN ASSOCIATION OF GREATER NEW YORKVANDERBILT YMCA 224 EAST 47TH STREET NEW YORK,NY 10017	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	300
ZIGEN FUND INC 213-37 39TH AVE NEW YORK,NY 11361	N/A	501(C)(3) PUBL CHAR	MATCHING GIFT	3,000
ANIMAL HAVEN INC 251 CENTRE STREET NEW YORK,NY 10013	N/A	501(C)(3) PUBL CHAR	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE," WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000
GODS LOVE WE DELIVER 166 AVENUE OF THE AMERICAS NEW YORK,NY 10013	N/A	501(C)(3) PUBL CHAR	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE," WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000
LINCOLN SQUARE NEIGHBORHOOD CENTERINC 250 WEST 65TH STREET NEW YORK,NY 10023	N/A	501(C)(3) PUBL CHAR	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE," WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000
MAYOR'S FUND TO ADVANCE NEW YORK CITY 253 BROADWAY8TH FLOOR NEW YORK,NY 10007	N/A	501(C)(3) PUBL CHAR	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE," WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000
PATTANARAK FOUNDATION 49 83 KC SUWINTAWONGNONG JOK BANGKOK 10530 TH	N/A	501(C)(3) PUBL CHAR	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE," WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000
FOUNDATION FINANCIAL OFFICERS GROUPINC 216 W JACKSON BLVDSUITE 625 CHICAGO,IL 60606	N/A	501(C)(3) PUBL CHAR	MEMBERSHIP CONTRIBUTION	3,600
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1725 DESALES STREET NWSUITE 404 WASHINGTON,DC 20036	N/A	501(C)(3) PUBL CHAR	MEMBERSHIP CONTRIBUTION	11,500
GRACIE MANSION CONSERVANCY EAST END AVENUE AT 88TH STREET NEW YORK,NY 10128	N/A	501(C)(3) PUBL CHAR	UNRESTRICTED DONATION	10,000
GRANTS MANAGERS NETWORK 1101 14TH STREET NWSUITE 420 WASHINGTON,DC 20005	N/A	501(C)(3) PUBL CHAR	MEMBERSHIP CONTRIBUTION	10,000
PATTANARAK FOUNDATION 49 83 KC SUWINTAWONGNONG JOK BANGKOK 10530 TH	N/A	PUBLIC CHARITY EQUIV	UNRESTRICTED DONATION TO RECOGNIZE SERVICE ANNIVERSARY OF FOUNDATION STAFF MEMBER	5,000
AMERICAN CANCER SOCIETYASIAN INITIATIVES 2600 US 1 NORTH BRUNSWICK,NJ 08902	N/A	501(C)(3) PUBL CHAR	UNRESTRICTED DONATION TO RECOGNIZE SERVICE ANNIVERSARY OF FOUNDATION STAFF MEMBER	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SANCTUARY FOR FAMILIES PO BOX 1406 WALL STREET STATION NEW YORK,NY 10268	N/A	501(C)(3) PUBL CHAR	UNRESTRICTED DONATION TO RECOGNIZE SERVICE ANNIVERSARY OF FOUNDATION STAFF MEMBER	1,000
GLOBAL IMPACT INVESTING NETWORK CO 420 FIFTH AVE NEW YORK,NY 10018	N/A	501(C)(3) PUBL CHAR	TO USE SPACE AND FACILITIES FOR 10-12 STAFF WITHIN THE FOUNDATION'S SPACE	53,492
BELLAGIO STUDY AND CONFERENCE CENTER VILLA SERBELLONI BELLAGIO IT	YES	SEE STATEMENTS 28	DCA	4,425,698
<b>Total . . . . .</b>  <b>3a</b>				135,082,747

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions )
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a VARIOUS STATE TAX REFUNDS			01	11,655	
b FOREIGN EXCHANGE LOSS			14	-1,167,390	
c INCOME THRU K-1'S NOT ON F/S	525990	-608,700	14	-1,802,869	
d SECURITIES LITIGATION PROCEEDS			01	169,394	
e GRANT REFUNDS			01	908,998	
f FEDERAL 990T TAX REFUND			01	615,796	
g PAYROLL TAX REFUNDS			01	9,787	



**TY 2012 Accounting Fees Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
O'CONNOR DAVIES, LLP	382,137	196,738		138,399

**TY 2012 All Other Program  
Related Investments Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

Category	Amount
A PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN THE UNDERSERVED RURAL SUB-SECTOR IN KENYA BY PROVIDING CAPITAL FOR RURAL MICRO-ASSET FINANCING LOANS TO ASSIST SMALLHOLDER FARMERS IN ACQUIRING PRODUCTIVE ASSETS.	350,000
A PROGRAM-RELATED INVESTMENT TO SUPPORT THE IMPROVEMENT IN & EXPANSION OF THE SYSTEM FOR ADDRESSING AN UNDERSERVED MARKET BY PROVIDING CAPITAL FOR FINANCING, TECHNICAL SERVICES & POLICY ADVOCACY TO INCREASE ACCESS TO APPROPRIATE & AFFORDABLE HOUSING FOR PEOPLE WITH DISABILITIES IN THE U.S.	350,000
A PROGRAM-RELATED INVESTMENT IN SUPPORT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA.	174,531
A PROGRAM-RELATED INVESTMENT SUPPORTING AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING PROBLEMS, TO REDUCE RECIDIVISM AMONG AN EST. 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.	64,775
A PROGRAM-RELATED INVESTMENT TOWARD CAPITALIZING A \$30 MILLION COMMUNITY DEVELOPMENT INVESTMENT FUND THAT WOULD PROVIDE GROWTH CAPITAL AND MANAGEMENT ASSISTANCE TO BUSINESSES LOCATED IN OR HIRING FROM LOW- AND MODERATE-INCOME COMMUNITIES IN CALIFORNIA.	20,132

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Expenditure Responsibility Statement

Name: THE ROCKEFELLER FOUNDATION  
EIN: 13-1659629

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND	512 5TH FLOOR PLAM SPRING LINK RD MALAD W MUMBAI 400 064 IN	2009-06-30	361,843	PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN INDIA	0	NO	PRIOR REPORTS+ 7/2/12,8/15/12,10/12/12,11/26/12,2/15/13,5/23/13,7/15/13		
AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND	512 5TH FLOOR PLAM SPRING LINK RD MALAD W MUMBAI 400 064 IN	2010-11-16	493,281	PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN INDIA	0	NO	PRIOR REPORTS+ 7/2/12,8/15/12,10/12/12,11/26/12,2/15/13,5/23/13,7/15/13		
AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND	512 5TH FLOOR PLAM SPRING LINK RD MALAD W MUMBAI 400 064 IN	2011-11-15	135,646	PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN INDIA	0	NO	PRIOR REPORTS+ 7/2/12,8/15/12,10/12/12,11/26/12,2/15/13,5/23/13,7/15/13		
ABT ASSOCIATES INC	55 WHEELER STREET CAMBRIDGE, MA 021381168	2012-05-03	100,000	IN SUPPORT OF AN EVALUATION OF THE FOUNDATION'S INITIATIVE IN DEVELOPMENT, SUSTAINABLE EMPLOYMENT IN A GREEN US ECONOMY (SEGUE), TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	100,000	NO	10/25/2012, 11/19/2012, 4/26/2013, 8/6/2013		
ABT ASSOCIATES INC	55 WHEELER STREET CAMBRIDGE, MA 021381168	2012-11-05	100,000	IN SUPPORT OF AN EVALUATION OF THE FOUNDATION'S INITIATIVE IN DEVELOPMENT, SUSTAINABLE EMPLOYMENT IN A GREEN US ECONOMY (SEGUE), TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	100,000	NO	11/19/2012, 4/26/2013, 8/6/2013		
ABT ASSOCIATES INC	55 WHEELER STREET CAMBRIDGE, MA 021381168	2012-12-05	80,000	IN SUPPORT OF AN EVALUATION OF THE FOUNDATION'S INITIATIVE IN DEVELOPMENT, SUSTAINABLE EMPLOYMENT IN A GREEN US ECONOMY (SEGUE), TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	80,000	NO	4/26/2013, 8/6/2013		
ABT ASSOCIATES INC	55 WHEELER STREET CAMBRIDGE, MA 021381168	2012-12-10	275,000	IN SUPPORT OF AN EVALUATION OF THE FOUNDATION'S INITIATIVE, THE CAMPAIGN FOR AMERICAN WORKERS, TO ASSESS THE RELEVANCE, EFFECTIVENESS, EFFICIENCY AND SUSTAINABILITY OF THE INITIATIVE AND APPLY LESSONS LEARNED TO FUTURE FOUNDATION WORK	275,000	NO	6/7/2013, 7/18/2013, 8/5/2013, 8/7/2013		
ACCENTURE LLP	161 N CLARK STREET CHICAGO, IL 60601	2011-07-27	172,620	FOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS TOWARD THE COSTS OF IDENTIFYING INTERVENTION OPPORTUNITIES RELATED TO PROBLEMS FACING POOR AND VULNERABLE PEOPLE AND SHARING THEM WITH THE PHILANTHROPIC AND SOCIAL SECTOR COMMUNITIES	172,620	NO	6/11/2012, 6/25/2012, 10/2/2012, 5/20/2013		
ACCENTURE LLP	161 N CLARK STREET CHICAGO, IL 60601	2011-11-16	278,623	FOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS TO DEVELOP A REPLICABLE VALUE PROPOSITION, BUSINESS CASE AND PILOT DESIGN FOR OUTSOURCING WORK TO BUSINESS PROCESS OUTSOURCING COMPANIES EMPLOYING POOR AND VULNERABLE PEOPLE, AS PART OF A BROADER DEMONSTRATION TO TEST WHETHER THE NASCENT FIELD OF "IMPACT SOURCING" CAN YIELD BETTER EMPLOYMENT OPPORTUNITIES FOR POOR AND VULNERABLE POPULATIONS	274,805	NO	6/14/2012		
ACCENTURE LLP	161 N CLARK STREET CHICAGO, IL 60601	2012-06-13	100,000	FOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS TOWARD THE COSTS OF IDENTIFYING INTERVENTION OPPORTUNITIES RELATED TO PROBLEMS FACING POOR AND VULNERABLE PEOPLE AND SHARING THEM WITH THE PHILANTHROPIC AND SOCIAL SECTOR COMMUNITIES	100,000	NO	10/2/2012, 5/20/2013		
ACCENTURE LLP	161 N CLARK STREET CHICAGO, IL 60601	2012-09-05	195,375	FOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS IN SUPPORT OF MANAGING A FORWARD-LOOKING RESEARCH PROJECT FOCUSED ON CRITICAL PROBLEMS AND OPPORTUNITIES IN THE AREAS OF CITY TRANSFORMATION, THE ADVANCEMENT OF HEALTH AND THE REVALUATION OF ECOSYSTEMS	195,375	NO	10/9/2012, 10/17/2012, 5/20/2013, 7/3/2013		
ACCENTURE LLP	161 N CLARK STREET CHICAGO, IL 60601	2012-11-05	159,600	FOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS IN SUPPORT OF MANAGING A FORWARD-LOOKING RESEARCH PROJECT FOCUSED ON CRITICAL PROBLEMS AND OPPORTUNITIES IN THE AREAS OF CITY TRANSFORMATION, THE ADVANCEMENT OF HEALTH AND THE REVALUATION OF ECOSYSTEMS	159,600	NO	5/20/2013, 7/3/2013		
ACCENTURE LLP	161 N CLARK STREET CHICAGO, IL 60601	2012-11-07	246,460	FOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS IN SUPPORT OF DEVELOPING A REPLICABLE RECRUITMENT, TRAINING AND IMPACT MEASUREMENT APPROACH FOR OUTSOURCING WORK TO BUSINESS PROCESS OUTSOURCING COMPANIES EMPLOYING POOR OR VULNERABLE PEOPLE, AS PART OF A BROADER DEMONSTRATION TO TEST WHETHER THE NASCENT FIELD OF "IMPACT SOURCING" CAN YIELD BETTER EMPLOYMENT OPPORTUNITIES FOR POOR OR VULNERABLE POPULATIONS	246,460	NO	8/6/2013		
ACUMEN CAPITAL MARKETS I LP	76 NINTH AVENUE SUITE 315 NEW YORK, NY 10011	2008-12-29	630,000	PAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN EAST AFRICA AND SOUTH ASIA	0	NO	PRIOR REPORTS+ 7/6/12,9/17/12,9/24/12,5/13/13,6/13/13,7/18/13,7/22/13		
ACUMEN CAPITAL MARKETS I LP	76 NINTH AVENUE SUITE 315 NEW YORK, NY 10011	2011-11-13	875,000	PAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN EAST AFRICA AND SOUTH ASIA	0	NO	PRIOR REPORTS+ 7/6/12,9/17/12,9/24/12,5/13/13,6/13/13,7/18/13,7/22/13		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
AFRICA HEALTH AND DEVELOPMENT INTERNATIONAL	TWaweza House Parklands Road MPESI Lane Nairobi KE	2011-12-05	120,000	IN SUPPORT OF A DOCUMENTARY THAT HIGHLIGHTS THE DIVERSITY OF AFRICAN CULTURES, IDENTITY AND VALUES IN KENYA AND EXAMINES LINKS BETWEEN CULTURE AND ENVIRONMENTAL PRESERVATION	120,000	NO	3/28/2012, 5/13/2013, 5/14/2013		
AFRICA HEALTH AND DEVELOPMENT INTERNATIONAL	TWaweza House Parklands Road MPESI Lane Nairobi KE	2012-05-09	100,000	IN SUPPORT OF A CONFERENCE TO PROMOTE NATIONAL COHESION AND INTEGRATION WITH A SPECIAL FOCUS ON THE ROLE AND CONTRIBUTIONS OF "YOUTH AND THE SOCIO-ECONOMIC IMPACT OF PEACEFUL AND FAIR ELECTIONS" TO BE HELD IN NAIROBI, KENYA, FALL 2012	100,000	NO	5/29/2013		
AFRICAN AGRICULTURAL CAPITAL LIMITED	PLOT 4 CHWA II ROAD MBUYA HILL KAMPALA UG	2011-11-16	30,411	PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA	0	NO	PRIOR REPORTS+ 4/23/13,5/15/13,5/16/13,7/3/13,7/11/13,7/23/13,8/21/13		
AFRICAN AGRICULTURAL CAPITAL LIMITED	PLOT 4 CHWA II ROAD MBUYA HILL KAMPALA UG	2012-12-10	598,025	PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA	0	NO	PRIOR REPORTS+ 4/23/13,5/15/13,5/16/13,7/3/13,7/11/13,7/23/13,8/21/13		
AFRICAN CENTRE FOR GLOBAL HEALTH AND SOCIAL TRANSFORMATION LIMITED	PLOT 13B ACACIA AVENUE PO BOX 9974 KAMPALA UG	2010-10-14	400,000	TOWARD THE COSTS OF A SECRETARIAT TO COORDINATE THE AFRICAN NETWORK FOR HEALTH SYSTEMS GOVERNANCE, A REGIONAL EFFORT TO IMPROVE HEALTH AND HEALTH SYSTEMS PERFORMANCE BY SUPPORTING CAPACITY, EXPERTISE AND LEADERSHIP DEVELOPMENT WITHIN MINISTRIES OF HEALTH	400,000	NO	PRIOR REPORTS+ 7/27/2012, 8/20/2012, 5/29/2013, 9/3/2013, 9/16/2013		
AFRICAN CENTRE FOR GLOBAL HEALTH AND SOCIAL TRANSFORMATION LIMITED	PLOT 13B ACACIA AVENUE PO BOX 9974 KAMPALA UG	2011-10-24	150,000	TOWARD THE COSTS OF A SECRETARIAT TO COORDINATE THE AFRICAN NETWORK FOR HEALTH SYSTEMS GOVERNANCE, A REGIONAL EFFORT TO IMPROVE HEALTH AND HEALTH SYSTEMS PERFORMANCE BY SUPPORTING CAPACITY, EXPERTISE AND LEADERSHIP DEVELOPMENT WITHIN MINISTRIES OF HEALTH	150,000	NO	PRIOR REPORTS+ 7/27/2012, 8/20/2012, 5/29/2013, 9/3/2013, 9/16/2013		
AFRICAN EVALUATION ASSOCIATION	15 EMMAUSE ROAD LA ACCRA PO BOX 16208 KIA ACCRA GH	2011-12-21	28,916	TOWARD THE COSTS OF STRATEGIC PLANNING TO DEVELOP AN ORGANIZATIONAL, MANAGEMENT AND PROGRAM STRUCTURE FOR TRANSITIONING FROM A VOLUNTARY TO AN INDEPENDENT PROFESSIONAL PAN-AFRICAN ASSOCIATION WORKING TO ADDRESS THE ONGOING CAPACITY, PRACTICE AND POLICY NEEDS OF THE AFRICAN EVALUATION CONSTITUENCY	28,916	NO	4/19/2012, 2/27/2013, 3/26/2013		
AFRICAN VENTURE CAPITAL ASSOCIATION	116 PALL MALL LONDON SW1Y 5ED UK	2012-05-02	90,000	IN SUPPORT OF RESEARCHING THE POLICY BARRIERS AND ENABLING ENVIRONMENT FOR IMPACT INVESTING IN AFRICA TO CREATE POSITIVE BENEFIT FOR POOR AND VULNERABLE COMMUNITIES	22,915	NO	8/2/2013, 8/21/2013		
AGRICULTURE CLIMATE CHANGE EDUCATION COMMUNITY PROGRAMMES	MOMBASA ROAD PANESAR CENTRE 3RD FLOOR NAIROBI 00300 KE	2012-12-12	70,000	IN SUPPORT OF LAUNCHING A PARTICIPATORY RADIO SHOW THAT WILL ENCOURAGE YOUTH TO ENTER FARMING IN KIKUYU-SPEAKING DISTRICTS OF KENYA	46,100	NO	8/29/2013		
AJIMA FARMS AND GENERAL ENTERPRISES NIGERIA LIMITED	PLOT 336 CADASTRAL ZONE A1 KUJE ABUJA NI	2012-12-10	50,000	IN SUPPORT OF DEVELOPING A STRATEGIC PLAN FOR THE IMPLEMENTATION OF A YOUTH AGRICULTURAL ENTREPRENEURSHIP TRAINING CENTER IN NIGERIA	10,000	NO	2/26/2013, 5/1/2013, 6/5/2013		
ALLAVIDA (KENYA) LIMITED	3RD FLOOR RATTANSI EDUCATIONAL TRUST BUILDING KOINANGE STREET NAIROBI KE	2011-12-01	93,480	FOR USE BY ITS KENYA SOCIAL INVESTMENT EXCHANGE IN SUPPORT OF MARKET RESEARCH AND PROOF-OF-CONCEPT ANALYSIS TO TEST THE VIABILITY OF EXPANDING PRODUCT AND SERVICES DELIVERY TO POOR PEOPLE IN KENYA AND EAST AFRICA THROUGH THE LAUNCH OF A KENYA-BASED STOCK EXCHANGE FOR SOCIAL ENTERPRISES AND CHARITABLE ORGANIZATIONS	93,480	NO	7/23/2012, 3/26/2013		
APCO WORLDWIDE LIMITED	153/3 GOLDEN LAND BUILDING 3RD FLOOR SOI MAHARDLEKLUANG 1 RAJDAMRI BANGKOK 10330 TH	2012-04-18	500,000	IN SUPPORT OF A PROJECT TO PRODUCE COMMUNICATIONS, WEB AND KNOWLEDGE MANAGEMENT PRODUCTS FOR THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN), WITH A FOCUS ON DISSEMINATING ACCCRN KNOWLEDGE AND LESSONS, PROFILING ACCCRN AS A GENERATOR OF KNOWLEDGE AND INNOVATIVE PRACTICE IN URBAN CLIMATE RESILIENCE BUILDING, AND ENGAGING NEW STAKEHOLDERS	500,000	NO	7/8/2013, 7/18/2013		
ARSOM SILP INSTITUTE OF THE ARTS	399 SOI ANAMAIKHAMCHAROEN 25 MOO 5 RAMA 2 RD BANGKHOINTIEN BANGKOK 10150 TH	2010-12-03	85,000	IN SUPPORT OF DEVELOPING PRESERVATION AND RESTORATION ACTIVITIES AND GUIDELINES IN TWO HISTORIC THAI NEIGHBORHOODS - ONE IN BANGKOK AND ONE IN THE PROVINCE OF CHANTHABURI - BY MAKING USE OF A MULTI-STAKEHOLDER PARTICIPATORY PROCESS	39,487	NO	8/3/2011, 9/12/2012		
ASIA COMMUNITY VENTURES LIMITED	FLAT D 8/F DRAGON VIEW 39 MACDONNELL ROAD HK	2012-11-08	100,000	IN SUPPORT OF IN-DEPTH RESEARCH OF THE POLICY FRAMEWORKS NECESSARY TO BUILD AN ECOSYSTEM TO PROMOTE SOCIAL ENTERPRISES AND CATALYZE DEMAND FOR AND SUPPLY OF IMPACT INVESTMENT CAPITAL FOR ENTERPRISES AND INTERMEDIARIES SERVING POOR OR VULNERABLE POPULATIONS IN HONG KONG	13,332	NO	9/4/2013		
BCLF VENTURES LLC INC	56 WARREN STREET BOSTON, MA 02119	2002-05-08	750,000	PAYMENT IN FULL OF A \$750,000 PROGRAM-RELATED INVESTMENT COMMITMENT TO SUPPORT ITS INVESTMENTS IN BUSINESSES LOCATED IN MASSACHUSETTS AND THE NORTHEASTERN UNITED STATES THAT CAN GENERATE FINANCIAL AND SOCIAL RETURNS THROUGH CREATION AND RETENTION OF HIGH-QUALITY ENTRY- AND MID-LEVEL JOBS FOR LOW-INCOME AND OTHER DISADVANTAGED GROUPS	0	NO	PRIOR REPORTS+ 8/31/12,11/19/12,11/21/12,2/15/13,5/9/13,5/20/13,8/15/13		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
BERLIN CIVIL SOCIETY CENTER	AGRICOLA STR 26 BERLIN 10555 GM	2012-12-03	80,000	IN SUPPORT OF TWO CONVENINGS AND A PUBLICATION AS PART OF ITS PROJECT, "THE FUTURE OF CIVIL SOCIETY ORGANIZATIONS PROMOTING THE GLOBAL DEBATE," AN EFFORT TO IMPROVE COMMUNICATION AND COOPERATION AMONG CIVIL SOCIETY ORGANIZATIONS IN THE GLOBAL NORTH AND SOUTH	54,968	NO	2/28/2013, 3/6/2013		
BERTELSMANN FOUNDATION NORTH AMERICA INC	1101 NEW YORK AVENUE NW STE 901 WASHINGTON, DC 20005	2010-12-21	80,000	TOWARD THE COSTS OF "MEGATRENDS IN GLOBAL INTERACTION CREATIVE DISSEMINATION AND NEW MEDIA STRATEGY," A PROJECT TO DEVELOP AN ACCESSIBLE ON-LINE PLATFORM WITH GLOBAL TREND RESOURCES TO ASSIST RESEARCHERS AND POLICYMAKERS IDENTIFY FUTURE GLOBAL CHALLENGES AND ENRICH LONG-TERM PLANNING EFFORTS	80,000	NO	3/7/2011, 11/16/2011, 2/8/2012, 2/4/2013		
BERTELSMANN FOUNDATION NORTH AMERICA INC	1101 NEW YORK AVENUE NW STE 901 WASHINGTON, DC 20005	2011-12-15	170,000	TOWARD THE COSTS OF "MEGATRENDS IN GLOBAL INTERACTION CREATIVE DISSEMINATION AND NEW MEDIA STRATEGY," A PROJECT TO DEVELOP AN ACCESSIBLE ON-LINE PLATFORM WITH GLOBAL TREND RESOURCES TO ASSIST RESEARCHERS AND POLICYMAKERS IDENTIFY FUTURE GLOBAL CHALLENGES AND ENRICH LONG-TERM PLANNING EFFORTS	15,983	NO	2/8/2012, 2/4/2013		
BERTELSMANN FOUNDATION NORTH AMERICA INC	1101 NEW YORK AVENUE NW STE 901 WASHINGTON, DC 20005	2012-10-02	45,800	TOWARD THE COSTS OF "MEGATRENDS IN GLOBAL INTERACTION CREATIVE DISSEMINATION AND NEW MEDIA STRATEGY," A PROJECT TO DEVELOP AN ACCESSIBLE ON-LINE PLATFORM WITH GLOBAL TREND RESOURCES TO ASSIST RESEARCHERS AND POLICYMAKERS IDENTIFY FUTURE GLOBAL CHALLENGES AND ENRICH LONG-TERM PLANNING EFFORTS	0	NO	2/4/2013		
BUSINESSMINDS BV	WALDORPSTRAAT 13E THE HAGUE 2521CA NL	2012-10-08	119,500	IN SUPPORT OF IMPROVING THE PLANNING, SELECTION AND EXECUTION OF BUS RAPID TRANSIT PROJECTS IN THE U S	35,409	NO	6/17/2013		
CDOTS DEVELOPMENT LLC	2035 2ND STREET NW G106 WASHINGTON, DC 20001	2012-12-19	750,000	IN SUPPORT OF DEVELOPING A PROJECT IMPLEMENTATION STRATEGY FOR REINVEST, A PUBLIC-PRIVATE INITIATIVE TO MOBILIZE PRIVATE INVESTMENT TO HELP CITY GOVERNMENTS BUILD MORE RESILIENT AND INTEGRATED URBAN INFRASTRUCTURE SYSTEMS	735,494	NO	7/9/2013		
CAPITAL E LLC	1250 24TH STREET NW SUITE 300 WASHINGTON, DC 20015	2011-07-27	60,000	TOWARD THE COSTS OF ITS GREEN BUILDING PERFORMANCE DATABASE, WHICH WILL MAKE RIGOROUS DATA ON THE COSTS AND BENEFITS OF GREEN BUILDINGS FREE AND PUBLICLY ACCESSIBLE	60,000	NO	3/21/2012, 5/1/2013		
CAPITAL E LLC	1250 24TH STREET NW SUITE 300 WASHINGTON, DC 20015	2012-03-28	15,000	TOWARD THE COSTS OF ITS GREEN BUILDING PERFORMANCE DATABASE, WHICH WILL MAKE RIGOROUS DATA ON THE COSTS AND BENEFITS OF GREEN BUILDINGS FREE AND PUBLICLY ACCESSIBLE	15,000	NO	5/1/2013		
CAREGO INTERNATIONAL INC	PO BOX 743 DANVILLE, CA 94526	2012-10-22	5,000	IN SUPPORT OF FACILITATING A LEARNING VISIT BY OFFICIALS OF THE KENYAN MINISTRY OF MEDICAL SERVICES TO A SUCCESSFUL HEALTH INFORMATION EXCHANGE WORKING SITE IN BRISBANE, AUSTRALIA AS A POTENTIAL MODEL FOR IMPROVING THE QUALITY AND EFFICIENCY OF HEALTH CARE IN KENYA	5,000	NO	3/14/2012, 10/10/2012		
CENTER FOR CREATIVE INITIATIVES IN HEALTH AND POPULATION	NO 2 LANE 49/41 HUYNH THUC KHANG STREET HANOI VM	2012-03-07	10,160	IN SUPPORT OF A PROJECT, AS A MEMBER ORGANIZATION WITHIN THE CENTER FOR HEALTH MARKET INNOVATIONS, TO IDENTIFY, MAP, TRACK, AND ANALYZE INNOVATIVE PRIVATE SECTOR HEALTH ORGANIZATIONS AND PROGRAMS IN VIETNAM AND CAMBODIA, TO FOSTER LINKAGES AMONG KEY STAKEHOLDERS, AND TO CULTIVATE ADDITIONAL FUTURE SUPPORT FOR THIS SECTOR	10,160	NO	9/5/2012, 3/11/2013, 3/18/2013		
CENTRE FOR DEVELOPMENT AND ENTERPRISE	TRANSMEDIT PLACE 5 ETON ROAD PARKTOWN 2193 SF	2012-08-27	250,000	IN SUPPORT OF RESEARCHING URBANIZATION'S IMPACT ON YOUNG PEOPLE'S ACCESS TO ECONOMIC OPPORTUNITIES IN SOUTH AFRICA, BRAZIL AND INDIA	51,114	NO	4/30/2013		
CENTRE FOR HEALTH AND SOCIAL SERVICES	PO BOX PMB 52 MINISTRIES ACCRA GH	2012-06-25	37,242	IN SUPPORT OF THE FIRST "PAN-AFRICAN CONFERENCE ON UNIVERSAL HEALTH COVERAGE," TO BE HELD IN ACCRA, GHANA, NOVEMBER 2011, TO CREATE A MOVEMENT AMONG COMMUNITY-SUPPORTED ORGANIZATIONS, MINISTRIES OF HEALTH, PRACTITIONERS AND ACADEMICS FOR EQUITABLE HEALTH FINANCING IN AFRICA	37,242	NO	3/8/2012, 5/14/2012, 6/22/2012, 3/26/2013		
CENTRE FOR PROMOTION OF QUALITY OF LIFE	14/9 HOANG DU KHUONG STREET DISTRICT 10 HO CHI MINH CITY VM	2011-12-26	60,000	IN SUPPORT OF A PROJECT TO STRENGTHEN HEALTH SYSTEMS, ADDRESS CLIMATE CHANGE CHALLENGES AND INCREASE ACCESS TO HEALTH INSURANCE FOR POOR COMMUNITIES IN TIEN GIANG PROVINCE, VIETNAM	60,000	NO	2/28/2012, 3/28/2012, 10/9/2012, 5/17/2013, 6/3/2013		
CENTRE FOR PROMOTION OF QUALITY OF LIFE	14/9 HOANG DU KHUONG STREET DISTRICT 10 HO CHI MINH CITY VM	2012-10-22	45,040	IN SUPPORT OF A PROJECT TO STRENGTHEN HEALTH SYSTEMS, ADDRESS CLIMATE CHANGE CHALLENGES AND INCREASE ACCESS TO HEALTH INSURANCE FOR POOR COMMUNITIES IN TIEN GIANG PROVINCE, VIETNAM	45,040	NO	5/17/2013, 6/3/2013		
CHIMPANZEE PRODUCTIONS INC	68 EAST 131ST STREET 7TH FLOOR NEW YORK, NY 10037	2012-10-02	37,500	AS A RECIPIENT OF A NEW YORK CITY CULTURAL INNOVATION FUND AWARD, FOR USE BY ITS DIGITAL DIASPORA FAMILY REUNION, TOWARD THE COSTS OF AN INTERACTIVE VISUAL HISTORY OF NEW YORK CITY DEVELOPED FROM NEW YORKERS' PERSONAL FAMILY ARCHIVES AND STORIES	37,500	NO	8/31/2012, 2/19/2013, 9/24/2013		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
CHINA MEDICAL BOARD INC	2 ARROW STREET 2ND FLOOR CAMBRIDGE, MA 02138	2011-11-29	128,500	IN SUPPORT OF LAUNCHING HEALTHSPACE ASIA, A WEB-BASED PLATFORM THAT AIMS TO ENCOURAGE NETWORKING AMONG HEALTH SYSTEMS RESEARCHERS IN ASIA, INCLUDING REGIONAL DISSEMINATION OF THE 2011 LANCET SOUTHEAST ASIA SERIES	128,500	NO	5/7/2012, 11/14/2012, 9/13/2013		
CHINA NATIONAL HEALTH DEVELOPMENT RESEARCH CENTER	POBOX 218 PEKING UNIVERSITY HEALTH SCIENCE CENTER BEIJING 100083 CH	2011-12-19	250,000	IN SUPPORT OF FORMULATING SPECIFIC, EVIDENCE-BASED OPTIONS FOR CREATING A NATIONAL PROVIDER PAYMENT SYSTEM IN AN EFFORT TO ACHIEVE UNIVERSAL HEALTH COVERAGE IN CHINA	250,000	NO	12/19/2012, 6/7/2013, 6/28/2013, 7/11/2013		
CHINA NATIONAL HEALTH DEVELOPMENT RESEARCH CENTER	POBOX 218 PEKING UNIVERSITY HEALTH SCIENCE CENTER BEIJING 100083 CH	2012-12-19	100,000	IN SUPPORT OF FORMULATING SPECIFIC, EVIDENCE-BASED OPTIONS FOR CREATING A NATIONAL PROVIDER PAYMENT SYSTEM IN AN EFFORT TO ACHIEVE UNIVERSAL HEALTH COVERAGE IN CHINA	100,000	NO	7/11/2013		
CNT ENERGY	2125 W NORTH AVENUE CHICAGO, IL 60647	2011-12-12	200,000	IN SUPPORT OF ITS "BUILDING THE MULTI-FAMILY RETROFIT MARKET REPLICATING ENERGY SAVERS IN NEW MARKETS" INITIATIVE, WHICH AIMS TO REPLICATE ITS MULTI-FAMILY ENERGY RETROFIT MODEL IN U S CITIES	200,000	NO	3/6/2012, 5/25/2012, 2/28/2013		
CNT ENERGY	1741 N WESTERN AVENUE CHICAGO, IL 60647	2012-06-06	150,000	IN SUPPORT OF ITS "BUILDING THE MULTI-FAMILY RETROFIT MARKET REPLICATING ENERGY SAVERS IN NEW MARKETS" INITIATIVE, WHICH AIMS TO REPLICATE ITS MULTI-FAMILY ENERGY RETROFIT MODEL IN U S CITIES	28,422	NO	2/28/2013		
CNT ENERGY	1741 N WESTERN AVENUE CHICAGO, IL 60647	2012-12-12	200,000	IN SUPPORT OF DEVELOPING A SHARED SERVICES MODEL THAT SUPPORTS THE EXPANSION OF ITS ENERGY SAVERS PROGRAM IN NEW MARKETS	0	NO	NONE TO DATE		
COMMUNITIES FOR TRANSIT INC	5301 WISCONSIN AVENUE NW SUITE 740 WASHINGTON, DC 20015	2012-12-19	500,000	TOWARD THE COSTS OF EDUCATION, OUTREACH AND RESEARCH ACTIVITIES TO HELP BUILD SUPPORT AMONG THE GENERAL PUBLIC AND CIVIC, BUSINESS AND GOVERNMENT LEADERS FOR A HIGH QUALITY BUS RAPID TRANSIT SYSTEM IN MONTGOMERY COUNTY, MARYLAND	20,944	NO	12/18/2012, 6/11/2013		
COMMUNITY AND INDIVIDUAL DEVELOPMENT ASSOCIATION	19 3RD STREET HOUGHTON JOHANNESBURG SF	2011-11-16	350,000	TOWARD THE COSTS OF ESTABLISHING AN ACADEMY TO TRAIN UNEMPLOYED AND DISADVANTAGED YOUTH FOR VARIOUS ROLES WITHIN THE OUTSOURCING INDUSTRY, AS PART OF A BROADER DEMONSTRATION TO TEST WHETHER THE NASCENT FIELD OF "IMPACT SOURCING" CAN YIELD BETTER EMPLOYMENT OPPORTUNITIES FOR POOR AND VULNERABLE POPULATIONS	350,000	NO	5/23/2012, 6/6/2012, 4/2/2013, 5/24/2013		
COMMUNITY AND INDIVIDUAL DEVELOPMENT ASSOCIATION	19 3RD STREET HOUGHTON JOHANNESBURG SF	2012-06-11	350,000	TOWARD THE COSTS OF ESTABLISHING AN ACADEMY TO TRAIN UNEMPLOYED AND DISADVANTAGED YOUTH FOR VARIOUS ROLES WITHIN THE OUTSOURCING INDUSTRY, AS PART OF A BROADER DEMONSTRATION TO TEST WHETHER THE NASCENT FIELD OF "IMPACT SOURCING" CAN YIELD BETTER EMPLOYMENT OPPORTUNITIES FOR POOR AND VULNERABLE POPULATIONS	350,000	NO	4/2/2013, 5/24/2013		
CONFEDERATION OF INDIAN INDUSTRY	23 INSTITUTIONAL AREA LODI ROAD NEW DELHI IN	2011-12-15	253,175	FOR USE BY ITS SOHRABJI GODREJ GREEN BUSINESS CENTER IN SUPPORT OF BRINGING TOGETHER STAKEHOLDERS FROM THE MOBILE PHONE INDUSTRY, FINANCIAL INSTITUTIONS, COMMUNITY ORGANIZATIONS, GOVERNMENT AND THE TECHNOLOGY MANUFACTURING AND SUPPLY SECTORS TO CREATE AN ENABLING ENVIRONMENT NECESSARY FOR THE SUCCESS AND SCALE UP OF A MULTI-SITE PROJECT TO GENERATE OFF-GRID ELECTRICITY FOR DISTRIBUTION TO POOR RURAL COMMUNITIES IN INDIA	237,619	NO	6/25/2012, 8/21/2012, 6/19/2013, 9/6/2013		
CONNECTING ORGANIZATIONS FOR REGIONAL DISEASE SURVEILLANCE	C/O FONDATION MERIEUX 19 RUE BOURGELAT LYON FR	2012-12-03	500,000	TOWARD THE COSTS OF SEED FUNDING TO STRENGTHEN ITS CAPACITY TO PROMOTE ONE HEALTH AND INNOVATIONS IN DISEASE SURVEILLANCE THROUGH REGIONAL NETWORKS	0	NO	6/21/2013		
CONTEXT PARTNERS INC	240 N BROADWAY SUITE 116 PORTLAND, OR 972271801	2012-03-12	325,000	IN SUPPORT OF THE DEVELOPMENT, DESIGN, AND IMPLEMENTATION OF THREE THEMATIC CHALLENGE COMPETITIONS UTILIZING A CROWD-SOURCING APPROACH TO IDENTIFY INNOVATIVE IDEAS FOR SOLUTIONS THAT IMPROVE THE LIVES OF AT-RISK POPULATIONS, AND AN ENGAGEMENT STRATEGY THAT ENCOURAGES THE COMPETITION PARTICIPANTS TO NETWORK COLLABORATIVELY ON IDEAS THAT ADDRESS SOCIAL PROBLEMS AROUND THE WORLD	325,000	NO	6/8/2012, 1/23/2012		
CONTEXT PARTNERS INC	240 N BROADWAY SUITE 116 PORTLAND, OR 972271801	2012-04-11	125,000	IN SUPPORT OF EFFORTS TO BUILD A DEEPER UNDERSTANDING OF SOCIAL INNOVATION BY PRODUCING CASE STUDIES THAT HIGHLIGHT STRONG MODELS OF INNOVATION IN ACTION AND MANAGING A PROCESS FOR SURFACING ORGANIZATIONS THAT EXEMPLIFY THE IMPACT OF INNOVATION IN SOLVING SOCIAL PROBLEMS	125,000	NO	7/25/2012, 12/4/2012, 12/11/2012		
CONTEXT PARTNERS INC	240 N BROADWAY SUITE 116 PORTLAND, OR 972271801	2012-06-19	105,000	IN SUPPORT OF THE DEVELOPMENT, DESIGN, AND IMPLEMENTATION OF THREE THEMATIC CHALLENGE COMPETITIONS UTILIZING A CROWD-SOURCING APPROACH TO IDENTIFY INNOVATIVE IDEAS FOR SOLUTIONS THAT IMPROVE THE LIVES OF AT-RISK POPULATIONS, AND AN ENGAGEMENT STRATEGY THAT ENCOURAGES THE COMPETITION PARTICIPANTS TO NETWORK COLLABORATIVELY ON IDEAS THAT ADDRESS SOCIAL PROBLEMS AROUND THE WORLD	105,000	NO	1/23/2012		
CONTEXT PARTNERS INC	240 N BROADWAY SUITE 116 PORTLAND, OR 972271801	2012-07-30	75,000	IN SUPPORT OF EFFORTS TO BUILD A DEEPER UNDERSTANDING OF SOCIAL INNOVATION BY PRODUCING CASE STUDIES THAT HIGHLIGHT STRONG MODELS OF INNOVATION IN ACTION AND MANAGING A PROCESS FOR SURFACING ORGANIZATIONS THAT EXEMPLIFY THE IMPACT OF INNOVATION IN SOLVING SOCIAL PROBLEMS	75,000	NO	12/4/2012, 12/11/2012		

