

**JOHN SIMON GUGGENHEIM MEMORIAL
FOUNDATION**

Form 990-PF (2001)

13-5673173 Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non interest bearing	62,803.	29,293.	29,293.
	2 Savings and temporary cash investments	7,896,853.	16,480,440.	16,480,440.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶	35,424.		
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	2,161,622.	2,366,700.	2,366,700.
	10a Investments - U.S. and state government obligations STMT 9	9,338,096.	21,477,191.	21,477,191.
	b Investments - corporate stock			
	c Investments - corporate bonds STMT 10	30,871,442.	31,885,400.	31,885,400.
11 Investments - land, buildings and equipment, basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 11	171,320,255.	146,260,735.	146,260,735.	
14 Land, buildings, and equipment basis ▶	307,685.			
Less accumulated depreciation STMT 12 ▶	196,643.	87,079.	111,042.	
15 Other assets (describe ▶ STATEMENT 13)	967,637.	964,448.	964,448.	
16 Total assets (to be completed by all filers)	223,349,292.	219,610,673.	219,610,673.	
Liabilities	17 Accounts payable and accrued expenses	210,322.	231,487.	
	18 Grants payable	4,874,300.	5,657,700.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 14)	1,846,337.	2,104,932.	
23 Total liabilities (add lines 17 through 22)	6,930,959.	7,994,119.		
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	215,091,822.	210,885,100.	
	25 Temporarily restricted	1,326,511.	731,454.	
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	216,418,333.	211,616,554.		
31 Total liabilities and net assets/fund balances	223,349,292.	219,610,673.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of year figure reported on prior year's return)	1	216,418,333.
2 Enter amount from Part I, line 27a	2	-7,294,269.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	2,540,885.
4 Add lines 1, 2, and 3	4	211,664,949.
5 Decreases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX EXPENSE	5	48,395.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	211,616,554.

From 990-PF (2001)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2 story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 59,668,242.		58,958,878.	709,364.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than 0) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			709,364.

2 Capital gain net income or (net capital loss) (If gain, also enter in Part I, line 7 If (loss), enter 0- in Part I, line 7)	2	709,364.
3 Net short term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter 0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2000	10,454,446.	213,908,797.	.0488734
1999	9,230,244.	197,364,984.	.0467674
1998	8,974,892.	192,901,085.	.0465259
1997	8,101,604.	180,044,229.	.0449979
1996	7,811,475.	164,466,370.	.0474959

2 Total of line 1, column (d)	2	.2346605
3 Average distribution ratio for the 5 year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0469321
4 Enter the net value of noncharitable-use assets for 2001 from Part X, line 5	4	216,120,560.
5 Multiply line 4 by line 3	5	10,142,992.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	39,978.
7 Add lines 5 and 6	7	10,182,970.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	10,853,971.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary-see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	39,978.
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	39,978.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter 0-	5	39,978.
6	Credits/Payments		
a	2001 estimated tax payments and 2000 overpayment credited to 2001	6a	271,000.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld <u>ST.C</u>	6d	59.
7	Total credits and payments. Add lines 6a through 6d	7	271,059.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	231,081.
11	Enter the amount of line 10 to be Credited to 2002 estimated tax <u>100,000.</u> Refunded	11	131,081.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities		
1c		X
d		
2		
2		X
3		
3		X
4a		X
4b		N/A
5		X
6		
6	X	
7	X	
7a		
7a		
7b		
7b	X	
9		X
10		X
11	X	

12 The books are in care of ORGANIZATION Telephone no 212-687-4470
 Located at 90 PARK AVENUE NEW YORK, N.Y. ZIP+4 10016
 13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
 and enter the amount of tax-exempt interest received or accrued during the year 13 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

Table with columns for question descriptions, Yes/No checkboxes, and a grid for Yes/No answers. Includes questions 1a through 6b regarding disqualifying activities, disaster assistance, and business holdings.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT B		1,249,405.	253,511.	0.

2 Compensation of five highest-paid employees (other than those included on line 1) If none, enter "NONE"

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JULIE KLEIN 315 E. 90 ST., NEW YORK, NY 10128	FINANCE ASS'T FULL	63,917.	27,018.	0.

Total number of other employees paid over \$50,000 0

3 Five highest-paid independent contractors for professional services If none, enter "NONE"

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
LOOMIS, SAYLES, & CO. 1251 AVE OF THE AMERICAS, NEW YORK, NY 10020	FINANCIAL ADVISORY FEES	185,945.
THE NEW YORK TIMES 229 WEST 43 STREET, NEW YORK, NY 10036	ADVERTISING	58,795.

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	198,454,416.
b Average of monthly cash balances	1b	17,590,748.
c Fair market value of all other assets	1c	3,366,572.
d Total (add lines 1a, b, and c)	1d	219,411,736.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	219,411,736.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,291,176.
5 Net value of noncharitable use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	216,120,560.
6 Minimum investment return Enter 5% of line 5	6	10,806,028.