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CONTEXT PARTNERS INC	240 N BROADWAY SUITE 116 PORTLAND, OR 972271801	2012-12-12	500,000	IN SUPPORT OF THE DEVELOPMENT, DESIGN, AND IMPLEMENTATION OF TWO THEMATIC CHALLENGE COMPETITIONS UTILIZING A CROWD-SOURCING APPROACH TO IDENTIFY INNOVATIVE IDEAS FOR SOLUTIONS THAT IMPROVE THE LIVES OF AT-RISK POPULATIONS, AND AN ENGAGEMENT STRATEGY THAT ENCOURAGES THE COMPETITION PARTICIPANTS TO NETWORK COLLABORATIVELY ON IDEAS THAT ADDRESS SOCIAL PROBLEMS AROUND THE WORLD	462,559	NO	5/21/2013, 6/19/2013, 7/19/2013		
CONTEXT PARTNERS INC	240 N BROADWAY SUITE 116 PORTLAND, OR 972271801	2012-12-16	39,200	IN SUPPORT OF EFFORTS TO BUILD A DEEPER UNDERSTANDING OF SOCIAL INNOVATION BY PRODUCING CASE STUDIES THAT HIGHLIGHT STRONG MODELS OF INNOVATION IN ACTION AND MANAGING A PROCESS FOR SURFACING ORGANIZATIONS THAT EXEMPLIFY THE IMPACT OF INNOVATION IN SOLVING SOCIAL PROBLEMS	39,200	NO	12/4/2012, 12/11/2012		
CONTEXT PARTNERS INC	240 N BROADWAY SUITE 116 PORTLAND, OR 972271801	2012-12-19	299,270	IN SUPPORT OF ACTIVITIES TO TEST ONLINE NETWORK-BUILDING CONCEPTS THAT MIGHT BE OF FUTURE USE IN GENERATING INNOVATIVE IDEAS TO SOLVE COMPLEX PROBLEMS FACING POOR OR VULNERABLE PEOPLE	32,284	NO	5/23/2013		
CROWN AGENTS FOR OVERSEA GOVERNMENTS AND ADMINISTRATIONS LIMITED	ST NICHOLAS HOUSE ST NICHOLAS ROAD SUTTON, SURREY SM1 1EL UK	2010-12-22	300,000	FOR USE BY ITS CROWN AGENTS LEGAL DIVISION, TOWARD THE COSTS OF LAUNCHING A SECRETARIAT TO COORDINATE THE HARNESSING NON-STATE ACTORS FOR BETTER HEALTH FOR THE POOR (HANSHEP) PROJECT, A PARTNERSHIP TO ENABLE POOLED DONOR FUNDS AND EXPERTISE TO SUPPORT INNOVATIVE PRIVATE SECTOR HEALTH PROGRAMS AND APPROACHES GLOBALLY	300,000	NO	3/2/2011, 5/5/2011, 2/13/2012, 4/25/2012, 2/25/2013		
CROWN AGENTS FOR OVERSEA GOVERNMENTS AND ADMINISTRATIONS LIMITED	ST NICHOLAS HOUSE ST NICHOLAS ROAD SUTTON, SURREY SM1 1EL UK	2011-05-09	100,000	FOR USE BY ITS CROWN AGENTS LEGAL DIVISION, TOWARD THE COSTS OF LAUNCHING A SECRETARIAT TO COORDINATE THE HARNESSING NON-STATE ACTORS FOR BETTER HEALTH FOR THE POOR (HANSHEP) PROJECT, A PARTNERSHIP TO ENABLE POOLED DONOR FUNDS AND EXPERTISE TO SUPPORT INNOVATIVE PRIVATE SECTOR HEALTH PROGRAMS AND APPROACHES GLOBALLY	100,000	NO	2/13/2012, 4/25/2012, 2/25/2013		
CROWN AGENTS FOR OVERSEA GOVERNMENTS AND ADMINISTRATIONS LIMITED	ST NICHOLAS HOUSE ST NICHOLAS ROAD SUTTON, SURREY SM1 1EL UK	2012-07-17	100,000	FOR USE BY ITS CROWN AGENTS LEGAL DIVISION, TOWARD THE COSTS OF LAUNCHING A SECRETARIAT TO COORDINATE THE HARNESSING NON-STATE ACTORS FOR BETTER HEALTH FOR THE POOR (HANSHEP) PROJECT, A PARTNERSHIP TO ENABLE POOLED DONOR FUNDS AND EXPERTISE TO SUPPORT INNOVATIVE PRIVATE SECTOR HEALTH PROGRAMS AND APPROACHES GLOBALLY	100,000	NO	2/25/2013		
DALBERG CONSULTING CC	25 OWL STREET 13TH FLOOR AUCKLAND PARK JOHANNESBURG 2092 SF	2012-04-23	90,000	IN SUPPORT OF RESEARCHING THE POLICY BARRIERS AND ENABLING ENVIRONMENT FOR IMPACT INVESTING IN SENEGAL TO CREATE POSITIVE BENEFIT FOR POOR AND VULNERABLE COMMUNITIES	90,000	NO	11/9/2012, 3/26/2013		
DALBERG CONSULTING CC	25 OWL STREET 13TH FLOOR AUCKLAND PARK JOHANNESBURG 2092 SF	2012-12-19	9,900	IN SUPPORT OF RESEARCHING THE POLICY BARRIERS AND ENABLING ENVIRONMENT FOR IMPACT INVESTING IN SENEGAL TO CREATE POSITIVE BENEFIT FOR POOR AND VULNERABLE COMMUNITIES	9,900	NO	3/26/2013		
DALBERG GLOBAL ADVISORS (K) LTD	39 APPLEWOOD CROSS ROAD LAVINGTON PO BOX 100657-00101 NAIROBI KE	2012-11-07	200,000	IN SUPPORT OF CONSULTING AND ADVISORY SERVICES TO THE GOVERNMENT OF SOUTH SUDAN'S MINISTRY OF AGRICULTURE AND FORESTRY IN AN EFFORT TO CATALYZE AGRICULTURAL INVESTMENT AND DEVELOPMENT IN SOUTH SUDAN	124,500	NO	4/17/2013		
DECENTRALISED ENERGY SYSTEMS INDIA PRIVATE LIMITED	4 2ND FLOOR ABOVE AMANATH CO-OPERAT VE BANK 4TH MAIN K H M BLOCK BANGALORE 560032 IN	2011-11-28	383,229	IN SUPPORT OF A PROJECT TO DESIGN AND TEST SCALABILITY AND REPLICABILITY OF OFF-GRID ENERGY SERVICE COMPANIES FOR DELIVERING RENEWABLE ENERGY SOLUTIONS THAT PROVIDE CLEAN ENERGY AND SPUR LOCAL ECONOMIC GROWTH FOR RURAL COMMUNITIES IN INDIA	313,296	NO	2/1/2012, 5/30/2012, 11/14/2012, 5/30/2013		
DECENTRALISED ENERGY SYSTEMS INDIA PRIVATE LIMITED	GROUND FLOOR NO 44 3RD MAIN 6TH CROSS KHM BLOCK GANGANAGAR BANGALORE 560 032 IN	2012-12-18	70,000	IN SUPPORT OF A PROJECT TO DEVELOP AN OPEN SOURCE POWER SYSTEM MANAGEMENT MODULE (PSMM), A TOOL THAT WILL HELP MANAGE THE PRODUCTION AND PROVISION OF POWER TO RURAL COMMUNITIES AND WILL BE MADE AVAILABLE TO ALL ENERGY SERVICE COMPANIES INTERESTED IN THE SMART POWER FOR ENVIRONMENTALLY-SOUND ECONOMIC DEVELOPMENT (SPEED) MODEL	65,000	NO	5/30/2013		
DELOITTE CONSULTING LLP	350 SOUTH GRAND AVE SUITE 200 LOS ANGELES, CA 900713462	2012-09-26	95,000	FOR USE BY ITS MONITOR INSTITUTE IN SUPPORT OF PREPARING AND DISSEMINATING "THE ART AND SCIENCE OF CONVENING" WITH THE GOAL OF STRENGTHENING CAPACITY FOR STRATEGIC AND EFFECTIVE CONVENINGS	92,490	NO	1/8/2013, 1/11/2013, 1/30/2013, 8/8/2013		
DELOITTE CONSULTING LLP	350 SOUTH GRAND AVE SUITE 200 LOS ANGELES, CA 900713462	2012-10-17	100,000	IN SUPPORT OF MONITORING AND EVALUATING UNINTENDED CONSEQUENCES OF LOW-INCOME HOUSING PROJECTS FOR URBAN POOR IN INDIA, AND DEVELOPING APPROACHES TO ADDRESS THE NEGATIVE CONSEQUENCES OF THEM AND PROPAGATE STRATEGIES FOR IMPROVING THEM	100,000	NO	8/22/2011, 3/12/2012, 10/15/2012, 1/11/2013, 1/14/2013, 1/30/2013		
DIABETIC ASSOCIATION OF BANGLADESH	122 KAZI NAZRUL ISLAM AVENUE SHAHBAG DHAKA 1000 BG	2010-11-23	225,000	FOR USE BY ITS BANGLADESH INSTITUTE OF SCIENCES TOWARD THE COSTS OF ESTABLISHING THE DEPARTMENT OF HEALTH INFORMATICS TO LAUNCH A MASTERS OF SCIENCE CURRICULUM ON HEALTH INFORMATICS	166,823	NO	3/13/2011, 11/30/2011, 12/17/2011, 12/20/2011, 11/9/2012, 12/13/2012		
DIABETIC ASSOCIATION OF BANGLADESH	122 KAZI NAZRUL ISLAM AVENUE SHAHBAG DHAKA 1000 BG	2011-12-22	135,000	FOR USE BY ITS BANGLADESH INSTITUTE OF SCIENCES TOWARD THE COSTS OF ESTABLISHING THE DEPARTMENT OF HEALTH INFORMATICS TO LAUNCH A MASTERS OF SCIENCE CURRICULUM ON HEALTH INFORMATICS	0	NO	11/9/2012, 12/13/2012		

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DIABETIC ASSOCIATION OF BANGLADESH	122 KAZI NAZRUL ISLAM AVENUE SHAHBAG DHAKA 1000 BG	2012-12-16	90,000	FOR USE BY ITS BANGLADESH INSTITUTE OF SCIENCES TOWARD THE COSTS OF ESTABLISHING THE DEPARTMENT OF HEALTH INFORMATICS TO LAUNCH A MASTERS OF SCIENCE CURRICULUM ON HEALTH INFORMATICS	0	NO	NONE TO DATE		
EDWARD T JACKSON AND ASSOCIATES LTD	858 BANK STREET SUITE 104 OTTAWA, ON K1S 3W3 CA	2011-10-26	150,000	IN SUPPORT OF MONITORING AND EVALUATION OF THE ROCKEFELLER FOUNDATION'S INITIATIVE IN DEVELOPMENT, POVERTY REDUCTION THROUGH INFORMATION AND DIGITAL EMPLOYMENT (PRIDE) AND TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEEES	150,000	NO	1/30/2012, 7/17/12, 12/5/2012, 7/3/2013		
EDWARD T JACKSON AND ASSOCIATES LTD	858 BANK STREET SUITE 104 OTTAWA, ON K1S 3W3 CA	2012-01-30	150,000	IN SUPPORT OF MONITORING AND EVALUATION OF THE ROCKEFELLER FOUNDATION'S INITIATIVE IN DEVELOPMENT, POVERTY REDUCTION THROUGH INFORMATION AND DIGITAL EMPLOYMENT (PRIDE) AND TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEEES	150,000	NO	7/17/12, 12/5/2012, 7/3/2013, 9/27/2013		
EDWARD T JACKSON AND ASSOCIATES LTD	858 BANK STREET SUITE 104 OTTAWA, ON K1S 3W3 CA	2012-06-04	175,000	IN SUPPORT OF A STRATEGIC ASSESSMENT OF THE FIELD OF IMPACT INVESTING AND AN EVALUATION OF THE WORK OF THE ROCKEFELLER FOUNDATION'S INITIATIVE, HARNESSING THE POWER OF IMPACT INVESTING	175,000	NO	7/5/2012,12/5/2012, 7/1/2013		
EDWARD T JACKSON AND ASSOCIATES LTD	858 BANK STREET SUITE 104 OTTAWA, ON K1S 3W3 CA	2012-12-10	75,000	IN SUPPORT OF A STRATEGIC ASSESSMENT OF THE FIELD OF IMPACT INVESTING AND AN EVALUATION OF THE WORK OF THE ROCKEFELLER FOUNDATION'S INITIATIVE, HARNESSING THE POWER OF IMPACT INVESTING	75,000	NO	7/1/2013		
EDWARD T JACKSON AND ASSOCIATES LTD	858 BANK STREET SUITE 104 OTTAWA, ON K1S 3W3 CA	2012-12-12	100,000	IN SUPPORT OF DEVELOPING AND IMPLEMENTING THE FIRST COURSE IN AFRICA ON EVALUATING IMPACT INVESTING, IN COLLABORATION WITH THE WORLD BANK'S CENTER FOR LEARNING ON EVALUATION AND RESULTS AT THE UNIVERSITY OF WITWATERSRAND, TO HELP ENSURE THAT IMPACT INVESTMENTS ARE EVALUATED AND THAT IMPACT INVESTORS ARE HELD ACCOUNTABLE FOR DELIVERING SOCIAL BENEFITS TO THE POOR OR VULNERABLE	3,649	NO	7/3/2013		
EDWARD T JACKSON AND ASSOCIATES LTD	858 BANK STREET SUITE 104 OTTAWA, ON K1S 3W3 CA	2012-12-12	125,000	IN SUPPORT OF MONITORING AND EVALUATION OF THE ROCKEFELLER FOUNDATION'S INITIATIVE IN DEVELOPMENT, POVERTY REDUCTION THROUGH INFORMATION AND DIGITAL EMPLOYMENT (PRIDE) AND TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEEES	64,287	NO	7/3/2013, 9/27/2013		
ENABLIS ENTREPRENEURIAL NETWORK EAST AFRICA LIMITED	PO BOX 26136 NAIROBI 00100 KE	2011-10-24	100,000	IN SUPPORT OF IDENTIFYING, TRAINING AND PROVIDING DEVELOPMENT SUPPORT TO BUSINESS PROCESS OUTSOURCING ENTREPRENEURS IN NAIROBI AND KISUMU, AS PART OF A BROADER DEMONSTRATION TO TEST WHETHER A NEW FIELD OF "IMPACT SOURCING" CAN YIELD BETTER EMPLOYMENT OPPORTUNITIES FOR VERY POOR POPULATIONS	100,000	NO	7/3/2012, 5/29/2013		
ENABLIS ENTREPRENEURIAL NETWORK EAST AFRICA LIMITED	PO BOX 26136 NAIROBI 00100 KE	2012-07-30	80,700	IN SUPPORT OF IDENTIFYING, TRAINING AND PROVIDING DEVELOPMENT SUPPORT TO BUSINESS PROCESS OUTSOURCING ENTREPRENEURS IN NAIROBI AND KISUMU, AS PART OF A BROADER DEMONSTRATION TO TEST WHETHER A NEW FIELD OF "IMPACT SOURCING" CAN YIELD BETTER EMPLOYMENT OPPORTUNITIES FOR VERY POOR POPULATIONS	80,700	NO	5/29/2013		
ENERGIZE THE CHAIN	111 CLINICAL RESEARCH BUILDING 415 CURIE BOULEVARD PHILADELPHIA, PA 19104	2012-08-27	14,040	IN SUPPORT OF A FEASIBILITY STUDY ON USING ENERGY INFRASTRUCTURE AVAILABLE AT CELL TOWERS TO FACILITATE BETTER QUALITY VACCINE REFRIGERATION AND STORAGE IN RURAL INDIA	6,386	NO	11/19/2012, 5/21/2013		
FHI DEVELOPMENT 360 LLC	2224 E NORTH CAROLINA HIGHWAY 54 DURHAM, NC 27713	2012-10-22	17,375	IN SUPPORT OF DESIGNING A MODEL FOR AN OUTSOURCED MONITORING AND EVALUATION TOOL THAT WILL PROVIDE KENYAN NGOS WITH THE CAPACITY TO MORE EFFICIENTLY MONITOR AND ASSESS THE IMPACT OF THEIR PROGRAMS	17,375	NO	10/12/2012		
FUND FOR CITIES OF SERVICE INC	CITY HALL SECOND FLOOR NEW YORK, NY 10007	2009-12-24	2,000,000	IN SUPPORT OF CITIES OF SERVICE LEADERSHIP GRANTS TO ACCELERATE AND STRENGTHEN THE SERVICE EXPANSION INITIATIVES OF TEN CITIES PARTICIPATING IN THE CITIES OF SERVICE COALITION, FORMED TO INCREASE CIVIC ENGAGEMENT AND THE AMOUNT AND IMPACT OF LOCAL SERVICE EFFORTS	2,000,000	NO	8/4/2011, 3/13/2012		
FUNDACAO BRASILEIRA PARA O DESENVOLVIMENTO SUSTENTAVEL	AV RIO BRANCA 81 - 14 ANDAR RIO DE JANEIRO 20040-004 BR	2012-04-16	75,000	IN SUPPORT OF THE JOINT INITIATIVE ON URBAN SUSTAINABILITY (JIUS) TO DEVELOP MODELS, RELATIONSHIPS AND AN OVERARCHING FRAMEWORK THAT WILL CATALYZE SUSTAINABLE INVESTMENT IN BRAZIL, THE UNITED STATES AND OTHER COUNTRIES	75,000	NO	4/9/2012, 2/21/2013		
FUNDACAO INTERUNIVERSITARIA DE ESTUDOS E PESQUISAS SOBRE O TRABALHO	RUA ECA DE QUEIROZ 24 SALA 4 VILA MARIANA SAO PAULO CEP 04011-030 BR	2011-08-22	70,000	IN SUPPORT OF IN-DEPTH RESEARCH TO EXPLORE POLICY AND INCENTIVES FOR DEVELOPING AND CONNECTING IMPACT INVESTMENT TO THE SOLIDARITY ECONOMY THUS PROMOTING EQUITABLE AND SUSTAINABLE DEVELOPMENT IN BRAZIL	70,000	NO	3/15/2012, 5/8/2012, 1/30/2013, 8/2/2013		
FUNDACAO INTERUNIVERSITARIA DE ESTUDOS E PESQUISAS SOBRE O TRABALHO	RUA ECA DE QUEIROZ 24 SALA 4 VILA MARIANA SAO PAULO CEP 04011-030 BR	2012-06-13	70,000	IN SUPPORT OF IN-DEPTH RESEARCH TO EXPLORE POLICY AND INCENTIVES FOR DEVELOPING AND CONNECTING IMPACT INVESTMENT TO THE SOLIDARITY ECONOMY THUS PROMOTING EQUITABLE AND SUSTAINABLE DEVELOPMENT IN BRAZIL	51,303	NO	1/30/2013, 8/2/2013		



Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
FUNDACION AVINA	CALLE EVELIO LARA CASA 131B CIUDAD DEL SABER CLAYTON PANAMA CITY PM	2012-07-23	75,000	TOWARD THE COST OF THE "FORUM OF SOCIAL ENTREPRENEURSHIP IN THE NEW ECONOMY," TO BE HELD IN RIO DE JANEIRO, BRAZIL, JUNE 2012, A RIO+20 UNITED NATIONS CONFERENCE ON SUSTAINABLE DEVELOPMENT SIDE-EVENT TO HIGHLIGHT INNOVATIVE SOCIAL INITIATIVES AND INFORM THE SUSTAINABILITY AGENDA FOR A NEW 21ST CENTURY ECONOMIC MODEL	75,000	NO	12/27/2012		
FUNDACION AVINA	CALLE EVELIO LARA CASA 131B CIUDAD DEL SABER CLAYTON PANAMA CITY PM	2012-11-08	26,000	TOWARD THE COSTS OF ORGANIZING AND CONVENING A LATIN AMERICAN IMPACT INVESTING FORUM IN BRAZIL, OCTOBER 2012	26,000	NO	3/8/2013		
GLOBAL CAMPAIGN FOR CLIMATE ACTION	50 ST CATHERINES ST WEST MONTREAL, QC H2X 3VA CA	2012-05-09	25,000	TOWARD THE COSTS OF "WIN A DATE WITH HISTORY," A GLOBAL CONTEST FOR YOUTH TO SUBMIT SHORT VIDEOS ON SUSTAINABLE DEVELOPMENT AND THE FUTURE FOR PRESENTATION TO GLOBAL LEADERS AT THE UNITED NATIONS CONFERENCE ON SUSTAINABLE DEVELOPMENT, RIO DE JANEIRO, BRAZIL JUNE 2012	25,000	NO	7/30/2012		
GLOBAL SOURCING COUNCIL INC	C/O DANE DICKLER MARCUM LLP 750 THIRD AVENUE 11TH FLOOR NEW YORK, NY 10017	2012-05-16	135,000	IN SUPPORT OF COORDINATING AND PROMOTING THE 2012 3S AWARDS AND CEREMONY, A GLOBAL AWARDS CEREMONY HONORING ORGANIZATIONS FOR BEST PRACTICES IN IMPACT SOURCING, THE PRACTICE OF EMPLOYING INDIVIDUALS THAT OTHERWISE WOULD NOT HAVE GAINFUL EMPLOYMENT	116,329	NO	2/13/2013, 2/19/2013		
GLOBAL STRATEGY GROUP LLC	895 BROADWAY 5TH FLOOR NEW YORK, NY 10003	2012-12-19	585,250	IN SUPPORT OF CONDUCTING A COMMUNICATIONS CAMPAIGN ON BUS RAPID TRANSIT IN FOUR U S CITIES	505,321	NO	3/21/2013, 4/22/2013, 8/2/2013		
GODEKE CONSULTING	351 WEST 4TH STREET NEW YORK, NY 10014	2011-11-09	75,000	IN SUPPORT OF RESEARCHING THE LANDSCAPE OF POTENTIAL IMPACT INVESTORS TO BUILD A MORE ROBUST MARKET FOR SOCIAL IMPACT BONDS IN ORDER TO SCALE SOLUTIONS TO SOCIAL PROBLEMS FACING POOR AND VULNERABLE COMMUNITIES IN THE UNITED STATES	75,000	NO	2/27/2012, 9/24/2012, 2/15/2013		
GODEKE CONSULTING	351 WEST 4TH STREET NEW YORK, NY 10014	2012-03-07	75,000	IN SUPPORT OF RESEARCHING THE LANDSCAPE OF POTENTIAL IMPACT INVESTORS TO BUILD A MORE ROBUST MARKET FOR SOCIAL IMPACT BONDS IN ORDER TO SCALE SOLUTIONS TO SOCIAL PROBLEMS FACING POOR AND VULNERABLE COMMUNITIES IN THE UNITED STATES	75,000	NO	9/24/2012, 2/15/2013		
GODEKE CONSULTING	351 WEST 4TH STREET NEW YORK, NY 10014	2012-09-26	45,000	IN SUPPORT OF RESEARCHING THE LANDSCAPE OF POTENTIAL IMPACT INVESTORS TO BUILD A MORE ROBUST MARKET FOR SOCIAL IMPACT BONDS IN ORDER TO SCALE SOLUTIONS TO SOCIAL PROBLEMS FACING POOR AND VULNERABLE COMMUNITIES IN THE UNITED STATES	45,000	NO	2/15/2013		
GOLDMARK PRODUCTIONS INC	100 BEEKMAN STREET 14A NEW YORK, NY 10038	2010-11-30	70,354	IN SUPPORT OF RADIO AND ONLINE SEGMENTS COVERING IMPORTANT TRENDS IN IMPACT INVESTING TO BE AIRED AS PART OF AMERICAN PUBLIC MEDIA'S "MARKETPLACE" PROGRAMMING, IN ORDER TO EDUCATE THE PUBLIC ABOUT THE OPPORTUNITIES FOR SOCIAL BENEFIT AND FINANCIAL RETURN FROM IMPACT INVESTING	70,354	NO	3/1/2011, 4/9/2012, 3/4/2013		
GREATERCAPITAL ASSOCIATION INC	1ST FLOOR BLOCK A RICHMOND CENTRE CORNER MAIN AND GABRIEL ROAD PLUMST CAPE TOWN SF	2011-08-01	78,500	IN SUPPORT OF IN-DEPTH RESEARCH TO EXAMINE HOW CHANGES IN THE REGULATIONS GOVERNING PENSION FUND INVESTMENT PRACTICES IN SOUTH AFRICA COULD FACILITATE A CHANGE IN THE INVESTMENT STRATEGIES OF ASSET MANAGERS TO CATALYZE SUPPLY OF IMPACT INVESTMENT CAPITAL FOR ENTERPRISES SERVING POOR AND VULNERABLE POPULATIONS	72,715	NO	3/16/2012, 7/23/1012, 9/6/2013		
GREATERCAPITAL ASSOCIATION INC	1ST FLOOR BLOCK A RICHMOND CENTRE CORNER MAIN AND GABRIEL ROAD CAPE TOWN SF	2012-10-08	11,500	IN SUPPORT OF IN-DEPTH RESEARCH TO EXAMINE HOW CHANGES IN THE REGULATIONS GOVERNING PENSION FUND INVESTMENT PRACTICES IN SOUTH AFRICA COULD FACILITATE A CHANGE IN THE INVESTMENT STRATEGIES OF ASSET MANAGERS TO CATALYZE SUPPLY OF IMPACT INVESTMENT CAPITAL FOR ENTERPRISES SERVING POOR AND VULNERABLE POPULATIONS	0	NO	9/6/2013		
GUIDESTAR INTERNATIONAL LTD	55-56 LINCOLNS INN FIELDS LONDON WC2A 3LJ UK	2010-12-22	50,000	IN SUPPORT OF CONTINUING DEVELOPMENT OF GUIDESTAR INDIA, A SEARCHABLE ONLINE DATABASE THAT PROVIDES THE PHILANTHROPIC SECTOR IN INDIA WITH BETTER ACCESS TO INFORMATION ON CREDIBLE NON-GOVERNMENT ORGANIZATIONS	50,000	NO	10/19/2011, 10/5/2012, 10/12/2012		
GUIDESTAR INTERNATIONAL LTD	55-56 LINCOLNS INN FIELDS LONDON WC2A 3LJ UK	2011-11-01	27,000	IN SUPPORT OF CONTINUING DEVELOPMENT OF GUIDESTAR INDIA, A SEARCHABLE ONLINE DATABASE THAT PROVIDES THE PHILANTHROPIC SECTOR IN INDIA WITH BETTER ACCESS TO INFORMATION ON CREDIBLE NON-GOVERNMENT ORGANIZATIONS	10,557	NO	10/5/2012, 10/12/2012		
HEALTH INFORMATICS IN AFRICA	FALADIE SEMA RUE 800 PORTE 762 BAMAKO ML	2011-11-22	45,000	TOWARD THE COSTS OF ITS 2011 HEALTH INFORMATICS IN AFRICA CONFERENCE AND ADDITIONAL PLANNING FOR DEVELOPMENT OF HEALTH INFORMATICS RESEARCH AND EDUCATION IN AFRICA	30,000	NO	10/10/2012, 10/11/2012, 6/18/2013		
HEALTH PARTNERS INTERNATIONAL LIMITED	WATERSIDE CENTRE NORTH STREET LEWES EAST SUSSEX BN7 2PE UK	2012-03-07	51,424	TOWARD THE COST OF A SERIES OF CASE STUDIES ON THE POTENTIAL OF PUBLIC-PRIVATE PARTNERSHIPS TO STRENGTHEN HEALTH SYSTEMS AND EXTEND HEALTH SERVICES TO UNDERSERVED POPULATIONS	51,424	NO	6/18/2012, 7/22/2013		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
HELICON COLLABORATIVE LLC	187 BALTIC STREET BROOKLYN, NY 112016173	2012-12-05	280,000	IN SUPPORT OF AN EVALUATION OF THE CULTURAL INNOVATION FUND IN ORDER TO LEARN WHAT HAS WORKED MOST EFFECTIVELY IN PROMOTING INNOVATIONS IN THE CULTURAL ARENA, ACCOUNT FOR FUNDS INVESTED IN THE FUND TO DATE, AND TO INFORM FUTURE FOUNDATION WORK IN INNOVATION TOWARDS EQUITY, SOCIAL CHANGE AND RESILIENCE	150,900	NO	3/14/2013, 6/11/2013		
HICHERT & ASSOCIATES (PTY) LTD	9 CYLNOR ST SOMERSET WEST 7130 SF	2012-04-18	10,000	IN SUPPORT OF A SCENARIO PLANNING EXERCISE FOR FUTURE-INFORMED DECISION-MAKING ABOUT THE BEST INTERVENTION POINTS TO SCALE IMPACT SOURCING IN ORDER TO HAVE A MEANINGFUL IMPACT IN THE LIVES OF POOR AND VULNERABLE PEOPLE	10,000	NO	9/4/2012		
HICHERT & ASSOCIATES (PTY) LTD	9 CYLNOR ST SOMERSET WEST 7130 SF	2012-09-12	5,075	IN SUPPORT OF A SCENARIO PLANNING EXERCISE FOR FUTURE-INFORMED DECISION-MAKING ABOUT THE BEST INTERVENTION POINTS TO SCALE IMPACT SOURCING IN ORDER TO HAVE A MEANINGFUL IMPACT IN THE LIVES OF POOR AND VULNERABLE PEOPLE	5,075	NO	9/4/2012		
HUNGRY HEART MEDIA INC	8330 W THIRD STREET LOS ANGELES, CA 90048	2012-10-11	116,682	IN SUPPORT OF PRODUCING A VIDEO THAT WILL RAISE AWARENESS OF THE BENEFITS OF IMPACT SOURCING TO A GLOBAL AUDIENCE AND ULTIMATELY INCREASE THE COALITION OF STAKEHOLDERS THAT CAN HELP IMPACT SOURCING GO TO SCALE	116,682	NO	12/19/2012, 5/30/2013		
HUNGRY HEART MEDIA INC	8330 W THIRD STREET LOS ANGELES, CA 90048	2012-12-19	38,894	IN SUPPORT OF PRODUCING A VIDEO THAT WILL RAISE AWARENESS OF THE BENEFITS OF IMPACT SOURCING TO A GLOBAL AUDIENCE AND ULTIMATELY INCREASE THE COALITION OF STAKEHOLDERS THAT CAN HELP IMPACT SOURCING GO TO SCALE	38,894	NO	5/30/2013		
IDEO LLC	100 FOREST AVENUE PALO ALTO, CA 94301	2012-09-11	405,235	IN SUPPORT OF A PROJECT, IN CONNECTION WITH THE ROCKEFELLER FOUNDATION'S CENTENNIAL, TO CAPTURE, ANALYZE, REFRAME AND COMMUNICATE IDEAS GENERATED DURING CENTENNIAL CONVENINGS IN AN EFFORT TO IDENTIFY INTERVENTION OPPORTUNITIES RELATED TO PROBLEMS FACING POOR AND VULNERABLE PEOPLE AND SHARE THEM WITH THE PHILANTHROPIC AND SOCIAL SECTOR COMMUNITIES	242,235	NO	11/15/2012, 9/25/2013		
IGAD CLIMATE PREDICTION AND APPLICATIONS CENTRE	PO BOX 10304 - 00100 GPO NAIROBI KE	2011-11-28	150,000	IN SUPPORT OF STRENGTHENING ITS INSTITUTIONAL CAPACITY IN AGROMETEOROLOGY AND IN CLIMATE PREDICTION AND ITS ABILITY TO PROVIDE GREATER AND MORE EFFECTIVE DISSEMINATION OF CLIMATE INFORMATION TO THE AGRICULTURE SECTOR AND FARMERS IN EAST AFRICA	145,107	NO	4/24/2012, 5/22/2012, 7/26/2012, 7/9/2013		
IGAD CLIMATE PREDICTION AND APPLICATIONS CENTRE	PO BOX 10304 - 00100 GPO NAIROBI KE	2012-09-26	100,000	IN SUPPORT OF STRENGTHENING ITS INSTITUTIONAL CAPACITY IN AGROMETEOROLOGY AND IN CLIMATE PREDICTION AND ITS ABILITY TO PROVIDE GREATER AND MORE EFFECTIVE DISSEMINATION OF CLIMATE INFORMATION TO THE AGRICULTURE SECTOR AND FARMERS IN EAST AFRICA	0	NO	7/9/2013		
IGNIA PARTNERS LLC	AV RICARDO MARGAIN 575 PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL 66267 MX	2010-11-18	407,295	PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA	0	NO	PRIOR REPORTS+ 1/25/12,3/30/12,4/21/12,7/24/12, 10/23/12,1/24/13,5/20/13		
IGNIA PARTNERS LLC	AV RICARDO MARGAIN 575 PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL 66267 MX	2011-05-23	117,028	PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA	0	NO	PRIOR REPORTS+ 1/25/12,3/30/12,4/21/12,7/24/12, 10/23/12,1/24/13,5/20/13		
IGNIA PARTNERS LLC	AV RICARDO MARGAIN 575 PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL 66267 MX	2012-12-31	173,985	PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA	0	NO	1/24/13,5/20/13		
IKHONO COMMUNICATIONS	23 JAN HOFMEYR RD BUSINESS PARTNERS BUILDING DURBAN 3630 SF	2012-03-28	36,000	IN SUPPORT OF A CONVENING TO ADDRESS CHALLENGES AND OPPORTUNITIES FACING THE NASCENT FIELD OF "IMPACT SOURCING" AND EXPLORE STRATEGIES FOR BRINGING THE WORK TO SCALE, TO BE HELD IN SOUTH AFRICA, DECEMBER 2011	36,000	NO	3/16/2012		
IKHONO COMMUNICATIONS	23 JAN HOFMEYR RD BUSINESS PARTNERS BUILDING DURBAN 3630 SF	2012-05-17	49,557	IN CONTINUED SUPPORT OF AN IMPACT SOURCING CONVENING, HELD IN SOUTH AFRICA, DECEMBER 2011 THAT BROUGHT TOGETHER STAKEHOLDERS WITHIN THE IMPACT SOURCING ECOSYSTEM TO DISCUSS ROUTES TO SCALING AND ADDRESS THE FOUNDATION'S LEARNING QUESTIONS	49,557	NO	5/22/2012		
IMPACT INVESTMENT EXCHANGE (ASIA) PTE LTD	30 CECIL STREET LEVEL 14 PRUDENTIAL TOWER SN	2009-12-31	495,040	IN SUPPORT OF MARKET RESEARCH AND PROOF-OF-CONCEPT ANALYSIS TO TEST THE VIABILITY OF EXPANDING PRODUCT AND SERVICES DELIVERY TO POOR PEOPLE IN ASIA THROUGH THE LAUNCH OF AN ASIA-BASED STOCK EXCHANGE FOR SOCIAL ENTERPRISES AND CHARITABLE ORGANIZATIONS	495,040	NO	3/29/2010, 5/13/2011, 6/11/2012, 5/11/2013		
INDOCHINA RESEARCH (CAMBODIA) LTD	37 ABC ST 271 SANGKAT PHSAR DOEUM THKOV KHAN CHAMKARMORN PHNOM PENH CB	2011-12-21	75,000	TOWARD THE COSTS OF A PROJECT TO CONDUCT TREND MONITORING, HORIZON SCANNING, AND IDEA GENERATION RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON CAMBODIA, LAOS, AND VIETNAM, AND FOR THE DISSEMINATION OF TREND MONITORING INFORMATION THROUGH ONLINE BLOG DISTRIBUTION CHANNELS	73,941	NO	3/19/2012, 3/4/2013, 3/7/2013		

Grantee's Name	INSTITUTE FOR COLLABORATIVE DEVELOPMENT LLC	7200 WISCONSIN AVE SUITE 500 BETHESDA, MD 20814	Grant Date	2012-01-12	Grant Amount	157,700	Grant Purpose	IN SUPPORT OF RESEARCH ON INSTITUTIONAL AND SUSTAINABILITY OPTIONS FOR A POTENTIAL AFRICAN PLATFORM ON HEALTH SYSTEMS AND POLICY THAT WILL PROVIDE EVIDENCE-BASED ANALYSIS TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, 2012	Amount Expended By Grantee	157,700	NO	8/21/2012, 8/29/2013, 9/4/2013	Dates of Reports By Grantee		Date of Verification		Results of Verification
INSTITUTE FOR COLLABORATIVE DEVELOPMENT LLC	7200 WISCONSIN AVE SUITE 500 BETHESDA, MD 20814	7200 WISCONSIN AVE SUITE 500 BETHESDA, MD 20814	2010-12-22	2010-12-22	80,000	80,000	IN SUPPORT OF A PROJECT, AS A MEMBER ORGANIZATION OF THE CENTER FOR HEALTH MARKET INNOVATIONS, TO IDENTIFY, MAP, TRACK, AND ANALYZE INNOVATIVE PRIVATE SECTOR HEALTH ORGANIZATIONS AND PROGRAMS IN EAST AFRICA, FOSTER LINKAGES AMONG KEY STAKEHOLDERS, AND CULTIVATE FUTURE SUPPORT FOR THIS SECTOR	9/19/2011, 10/6/2011, 10/10/2011, 12/10/2012, 6/17/2013, 7/2/2013	NO	80,000	NO	9/19/2011, 10/6/2011, 10/10/2011, 12/10/2012, 6/17/2013, 7/2/2013					
INSTITUTE OF HEALTH POLICY MANAGEMENT AND RESEARCH	PO BOX 307 INTRADE AFRICA PL BLOCK C KAURIA ROAD LAVINGTON NAIROBI 202 KE	PO BOX 307 INTRADE AFRICA PL BLOCK C KAURIA ROAD LAVINGTON NAIROBI 202 KE	2011-10-24	2011-10-24	95,000	95,000	IN SUPPORT OF A PROJECT, AS A MEMBER ORGANIZATION OF THE CENTER FOR HEALTH MARKET INNOVATIONS, TO IDENTIFY, MAP, TRACK, AND ANALYZE INNOVATIVE PRIVATE SECTOR HEALTH ORGANIZATIONS AND PROGRAMS IN EAST AFRICA, FOSTER LINKAGES AMONG KEY STAKEHOLDERS, AND CULTIVATE FUTURE SUPPORT FOR THIS SECTOR	12/10/2012, 6/17/2013, 7/2/2013	NO	95,000	NO	12/10/2012, 6/17/2013, 7/2/2013					
INSTITUTE OF HEALTH POLICY MANAGEMENT AND RESEARCH	2ND FLOOR NHIF BUILDING RAGATI ROAD UPPER HILL NAIROBI 00202 KE	2ND FLOOR NHIF BUILDING RAGATI ROAD UPPER HILL NAIROBI 00202 KE	2011-12-27	2011-12-27	200,000	174,256	IN SUPPORT OF ACTIVITIES TO INCREASE AWARENESS OF HEALTH MARKET INNOVATIONS IN EAST AFRICA, AND IDENTIFY AND DISSEMINATE BEST PRACTICES TO BRING THEM TO SCALE	12/10/2012, 12/11/2012, 6/17/2013, 7/8/2013, 7/10/2013, 7/11/2013	NO	174,256	NO	12/10/2012, 12/11/2012, 6/17/2013, 7/8/2013, 7/10/2013, 7/11/2013					
INSTITUTO PARA O DESENVOLVIMENTO DO INVESTIMENTO SOCIAL	RUA PAES LEME N 524 C/ 141 PINHEIROS SAO PAULO 05424-904 BR	RUA PAES LEME N 524 C/ 141 PINHEIROS SAO PAULO 05424-904 BR	2012-09-26	2012-09-26	100,000	30,000	TOWARD THE COSTS OF PROMOTING A SHIFT IN BRAZILIAN PHILANTHROPIC EFFORTS FROM A TRADITIONAL CHARITABLE MODEL TO AN APPROACH THAT IS BOTH STRATEGIC AND FOCUSED ON IMPROVING DEVELOPMENT OUTCOMES	2/1/2013, 2/28/2013, 3/1/2013	NO	30,000	NO	2/1/2013, 2/28/2013, 3/1/2013					
INTELECAP INC	262 VENTURA AVENUE PALO ALTO, CA 94306	262 VENTURA AVENUE PALO ALTO, CA 94306	2010-10-05	2010-10-05	280,000	280,000	TOWARD THE COSTS OF A PROJECT TO CONDUCT TREND MONITORING AND HORIZON SCANNING RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON INDIA, BANGLADESH AND PAKISTAN, AND A MEETING OF SEARCHLIGHT GRANTEES TO BE HELD IN MUMBAI, INDIA, SPRING 2011	5/26/2011, 5/27/2011, 12/22/2011, 3/16/2012, 3/7/2013	NO	280,000	NO	5/26/2011, 5/27/2011, 12/22/2011, 3/16/2012, 3/7/2013					
INTELECAP INC	262 VENTURA AVENUE PALO ALTO, CA 94306	262 VENTURA AVENUE PALO ALTO, CA 94306	2011-11-16	2011-11-16	100,000	83,209	TOWARD THE COSTS OF A PROJECT TO CONDUCT TREND MONITORING, AND HORIZON SCANNING, AND IDEA GENERATION RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTH ASIA, AND INFORMATION THROUGH ONLINE BLOG DISTRIBUTION CHANNELS	3/23/2012, 10/9/2012, 10/16/2012, 3/11/2013	NO	83,209	NO	3/23/2012, 10/9/2012, 10/16/2012, 3/11/2013					
INTELECAP INC	262 VENTURA AVENUE PALO ALTO, CA 94306	262 VENTURA AVENUE PALO ALTO, CA 94306	2011-12-27	2011-12-27	40,000	40,000	IN SUPPORT OF THE PRODUCTION OF RESEARCH PRODUCTS THAT WILL ENHANCE THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON TOPICS RELATED TO POVERTY REDUCTION IN THE GLOBAL SOUTH	3/27/2012, 4/6/2012, 11/30/12, 4/3/2013	NO	40,000	NO	3/27/2012, 4/6/2012, 11/30/12, 4/3/2013					
INTELECAP INC	262 VENTURA AVENUE PALO ALTO, CA 94306	262 VENTURA AVENUE PALO ALTO, CA 94306	2011-12-28	2011-12-28	65,800	65,800	TOWARD THE COSTS OF A PROJECT TO CONDUCT TREND MONITORING AND HORIZON SCANNING RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON INDIA, BANGLADESH AND PAKISTAN, AND A MEETING OF SEARCHLIGHT GRANTEES TO BE HELD IN MUMBAI, INDIA, SPRING 2011	3/16/2012, 3/7/2013	NO	65,800	NO	3/16/2012, 3/7/2013					
INTELECAP INC	262 VENTURA AVENUE PALO ALTO, CA 94306	262 VENTURA AVENUE PALO ALTO, CA 94306	2012-06-04	2012-06-04	90,000	90,000	IN SUPPORT OF THE PRODUCTION OF RESEARCH PRODUCTS THAT WILL ENHANCE THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON TOPICS RELATED TO POVERTY REDUCTION IN THE GLOBAL SOUTH	3/19/2013, 3/20/2013	NO	90,000	NO	3/19/2013, 3/20/2013					
INTELECAP INC	262 VENTURA AVENUE PALO ALTO, CA 94306	262 VENTURA AVENUE PALO ALTO, CA 94306	2012-08-15	2012-08-15	200,000	17,377	IN SUPPORT OF A PROJECT TO CATALYZE DEMONSTRATIONS OF PROOF OF CONCEPT FOR BUSINESS MODELS THAT BUILD URBAN CLIMATE CHANGE RESILIENCE (UCCR) IN INDIA AND, POTENTIALLY, OTHER ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCORN) COUNTRIES	5/22/2013, 7/31/2013	NO	17,377	NO	5/22/2013, 7/31/2013					
INTELECAP INC	262 VENTURA AVENUE PALO ALTO, CA 94306	262 VENTURA AVENUE PALO ALTO, CA 94306	2012-10-17	2012-10-17	50,000	0	TOWARD THE COSTS OF A PROJECT TO CONDUCT TREND MONITORING, AND HORIZON SCANNING, AND IDEA GENERATION RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTH ASIA, AND INFORMATION THROUGH ONLINE BLOG DISTRIBUTION CHANNELS	3/11/2013	NO	0	NO	3/11/2013					

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INTELLECAP INC	262 VENTURA AVENUE PALO ALTO, CA 94306	2012-12-12	10,125	IN SUPPORT OF THE PRODUCTION OF RESEARCH PRODUCTS THAT WILL ENHANCE THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON TOPICS RELATED TO POVERTY REDUCTION IN THE GLOBAL SOUTH	10,125	NO	4/3/2013		
INTELLECTUAL CAPITAL ADVISORY SERVICES PRIVATE LIMITED	8-2-682/1 5TH FLOOR ROAD NO 12 HYDERABAD 500 034 IN	2012-03-19	2,050	IN SUPPORT OF A CONVENING OF DONORS, RESEARCHERS, INVESTORS AND BUSINESSES TO ASSESS OPPORTUNITIES FOR CATALYZING PRIVATE SECTOR ACTIVITY IN ENTERPRISES THAT BUILD URBAN CLIMATE CHANGE RESILIENCE IN ASIAN CITY CLIMATE CHANGE RESILIENCE NETWORK CITIES	2,050	NO	12/28/2011		
INTELLECTUAL CAPITAL PRIVATE LIMITED	8-2-682/1 5TH FLOOR ROAD NO 12 HYDERABAD 500 034 IN	2012-09-12	120,000	IN SUPPORT OF RESEARCH TO IDENTIFY THE EFFECTIVE PARADIGMS AND TOOLS FOR INTEGRATING FORMAL AND INFORMAL TRANSPORT SYSTEMS, IDENTIFYING AND ASSESSING PROJECTS FUNDED BY VARIOUS DONORS AND FUNDERS WHO HAVE ATTEMPTED TO INTEGRATE FORMAL AND INFORMAL TRANSPORT SYSTEMS, AND ASSESS FUND FLOWS IN FORMAL AND INFORMAL TRANSPORT SYSTEMS IN SELECT CITIES IN THE DEVELOPING WORLD	120,000	NO	6/20/2013		
INTELLEVENTURES LLC DBA CKINETICS CONSULTING SERVICES	262 VENTURA AVE PALO ALTO, CA 94306	2011-12-21	54,240	IN SUPPORT OF IN-DEPTH RESEARCH OF THE POLICY AND REGULATORY FRAMEWORKS NECESSARY TO OPERATIONALIZE DISTRIBUTED RURAL ELECTRIFICATION PROJECTS, AND ISSUES RELATED TO DRIVING THE ADOPTION OF FORMAL SOCIAL AND ENVIRONMENTAL REPORTING WHICH CAN CATALYZE DEMAND FOR AND SUPPLY OF IMPACT INVESTMENT CAPITAL FOR ENTERPRISES SERVING POOR AND VULNERABLE POPULATIONS IN INDIA	54,240	NO	3/28/2012, 12/11/2012, 4/25/2013		
INTELLEVENTURES LLC DBA CKINETICS CONSULTING SERVICES	262 VENTURA AVE PALO ALTO, CA 94306	2012-04-03	40,680	IN SUPPORT OF IN-DEPTH RESEARCH OF THE POLICY AND REGULATORY FRAMEWORKS NECESSARY TO OPERATIONALIZE DISTRIBUTED RURAL ELECTRIFICATION PROJECTS, AND ISSUES RELATED TO DRIVING THE ADOPTION OF FORMAL SOCIAL AND ENVIRONMENTAL REPORTING WHICH CAN CATALYZE DEMAND FOR AND SUPPLY OF IMPACT INVESTMENT CAPITAL FOR ENTERPRISES SERVING POOR AND VULNERABLE POPULATIONS IN INDIA	40,680	NO	12/11/2012, 4/25/2013		
INTELLEVENTURES LLC DBA CKINETICS CONSULTING SERVICES	262 VENTURA AVE PALO ALTO, CA 94306	2012-12-20	162,000	IN SUPPORT OF A PROJECT TO DESIGN A FINANCING FACILITY THAT WOULD PROVIDE CAPITAL TO ENERGY SERVICE COMPANIES SERVING OFF-GRID RURAL COMMUNITIES IN INDIA	68,077	NO	6/20/2013		
INTELLEVENTURES LLC DBA CKINETICS CONSULTING SERVICES	262 VENTURA AVE PALO ALTO, CA 94306	2012-12-31	54,240	IN SUPPORT OF IN-DEPTH RESEARCH OF THE POLICY AND REGULATORY FRAMEWORKS NECESSARY TO OPERATIONALIZE DISTRIBUTED RURAL ELECTRIFICATION PROJECTS, AND ISSUES RELATED TO DRIVING THE ADOPTION OF FORMAL SOCIAL AND ENVIRONMENTAL REPORTING WHICH CAN CATALYZE DEMAND FOR AND SUPPLY OF IMPACT INVESTMENT CAPITAL FOR ENTERPRISES SERVING POOR AND VULNERABLE POPULATIONS IN INDIA	54,240	NO	4/25/2013		
IPS ASIA PACIFIC CENTER FOUNDATION INC	UNIT 505 STERTEN PLACE CONDOMINIUM 116 MAGINHAWA ST QUEZON CITY RP	2011-12-22	70,000	TOWARD THE COSTS OF ACTIVITIES TO ADDRESS THE NEED FOR CRITICAL REPORTING ON DEVELOPMENT FROM WITHIN ASIA, LOOKING CLOSELY AT THE ISSUES OF CLIMATE CHANGE AND OFFICIAL DEVELOPMENT ASSISTANCE (ODA) AS SPECIFIC SECTORS LINKED TO THE ASIAN DEVELOPMENT STORY	7,440	NO	5/17/2012, 5/3/2013		
IPS ASIA-PACIFIC CENTER FOUNDATION INC	UNIT 505 STERTEN PLACE CONDOMINIUM 116 MAGINHAWA ST QUEZON CITY RP	2012-08-15	60,000	TOWARD THE COSTS OF ACTIVITIES TO ADDRESS THE NEED FOR CRITICAL REPORTING ON DEVELOPMENT FROM WITHIN ASIA, LOOKING CLOSELY AT THE ISSUES OF CLIMATE CHANGE AND OFFICIAL DEVELOPMENT ASSISTANCE (ODA) AS SPECIFIC SECTORS LINKED TO THE ASIAN DEVELOPMENT STORY	0	NO	5/3/2013		
ITAD LIMITED	12 ENGLISH BUSINESS PARK ENGLISH CLOSE HOVE BN3 7ET UK	2011-12-05	125,000	IN SUPPORT OF AN EVALUATION OF THE AFRICAN AGRICULTURE COMPONENT OF THE FOUNDATION'S CLIMATE CHANGE INITIATIVE AND THE FOUNDATION'S CARBON FOR POVERTY REDUCTION INITIATIVE IN DEVELOPMENT, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	125,000	NO	2/14/2012, 3/8/2013, 3/18/2013		
ITAD LIMITED	12 ENGLISH BUSINESS PARK ENGLISH CLOSE HOVE BN3 7ET UK	2012-03-05	30,000	IN SUPPORT OF AN EVALUATION OF THE AFRICAN AGRICULTURE COMPONENT OF THE FOUNDATION'S CLIMATE CHANGE INITIATIVE AND THE FOUNDATION'S CARBON FOR POVERTY REDUCTION INITIATIVE IN DEVELOPMENT, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	30,000	NO	3/8/2013, 3/18/2013		
ITAD LIMITED	12 ENGLISH BUSINESS PARK ENGLISH CLOSE HOVE BN3 7ET UK	2012-07-04	20,000	IN SUPPORT OF AN EVALUATION OF THE AFRICAN AGRICULTURE COMPONENT OF THE FOUNDATION'S CLIMATE CHANGE INITIATIVE AND THE FOUNDATION'S CARBON FOR POVERTY REDUCTION INITIATIVE IN DEVELOPMENT, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	20,000	NO	3/8/2013, 3/18/2013		
JACOBS CENTER FOR NEIGHBORHOOD INNOVATION	404 EUCLID AVENUE SAN DIEGO, CA 92114	2003-10-30	1,000,000	PAYMENT IN FULL OF A \$1,000,000 PROGRAM-RELATED INVESTMENT LOAN TO PROVIDE EQUITY CAPITAL TO FINANCE PHASE I OF MARKET CREEK PLAZA, A 20-ACRE COMMERCIAL, RETAIL AND CULTURAL COMMUNITY ECONOMIC DEVELOPMENT PROJECT IN A DISTRESSED NEIGHBORHOOD IN SAN DIEGO, CALIFORNIA, \$1,000,000 PRINCIPAL REPaid	1,000,000	NO	PRIOR REPORTS+ 2/29/12, 5/31/12, 8/31/12, 9/4/12, 12/3/12,6/4/13,9/3/13		
JUHUDI KILIMO COMPANY LIMITED	7TH FLOOR K-REP CENTRE WOOD AVENUE NAIROBI 00100 KE	2012-06-05	350,000	PAYMENT TOWARD A \$750,000 PROGRAM-RELATED INVESTMENT LOAN TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN THE UNDERSERVED RURAL SUB-SECTOR IN KENYA BY PROVIDING CAPITAL FOR RURAL MICRO-ASSET FINANCING LOANS TO ASSIST SMALLHOLDER FARMERS IN ACQUIRING PRODUCTIVE ASSETS	0	NO	8/31/12,6/19/13		
KENYA DIABETES MANAGEMENT AND INFORMATION CENTRE	PO BOX 45099-00100 ROSE AVENUE OFF ARGWINGS KODHEK ROAD NAIROBI KE	2011-12-14	80,000	TOWARD THE COSTS OF A NEW COMMUNITY SCREENING, EDUCATION, AND AWARENESS CENTER FOR THE MANAGEMENT OF DIABETES AND HYPERTENSION IN CENTRAL KENYA	80,000	NO	4/27/2012, 7/2/2012, 2/4/2013, 4/22/2013		

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KENYA EDUCATION NETWORK TRUST	PO BOX 30244-00100 UNIV OF NAIROBI JOMO KENYATTA MEMORIAL LIBRARY NAIROBI 100 KE	2010-04-29	300,000	IN SUPPORT OF ACTIVITIES TO ASSIST HIGHER EDUCATION INSTITUTIONS IN EASTERN AFRICA MAKE EFFECTIVE USE OF INFORMATION AND COMMUNICATION TECHNOLOGIES IN LEARNING, TEACHING, RESEARCH AND MANAGEMENT	300,000	NO	9/14/2010, 10/4/2010, 9/16/2011, 9/5/2012, 1/31/2013		
LABORATORIO DE CULTURA DIGITAL BRASILEIRO	RUA VITORINO CARMILO 459 - SANTA CECILIA SAO PAULO 01153000 BR	2012-12-12	50,000	IN SUPPORT OF ITS PROJECT, TRANSPARENCIA HACKER, FOR SUPPORT OF ITS MONITOR LEGISLATIVE SP, AN ONLINE PLATFORM THAT WILL HELP ORGANIZATIONS IN SAO PAULO, BRAZIL MONITOR THE STATUS OF BILLS THROUGH THE LOCAL LEGISLATIVE PROCESS	37,250	NO	9/4/2013		
LEADERS' QUEST LTD	3-5 RICHMOND HILL RICHMOND-UPON-THAMES SURREY TW10 6RE UK	2011-11-27	160,040	IN SUPPORT OF CONVENING A LEADERSHIP LEARNING PROGRAM TO FACILITATE RAISING THE PROFILE OF AND BUILDING A MORE GLOBAL STAKEHOLDER COMMUNITY FOR THE NASCENT FIELD OF IMPACT SOURCING, WHICH SEEKS TO CREATE SUSTAINABLE EMPLOYMENT OPPORTUNITIES FOR POOR AND VULNERABLE POPULATIONS	160,040	NO	4/17/2012, 4/19/2012, 11/26/2012		
M&R STRATEGIC SERVICES INC	2120 L STREET NW SUITE 600 WASHINGTON, DC 20037	2012-03-12	200,000	IN SUPPORT OF DESIGNING AND IMPLEMENTING A STRATEGIC COMMUNICATIONS CAMPAIGN TO RAISE AWARENESS AROUND THE VALUE OF GREEN JOBS FOR LOW-INCOME WORKERS IN THE U S AND THEIR POTENTIAL TO GROW THE ECONOMY	200,000	NO	6/21/2012, 9/17/2012, 5/20/2013, 5/21/2013, 8/15/2013		
M&R STRATEGIC SERVICES INC	2120 L STREET NW SUITE 600 WASHINGTON, DC 20037	2012-07-11	100,000	IN SUPPORT OF DESIGNING AND IMPLEMENTING A STRATEGIC COMMUNICATIONS CAMPAIGN TO RAISE AWARENESS AROUND THE VALUE OF GREEN JOBS FOR LOW-INCOME WORKERS IN THE U S AND THEIR POTENTIAL TO GROW THE ECONOMY	100,000	NO	9/17/2012, 5/20/2013, 5/21/2013, 8/15/2013		
M&R STRATEGIC SERVICES INC	2120 L STREET NW SUITE 600 WASHINGTON, DC 20037	2012-09-26	200,000	IN SUPPORT OF DESIGNING AND IMPLEMENTING A STRATEGIC COMMUNICATIONS CAMPAIGN TO RAISE AWARENESS AROUND THE VALUE OF GREEN JOBS FOR LOW-INCOME WORKERS IN THE U S AND THEIR POTENTIAL TO GROW THE ECONOMY	200,000	NO	5/20/2013, 5/21/2013, 8/15/2013		
MAKER FAIRE AFRICA FOUNDATION	TIMORPLEIN 29 AMSTERDAM 1094 CC NL	2011-11-02	75,000	TOWARD THE COSTS OF MAKER FAIRE 2011 AND 2012, AN ANNUAL EVENT THAT CONVENES INNOVATORS FROM AROUND AFRICA AND LINKS THEM WITH MENTORS WHO CAN HELP BRING THEIR INNOVATIONS TO SCALE, TO HELP SPUR ENTREPRENEURSHIP AND MANUFACTURING AS A POVERTY REDUCTION VEHICLE FOR THE AFRICAN CONTINENT	75,000	NO	12/1/2011, 4/17/12, 6/4/2013		
MAXTUDIO SNC	PIAZZA MEUCCI 23 ROME 00146 IT	2011-12-26	99,884	IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON EVALUATIONS OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT LEADERSHIP AT THE FOUNDATION AND AMONG ITS GRANTEES AND PARTNERS IN DEVELOPMENT AND PHILANTHROPY	99,884	NO	11/26/2012, 11/30/2012, 2/14/2013		
MAXTUDIO SNC	PIAZZA MEUCCI 23 ROME 00146 IT	2012-08-06	149,316	IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON EVALUATIONS OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT LEADERSHIP AT THE FOUNDATION AND AMONG ITS GRANTEES AND PARTNERS IN DEVELOPMENT AND PHILANTHROPY	40,344	NO	11/26/2012, 11/30/2012, 2/14/2013		
MAXTUDIO SNC	PIAZZA MEUCCI 23 ROME 00146 IT	2012-12-05	100,000	IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON EVALUATIONS OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT LEADERSHIP AT THE FOUNDATION AND AMONG ITS GRANTEES AND PARTNERS IN DEVELOPMENT AND PHILANTHROPY	0	NO	2/14/2013		
MCKINSEY & COMPANY INC UNITED STATES	55 EAST 52ND STREET NEW YORK, NY 10022	2012-06-13	50,000	TOWARD THE COSTS OF MARKET RESEARCH AND THE CREATION OF ENABLING INFRASTRUCTURE AND OPEN SOURCE TOOLS FOR THE SOCIAL IMPACT BOND INNOVATION TO REACH ITS POTENTIAL TO SCALE SOLUTIONS TO SOCIAL PROBLEMS FACING POOR AND VULNERABLE COMMUNITIES IN THE U S	50,000	NO	8/8/2012		
MEKONG BASIN DISEASE SURVEILLANCE FOUNDATION	PERMANENT SECRETARY OFFICE BUREAU OF EPIDEMIOLOGYMINISTRY OF PUBLIC H NONTABURI 10110 TH	2012-05-29	140,000	IN SUPPORT OF PROVIDING SEED FUNDING TO STRENGTHEN NATIONAL AND SUB-REGIONAL CAPABILITIES IN INFECTIOUS DISEASE SURVEILLANCE AND OUTBREAK RESPONSE	140,000	NO	12/11/2012, 3/12/2013, 7/15/2013		
MEKONG BASIN DISEASE SURVEILLANCE FOUNDATION	PERMANENT SECRETARY OFFICE BUREAU OF EPIDEMIOLOGYMINISTRY OF PUBLIC H NONTABURI 10110 TH	2012-12-16	200,000	IN SUPPORT OF PROVIDING SEED FUNDING TO STRENGTHEN NATIONAL AND SUB-REGIONAL CAPABILITIES IN INFECTIOUS DISEASE SURVEILLANCE AND OUTBREAK RESPONSE	97,508	NO	3/12/2013, 7/15/2013		
MISSION HUB LLC	901 MISSION ST SUITE 105 SAN FRANCISCO, CA 94103	2012-08-06	50,000	TOWARD THE COSTS OF DEVELOPING AND DELIVERING PROGRAMMING FOR THE SOCIAL CAPITAL MARKETS CONFERENCE 2012, SPECIFICALLY AROUND THE CONFERENCE'S "NEXT STAGE PHILANTHROPY" AND "IMPACT INVESTING" THEMES	50,000	NO	4/26/2013		
MONITOR COMPANY GROUP LP	TWO CANAL PARK CAMBRIDGE, MA 02141	2012-04-23	200,000	IN SUPPORT OF LANDSCAPING THE ACTIVITIES DEVOTED TO CATALYZING THE FIELD OF IMPACT ENTERPRISES AND IDENTIFYING THE ENABLING CONDITIONS THAT SUPPORT THE EMERGENCE AND LONG TERM SUSTAINABILITY OF IMPACT ENTERPRISES TO BENEFIT POOR AND VULNERABLE POPULATIONS GLOBALLY	200,000	NO	12/28/2012		

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MONITOR COMPANY GROUP LP	TWO CANAL PARK CAMBRIDGE, MA 02141	2012-08-15	300,000	IN SUPPORT OF DEVELOPING STRATEGIES FOR SCALING IMPACT SOURCING AND ANALYZING DEMAND-LED TRAINING MODELS FOR POOR OR VULNERABLE YOUTH TO WORK IN IMPACT SOURCING	300,000	NO	1/10/2013, 1/14/2013		
NATIONAL COUNCIL FOR HIGHER EDUCATION	35 CAVERS CRESCENT PO BOX 76 KYAMBOGO KAMPALA UG	2008-02-07	40,620	FOR A COLLABORATIVE PROJECT WITH THE COMMISSION FOR HIGHER EDUCATION (KENYA) AND THE TANZANIA COMMISSION FOR UNIVERSITIES TO ESTABLISH A COMMON CREDIT ACCUMULATION AND TRANSFER SYSTEM FOR ALL UNIVERSITIES IN KENYA, TANZANIA AND UGANDA AMOUNT EXPENDED REFLECTS \$11,507 IN EXPENDITURES BY THE GRANTEE AND \$20,410 IN FUNDS RETURNED TO THE FOUNDATION \$8,703 OWED TO THE FOUNDATION NO FURTHER FUNDING WILL BE PROVIDED TO THIS GRANTEE	31,917	NO	10/8/2007,9/18/2008,1/21/2009, 8/5/2010		
NEW YORK ACQUISITION FUND LLC	588 BROADWAY SUITE 1103 NEW YORK, NY 10012	2006-09-15	5,000,000	PAYMENT IN FULL OF A \$5,000,000 PROGRAM-RELATED INVESTMENT TO CAPITALIZE THE NEW YORK CITY ACQUISITION LOAN FUND, LLC, WHICH WILL ACCELERATE AND INCREASE THE DEVELOPMENT OF AFFORDABLE HOUSING IN NEW YORK CITY AMOUNT EXPENDED REFLECTS A RETURN OF PRINCIPAL FOR LOAN GUARANTEE AMOUNT EXPENDED REFLECTS A RETURN OF PRINCIPAL FOR LOAN GUARANTEE	1,000,000	NO	PRIOR REPORTS+ 6/8/12,6/12/12,8/30/12,9/4/12,12/3/12,5/23/13,9/24/13		
NORTH CAROLINA METROPOLITAN MAYORS COALITION	308 WEST JONES STREET RALEIGH, NC 27603	2011-12-21	50,000	TOWARD THE COSTS OF PLANNING, ORGANIZING, AND LAUNCHING THE PIEDMONT CRESCENT PARTNERSHIP FOR ECONOMIC GROWTH, A REGIONAL INITIATIVE THAT WILL UNITE CIVIC AND BUSINESS LEADERS ACROSS NORTH CAROLINA'S PIEDMONT METROPOLITAN CORRIDOR TO PRODUCE A SHARED DEVELOPMENT AGENDA CENTERED ON A HIGH-QUALITY TRANSPORTATION INFRASTRUCTURE AIMED AT PROMOTING ECONOMIC GROWTH AND ENHANCING THE QUALITY OF LIFE IN THE REGION	50,000	NO	10/25/2012, 2/20/2013, 6/24/2013		
NOVISCAPE CONSULTING GROUP CO LTD	231/2 BANGKOK CODE BUILDING UNIT 4 BUILDING B SOUTH SATHORN ROAD BANGKOK 10120 TH	2011-10-12	32,390	TOWARD THE COSTS OF A PROJECT, IN COLLABORATION WITH THE URBAN AND REGIONAL PLANNING DEPARTMENT OF CHULALONGKORN UNIVERSITY AND THE SIAM INTELLIGENCE UNIT, TO CONDUCT TREND MONITORING, HORIZON SCANNING, AND IDEA GENERATION RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTHEAST ASIA, AND FOR THE DISSEMINATION OF TREND MONITORING INFORMATION THROUGH ONLINE BLOG DISTRIBUTION CHANNELS	32,390	NO	12/15/2011, 2/28/2012, 11/8/2012		
NOVISCAPE CONSULTING GROUP CO LTD	231/2 BANGKOK CODE BUILDING UNIT 4 BUILDING B SOUTH SATHORN ROAD BANGKOK 10120 TH	2011-12-19	45,000	TOWARD THE COSTS OF A PROJECT, IN COLLABORATION WITH THE URBAN AND REGIONAL PLANNING DEPARTMENT OF CHULALONGKORN UNIVERSITY AND THE SIAM INTELLIGENCE UNIT, TO CONDUCT TREND MONITORING, HORIZON SCANNING, AND IDEA GENERATION RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTHEAST ASIA, AND FOR THE DISSEMINATION OF TREND MONITORING INFORMATION THROUGH ONLINE BLOG DISTRIBUTION CHANNELS	45,000	NO	2/28/2012, 11/8/2012		
NOVISCAPE CONSULTING GROUP CO LTD	231/2 BANGKOK CODE BUILDING UNIT 4 BUILDING B SOUTH SATHORN ROAD BANGKOK 10120 TH	2012-11-14	15,000	TOWARD THE COSTS OF A PROJECT, IN COLLABORATION WITH THE URBAN AND REGIONAL PLANNING DEPARTMENT OF CHULALONGKORN UNIVERSITY AND THE SIAM INTELLIGENCE UNIT, TO CONDUCT TREND MONITORING, HORIZON SCANNING, AND IDEA GENERATION RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTHEAST ASIA, AND FOR THE DISSEMINATION OF TREND MONITORING INFORMATION THROUGH ONLINE BLOG DISTRIBUTION CHANNELS	15,000	NO	11/8/2012		
OPEN HEALTH TOOLS INC	188 CHEROKEE ROAD ASHVILLE, NC 28804	2011-12-21	200,000	TOWARD THE COSTS OF DEVELOPING THE HEART PROJECT, A HIGH QUALITY REPOSITORY OF FREELY AVAILABLE HEALTH ENTERPRISE ARCHITECTURE ARTIFACTS AND BUILDING BLOCKS FOR USE BY COUNTRIES AND IMPLEMENTERS TO SAVE TIME AND MONEY IN THE DESIGN OF NATIONAL HEALTH INFORMATION SYSTEMS	72,000	NO	3/2/2012, 4/2/2012, 4/1/2013		
OPEN HEALTH TOOLS INC	188 CHEROKEE ROAD ASHVILLE, NC 28804	2012-07-16	200,000	TOWARD THE COSTS OF DEVELOPING THE HEART PROJECT, A HIGH QUALITY REPOSITORY OF FREELY AVAILABLE HEALTH ENTERPRISE ARCHITECTURE ARTIFACTS AND BUILDING BLOCKS FOR USE BY COUNTRIES AND IMPLEMENTERS TO SAVE TIME AND MONEY IN THE DESIGN OF NATIONAL HEALTH INFORMATION SYSTEMS	0	NO	4/1/2013		
OPENMRS1 LIMITED	410 WEST 10TH ST SUITE 2000 INDIANAPOLIS, IN 46202	2012-12-20	200,000	IN SUPPORT OF DEVELOPING A GLOBAL OPEN SOURCE PLATFORM FOR ELECTRONIC PATIENT RECORD SYSTEMS IN DEVELOPING AND MIDDLE INCOME COUNTRIES AND FOSTERING A COMMUNITY OF SOFTWARE DEVELOPERS	0	NO	NONE TO DATE		
OVE ARUP & PARTNERS INTERNATIONAL LIMITED	13 FITZROY STREET LONDON W1T 4BQ UK	2011-09-20	500,000	IN SUPPORT OF PROVIDING STRATEGIC PLANNING, PROGRAM MANAGEMENT SUPPORT AND TECHNICAL ASSISTANCE TO ENSURE PROGRAM CREDIBILITY, KNOWLEDGE MANAGEMENT SUPPORT, AND KNOWLEDGE DISSEMINATION AND REPLICATION SUPPORT TO THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK PROGRAM AND ITS PARTNERS	500,000	NO	12/31/2011, 5/17/2012, 5/3/2013		
OVE ARUP & PARTNERS INTERNATIONAL LIMITED	13 FITZROY STREET LONDON W1T 4BQ UK	2011-12-15	700,000	IN SUPPORT OF A PROJECT TO FACILITATE THE CREATION AND DEEPENING OF A LEARNING AND POLICY NETWORK INCLUDING CURRENT ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN) PARTNERS AND OTHER KEY ASIAN INSTITUTIONS ENGAGED IN PROMOTING CLIMATE CHANGE RESILIENCE, AND TO FACILITATE AND MANAGE ACCCRN'S NEW STRATEGY AND ALIGNMENT GROUP	647,618	NO	7/29/2012, 12/21/2012, 1/7/2013, 5/23/2013, 8/16/2013, 9/11/2013		
OVE ARUP & PARTNERS INTERNATIONAL LIMITED	13 FITZROY STREET W1T 4BQ LONDON W1T 4BQ UK	2012-05-30	399,460	IN SUPPORT OF PROVIDING STRATEGIC PLANNING, PROGRAM MANAGEMENT SUPPORT AND TECHNICAL ASSISTANCE TO ENSURE PROGRAM CREDIBILITY, KNOWLEDGE MANAGEMENT SUPPORT, AND KNOWLEDGE DISSEMINATION AND REPLICATION SUPPORT TO THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK PROGRAM AND ITS PARTNERS	250,029	NO	5/13/2013		
OVE ARUP & PARTNERS INTERNATIONAL LIMITED	13 FITZROY STREET W1T 4BQ LONDON W1T 4BQ UK	2012-08-01	100,000	IN SUPPORT OF A PROJECT TO FACILITATE THE CREATION AND DEEPENING OF A LEARNING AND POLICY NETWORK INCLUDING CURRENT ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN) PARTNERS AND OTHER KEY ASIAN INSTITUTIONS ENGAGED IN PROMOTING CLIMATE CHANGE RESILIENCE, AND TO FACILITATE AND MANAGE ACCCRN'S NEW STRATEGY AND ALIGNMENT GROUP	0	NO	12/21/2012, 1/7/2013, 5/23/2013 , 8/16/2013, 9/11/2013		
OVE ARUP & PARTNERS INTERNATIONAL LIMITED	13 FITZROY STREET W1T 4BQ LONDON W1T 4BQ UK	2012-11-25	600,000	IN SUPPORT OF RESEARCHING, DESIGNING, CREATING AND DISSEMINATING A "CITY RESILIENCE INDEX" TO MEASURE AND COMPARE THE CHARACTERISTICS AND KEY INDICATORS OF URBAN RESILIENCE	78,161	NO	5/17/2013, 7/8/2013		

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OVE ARUP & PARTNERS PC	77 WATER STREET NEW YORK, NY 10005	2012-11-29	535,000	IN SUPPORT OF FACILITATING THE NYS 2100 COMMISSION AND DELIVERING RECOMMENDATIONS FOR IMPROVING THE RESILIENCE AND STRENGTH OF NEW YORK STATE'S INFRASTRUCTURE IN THE FACE OF NATURAL DISASTERS AND OTHER EMERGENCIES	535,000	NO	1/16/2013, 3/7/2013		
PAMOJA MEDIA EAST AFRICA LIMITED	4 KINDARUMA LANE OFF NGONG ROAD NEAR ST NICHOLAS PRIMARY SCHOOL NAIROBI KE	2012-06-11	5,000	IN SUPPORT OF DEVELOPING AN ONLINE KNOWLEDGE SHARING AND MANAGEMENT SYSTEM FOR RESEARCH AND DEVELOPMENT WORK ON CLIMATE CHANGE RESILIENCE FOR AFRICAN AGRICULTURE	5,000	NO	5/25/2012		
PAMOJA MEDIA EAST AFRICA LIMITED	4 KINDARUMA LANE OFF NGONG ROAD NEAR ST NICHOLAS PRIMARY SCHOOL NAIROBI KE	2012-12-10	26,250	IN SUPPORT OF TRANSITIONING A GROUP OF CLIMATE EXCHANGE NETWORK FOR AFRICA GRANTEES TO AN ENHANCED ONLINE KNOWLEDGE SHARING AND MANAGEMENT SYSTEM FOR RESEARCH AND DEVELOPMENT WORK ON CLIMATE CHANGE RESILIENCE FOR AFRICAN AGRICULTURE	12,698	NO	3/28/2013		
PORTLAND PR INC	405 LEXINGTON AVENUE PORTLAND COMMUNICATIONS NEW YORK, NY 10174	2012-11-26	200,000	IN SUPPORT OF DESIGNING AND IMPLEMENTING A STRATEGIC MEDIA AND COMMUNICATIONS CAMPAIGN TO RAISE AWARENESS AROUND THE MOST EFFECTIVE AND REPLICABLE MODEL OF THE SOCIAL IMPACT BOND INNOVATION TO SCALE SOLUTIONS TO SOCIAL PROBLEMS FACING POOR OR VULNERABLE COMMUNITIES IN THE U S	164,000	NO	5/21/2013, 8/29/2013		
PRICEWATERHOUSECOOPERS LIMITED	UPPER HILL ROAD PO BOX 43963 NAIROBI 00100 KE	2012-07-11	144,563	IN SUPPORT OF DEVELOPING A MEASUREMENT, REPORTING AND VERIFICATION (MRV) METHODOLOGY AND A FUND DISBURSEMENT MECHANISM THAT WILL ENABLE SMALLHOLDER FARMERS TO TAKE ADVANTAGE OF CLIMATE FINANCE SUCH AS CARBON CREDITS IN EXCHANGE FOR ADOPTING FARMING PRACTICES THAT REDUCE GREENHOUSE GASES	144,563	NO	3/12/2012, 5/22/2012, 5/30/2012, 10/1/2012, 11/12/2012, 12/10/2012		
PRICEWATERHOUSECOOPERS LIMITED	UPPER HILL ROAD PO BOX 43963 NAIROBI 00100 KE	2012-12-10	51,280	IN SUPPORT OF DEVELOPING A MEASUREMENT, REPORTING AND VERIFICATION (MRV) METHODOLOGY AND A FUND DISBURSEMENT MECHANISM THAT WILL ENABLE SMALLHOLDER FARMERS TO TAKE ADVANTAGE OF CLIMATE FINANCE SUCH AS CARBON CREDITS IN EXCHANGE FOR ADOPTING FARMING PRACTICES THAT REDUCE GREENHOUSE GASES	51,280	NO	11/12/2012, 12/10/2012		
PROBE MEDIA FOUNDATION INC	17 MATIPID STREET SIKATUNA VILLAGE DILIMAN 1101 QUEZON CITY RP	2009-06-12	111,460	TOWARD THE COSTS OF "IMAGING OUR MEKONG," A PRINT AND TV JOURNALISTS' FELLOWSHIP PROGRAM JOINTLY-MANAGED WITH THE IPS ASIA PACIFIC CENTER FOUNDATION TO ADDRESS CROSS-BORDER ISSUES IN THE COUNTRIES OF THE GREATER MEKONG SUB-REGION	111,460	NO	10/19/2010, 1/4/2011, 9/1/2011, 4/30/2011, 3/21/2012, 10/19/2012, 4/22/2013		
R3G FOUNDATION INC	2812 SHEEPHEAD COURT ST AUGUSTINE, FL 32091	2012-10-11	50,000	TOWARD THE COSTS OF ITS KOLKATA MEDICAL EMERGENCY SYSTEM, A KNOWLEDGE MANAGEMENT SYSTEM THAT WILL BE IMPLEMENTED ACROSS MULTIPLE HOSPITALS AND BLOOD BANKS FOR IMPROVED PATIENT CARE IN INDIA	4,869	NO	3/4/2013		
REAL USEFUL TRAVEL AND MARKETING	AEE BUILDING KAREN ROAD PO BOX 51458-00200 NAIROBI KE	2012-05-15	5,865	IN SUPPORT OF A CONVENING OF ROCKEFELLER FOUNDATION DISEASE SURVEILLANCE NETWORKS GRANTEES TO BE HELD IN KENYA, NAIROBI, MAY 2011	5,865	NO	3/9/2012		
REGIONAL UNIVERSITIES FORUM FOR CAPACITY BUILDING IN AGRICULTURE LIMITED	PLOT 151 GARDEN HILL MAKERERE UNIVERSITY MAIN CAMPUS PO BOX 7065 KAMPALA UG	2009-01-22	150,000	IN SUPPORT OF EFFORTS TO ENHANCE THE NATIONAL AND REGIONAL CAPACITY OF COUNTRIES IN EAST AND SOUTHERN AFRICA TO MANAGE TRANS-BOUNDARY ANIMAL DISEASES AND IMPROVE PASTORALISM IN THE REGION BY ESTABLISHING PLATFORMS FOR DIALOGUE, LEARNING AND DISEASE MANAGEMENT AND ENHANCING POLICY RESPONSIVENESS	150,000	NO	10/7/2009, 2/28/2011, 10/23/2012		
REGIONAL UNIVERSITIES FORUM FOR CAPACITY BUILDING IN AGRICULTURE LIMITED	PLOT 151 GARDEN HILL MAKERERE UNIVERSITY MAIN CAMPUS PO BOX 7065 KAMPALA UG	2009-11-25	150,000	IN SUPPORT OF EFFORTS TO ENHANCE THE NATIONAL AND REGIONAL CAPACITY OF COUNTRIES IN EAST AND SOUTHERN AFRICA TO MANAGE TRANS-BOUNDARY ANIMAL DISEASES AND IMPROVE PASTORALISM IN THE REGION BY ESTABLISHING PLATFORMS FOR DIALOGUE, LEARNING AND DISEASE MANAGEMENT AND ENHANCING POLICY RESPONSIVENESS	150,000	NO	2/28/2011, 10/23/2012		
ROCKEFELLER ARCHIVE CENTER	15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	2011-12-13	200,000	IN SUPPORT OF A PROJECT, IN CONNECTION WITH THE ROCKEFELLER FOUNDATION'S CENTENNIAL IN 2013, TO DEVELOP A WEB-BASED MULTIMEDIA HISTORY OF THE FOUNDATION, AND MAKE ITS PRIMARY DOCUMENTS AND HISTORIC MATERIALS MORE BROADLY ACCESSIBLE TO THE DIVERSE COMMUNITIES OF RESEARCHERS AND EDUCATORS INTERESTED IN 20TH CENTURY HISTORY	200,000	NO	8/8/2012, 8/31/2012, 3/6/2013		
ROCKEFELLER ARCHIVE CENTER	15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	2012-08-15	50,000	IN SUPPORT OF A PROJECT, IN CONNECTION WITH THE ROCKEFELLER FOUNDATION'S CENTENNIAL IN 2013, TO DEVELOP A WEB-BASED MULTIMEDIA HISTORY OF THE FOUNDATION, AND MAKE ITS PRIMARY DOCUMENTS AND HISTORIC MATERIALS MORE BROADLY ACCESSIBLE TO THE DIVERSE COMMUNITIES OF RESEARCHERS AND EDUCATORS INTERESTED IN 20TH CENTURY HISTORY	50,000	NO	8/31/2012, 3/6/2013		
ROCKEFELLER ARCHIVE CENTER	15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	2012-10-11	89,850	IN SUPPORT OF A PROJECT, IN CONNECTION WITH THE ROCKEFELLER FOUNDATION'S CENTENNIAL IN 2013, TO DEVELOP A WEB-BASED MULTIMEDIA HISTORY OF THE FOUNDATION, AND MAKE ITS PRIMARY DOCUMENTS AND HISTORIC MATERIALS MORE BROADLY ACCESSIBLE TO THE DIVERSE COMMUNITIES OF RESEARCHERS AND EDUCATORS INTERESTED IN 20TH CENTURY HISTORY	57,038	NO	9/16/2013		
SA NODE OF THE MILLENNIUM PROJECT	PO BOX 1666 GALLO MANOR 2053 JOHANNESBURG SF	2010-09-02	75,000	IN SUPPORT OF A PROJECT TO CONDUCT TREND MONITORING AND HORIZON SCANNING ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTHERN AFRICA	75,000	NO	2/28/2011, 2/28/2012, 4/14/2012, 4/5/2013, 7/15/2013		

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SA NODE OF THE MILLENNIUM PROJECT	PO BOX 1666 GALLO MANOR 2052 JOHANNESBURG	2011-08-23	75,450	IN CONTINUED SUPPORT OF BUILDING AND MAINTAINING AN INTERNET-BASED INFORMATION REPOSITORY AND NETWORKING PLATFORM FOR POOR FORESIGHT - FORWARD LOOKING ANALYSIS THAT FOCUSES ON POOR AND VULNERABLE PEOPLE BY WAY OF ANTICIPATING LONG-TERM TRENDS THROUGH THE USE OF VARIOUS RESEARCH METHODOLOGIES	75,450	NO	2/8/2012, 10/11/2012		
SA NODE OF THE MILLENNIUM PROJECT	PO BOX 1666 GALLO MANOR 2052 JOHANNESBURG	2011-10-05	100,000	IN CONTINUED SUPPORT OF A PROJECT TO CONDUCT TREND MONITORING AND HORIZON SCANNING ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTHERN AFRICA	52,373	NO	7/12/2012, 7/27/2012, 4/5/2013		
SA NODE OF THE MILLENNIUM PROJECT	PO BOX 1666 GALLO MANOR 2052 JOHANNESBURG	2011-10-06	23,350	IN SUPPORT OF A PROJECT TO CONDUCT TREND MONITORING AND HORIZON SCANNING ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTHERN AFRICA	23,350	NO	2/28/2012, 4/14/2012, 4/5/2013, 7/15/2013		
SA NODE OF THE MILLENNIUM PROJECT	PO BOX 1666 GALLO MANOR 2052 JOHANNESBURG	2012-07-30	50,000	IN CONTINUED SUPPORT OF A PROJECT TO CONDUCT TREND MONITORING AND HORIZON SCANNING ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON SOUTHERN AFRICA	0	NO	4/5/2013		
SAMBODHI RESEARCH AND COMMUNICATIONS PVT LTD	0-2 SECOND AND THIRD FLOOR LAJPAT NAGAR-2 NEW DELHI 110 024 IN	2011-12-20	125,500	IN SUPPORT OF CONDUCTING MONITORING AND EVALUATION OF THE FOUNDATION'S SMART POWER FOR ENVIRONMENTALLY-SOUND ECONOMIC DEVELOPMENT INITIATIVE WORK IN INDIA, TO CAPTURE AND SHARE LESSONS LEARNED ABOUT THE FEASIBILITY AND REPLICABILITY OF OFF-GRID RENEWABLE ENERGY SOLUTIONS TO PROVIDE ELECTRIFICATION AND SPUR ECONOMIC GROWTH IN POOR RURAL COMMUNITIES, AND TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEEES	125,500	NO	5/15/2012, 7/16/2012, 8/9/2012, 12/14/2012, 8/22/2013, 9/6/2013		
SAMBODHI RESEARCH AND COMMUNICATIONS PVT LTD	0-2 SECOND AND THIRD FLOOR LAJPAT NAGAR-2 NEW DELHI 110 024 IN	2012-07-25	125,500	IN SUPPORT OF CONDUCTING MONITORING AND EVALUATION OF THE FOUNDATION'S SMART POWER FOR ENVIRONMENTALLY-SOUND ECONOMIC DEVELOPMENT INITIATIVE WORK IN INDIA, TO CAPTURE AND SHARE LESSONS LEARNED ABOUT THE FEASIBILITY AND REPLICABILITY OF OFF-GRID RENEWABLE ENERGY SOLUTIONS TO PROVIDE ELECTRIFICATION AND SPUR ECONOMIC GROWTH IN POOR RURAL COMMUNITIES, AND TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEEES	41,033	NO	8/9/2012, 12/14/2012, 8/22/2013, 9/6/2013		
SAMBODHI RESEARCH AND COMMUNICATIONS PVT LTD	0-2 SECOND AND THIRD FLOOR LAJPAT NAGAR-2 NEW DELHI 110 024 IN	2012-12-18	125,500	IN SUPPORT OF CONDUCTING MONITORING AND EVALUATION OF THE FOUNDATION'S SMART POWER FOR ENVIRONMENTALLY-SOUND ECONOMIC DEVELOPMENT INITIATIVE WORK IN INDIA, TO CAPTURE AND SHARE LESSONS LEARNED ABOUT THE FEASIBILITY AND REPLICABILITY OF OFF-GRID RENEWABLE ENERGY SOLUTIONS TO PROVIDE ELECTRIFICATION AND SPUR ECONOMIC GROWTH IN POOR RURAL COMMUNITIES, AND TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEEES	0	NO	8/22/2013, 9/6/2013		
SERVICE EMPLOYEES INTERNATIONAL UNION	1800 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036	2011-05-12	50,000	TOWARD THE COSTS OF PLANNING FOR THE CREATION OF A NEW HEALTHCARE DEVELOPMENT FINANCIAL INSTITUTION DEDICATED TO BUILDING THE CAPACITY OF HEALTHCARE SERVICE PROVIDERS IN LOW-INCOME COMMUNITIES WHILE EMPHASIZING THE CREATION OF GOOD JOBS AND IMPROVED CARE	50,000	NO	9/14/2011, 3/15/2012, 5/13/2013		
SERVICE EMPLOYEES INTERNATIONAL UNION	1800 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036	2011-09-26	300,000	TOWARD THE COSTS OF PLANNING FOR THE CREATION OF A NEW HEALTHCARE DEVELOPMENT FINANCIAL INSTITUTION DEDICATED TO BUILDING THE CAPACITY OF HEALTHCARE SERVICE PROVIDERS IN LOW-INCOME COMMUNITIES WHILE EMPHASIZING THE CREATION OF GOOD JOBS AND IMPROVED CARE	235,031	NO	3/15/2012, 5/13/2013		
SHOW OF FORCE LLC	430 EAST 10TH STREET SUITE 3A NEW YORK, NY 10009	2012-06-24	500,000	TOWARD THE COST OF ITS TRANSMEDIA PROJECT "HALF THE SKY," A MULTI-PLATFORM INITIATIVE TO ENGAGE AND EDUCATE A NEW, BROADER, YOUNGER, INTERNATIONAL AUDIENCE ON THE CHALLENGES TO WOMEN'S DAILY LIVES GLOBALLY	500,000	NO	7/10/2013		
SOCIAL FINANCE LIMITED	131-151 GREAT TITCHFIELD STREET LONDON W1W 5BB UK	2012-11-28	200,000	TOWARD THE COSTS OF A COLLABORATION WITH THE CENTER FOR GLOBAL DEVELOPMENT TO EXPLORE THE OPPORTUNITIES, CHALLENGES AND POTENTIAL APPLICATIONS OF THE SOCIAL IMPACT BOND INNOVATION TO THE INTERNATIONAL DEVELOPMENT CONTEXT IN ORDER TO SCALE SOLUTIONS TO PROBLEMS FACING POOR OR VULNERABLE COMMUNITIES GLOBALLY	200,000	NO	11/30/2012, 3/14/2013, 9/3/2013		
SOCIAL FINANCE INC	200 STATE STREET 14TH FLOOR BOSTON, MA 02109	2011-08-16	250,000	TOWARD THE COSTS OF DEVELOPING, CONDUCTING DUE DILIGENCE ON AND DISSEMINATING INFORMATION ABOUT THE SOCIAL IMPACT BOND INSTRUMENT EFFORT TO PROVIDE INNOVATIVE FINANCING SOLUTIONS TO PERSISTENT SOCIAL PROBLEMS FACING POOR AND VULNERABLE COMMUNITIES IN THE U S	250,000	NO	12/6/2011, 3/8/2012, 9/18/2012, 2/1/2013, 3/4/2013, 9/3/2013		
SOCIAL FINANCE INC	200 STATE STREET 14TH FLOOR BOSTON, MA 02109	2011-12-08	100,000	TOWARD THE COSTS OF DEVELOPING, CONDUCTING DUE DILIGENCE ON AND DISSEMINATING INFORMATION ABOUT THE SOCIAL IMPACT BOND INSTRUMENT EFFORT TO PROVIDE INNOVATIVE FINANCING SOLUTIONS TO PERSISTENT SOCIAL PROBLEMS FACING POOR AND VULNERABLE COMMUNITIES IN THE U S	100,000	NO	3/8/2012, 9/18/2012, 2/1/2013, 3/4/2013, 9/3/2013		
SOCIAL IMPACT PARTNERSHIP LP	42 PORTLAND PLACE LONDON W1B 1NB UK	2011-08-10	139,462	PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U K	0	NO	8/13/2012, 9/28/12, 11/5/12, 11/7/12, 9/28/12, 8/6/13, 9/26/13		
SOCIAL IMPACT PARTNERSHIP LP	42 PORTLAND PLACE LONDON W1B 1NB UK	2012-07-05	64,775	PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U K	0	NO	8/13/2012, 9/28/12, 11/5/12, 11/7/12, 9/28/12, 8/6/13, 9/26/13		



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STICHTING IRC INTERNATIONAL WATER AND SANITATION CENTRE	BEZUIDENHOUTSEWEG 2 2594 AV THE HAGUE NL	2012-06-20	115,360	FOR USE BY ITS MULTIPLE USE WATER SERVICE GROUP SECRETARIAT TO COORDINATE THE DESIGN AND DEVELOPMENT OF COMMUNICATIONS AND ADVOCACY MATERIALS THAT ENCOURAGE THE DEVELOPMENT OF WATER RESOURCES FOR POOR AND VULNERABLE PEOPLE, AND CONVENE A "MULTIPLE USE WATER SERVICES EXPERT ROUNDTABLE," TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, FALL 2012	115,360	NO	10/17/2012, 1/25/2013, 8/16/2013		
STICHTING IRC INTERNATIONAL WATER AND SANITATION CENTRE	BEZUIDENHOUTSEWEG 2 2594 AV THE HAGUE NL	2012-11-13	28,547	FOR USE BY ITS MULTIPLE USE WATER SERVICE GROUP SECRETARIAT TO COORDINATE THE DESIGN AND DEVELOPMENT OF COMMUNICATIONS AND ADVOCACY MATERIALS THAT ENCOURAGE THE DEVELOPMENT OF WATER RESOURCES FOR POOR AND VULNERABLE PEOPLE, AND CONVENE A "MULTIPLE USE WATER SERVICES EXPERT ROUNDTABLE," TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, FALL 2012	28,547	NO	1/25/2013, 8/16/2013		
STICHTING MEDICAL CREDIT FUND	PIETERSBERGWEG 17 TRINITY BUILDING C AMSTERDAM 1105 BM NL	2011-06-20	100,000	IN SUPPORT OF A FEASIBILITY STUDY TO ASSESS THE TECHNICAL ASSISTANCE AND BUSINESS NEEDS OF MIDWIVES IN GHANA IN AN EFFORT TO INFORM A REDUCTION IN MATERNAL AND INFANT MORTALITY - GRANT CANCELLED IN PART AMOUNT EXPENDED REFLECTS \$96,495 IN EXPENDITURES BY THE GRANTEE AND \$3,505 IN FUNDS RETURNED TO THE FOUNDATION	100,000	NO	1/26/2012, 2/29/2012, 5/16/2013		
STRATEGIC FORESIGHT GROUP	C-306 MONTANA LOKHANDWALA COMPLEX MUMBAI 400 053 IN	2012-07-04	50,000	TOWARD THE COSTS OF A PROJECT TO CONDUCT TREND MONITORING AND HORIZON SCANNING RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON INDIA, BANGLADESH, INDONESIA, THAILAND AND VIETNAM	50,000	NO	4/16/2013, 4/17/2013		
STRATEGIC FORESIGHT GROUP	C-306 MONTANA LOKHANDWALA COMPLEX MUMBAI 400 053 IN	2012-09-20	52,400	TOWARD THE COSTS OF A PROJECT TO CONDUCT TREND MONITORING AND HORIZON SCANNING RESEARCH ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT, FOCUSED ON INDIA, BANGLADESH, INDONESIA, THAILAND, VIETNAM, AND MIDDLE EAST COUNTRIES	52,400	NO	10/18/2012		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2010-11-23	300,000	IN SUPPORT OF ASSISTING THREE CITIES IN INDIA (SURAT, INDORE, AND GORAKHPUR) TO PLAN AND IMPLEMENT CLIMATE RESILIENCE PROJECTS, BY BUILDING THE CAPACITY OF CITY PARTNERS AND OTHER ACTORS IN THOSE CITIES TO UNDERTAKE URBAN CLIMATE RESILIENCE WORK, PARTNER WITH NATIONAL, STATE, AND LOCAL GOVERNMENTS TO INITIATE REFORMS THAT SUPPORT URBAN CLIMATE RESILIENCE IN INDIA, AND DOCUMENT METHODOLOGIES AND LESSONS LEARNED AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	300,000	NO	5/31/2011, 12/2/2011, 6/1/2012, 1/7/2013, 6/13/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2010-12-21	100,000	IN COLLABRATION WITH A RANGE OF CITY-BASED STAKEHOLDERS AND ORGANIZATIONS IN SUPPORT OF DEVELOPING AND DEMONSTRATING A RANGE OF MODELS FOR COST EFFECTIVE, RELIABLE, DECENTRALIZED URBAN WATER MANAGEMENT THAT ARE DRIVEN BY DIFFERENTIATED END-USE DEMANDS IN INDORE CITY, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	100,000	NO	6/2/2011, 6/3/2011, 12/2/2011, 6/11/2012, 12/11/2012, 7/1/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2010-12-21	250,000	IN SUPPORT OF MODELING AN END-TO-END EARLY WARNING SYSTEM THAT WILL HELP IMPROVE THE MANAGEMENT OF RESERVOIR RELEASES AND REDUCE THE INTENSITY OF FLOODS AND RESULTANT DAMAGE IN THE CITY OF SURAT, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	83,539	NO	6/2/2011, 12/2/2011, 5/31/2012, 6/3/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2011-12-12	100,000	IN COLLABRATION WITH A RANGE OF CITY-BASED STAKEHOLDERS AND ORGANIZATIONS IN SUPPORT OF DEVELOPING AND DEMONSTRATING A RANGE OF MODELS FOR COST EFFECTIVE, RELIABLE, DECENTRALIZED URBAN WATER MANAGEMENT THAT ARE DRIVEN BY DIFFERENTIATED END-USE DEMANDS IN INDORE CITY, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	56,035	NO	6/11/2012, 12/11/2012, 7/1/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2011-12-12	200,000	IN SUPPORT OF MODELING AN END-TO-END EARLY WARNING SYSTEM THAT WILL HELP IMPROVE THE MANAGEMENT OF RESERVOIR RELEASES AND REDUCE THE INTENSITY OF FLOODS AND RESULTANT DAMAGE IN THE CITY OF SURAT, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	0	NO	5/31/2012, 6/3/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2011-12-12	275,420	IN SUPPORT OF ASSISTING THREE CITIES IN INDIA (SURAT, INDORE, AND GORAKHPUR) TO PLAN AND IMPLEMENT CLIMATE RESILIENCE PROJECTS, BY BUILDING THE CAPACITY OF CITY PARTNERS AND OTHER ACTORS IN THOSE CITIES TO UNDERTAKE URBAN CLIMATE RESILIENCE WORK, PARTNER WITH NATIONAL, STATE, AND LOCAL GOVERNMENTS TO INITIATE REFORMS THAT SUPPORT URBAN CLIMATE RESILIENCE IN INDIA, AND DOCUMENT METHODOLOGIES AND LESSONS LEARNED AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	275,420	NO	6/1/2012, 1/7/2013, 6/13/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2011-12-21	64,750	IN SUPPORT OF AN EXPLORATORY STUDY TO ASSESS EXISTING CONDITIONS OF WET MARKETS IN URBAN AREAS OF INDORE AND SURAT CITIES IN INDIA, AND PROVIDE RECOMMENDATIONS ON PROCEDURES FOR CREATING SAFE WET MARKETS IN THE CONTEXT OF ACCELERATING URBANIZATION AND CLIMATE CHANGE	64,750	NO	6/11/2012, 11/15/2012, 12/20/2012		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2011-12-21	150,000	IN SUPPORT OF ENHANCING THE CLIMATE CHANGE RESILIENCE OF THE CITY OF INDORE, INDIA THROUGH THE ESTABLISHMENT OF AN INTEGRATED VECTOR- AND WATER-BORNE DISEASE SURVEILLANCE AND RESPONSE SYSTEM TO HELP COPE WITH PROJECTED INCREASES IN VECTOR DISEASES DUE TO INCREASING TEMPERATURES AND MORE INTENSE PRECIPITATION, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	31,271	NO	6/11/2012, 2/19/2013, 6/3/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2012-08-12	3,000	IN SUPPORT OF AN EXPLORATORY STUDY TO ASSESS EXISTING CONDITIONS OF WET MARKETS IN URBAN AREAS OF INDORE AND SURAT CITIES IN INDIA, AND PROVIDE RECOMMENDATIONS ON PROCEDURES FOR CREATING SAFE WET MARKETS IN THE CONTEXT OF ACCELERATING URBANIZATION AND CLIMATE CHANGE	3,000	NO	11/15/2012, 12/20/2012		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2012-08-12	59,900	IN SUPPORT OF MODELING AN END-TO-END EARLY WARNING SYSTEM THAT WILL HELP IMPROVE THE MANAGEMENT OF RESERVOIR RELEASES AND REDUCE THE INTENSITY OF FLOODS AND RESULTANT DAMAGE IN THE CITY OF SURAT, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	0	NO	6/3/2013		

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TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2012-09-12	400,000	IN SUPPORT OF A PROJECT TO IMPROVE INDOOR THERMAL COMFORT AND REDUCE ENERGY DEMANDS FOR LOW-INCOME HOUSEHOLDS IN THE INDIAN CITIES OF INDORE AND SURAT BY IDENTIFYING, PILOTING AND MAINSTREAMING COOL ROOF AND PASSIVE VENTILATION TECHNOLOGIES TO A RANGE OF URBAN BUILDINGS, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	72,867	NO	6/3/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2012-09-13	400,000	IN SUPPORT OF CREATING A CLIMATE AND HEALTH CENTER OF EXCELLENCE IN SURAT, INDIA TO DEVELOP AND SHARE AN EVIDENCE BASE OF PRACTICE REGARDING THE DELIVERY OF EFFECTIVE HEALTH SERVICES THAT BUILD RESILIENCE TO CLIMATE RELATED IMPACTS ON HEALTH FOR URBAN POOR COMMUNITIES, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	42,341	NO	5/13/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2012-10-23	223,951	IN SUPPORT OF A PROJECT TO ENSURE AVAILABILITY OF LOCAL WATER RESOURCES, ESPECIALLY DURING EMERGENCY DROUGHT SITUATIONS, BY DEVELOPING A REPLICABLE MODEL FOR PERI-URBAN LAKE REJUVENATION AND CONSERVATION IN THE WATER-SCARCE CITY OF INDORE, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	8,757	NO	7/1/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2012-11-19	250,000	IN SUPPORT OF ASSISTING THREE CITIES IN INDIA (SURAT, INDORE, AND GORAKHPUR) TO PLAN AND IMPLEMENT CLIMATE RESILIENCE PROJECTS, BY BUILDING THE CAPACITY OF CITY PARTNERS AND OTHER ACTORS IN THOSE CITIES TO UNDERTAKE URBAN CLIMATE RESILIENCE WORK, PARTNER WITH NATIONAL, STATE, AND LOCAL GOVERNMENTS TO INITIATE REFORMS THAT SUPPORT URBAN CLIMATE RESILIENCE IN INDIA, AND DOCUMENT METHODOLOGIES AND LESSONS LEARNED AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	244,922	NO	1/7/2013, 6/13/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2012-12-16	39,850	IN COLLABRATION WITH A RANGE OF CITY-BASED STAKEHOLDERS AND ORGANIZATIONS IN SUPPORT OF DEVELOPING AND DEMONSTRATING A RANGE OF MODELS FOR COST EFFECTIVE, RELIABLE, DECENTRALIZED URBAN WATER MANAGEMENT THAT ARE DRIVEN BY DIFFERENTIATED END-USE DEMANDS IN INDORE CITY, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	0	NO	7/1/2013		
TARU LEADING EDGE PRIVATE LIMITED	424 QUTUB PLAZA DLF CITY PHASE I GURGAON, HARYANA 122002 IN	2012-12-19	15,000	IN SUPPORT OF ENHANCING THE CLIMATE CHANGE RESILIENCE OF THE CITY OF INDORE, INDIA THROUGH THE ESTABLISHMENT OF AN INTEGRATED VECTOR- AND WATER-BORNE DISEASE SURVEILLANCE AND RESPONSE SYSTEM TO HELP COPE WITH PROJECTED INCREASES IN VECTOR DISEASES DUE TO INCREASING TEMPERATURES AND MORE INTENSE PRECIPITATION, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	0	NO	2/19/2013, 6/3/2013		
TCC GROUP INC	31 WEST 27TH STREET 4TH FLOOR NEW YORK, NY 10001	2012-04-03	100,000	IN SUPPORT OF AN EVALUATION OF THE FOUNDATION'S PROMOTING EQUITABLE AND SUSTAINABLE TRANSPORTATION INITIATIVE, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	100,000	NO	3/6/2012, 9/18/2012, 3/13/2013, 4/1/2013, 4/4/2013		
TCC GROUP INC	31 WEST 27TH STREET 4TH FLOOR NEW YORK, NY 10001	2012-06-24	100,000	IN SUPPORT OF AN EVALUATION OF THE FOUNDATION'S PROMOTING EQUITABLE AND SUSTAINABLE TRANSPORTATION INITIATIVE, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	100,000	NO	9/18/2012, 3/13/2013, 4/1/2013, 4/4/2013		
TCC GROUP INC	31 WEST 27TH STREET 4TH FLOOR NEW YORK, NY 10001	2012-12-03	30,000	IN SUPPORT OF AN EVALUATION OF THE FOUNDATION'S PROMOTING EQUITABLE AND SUSTAINABLE TRANSPORTATION INITIATIVE, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	30,000	NO	3/13/2013, 4/1/2013, 4/4/2013		
THAI FUND FOUNDATION	2044/23 NEW PETCHBURI ROAD HUAYKWANG BANGKAPI BANGKOK 10310 TH	2010-11-23	35,240	IN SUPPORT OF DEVELOPING AN ACCREDITED PROGRAM TO TRAIN FUNDRAISERS AT NON-PROFIT ORGANIZATIONS IN THAILAND IN ORDER TO STRENGTHEN THEIR CAPACITY TO MOBILIZE RESOURCES AND ENSURE SUSTAINABILITY	35,240	NO	10/17/2011, 6/7/2012, 2/15/2013		
THE NIGERIA ICT FORUM OF PARTNERSHIP INSTITUTIONS	25 LIBREVILLE STREET OFF AMINU KANO CRESCENT WUSE II ABUJA NI	2012-04-18	200,000	TOWARD THE COSTS OF CONTINUED OPERATION OF THE BANDWIDTH CONSORTIUM, AN INITIATIVE PROVIDING LOW-COST BANDWIDTH AND INTERNET ACCESS FOR PARTICIPATING AFRICAN INSTITUTIONS OF HIGHER EDUCATION, INCLUDING MAINTAINING ONGOING SERVICE, MANAGING SUBSIDIES, FACILITATING GROWTH OF THE NETWORK AND EXAMINING LONG-TERM SUSTAINABILITY OPTIONS	200,000	NO	1/19/2012		
THE UNIVERSALIA MANAGEMENT GROUP LIMITED	5252 BLVD DE MAISONNEUVE SUITE 310 MONTREAL, QC H4A 3S5 CA	2012-12-10	100,000	IN SUPPORT OF MONITORING AND EVALUATING THE FOUNDATION'S CENTENNIAL INITIATIVE, IN AN EFFORT TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	29,894	NO	3/6/2013, 6/3/2013, 9/24/2013		
VENTURE CAPITAL TRUST FUND	12TH FLOOR PREMIER TOWERS MINISTRIE ACCRA GH	2012-05-14	100,000	TOWARD THE COSTS OF RESEARCHING THE POLICY BARRIERS AND ENABLING ENVIRONMENT FOR IMPACT INVESTING IN GHANA AND ESTABLISHING THE GHANA INSTITUTE FOR RESPONSIBLE INVESTING TO CREATE POSITIVE BENEFITS FOR POOR AND VULNERABLE COMMUNITIES IN GHANA	85,406	NO	4/25/2013		
VERULAM ASSOCIATES LTD	PEAR TREE COTTAGE 45 NETHERTON LANE FYFIELD ABINGDON OX3 5LS UK	2012-02-08	180,000	IN SUPPORT OF AN EVALUATION OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK COMPONENT OF THE FOUNDATION'S BUILDING CLIMATE CHANGE RESILIENCE INITIATIVE, TO CAPTURE AND SHARE LESSONS LEARNED ABOUT THE RELEVANCE, EFFECTIVENESS, EFFICIENCY, INFLUENCE, IMPACT AND SUSTAINABILITY OF THE EFFORT, AND TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	180,000	NO	1/26/2012, 7/23/2012, 3/5/2013, 3/8/2013		
VERULAM ASSOCIATES LTD	PEAR TREE COTTAGE 45 NETHERTON LANE FYFIELD ABINGDON OX3 5LS UK	2012-07-30	250,000	IN CONTINUED SUPPORT OF THE MONITORING AND EVALUATION OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK COMPONENT OF THE FOUNDATION'S BUILDING CLIMATE CHANGE RESILIENCE INITIATIVE, TO DEEPEN THE LEVEL OF MONITORING ACTIVITIES, BUILD THE CAPACITY OF GRANTEES TO MORE EFFECTIVELY MONITOR THEIR OWN WORK AND FEED INTO THE LARGER DIALOGUE AROUND URBAN RESILIENCE TO CLIMATE CHANGE, AND FOR A SUMMATIVE EVALUATION OF THE INITIATIVE	92,456	NO	3/4/2013, 7/19/2013		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
VERULAM ASSOCIATES LTD	PEAR TREE COTTAGE 45 NETHERTON LANE FYFIELD ABINGDON OX3 5LS UK	2012-12-17	50,000	IN CONTINUED SUPPORT OF THE MONITORING AND EVALUATION OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK COMPONENT OF THE FOUNDATION'S BUILDING CLIMATE CHANGE RESILIENCE INITIATIVE, TO DEEPEN THE LEVEL OF MONITORING ACTIVITIES, BUILD THE CAPACITY OF GRANTEES TO MORE EFFECTIVELY MONITOR THEIR OWN WORK AND FEED INTO THE LARGER DIALOGUE AROUND URBAN RESILIENCE TO CLIMATE CHANGE, AND FOR A SUMMATIVE EVALUATION OF THE INITIATIVE	0	NO	3/4/2013, 7/19/2013		
VERULAM ASSOCIATES LTD	PEAR TREE COTTAGE 45 NETHERTON LANE FYFIELD ABINGDON OX3 5LS UK	2012-12-17	180,000	IN SUPPORT OF AN EVALUATION OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK COMPONENT OF THE FOUNDATION'S BUILDING CLIMATE CHANGE RESILIENCE INITIATIVE, TO CAPTURE AND SHARE LESSONS LEARNED ABOUT THE RELEVANCE, EFFECTIVENESS, EFFICIENCY, INFLUENCE, IMPACT AND SUSTAINABILITY OF THE EFFORT, AND TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE FOUNDATION AND AMONG ITS GRANTEES	1,350	NO	3/5/2013, 3/8/2013, 7/19/2013		
VILLGRO INNOVATIONS FOUNDATION	3RD FLOOR IITM RESEARCH PARK KANAGAM ROAD THARAMANI CHENNAI 600113 IN	2012-12-16	200,000	TOWARD THE COSTS OF ITS SOCIAL ENTREPRENEUR AND ENTERPRISE DEVELOPMENT (SEED) PROGRAM, WHICH TRAINS EARLY-STAGE SOCIAL ENTREPRENEURS TO REFINE THEIR BUSINESS MODEL AND SCALE THEIR SOCIAL IMPACT TO CREATE POSITIVE BENEFITS FOR POOR OR VULNERABLE PEOPLE IN INDIA	0	NO	5/31/2013		
WHAT WOULD JANE DO LLC	205 MULBERRY STREET NEW YORK, NY 10012	2011-12-22	750,000	TOWARD THE COSTS OF "WHAT WOULD JANE DO?" - A DOCUMENTARY FILM FOCUSING ON THE LIFE AND CONTINUED GLOBAL RELEVANCE OF JANE JACOBS AND HER WORK	314,686	NO	1/31/2013, 2/14/2013		
WILLIAM A HASELTINE CHARITABLE FOUNDATION	3053 P STREET NW WASHINGTON, DC 20007	2010-11-02	285,100	FOR USE BY ITS ACCESS HEALTH INITIATIVE IN SUPPORT OF HEALTH MARKET RESEARCH ACTIVITIES IN SOUTH ASIA AND CONTRIBUTIONS TO A GLOBAL NETWORK WORKING TO SYSTEMATICALLY DOCUMENT AND ANALYZE HEALTH MARKET INNOVATIONS IN THE DEVELOPING WORLD, AND CONVENING A "JOINT LEARNING WORKSHOP MOVING TOWARD UNIVERSAL HEALTH COVERAGE," TO BE HELD IN HYDERABAD, INDIA, FEBRUARY 2010	285,100	NO	5/31/2011, 9/1/2011, 3/14/12, 3/26/2013		
WINTERHOUSE	150 DEEPWOOD DRIVE HAMDEN, CT 065173452	2012-03-05	20,000	IN SUPPORT OF EFFORTS TO DEVELOP COLLECTIVE ACTION AND COLLABORATION IN HUMAN-CENTERED DESIGN FOR SOCIAL IMPACT ACROSS THE DESIGN INDUSTRY AND INSTITUTIONS THAT WORK ON THE NEEDS OF POOR OR VULNERABLE PEOPLE	20,000	NO	1/3/2012		
WORLD FOOD PRIZE FOUNDATION	666 GRAND AVENUE SUITE 1700 DES MOINES, IA 50309	2011-10-11	1,000,000	TO ENDOW AN ANNUAL AWARD IN HONOR OF DR NORMAN E BORLAUG RECOGNIZING AN OUTSTANDING YOUNG FIELD RESEARCH SCIENTIST OR EXTENSION WORKER IN AGRICULTURAL DEVELOPMENT, AND TO CONTRIBUTE TO THE BORLAUG DIALOGUE INTERNATIONAL SYMPOSIUM OVER THE NEXT 10 YEARS	35,000	NO	1/6/2012, 1/19/2012, 3/16/2012, 2/4/2013		
WORLD FOOD PRIZE FOUNDATION	666 GRAND AVENUE SUITE 1700 DES MOINES, IA 50309	2012-03-29	250,000	TO ENDOW AN ANNUAL AWARD IN HONOR OF DR NORMAN E BORLAUG RECOGNIZING AN OUTSTANDING YOUNG FIELD RESEARCH SCIENTIST OR EXTENSION WORKER IN AGRICULTURAL DEVELOPMENT, AND TO CONTRIBUTE TO THE BORLAUG DIALOGUE INTERNATIONAL SYMPOSIUM OVER THE NEXT 10 YEARS	0	NO	2/4/2013		

TY 2012 General Explanation Attachment

**Name:** THE ROCKEFELLER FOUNDATION  
**EIN:** 13-1659629

Identifier	Return Reference	Explanation
GRANT APPLICATION SUBMISSION INFORMATION	990PF, PAGE 10, PART XV, LINES 2A THROUGH 2D	<p>(A) WE ASK PROSPECTIVE APPLICANTS TO DETERMINE IF THEIR ORGANIZATION'S PROJECT ALIGNS WITH THE FOUNDATION'S PROGRAMMATIC GOALS BY VISITING <a href="http://WWW.ROCKEFELLERFOUNDATION.ORG">WWW.ROCKEFELLERFOUNDATION.ORG</a> THE FOUNDATION INVITES INQUIRIES VIA A PORTAL ON OUR WEB SITE AT <a href="http://WWW.ROCKEFELLERFOUNDATION.ORG">WWW.ROCKEFELLERFOUNDATION.ORG</a>, AND PEOPLE AND ORGANIZATIONS WHO SUBMIT A WRITTEN INQUIRY ARE REDIRECTED TO THAT PORTAL (B) APPLICANTS ARE ASKED TO FILL IN THE ON-LINE INQUIRY FORM ON THE FOUNDATION'S WEB SITE AT <a href="http://WWW.ROCKEFELLERFOUNDATION.ORG">WWW.ROCKEFELLERFOUNDATION.ORG</a>, IN ORDER FOR THE FOUNDATION TO MAKE AN INITIAL ASSESSMENT OF INTEREST APPLICANTS TO THE BELLAGIO CENTER, ITALY, ARE ASKED TO APPLY ON LINE AT <a href="http://WWW.ROCKEFELLERFOUNDATION.ORG">WWW.ROCKEFELLERFOUNDATION.ORG</a> (C) IN 2012, THERE WERE NO SPECIFIC SUBMISSION DEADLINES FOR THE FOUNDATION'S PROGRAMS, OTHER THAN FOR THE BELLAGIO PROGRAM AND FOR THE NEW YORK CITY CULTURAL INNOVATION FUND, WHICH IS A PERIODIC COMPETITIVE PROGRAM THAT BEGAN IN 2007 IN ADDITION, FROM TIME TO TIME, REQUESTS FOR PROPOSALS WERE SENT OUT WITH SPECIFIC DEADLINES TO A SELECT GROUP OF PROSPECTIVE GRANTEES FOR PARTICULAR PROJECTS (D) THE ROCKEFELLER FOUNDATION DEVELOPS ITS PROGRAMS, STRATEGIES AND APPROACHES DESIGNED TO HAVE AN IMPACT ON A PARTICULAR SET OF ISSUES OR CHALLENGES THROUGH A CONSULTATIVE AND COLLABORATIVE PROCESS WE ENGAGE A VARIETY OF GROUPS, INCLUDING SUBJECT MATTER EXPERTS, POLICY MAKERS AND REPRESENTATIVES OF LOCAL COMMUNITIES TO FULLY UNDERSTAND A GIVEN CHALLENGE OR OPPORTUNITY, INCLUDING THE ECONOMIC, POLITICAL OR SOCIAL CONTEXT IN WHICH IT RESIDES IN THE COURSE OF PROGRAM DEVELOPMENT, THE FOUNDATION IDENTIFIES AND CONSIDERS ORGANIZATIONS THAT WE BELIEVE ARE BEST POSITIONED TO IMPLEMENT DEFINED COMPONENTS OF A PROGRAM THIS PROCESS HELPS DETERMINE THE ORGANIZATIONS THAT THE FOUNDATION WILL PROVIDE GRANTS TO, AND WE WILL THEN REQUEST PROJECT PROPOSALS FROM THOSE ORGANIZATIONS IN ORDER TO FOCUS AND MAXIMIZE THE IMPACT OF OUR GRANTMAKING, WE RARELY PROVIDE FUNDING IN RESPONSE TO UNSOLICITED PROPOSALS AS A MATTER OF POLICY, THE FOUNDATION DOES NOT GIVE OR LEND MONEY FOR PERSONAL AID TO INDIVIDUALS OR, EXCEPT IN RARE CASES, FUND ENDOWMENTS, OR CONTRIBUTE TO BUILDING AND OPERATING FUNDS THE FOUNDATION TODAY TRANSLATES ITS 1913 MISSION OF PROMOTING THE WELL-BEING OF HUMANITY INTO TWO OVERARCHING GOALS EXPANDING OPPORTUNITY THROUGH EQUITABLE GROWTH, AND STRENGTHENING RESILIENCE TO ACUTE CRISES AND CHRONIC STRESSES, WHETHER MANMADE, ECOLOGICAL, OR BOTH THE FOUNDATION'S VISION IS A WORLD IN WHICH GLOBALIZATION'S BENEFITS ARE MORE WIDELY SHARED AND THE INEVITABLE CHALLENGES THAT ACCOMPANY THIS MUCH DYNAMISM AND VOLATILITY ARE MORE EASILY WEATHERED THE FOUNDATION'S APPROACH TODAY IS BASED ON THE NEED TO FOSTER INNOVATIONS AND SHIFT PARADIGMS IN ORDER TO EXPAND OPPORTUNITY AND TO STRENGTHEN RESILIENCE FOR POOR AND/OR VULNERABLE PEOPLE, ORIENTED AROUND THE FOLLOWING FOUR FOCUS AREAS (1) REVALUE ECOSYSTEMS PROMOTING NATURE'S CAPACITY TO SUSTAIN HUMAN WELLBEING AND MEET GROWING DEMANDS, (2) ADVANCE HEALTH OVERCOMING EMERGING CHALLENGES AND ENDURING BARRIERS TO BETTER NUTRITION AND HEALTHIER LIVES, (3) SECURE LIVELIHOODS EXPANDING OPPORTUNITY AND PROMOTING INCLUSIVE MARKETS IN THE CHANGING GLOBAL ECONOMY, AND (4) TRANSFORM CITIES CATALYZING EQUITY AND RESILIENCE IN THE WORLD'S MOST DYNAMIC PLACES CURRENT INITIATIVES, AS WELL AS AREAS BEING EXPLORED FOR FUTURE INITIATIVES, ARE DESCRIBED ON THE FOUNDATION'S WEB SITE AT <a href="http://WWW.ROCKEFELLERFOUNDATION.ORG">WWW.ROCKEFELLERFOUNDATION.ORG</a>, WHICH IS CONTINUALLY UPDATED INITIATIVES THAT WERE ACTIVE DURING 2012 INCLUDED THE ALLIANCE FOR A GREEN REVOLUTION IN AFRICA, DISEASE SURVEILLANCE NETWORKS, BUILDING CLIMATE CHANGE RESILIENCE, TRANSFORMING HEALTH SYSTEMS, HARNESSING THE POWER OF IMPACT INVESTING, AND TRANSPORTATION POLICY THE FOUNDATION ALSO ACTIVELY ENGAGES IN EXPLORATIONS OF POSSIBLE NEW INITIATIVES, WHICH ARE ALSO DESCRIBED ON THE WEBSITE THE FOUNDATION ALSO OPERATES A CONFERENCE AND STUDY PROGRAM AT ITS BELLAGIO CENTER IN ITALY</p>

Identifier	Return Reference	Explanation
EXPENDITURE RESPONSIBILITY GRANTS AND PRIS	990PF, PART VII-B, LINE 5(C)	<p>THE FOLLOWING TEXT RELATES TO THE STATEMENT 21, THE EXPENDITURE RESPONSIBILITY STATEMENT, IN RESPONSE TO PART VII-B, LINE 5C PROSPECTIVE GRANTEEES ARE ASKED TO FURNISH INFORMATION IN ORDER TO ENABLE THE FOUNDATION TO DETERMINE WHETHER THEY HAVE RECEIVED A DETERMINATION OF 501(C)(3) STATUS FROM THE U S INTERNAL REVENUE SERVICE OR MAY BE CONSIDERED THE EQUIVALENT OF A TAX-EXEMPT CHARITY, IF FORMED OUTSIDE THE UNITED STATES PROSPECTIVE GRANTEEES WITH RESPECT TO WHOM THE FOUNDATION IS BELIEVED TO HAVE "EXPENDITURE RESPONSIBILITY" OBLIGATIONS ARE INFORMED BY LETTER, BEFORE PAYMENT IS MADE ON A GRANT, OF THE RESPONSIBILITIES IMPOSED UPON THE FOUNDATION AND ITS GRANTEEES BY THE INTERNAL REVENUE CODE OF 1986, AS AMENDED EACH GRANTEE MUST AGREE IN WRITING TO THOSE TERMS AND CONDITIONS, INCLUDING THE OBLIGATION TO PROVIDE FINANCIAL AND SUBSTANTIVE REPORTS ON HOW FOUNDATION GRANT FUNDS ARE EXPENDED THE REPORTS THAT ARE RECEIVED ARE REVIEWED FOR GENERAL ACCURACY, SUFFICIENCY AND COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT ADDITIONAL INFORMATION IS REQUESTED FROM GRANTEEES IN INSTANCES WHERE REPORTS ARE CONSIDERED INADEQUATE WHERE THE FOUNDATION HAS NOT RECEIVED FINANCIAL OR SUBSTANTIVE REPORTS FROM A GRANTEE WHEN EXPECTED, FOUNDATION STAFF FOLLOW UP IN SEVERAL WAYS, INCLUDING REMINDERS THAT ARE SENT AT REGULAR INTERVALS AFTER REPORTS ARE EXPECTED AND PERSONAL FOLLOW-UP BY PROGRAM AND GRANTS MANAGEMENT STAFF THROUGH TELEPHONE, MAIL AND E-MAIL THE FOLLOWING STATEMENT LISTS GRANT AND PROGRAM-RELATED INVESTMENT EXPENDITURES WITH RESPECT TO WHICH THE FOUNDATION HAS EXERCISED EXPENDITURE RESPONSIBILITY WHERE THE GRANTEE'S NAME IS FOLLOWED BY AN ASTERISK (*), THE GRANTEE ORGANIZATION IS EITHER A PRIVATE FOUNDATION, A PRIVATE OPERATING FOUNDATION, OR A FOREIGN PRIVATE-FOUNDATION EQUIVALENT ALL OTHER GRANTEEES ARE NON-501(C)(3) ORGANIZATIONS OR ORGANIZATIONS FOR WHICH THE FOUNDATION HAS NOT MADE A REASONABLE DETERMINATION OF 501 (C)(3) EQUIVALENCY STATUS THAT RECEIVED GRANTS FOR CHARITABLE PROJECTS AND MAINTAINED PAYMENTS IN SEGREGATED FUNDS AS REQUIRED BY TREASURY REG 53 4945-6(C) THE DATES OF THE REPORTS SHOWN ARE EITHER FINANCIAL REPORTS OR NARRATIVE REPORTS IF A PARTICULAR GRANT EXPENDITURE IS SHOWN TO HAVE BEEN FULLY EXPENDED BY THE GRANTEE BUT THE FOUNDATION HAS NOT YET RECEIVED ONE OR MORE NARRATIVE REPORTS, THE FOUNDATION WILL REPORT ON THE STATUS OF THE EXPENDITURE ON OUR 2013 990-PF UNLESS OTHERWISE NOTED, THE REPORTS RECEIVED WERE FOUND TO BE IN ORDER AND TO SHOW THAT THE FUNDS WERE FULLY EXPENDED FOR THE PURPOSE OF THE GRANT REPORTING STATUS IS AS OF 10/15/13</p>

TY 2012 Investments Corporate  
Stock Schedule

Name: THE ROCKEFELLER FOUNDATION  
EIN: 13-1659629

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACC LIMITED	682,249	682,249
ACS ACTIVIDADES CO EUR0.5	2,936,973	2,936,973
ACTUANT CORP	364,923	364,923
AEROPOSTALE INC	302,678	302,678
AFRICA OIL CORP	963,872	963,872
AFRICAN PETROLEUM CORP LTD NPV	1,336,724	1,336,724
AIXTRON SE	348,185	348,185
AMAZON.COM INC	630,687	630,687
AMDOCS LTD COM	1,634,783	1,634,783
AMERICA MOVIL SAB DE CV ADR	2,077,972	2,077,972
AMERICAN EQUITY INVESTMENT LIF	267,277	267,277
AMERICAN REPROGRAPHICS CO	85,248	85,248
ANIXTER INTERNATIONAL INC	317,341	317,341
ANSYS INC	6,263	6,263
ARCOS DORADOS HOLDINGS INC	518,490	518,490
ASPEN INSURANCE HOLDINGS LIMITED SHS	320,800	320,800
AXIS BANK LTD	1,203,291	1,203,291
BANCO BRADESCO SA PFD	1,344,585	1,344,585
BANK NEGARA INDONESIA PERSERO TBK PT	694,312	694,312
BANK RAKYAT INDONESIA PERSERO	579,075	579,075
BBCN BANCORP INC	351,439	351,439
BECTON DICKINSON AND CO	2,165,863	2,165,863
BEIJING ENTERPRISE HLDGS H SHS ORD HKD0.10	514,718	514,718
BK MANDIRI IDR500	492,512	492,512
BLACKBAUD INC	798,525	798,525
BRADY CORP	436,705	436,705
BRAMBLES LTD NPV	1,661,976	1,661,976
BROWN & BROWN INC	828,647	828,647
CATHAY FINANCIAL HOLDING CO	4,302	4,302
CELLTRION INC KRW1000	392,455	392,455

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CEMEX SAB DE CV ADR	3,341,173	3,341,173
CH ROBINSON WORLDWIDE INC	601,918	601,918
CHARIOT OIL & GAS LTD ORD GBP0.01	66,275	66,275
CHEIL INDUSTRIES KSWN5000	994,288	994,288
CHESAPEAKE ENERGY CORP	3,506,820	3,506,820
CHEUNG KONG(HLDGS) HK\$0.50	3,132,044	3,132,044
CHINA LIFE INS TWD10	1,883,517	1,883,517
CHINA MOBILE LTD	4,389,744	4,389,744
CHINA PETROLEUM AND CHEMICAL CORP (SINOPEC) 'H' CNY1	985,518	985,518
CHINA RAILWAY CONSTRUCTION CORP 'H'CNY1	111,960	111,960
CHINA RESOURCES ENTERPRISE ORD HK\$1	951,999	951,999
CHINA TAIPING INS HLDGS CO LTD	662,366	662,366
CIA ENERGETICA DE MINAS GERAIS PFD	521,329	521,329
CIMB GROUP HOLDINGS BERHAD MYR1	1,127,284	1,127,284
CIRCOR INTERNATIONAL INC	248,625	248,625
CITRIX SYSTEMS INC	900,897	900,897
CNH GLOBAL NV SHS NEW	1,321,512	1,321,512
CNOOC LTD HKD0.02	1,376,892	1,376,892
COLGATE-PALMOLIVE CO	729,167	729,167
COMMERCIAL INTL BANK(EGYPT) S.A.E ESP10	265,708	265,708
CON-WAY INC	365,833	365,833
COPA HOLDINGS S A CL A	805,545	805,545
CR BARD INC	841,248	841,248
CSR CORP LTD	664,588	664,588
CTRIP.COM INTERNATIONAL LTD ADR	929,060	929,060
CURTISS-WRIGHT CORP	302,824	302,824
DAIICHI KOSHO CO JPY 50	2,957,794	2,957,794
DANA HOLDING CORP	259,516	259,516
DANAHER CORP	3,489,446	3,489,446
DAUM COMMUNICATIONS CORP	850,944	850,944

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DELL INC	3,002,454	3,002,454
DELTA ELECTRONIC TW \$10	1,232,274	1,232,274
DEVRY INC	121,023	121,023
DIAMONDROCK HOSPITALITY CO	232,488	232,488
DIODES INC	284,974	284,974
DIRECTV	2,131,800	2,131,800
DRAGON OIL LTD ORD EUR0.10	3,500,559	3,500,559
EBAY INC	1,209,265	1,209,265
ECOPETROL SA ADR	441,558	441,558
EFG HERMES HLDGS ORD EGP5	556,715	556,715
E-MART CO LTD	1,124,890	1,124,890
EMBRAER SA ADR	1,220,228	1,220,228
ENGILITY HOLDINGS INC	130,487	130,487
EVEREST RE GROUP INC COM	3,122,580	3,122,580
FACTSET RESEARCH SYSTEMS INC	587,008	587,008
FAIRFAX FINANCIAL HOLDINGS LTD	2,382,600	2,382,600
FAR EAST DEPARTMENT TWD10	832,875	832,875
FEDEX CORP	2,687,396	2,687,396
FERROVIAL SA EUR0.2	2,541,242	2,541,242
FILA KOREA LTD	265,368	265,368
FIRST AMERICAN FINANCIAL CORP	115,632	115,632
FORTUNE BRANDS HOME & SECURITY	242,526	242,526
FOXCONN TECH CO TWD10	3,756	3,756
GRIFFON CORP	341,485	341,485
GULF KEYSTONE PETROLEUM LTD	1,683,299	1,683,299
HANG LUNG PROPERTIES LTD	1,327,235	1,327,235
HANKOOK TIRE CO LTD/NEW	389,495	389,495
HARSCO CORP	231,475	231,475
HARTE-HANKS INC	288,805	288,805
HCL TECHNOLOGIES INR2(DEMAT)	1,461,212	1,461,212



Name of Stock	End of Year Book Value	End of Year Fair Market Value
HENGAN INTL GROUP CO LTD HKD0.10	857,358	857,358
HENRY SCHEIN INC	3,162,919	3,162,919
HERMAN MILLER INC	248,400	248,400
HON HAI PRECISION INDUSTRY CO	2,466,105	2,466,105
HON HAI PRECISION INDUSTRY CO	45,676	45,676
HOUSING DEV FIN CORP INR2 DEMAT	1,148,197	1,148,197
HRT PARTICIPACEOES EM PETROLEO SA	585,157	585,157
HTC CORP	600,193	600,193
HUNTINGTON INGALLS INDUSTRIES	249,205	249,205
HYUNDAI FIRE & MARINE INSURANCE CO	783,840	783,840
HYUNDAI GLOVIS CO LTD	951,731	951,731
HYUNDAI MOBIS	968,451	968,451
HYUNDAI WIA CORP	467,980	467,980
IDFC LTD INR10	1,097,396	1,097,396
INGRAM MICRO INC	371,817	371,817
INSIGHT ENTERPRISES INC	302,568	302,568
INTUIT INC	916,986	916,986
ITAU UNIBANCO HOLDING SA PFD	481,077	481,077
ITAUSA - INVESTIMENTOS ITAU SA PFD	602,933	602,933
JAKKS PACIFIC INC	245,041	245,041
JBS SA COM NPV	1,141,392	1,141,392
JONES GROUP INC/THE	296,132	296,132
JONES LANG LASALLE INC	1,843,910	1,843,910
KASIKORNBANK PCL	1,049,416	1,049,416
KINGSPAN GROUP ORD EUR0.13	1,555,579	1,555,579
KONINKLIJKE PHILIPS ELECTRONIC NV EUR0.20	3,029,725	3,029,725
KUNLUN ENERGY COMPANY LTD ORD HK\$0.01	1,118,907	1,118,907
LAFARGE SA EUR4.00	3,478,178	3,478,178
LEGRAND SA EUR4	3,461,895	3,461,895
LENOVO GROUP LTD HKD0.025	893,027	893,027

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LEVEL 3 COMMUNICATIONS INC	2,811,701	2,811,701
LG HOUSEHOLD & HEALTHCARE KRW5000	552,320	552,320
LOEWS CORP	2,485,750	2,485,750
MAHINDRA & MAHINDRA LTD	2,147,131	2,147,131
MANDO CORP	612,147	612,147
MASSMART HOLDINGS LTD	579,001	579,001
MATTHEWS INTERNATIONAL CORP	185,378	185,378
MEAD JOHNSON NUTRITION CO	15,286	15,286
MERCADOLIBRE INC	612,690	612,690
MERCADOLIBRE INC	60,484	60,484
MEYER BURGER TECH	131,374	131,374
MINDRAY MEDICAL INTERNATIONAL ADR	245,773	245,773
MONDELEZ INTERNATIONAL INC	1,053,754	1,053,754
MONSTER WORLDWIDE INC	154,550	154,550
MSCI INC	2,266,547	2,266,547
MUELLER WATER PRODUCTS INC	259,743	259,743
NASPERS LTD	1,222,847	1,222,847
NATIONAL PENN BANCSHARES INC	278,202	278,202
NCISOFT CORPORATION KRW500	520,141	520,141
NESTLE SA	1,249,689	1,249,689
NHN CORP	1,664,479	1,664,479
NIELSEN HOLDINGS N.V.	2,007,652	2,007,652
NIKO RESOURCES LTD	555,122	555,122
NORTHERN TRUST CORP	1,144,902	1,144,902
NOVATEK OAO GDR EACH REPR 10 SHS REG S	1,341,760	1,341,760
NOVO-NORDISK AS DKK1	1,404,444	1,404,444
OCADO GROUP PLC-W/I	605,181	605,181
PERUSAHAAN GAS NEGARA PT	535,054	535,054
PHH CORP	331,013	331,013
PIKE ELECTRIC CORP	117,799	117,799

Name of Stock	End of Year Book Value	End of Year Fair Market Value
POLYPORE INTERNATIONAL INC	990,543	990,543
PRIMERICA INC	251,664	251,664
PROCTER & GAMBLE CO/THE	1,049,647	1,049,647
PROTECTIVE LIFE CORP	185,770	185,770
PUBLIC BK BHD MYR1	318,892	318,892
PUBLIC BK BHD MYR1 (ALIEN MKT)	1,026,079	1,026,079
QIAGEN NV	1,868,580	1,868,580
QUALCOMM INC	1,650,610	1,650,610
QUANTA COMPUTER TWD10	413,954	413,954
RENT-A-CENTER INC/TX	173,518	173,518
SAMSUNG ELECTRONICS CO LTD	5,331,244	5,331,244
SAMSUNG ELECTRONICS CO LTD PFD	1,790,626	1,790,626
SAMSUNG FIRE & MARINE INS	1,309,333	1,309,333
SATYAM COMPUTER INR2 DEMAT	411,372	411,372
SBERBANK-SPONSORED ADR	2,316,090	2,316,090
SCHNEIDER ELECTRIC SA	734,228	734,228
SEMEN INDONESIA PERSERO TBK PT	798,459	798,459
SGS SA CHF1(REGD)	891,984	891,984
SIAM COMMERCIAL BANK PCL THB10	714,964	714,964
SKECHERS U.S.A. INC	164,188	164,188
STANDARD CHARTERED ORD USD0.50	2,289,163	2,289,163
STEEL EXCEL INC	90,396	90,396
STRAYER EDUCATION INC	436,946	436,946
SYNOVUS FINANCIAL CORP	135,424	135,424
T IS BANKASI SER'C'TRY1	492,059	492,059
TAIWAN SEMICONDUCTOR MFG TW\$10	3,475,098	3,475,098
TCF FINANCIAL CORP	324,709	324,709
TECH MAHINDRA INR10	1,010,689	1,010,689
TENCENT HOLDINGS LTD	1,220,769	1,220,769
THOR INDUSTRIES INC	126,326	126,326

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TITAN INDUSTRIES LTD	571,918	571,918
TRACTEBEL ENERGIA COM NPV	449,553	449,553
TRAVELERS COS INC/THE	2,010,960	2,010,960
TULLOW OIL PLC ORD GBP0.10	2,109,198	2,109,198
TURKIYE GARANTI BANKASI	1,311,768	1,311,768
TUTOR PERINI CORP	216,803	216,803
UNILEVER NV CVA EUR0.16	3,432,813	3,432,813
UNITED STATIONERS INC	361,808	361,808
VALASSIS COMMUNICATIONS INC	506,577	506,577
VARIAN MEDICAL SYSTEMS INC	2,413,376	2,413,376
VCA ANTECH INC	389,425	389,425
VERISK ANALYTICS INC	463,929	463,929
WAL-MART DE MEXICO SAB DE CV SER'V'NPV	1,555,746	1,555,746
WALT DISNEY CO/THE	1,857,167	1,857,167
WANT WANT CHINA HOLDINGS LTD USD0.02	1,303,506	1,303,506
WATERS CORP	2,769,632	2,769,632
WEBSTER FINANCIAL CORP	277,939	277,939
WSFS FINANCIAL CORP	170,056	170,056
WW GRAINGER INC	1,959,346	1,959,346
X5 RETAIL GROUP NV GDR	501,371	501,371

# TY 2012 Investments Government Obligations Schedule

**Name:** THE ROCKEFELLER FOUNDATION

**EIN:** 13-1659629

**US Government Securities - End of  
Year Book Value:**

499,685

**US Government Securities - End of  
Year Fair Market Value:**

499,685

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

TY 2012 Investments - Other Schedule

**Name:** THE ROCKEFELLER FOUNDATION  
**EIN:** 13-1659629

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
12 WEST CAPITAL	FMV	30,046,058	30,046,058
ABRY ADVANCED SECURITIES FD II	FMV	4,811,475	4,811,475
ABRY PARTNERS VI LP	FMV	12,674,713	12,674,713
ABRY PARTNERS VII L.P.	FMV	6,495,613	6,495,613
ABRY SENIOR EQUITY IV L.P.	FMV	1,028,927	1,028,927
ACCEL GROWTH FUND II LP	FMV	5,401,985	5,401,985
ACCEL INDIA III LIMITED PARTNERSHIP	FMV	1,384,520	1,384,520
ACCEL IX LP	FMV	7,309,714	7,309,714
ACCEL X LP	FMV	15,592,836	15,592,836
ACCEL XI	FMV	2,117,599	2,117,599
ADAGE CAPITAL PARTNERS	FMV	91,562,284	91,562,284
AETOS CAPITAL ASIA T E II LTD	FMV	11,570,421	11,570,421
AETOS CAPITAL ASIA T E LP	FMV	1,331,332	1,331,332
AFRICA EMERGING MARKET FUNDS CLASS A (USD)	FMV	24,447,229	24,447,229
AH ANNEX FUND L.P	FMV	3,388,731	3,388,731
AH PARALLEL FUND III LP	FMV	1,414,027	1,414,027
ALPINE INVESTORS III	FMV	13,209,288	13,209,288
ALPINE INVESTORS IV LP	FMV	4,674,434	4,674,434
ALTOR FUND II	FMV	23,083,802	23,083,802
ALTOR FUND III LP	FMV	4,815,745	4,815,745
AMIYA GBL EMG OPP	FMV	28,662,648	28,662,648
ANDREESSEN HOROWITZ FUND II LP	FMV	11,139,373	11,139,373
ANDREESSEN HOROWITZ FUND III LP	FMV	3,173,035	3,173,035
ANGIES LIST INC	FMV	460,044	460,044
AP CAYMAN PARTNERS II CO-INVEST LP	FMV	22,995	22,995
AP CAYMAN PARTNERS II LP	FMV	1,979,447	1,979,447
APS ASIA PAC HEDGE	FMV	26,012,112	26,012,112
APS ASIA PAC HEDGE B	FMV	14,416,541	14,416,541
APS CHINA A SHARE FUND	FMV	19,865,809	19,865,809
ARC ENERGY FUND 7 US LP	FMV	142,344	142,344

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ARCLIGHT ENERGY III	FMV	9,795,327	9,795,327
ARCLIGHT ENERGY PARTNERS FUND V LP	FMV	3,598,711	3,598,711
ARCLIGHT ENERGY PTNRS FUND IV LP	FMV	5,118,219	5,118,219
ASLAN RLTY PRTNRS II LP	FMV	5,271,600	5,271,600
ATRICURE INC	FMV	288,330	288,330
AUDAX PRIVATE EQUITY FUND II	FMV	5,991,182	5,991,182
AUDAX PRIVATE EQUITY FUND III	FMV	18,329,816	18,329,816
AVANTI STRATEGIC LAND INV VII	FMV	962,441	962,441
AVANTI STRATEGIC LAND IV	FMV	733,653	733,653
AVANTI STRATEGIC LAND V	FMV	10,392,201	10,392,201
AVANTI STRATEGIC LAND VI	FMV	14,824,129	14,824,129
BAIN CAPITAL ASIA FUND LP	FMV	1,717,575	1,717,575
BAIN CAPITAL FUND IX LP	FMV	9,807,060	9,807,060
BAIN CAPITAL FUND VIII-E LP	FMV	8,785,158	8,785,158
BAIN CAPITAL IX COINVESTMENT FUND LP	FMV	4,629,665	4,629,665
BATTERY VENTURES VI LP	FMV	992,091	992,091
BATTERY VENTURES VII	FMV	3,769,515	3,769,515
BATTERY VENTURES VIII LP	FMV	6,741,489	6,741,489
BAUPOST VALUE IV	FMV	80,973,015	80,973,015
BC EUROPEAN CAPITAL VII	FMV	419,210	419,210
BC EUROPEAN CAPITAL VIII	FMV	18,239,112	18,239,112
BENCHMARK CAPITAL PARTNERS IV	FMV	2,631,138	2,631,138
BENCHMARK CAPITAL PARTNERS V	FMV	6,194,877	6,194,877
BENCHMARK CAPITAL PARTNERS VI LP	FMV	20,349,051	20,349,051
BENCHMARK CAPITAL PTRNS VII	FMV	10,144,366	10,144,366
BERKSHIRE FUND VI LP	FMV	6,589,287	6,589,287
BERKSHIRE FUND VII LP	FMV	18,133,000	18,133,000
BERKSHIRE FUND VIII LP	FMV	2,724,375	2,724,375
BLACKROCK 1 3YR TREASURY INDEX NON LENDABLE FUND B	FMV	62,398,714	62,398,714
BLACKROCK 7 10YR TREASURY INDEX NON LENDABLE FUND B	FMV	29,902,568	29,902,568

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BLACKROCK GLOBAL ASCENT	FMV	58,101,896	58,101,896
BLACKROCK TIPS INDEX	FMV	79,583,815	79,583,815
BLUE RIDGE OFFSHORE PARTNERSHIP LP 01/07	FMV	60,674,884	60,674,884
BLUEHOUSE ACCESSION PRPTY III	FMV	11,252,372	11,252,372
BRAHMAN INSTITUTIONAL PARTNERS LP	FMV	56,623,077	56,623,077
BREVAN HOWARD EMERGING MARKETS STRATEGIES FUND LTD	FMV	20,950,573	20,950,573
BREVAN HOWARD MULTI-STRATEGY FUND LIMITED	FMV	51,513,534	51,513,534
BRIDGEWATER PURE ALPHA	FMV	54,335,362	54,335,362
BRIDGEWATER STIF	FMV	41,373,184	41,373,184
BRIGHTCOVE INC	FMV	1,175,200	1,175,200
BROADREACH CAPITAL PARTNERS LLC REIT II	FMV	9,786,096	9,786,096
BROADREACH CAPITAL PARTNERS LP	FMV	5,355,630	5,355,630
BROOKDALE INVESTORS FIVE LP	FMV	4,117,623	4,117,623
BROOKDALE INVESTORS SIX LP	FMV	5,373,143	5,373,143
CABOT INDUSTRIAL VALUE FUND II	FMV	14,440,308	14,440,308
CABOT IVF III	FMV	7,962,291	7,962,291
CADENT ENERGY PARTNERS I	FMV	6,921,146	6,921,146
CADENT ENERGY PARTNERS II	FMV	12,926,426	12,926,426
CADMUS CAPITAL FUND LTD	FMV	2,190	2,190
CAPITAL RESOURCE LENDERS IV	FMV	706,449	706,449
CAPITAL RESOURCE PARTNERS V	FMV	4,643,602	4,643,602
CARL MARKS STRATEGIC OPPTYSFD LP	FMV	15,225,031	15,225,031
CARMEL PARTNERS INVESTMENT FD II	FMV	10,684,286	10,684,286
CARMEL PARTNERS INVESTMENT FD III LP	FMV	4,227,396	4,227,396
CASTANEA PARTNERS III LP	FMV	4,536,751	4,536,751
CATALYST FUND LIMITED PARTNERSHIP IV	FMV	1,862,777	1,862,777
CDH CHINA FUND III LP	FMV	12,677,168	12,677,168
CDH CHINA FUND IV FEEDER LP	FMV	3,771,411	3,771,411
CDH CHINA SUPP FUND III	FMV	3,688,155	3,688,155
CERBERUS INSTITUTIONAL PARTNERS (SERIES FOUR) L P	FMV	15,065,052	15,065,052



Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CERBERUS INSTITUTIONAL PARTNERS LP SERIES III	FMV	7,200,243	7,200,243
CERBERUS INSTITUTIONAL PARTNERS V LP	FMV	12,684,986	12,684,986
CHARLES RIVER PARTNERSHIP X	FMV	12,216	12,216
CHARLES RIVER PARTNERSHIP XI	FMV	90,472	90,472
CHARLES RIVER PARTNERSHIP XII LP	FMV	883,391	883,391
CHARLES RIVER PARTNERSHIP XIII LP	FMV	6,630,022	6,630,022
CHARLES RIVER XI ANNEX	FMV	18,812	18,812
CHARLES RIVER XII ANNEX	FMV	81,034	81,034
CHRYSCAPITAL IV LLC	FMV	1,706,646	1,706,646
CHRYSCAPITAL V LLC	FMV	5,094,504	5,094,504
CHS PRIVATE EQUITY V	FMV	9,742,536	9,742,536
CICC GROWTH I	FMV	6,327,370	6,327,370
CODE HENNESSY & SIMMONS IV	FMV	711,806	711,806
COMMONFUND NATURAL RESOURCE VI	FMV	8,649,261	8,649,261
COMMONFUND NATURAL RESOURCES PARTNERS VII	FMV	10,737,615	10,737,615
COMPOSITION CAPITAL ASIA FUND II	FMV	7,059,616	7,059,616
CONVEXITY CAPITAL	FMV	106,788,729	106,788,729
CROSSPOINT VENTURES 1999	FMV	569,863	569,863
CROSSPOINT VTR PTRS 2000 Q	FMV	1,951,941	1,951,941
CYPRESS REALTY V LP	FMV	17,173,780	17,173,780
CYPRESS REALTY VI LP	FMV	12,196,363	12,196,363
DA VINCI JAPAN REAL ESTATE III	FMV	1,542,251	1,542,251
DELPHI VENTURES IV LP	FMV	8,001	8,001
DEMANDWARE INC	FMV	658,139	658,139
DENHAM COMMODITY PARTNERS VI	FMV	3,105,311	3,105,311
DYMON ASIA MACRO FUND	FMV	20,761,200	20,761,200
DYNAMO BRAZIL VIII	FMV	57,809,429	57,809,429
EMERALD HILL CAP PARTNERS II LP	FMV	3,143,154	3,143,154
FACEBOOK INC	FMV	13,310,000	13,310,000
FARALLON CAPITAL INST PARTNERS LP	FMV	45,842,865	45,842,865

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FIRELAKE STRATEGIC TECH FD	FMV	15,429,772	15,429,772
FIRELAKE STRATEGIC TECH II	FMV	8,290,373	8,290,373
FLEXPOINT FORD FUND II L.P.	FMV	2,623,018	2,623,018
FORTRESS INVEST FD III (FD-B)	FMV	9,494,775	9,494,775
FORTRESS INVESTMENT FUND V (COINVESTMENT FUNDS)	FMV	3,117,132	3,117,132
FORTRESS INVESTMENT FUND V (FUND C) LP	FMV	32,851,530	32,851,530
FORTRESS INVESTMENTS IV	FMV	15,319,346	15,319,346
FORTRESS RESIDENTIAL INVT DEUTSCHLAND FUND LP	FMV	5,513,107	5,513,107
FORUM ASIAN REALTY INCOME II LP	FMV	16,101,879	16,101,879
FREMONT STRATEGIC L P	FMV	361,097	361,097
FREMONT STRATEGIC PROPERTY PARTNERS II	FMV	12,482,020	12,482,020
GENERAL CATALYST GROUP III LP	FMV	5,753,092	5,753,092
GENERAL CATALYST GROUP IV LP	FMV	7,136,915	7,136,915
GENERAL CATALYST GROUP V LP	FMV	12,308,216	12,308,216
GENERAL CATALYST GROUP V SUPPLEMENTAL LP	FMV	4,519,643	4,519,643
GENERAL CATALYST GROUP VI LP	FMV	676,795	676,795
GRAHAM PARTNERS II	FMV	14,400,843	14,400,843
GRAHAM PARTNERS II CO INVESTMENTS LP	FMV	4,896,955	4,896,955
GREENFIELD ACQSTN PTNRS V LP	FMV	15,067,988	15,067,988
GREENFIELD ACQUISITION III	FMV	6,995,376	6,995,376
GREENFIELD ACQUISITION PARTNERS IV LP	FMV	6,227,285	6,227,285
GROTECH PARTNERS III L P AGREEMENT COPY	FMV	359	359
GROUPON INC	FMV	388,489	388,489
GS CAPITAL PARTNERS III L P	FMV	66,675	66,675
GS SPECIAL OPPTYS ASIA FD LP	FMV	111,381	111,381
GUIDEWIRE SOFTWARE INC	FMV	1,783,200	1,783,200
HAMPSHIRE PARTNERS FUND VIII	FMV	2,082,705	2,082,705
HELLMAN & FRIEDMAN CAPITAL PARTNERS II L.P.	FMV	4,535	4,535
HEMISFERIO SUL INVESTIMENTOS FD IV	FMV	367,635	367,635
HEMISFERIO SUL INVESTIMENTOS FD IV (A)	FMV	367,635	367,635

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
HEMISFERIO SUL INVESTIMENTOS FD IV (B)	FMV	367,635	367,635
HIGHCROSS REGIONAL U.K III	FMV	4,190,370	4,190,370
UK PROPERTY INVESTMENT CO	FMV	7,853,281	7,853,281
HIGHLAND CAPITAL PARTNERS V	FMV	764,938	764,938
HIGHLAND CAPITAL PARTNERS VI L P	FMV	2,837,325	2,837,325
HOMEAWAY INC	FMV	1,100,000	1,100,000
HUTENSKY CAPITAL REIT 1	FMV	4,298,523	4,298,523
IDG ACCEL CHINA CAPITAL I	FMV	15,997,094	15,997,094
IDG ACCEL CHINA GROWTH FD II	FMV	15,944,055	15,944,055
IDG-ACCEL CHINA CAPITAL FUND II L.P.	FMV	1,119,219	1,119,219
IDG-ACCEL CHINA GROWTH FUND III LP	FMV	2,093,383	2,093,383
IDG-ACCEL CHINA GROWTH FUND LP	FMV	10,797,784	10,797,784
INSTITUTIONAL VENTURE L P IV	FMV	2,709,414	2,709,414
INSTITUTIONAL VENTURE VIII L P	FMV	62,942	62,942
INVENTION DEVELOPMENT FUND I LLC	FMV	930,581	930,581
INVENTION INVESTMENT FUND I LP	FMV	3,604,638	3,604,638
JC FLOWERS II LP	FMV	4,046,781	4,046,781
KEYWISE GREATER CHINA OPP FUND JULY 2007 UNRESTRICTED	FMV	1,529,042	1,529,042
KING STREET CAPITAL II	FMV	57,326,757	57,326,757
KPCB CHINA FUND LP	FMV	8,623,869	8,623,869
LANSDOWNE UK EQUITY FUND LIMITED	FMV	27,065,336	27,065,336
LATIN POWER FD III	FMV	12,339,124	12,339,124
LC FUND V LP	FMV	5,395,330	5,395,330
LIME ROCK PARTNERS III	FMV	4,356,149	4,356,149
LIME ROCK PARTNERS IV LP	FMV	17,239,817	17,239,817
LIME ROCK PARTNERS V LP	FMV	19,556,476	19,556,476
LIME ROCK RESOURCES C L P	FMV	5,629,377	5,629,377
LITTLEJOHN FUND III LP	FMV	10,360,463	10,360,463
LITTLEJOHN FUND IV	FMV	8,342,616	8,342,616
LNK PARTNERS II L.P.	FMV	4,073,590	4,073,590

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LNK PARTNERS LP	FMV	7,925,927	7,925,927
LONE CASCADE	FMV	90,350,019	90,350,019
LONE DRAGON PINE	FMV	19,107,455	19,107,455
LONE PINON LTD	FMV	7,148,840	7,148,840
LONE STAR FUND V (U.S.) L.P.	FMV	11,475,462	11,475,462
LONE STAR FUND VI U S LP	FMV	11,477,740	11,477,740
LONE STAR REAL ESTATE FUND II (US) LP	FMV	6,456,148	6,456,148
LONGREACH CAPITAL PARTNERS I AND II LP	FMV	12,177,838	12,177,838
LYME FOREST FUND	FMV	7,334,769	7,334,769
LYME FOREST FUND III	FMV	737,416	737,416
M + H REALTY PART V LP	FMV	2,870,825	2,870,825
MAP 2006	FMV	17,083,311	17,083,311
MARATHON DISTRESSED SUBPRIME FD	FMV	48,657	48,657
MARATHON SPECIAL OPPORTUNITY FD	FMV	2,425,027	2,425,027
MARATHON NEW GLOBAL FUND FUND CLASS A1	FMV	72,036,372	72,036,372
MERIT ENERGY PARTNERS E-1	FMV	2,930,597	2,930,597
MERIT ENERGY PARTNERS F-I LP	FMV	607,460	607,460
MISSIONPOINT CAPITAL PARTNERS FUND I LP	FMV	10,651,907	10,651,907
MOHR DAVIDOW VENTURES IX	FMV	10,271,200	10,271,200
MOHR DAVIDOW VENTURES VI LP	FMV	2,850,576	2,850,576
MOHR DAVIDOW VENTURES VII LP	FMV	3,174,560	3,174,560
MOHR DAVIDOW VENTURES VIII	FMV	3,864,686	3,864,686
MONTRICA GLOBAL OPPORTUNITIES FUND	FMV	664,175	664,175
MOORFIELD R E FUND II A	FMV	17,399,752	17,399,752
MORGAN STANLEY-PRIVATE EQUITY ASIA LP	FMV	8,374,150	8,374,150
NATIONAL CAPITAL PROP III	FMV	10,878,079	10,878,079
NATIONAL CAPITAL PROPERTIES IV	FMV	848,447	848,447
NEA IX LP	FMV	1,085,724	1,085,724
NEW ENTERPRISE ASSOCIATES X	FMV	1,514,971	1,514,971
NEW ENTERPRISE ASSOCIATES XII LP	FMV	4,442,199	4,442,199

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
NEW ENTERPRISE XI	FMV	6,692,499	6,692,499
NORTH BRIDGE GROWTH I LP	FMV	8,077,122	8,077,122
OAKTREE CAP MGMT OPP FD IV LP	FMV	12,727	12,727
OCM OPPORTUNITIES FUND II LP	FMV	1,896	1,896
OCM OPPORTUNITIES FUND III LP	FMV	221,762	221,762
ORION EUR RE II EURO	FMV	3,693,287	3,693,287
ORION EUROPEAN REAL ESTATE III	FMV	5,771,550	5,771,550
OZ EUROPE OVERSEAS FUND LTD CLASS B	FMV	772,329	772,329
OZ OVERSEAS	FMV	46,900,879	46,900,879
PEEPUL CAPITAL II LLC	FMV	9,497,559	9,497,559
PENDING SECURITIES TRANSACTIONS - NET	FMV	36,793,508	36,793,508
PHOENIX ASIA RE INVS III LP	FMV	10,632,257	10,632,257
POLARIS VENTURE PARTNERS IV LP	FMV	6,984,440	6,984,440
PRIVATVARDE FUND LP	FMV	3,444,201	3,444,201
PROSPERITAS REAL ESTATE PARTNERS III (A)	FMV	2,471,956	2,471,956
PROSPERITAS REAL ESTATE PARTNERS III (B)	FMV	2,471,950	2,471,950
PROSPERITAS REAL ESTATE PARTNERS III LP	FMV	2,471,964	2,471,964
PROTO LABS INC	FMV	865,151	865,151
QUANTUM ENERGY PARTNERS IV	FMV	17,284,482	17,284,482
QUANTUM ENERGY PARTNERS V	FMV	3,647,436	3,647,436
QUANTUM RESOURCES B LP	FMV	2,515,144	2,515,144
REALTY ASSOCIATES FUND VI	FMV	7,373,001	7,373,001
REDPOINT OMEGA	FMV	3,109,883	3,109,883
REDPOINT VENTURES 1	FMV	833,778	833,778
REDPOINT VENTURES II	FMV	2,927,560	2,927,560
REDPOINT VENTURES III	FMV	11,854,713	11,854,713
ROCKLAND POWER PARTNERS LP	FMV	7,739,236	7,739,236
RPX CORP	FMV	198,943	198,943
SAB OVERSEAS FUND LTD	FMV	48,270,297	48,270,297
SANSAR CAPITAL HOLDINGS LTD	FMV	606,413	606,413

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SB ASIA INVESTMENT FUND II LP	FMV	12,990,314	12,990,314
SCGE OFFSHORE FUND	FMV	46,804,942	46,804,942
SELECT MEDICAL HOLDINGS CORP	FMV	221,812	221,812
SEQUOIA CAP CHINA GROWTH FD I	FMV	4,154,762	4,154,762
SEQUOIA CAP CHINA VENTURE 2010	FMV	4,337,554	4,337,554
SEQUOIA CAP CHINA VENTURE FD IV	FMV	401,359	401,359
SEQUOIA CAP GROWTH FUND III	FMV	20,011,027	20,011,027
SEQUOIA CAP ISRAEL VENTURE FD V	FMV	217,788	217,788
SEQUOIA CAP US VENTURE 2010	FMV	8,750,056	8,750,056
SEQUOIA CAPITAL CHINA GR 2010 LP	FMV	4,086,639	4,086,639
SEQUOIA CAPITAL CHINA I LP	FMV	7,694,392	7,694,392
SEQUOIA CAPITAL CHINA II	FMV	6,171,249	6,171,249
SEQUOIA CAPITAL GLOBAL GROWTH FD	FMV	31,625	31,625
SEQUOIA CAPITAL INDIA GROWTH FD II	FMV	2,208,981	2,208,981
SEQUOIA CAPITAL INDIA GROWTH FUND I	FMV	3,136,433	3,136,433
SEQUOIA CAPITAL INDIA III LP	FMV	2,047,036	2,047,036
SEQUOIA CAPITAL ISRAEL III	FMV	2,140,560	2,140,560
SEQUOIA CAPITAL ISRAEL IV LP	FMV	2,539,031	2,539,031
SEQUOIA CAPITAL IX LP	FMV	610,996	610,996
SEQUOIA CAPITAL U.S. GROWTH FUND V L.P.	FMV	6,137,566	6,137,566
SEQUOIA CAPITAL US GROWTH FUND IV LP	FMV	20,314,260	20,314,260
SEQUOIA CAPITAL US VENTURE 2010 FUND-SEED FUND	FMV	486,821	486,821
SEQUOIA CAPITAL US VENTURE FUND XIV	FMV	399,186	399,186
SEQUOIA CAPITAL X LP	FMV	2,070,239	2,070,239
SEQUOIA CAPITAL XI LP	FMV	5,766,237	5,766,237
SEQUOIA CAPITAL XII LP	FMV	10,429,345	10,429,345
SERVICESTOURCE INTERNATIONAL LL	FMV	97,081	97,081
SFC ENERGY PARTNERS II LP	FMV	3,396,093	3,396,093
SG GROWTH PARTNERS II LP	FMV	2,234,365	2,234,365
SIERRA VENTURES VII LP	FMV	1,031,753	1,031,753

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SIGMA PARTNERS 7	FMV	8,063,727	8,063,727
SIGMA PARTNERS 8 LP	FMV	8,125,071	8,125,071
SILCHESTER INTL INV TOBACCO	FMV	45,356,871	45,356,871
SOWOOD ALPHA FUND	FMV	282,600	282,600
SQM FRONTIER AFRICA FD LTD	FMV	10,574,782	10,574,782
SRI EIGHT REIT	FMV	6,679,231	6,679,231
SRI NINE REIT LP	FMV	7,856,863	7,856,863
SRI SEVEN REIT	FMV	5,639,862	5,639,862
SUMMIT PARTNERS EUROPE PE LP	FMV	4,140,011	4,140,011
SUMMIT PARTNERS PRIVATE EQUITY FUND VII-A LP	FMV	5,680,913	5,680,913
SUMMIT VENTURES II-A	FMV	1,937,452	1,937,452
SUMMIT VENTURES IV	FMV	88,541	88,541
SUMMIT VENTURES V LP	FMV	113,426	113,426
SUMMITT VENTURES VI	FMV	5,326,245	5,326,245
SVEAFASTIGHETER FUND III	FMV	7,568,706	7,568,706
TAO L HOLDINGS LTD SPV	FMV	2,207,063	2,207,063
THE CHILDRENS INVESTMENT FUND - CLASS D1	FMV	75,510,748	75,510,748
THE VARDE FUND IX L.P.	FMV	22,163,393	22,163,393
THERMON GROUP HOLDINGS INC	FMV	643,885	643,885
TIGER GLOBAL PRVT INV PRTNRS V	FMV	6,411,599	6,411,599
TIGER GLOBAL PVT INVESTMENT FUND IV	FMV	9,306,837	9,306,837
TPG AXON PARTNERS (OFFSHORE)	FMV	2,625,830	2,625,830
TRIVEST CHINA FOCUS FUND	FMV	33,251,708	33,251,708
US VENTURE PARTNERS IX LP	FMV	7,717,245	7,717,245
US VENTURE PARTNERS VII	FMV	987,211	987,211
US VENTURE PARTNERS VIII LP	FMV	2,148,133	2,148,133
US VENTURE PARTNERSHIP FUND IV LP	FMV	88,419	88,419
US VENTURES PARTNERS VI LP	FMV	58,070	58,070
VARDE FUND VIII LP	FMV	9,911,837	9,911,837
VESTAR CAPITAL FUND V	FMV	10,640,329	10,640,329

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VESTAR CAPITAL PARTNERS III LP	FMV	1,049,247	1,049,247
VESTAR CAPITAL PARTNERS IV LP	FMV	2,774,676	2,774,676
VONAGE HOLDINGS CORP	FMV	186,901	186,901
WATERSHED CAPITAL INSTL PARTNERS LP	FMV	716,480	716,480
WELLINGTON BAY POND	FMV	27,519,818	27,519,818
WELLINGTON SPINDRIFT PARTNERS	FMV	1,177,041	1,177,041
WELSH CARSON AND & STOWE IX LP	FMV	5,372,286	5,372,286
WELSH CARSON ANDRSN STOWE VIII	FMV	78,566	78,566



**TY 2012 Land, Etc. Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
CONDOMINIUM INTEREST IN 420 FIFTH AVE AND IMPROVEMENTS	29,448,701	11,651,078	17,797,623	17,797,623
FURNITURE, FIXTURES AND EQUIPMENT	8,671,051	6,021,504	2,649,547	2,649,547

## TY 2012 Legal Fees Schedule

**Name:** THE ROCKEFELLER FOUNDATION

**EIN:** 13-1659629

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CAPLIN & DRYSDALE, CHARTERED	271	0		271
CARTER LEDYARD & MILBURN LLP	1,000	0		1,000
CHIOMENTI STUDIO LEGALE LLC	700	0		700
DAVIS WRIGHT TREMAINE LLP	310	0		310
FRAGOMEN, DEL REY, BERNSEN & LOEWY, P C	10,384	0		10,429
KAPLAN AND STRATTON	570	0		570
MARCUS & POLLACK LLP	1,850	0		1,850
MORGAN, LEWIS & BOCKIUS LLP	9,343	0		12,126
OVERSEAS PRIVATE INVESTMENT CORPORATION	2,500	0		2,500
PATTERSON, BELKNAP, WEBB & TYLER LLP	222,578	50,448		172,131
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	2,171	1,887		284
PROSKAUER ROSE LLP	110,070	110,070		0
ROPES AND GRAY LLP	117,649	117,649		0
SIMPSON THACHER & BARTLETT LLP	12,926	0		12,926
VEDDER PRICE PC	7,372	0		7,372

**TY 2012 Other Assets Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	11,724,518	14,086,237	14,086,237
PREPAID PENSION COST AND OTHER ASSETS	11,786,728	11,565,103	11,565,103
REDEMPTIONS, DIVIDENDS, INTEREST, AND OTHER RECEIVABLES	1,052,999	972,514	972,514
PREPAID FEDERAL EXCISE AND UNRELATED BUSINESS INCOME TAXES	1,623,807	71,737	71,737

## TY 2012 Other Decreases Schedule

**Name:** THE ROCKEFELLER FOUNDATION

**EIN:** 13-1659629

Description	Amount
PROVISION FOR DEFERRED FEDERAL EXCISE TAX	1,848,000
CHANGE IN FAIR VALUE OF INTEREST RATE SWAP	300,845
DEFERRED COMPENSATION PLAN (457B) LOSS	78,058

## TY 2012 Other Expenses Schedule

**Name:** THE ROCKEFELLER FOUNDATION

**EIN:** 13-1659629

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
COMPUTER/FURNITURE AND EQUIPMENT	1,163,807	0		1,074,189
OFFICE EXPENSES (SUPPLIES, POSTAGE, OTHER)	797,532	7,038		788,041
SUNDRIES (INSURANCE, MEMBERSHIPS, TRAINING, STAFF FUNCTIONS, OTHER)	1,418,010	7,612		1,381,746
CREDIT FACILITY FEES	203,333	0		202,222
OTHER PORTFOLIO DEDUCTIONS	0	31,348,527		0
TAX PENALTIES AND INTEREST	10,882	0		0
BROKER COMMISSIONS	19,282	19,282		0

**TY 2012 Other Income Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME THRU K-1'S, NET OF UBI	2,411,569	2,411,569	2,411,569
OTHER INCOME	42,883	42,505	42,883
PROGRAM RELATED INVESTMENT INTEREST	184,699	184,699	184,699
VARIOUS STATE TAX REFUNDS	11,655		11,655
FOREIGN EXCHANGE LOSS	-1,167,390	-1,167,390	-1,167,390
INCOME THRU K-1'S NOT ON F/S	-2,411,569		-2,411,569
SECURITIES LITIGATION PROCEEDS	169,394	169,394	169,394
GRANT REFUNDS	908,998		908,998
FEDERAL 990T TAX REFUND	615,796		615,796
PAYROLL TAX REFUNDS	9,787	9,787	9,787

**TY 2012 Other Increases Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

Description	Amount
CHANGE IN UNREALIZED GAIN ON INVESTMENTS AND PRI'S	90,746,879
PENSION AND POSTRETIREMENT BENEFIT LIABILITY ADJUSTMENTS	3,565,018

**TY 2012 Other Liabilities Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

Description	Beginning of Year - Book Value	End of Year - Book Value
POSTRETIREMENT BENEFIT OBLIGATION	20,527,808	19,556,849
DEFERRED FEDERAL EXCISE TAXES	5,338,000	7,186,000
INTEREST RATE SWAP LIABILITY	504,236	805,080
TERM LOAN PAYABLE	20,478,569	18,747,986



**TY 2012 Other Professional Fees Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
CONSULTANTS (ADMINISTRATIVE)	10,257,061	0		10,329,949
CONSULTANTS (DIRECT CHARITABLE EXPENDITURES)	350,951	0		326,342
CUSTODIANS & INVESTMENT MANAGERS	6,557,302	6,557,302		0

**TY 2012 Taxes Schedule****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES THRU K-1S	0	628,404		0
FEDERAL EXCISE AND UBI TAX	4,986,274	0		0
STATE UBI TAXES	1,004,201	0		0

**TY 2012****TransfersToControlledEntities****Name:** THE ROCKEFELLER FOUNDATION**EIN:** 13-1659629

<b>Name</b>	<b>US / Foreign Address</b>	<b>EIN</b>	<b>Description</b>	<b>Amount</b>
UK PROPERTY INVESTMENT CO	C/O THE ROCKEFELLER FOUNDATION 420 FIFTH AVENUE NEW YORK, NY 100182702	98-0413223	THERE WERE NO TRANSFERS TO OR FROM THE CONTROLLED ENTITY IN 2012	0
<b>Total</b>				