Part XI Distributable Amount (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	10,806,028.
2a Tax on investment income for 2001 from Part VI, line 5	2a	39,978.	
b Income tax for 2001 (This does not include the tax from Part VI) ST, F	2b	250.	
c Add lines 2a and 2b	2c	40,228.	
3 Distributable amount before adjustments Subtract line 2c from line 1	3	10,765,800.	
4a Recoveries of amounts treated as qualifying distributions	4a	0.	
b Income distributions from section 4947(a)(2) trusts	4b	0.	
c Add lines 4a and 4b	4c	0.	
5 Add lines 3 and 4c	5	10,765,800.	
6 Deduction from distributable amount (see instructions)	6	0.	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	10,765,800.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	10,769,931.
b Program related investments - Total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	84,040.
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	10,853,971.
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	39,978.
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	10,813,993.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				10,765,800.
2 Undistributed income if any as of the end of 2000				
a Enter amount for 2000 only			0.	
b Total for prior years 19 __, 19 __, 19 __		0.		
3 Excess distributions carryover if any to 2001				
a From 1996				
b From 1997				
c From 1998				
d From 1999	1,101,007.			
e From 2000	116,160.			
f Total of lines 3a through e	1,217,167.			
4 Qualifying distributions for 2001 from Part XII, line 4 ▶ \$ 10,853,971.				
a Applied to 2000, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2001 distributable amount				10,765,800.
e Remaining amount distributed out of corpus	88,171.			
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,305,338.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3)	0.			
8 Excess distributions carryover from 1996 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2002 Subtract lines 7 and 8 from line 6a	1,305,338.			
10 Analysis of line 9				
a Excess from 1997				
b Excess from 1998				
c Excess from 1999	1,101,007.			
d Excess from 2000	116,160.			
e Excess from 2001	88,171.			

Part XIV Private Operating Foundations (see instructions and Part VII A, question 9) N/A

1 If the foundation has received a ruling or determination letter that it is a private operating foundation and the ruling is effective for 2001, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year-see page 26 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs

Check here if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
JOHN SIMON GUGGENHEIM MEMORIAL FOUNDATION, 90 PARK AVENUE, NEW YORK, NY 10016 (ATTN: APPLICATION DEPARTMENT)

b The form in which applications should be submitted and information and materials they should include
SEE STATEMENT 15

c Any submission deadlines
OCTOBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
INDIVIDUALS IN THE U.S.A., CANADA, LATIN AMERICA, AND THE CARRIBEAN.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a</i> Paid during the year</p> <p>SEE STATEMENT A</p>	NONE	N/A	SEE STATEMENT A	7,576,807.
Total				▶ 3a 7,576,807.
<p><i>b</i> Approved for future payment</p> <p>SEE STATEMENT A</p>	NONE	N/A	SEE STATEMENT A	5,657,700.
Total				▶ 3b 5,657,700.

Schedule B
(Form 990, 990-EZ, or
990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545 0047

2001

Name of organization

**JOHN SIMON GUGGENHEIM MEMORIAL
FOUNDATION**

Employer identification number

13-5673173

Organization type (check one)

Filers of

Section

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (Note Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule-see instructions)

General Rule-

For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules-

For a section 501(c)(3) organization filing Form 990 or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

For a section 501(c)(7), (8) or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc. purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year) ▶ \$ _____

Caution Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2001)

Name of organization
**JOHN SIMON GUGGENHEIM MEMORIAL
 FOUNDATION**

Employer identification number

13-5673173

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	RACHEL HOOVER CARTER ADDRESS UNKNOWN	\$ 75,096.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	EDWARD T. CONE 18 COLLEGE ROAD WEST PRINCETON, NJ 08540-5050	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	THE AARON COPLAND FUND FOR MUSIC, INC. C/O BROWN RAYSMAN LLP, 900 3RD AVE., 23 FL. NEW YORK, NY 10022	\$ 15,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
4	CARL GANS 2501 SLOW TURTLE COVE AUSTIN, TX 78746-2317	\$ 11,165.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
5	JUAN JOSE PARODIZ 409 RUTHWOOD AVE. PITTSBURGH, PA 15227-2546	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
6	A. ALEX PORTER 20 EAST 35 STREET, APT. 10K NEW YORK, NY 10016	\$ 60,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization JOHN SIMON GUGGENHEIM MEMORIAL FOUNDATION	Employer identification number 13-5673173
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Part I Contributors (See Specific Instructions)

(a) No.	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	JOSEPH RICE 15 ROSE LANE CHAPPAQUA, NY 10514	\$ 20,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
8	GLADYS I. SAXON ADDRESS UNKNOWN	\$ 41,910.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
9	GEORGE H. TUFTE 1161 SPERRY ROAD CHESHIRE, CT 06410	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
10	NORMAN & ROSITA WINSTON FOUNDATION 1740 BROADWAY, 2ND FLOOR NEW YORK, NY 10019	\$ 15,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE STATEMENT D	P		
b ARIEL FUND LIMITED	P		
c ARDSLEY PARTNERS FUND II, LP	P		
d MAVERICK FUND LTD	P		
e GEORGICA PARTNERS	P		
f CAPITAL LOSS PASSTHROUGHS FROM LIMITED PARTNERSHI	P		
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 38,495,758.		37,712,644.	783,114.
b 4,000,000.		2,611,684.	1,388,316.
c 15,399,431.		15,836,566.	-437,135.
d 2,000,000.		954,172.	1,045,828.
e 1,869,098.		1,843,812.	25,286.
f -2,096,045.			-2,096,045.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			783,114.
b			1,388,316.
c			-437,135.
d			1,045,828.
e			25,286.
f			-2,096,045.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } { If (loss), enter "-0-" in Part I, line 7 }	2	709,364.
3 Net short term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis - ITC, 179, Salvage	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Amount Of Depreciation
1	TELEPHONE SYSTEM	081798	SL	6.00	16	30,742.			30,742.	12,810.		5,123.
2	PROJECTOR	012298	SL	5.00	16	9,048.			9,048.	4,525.		1,810.
3	LAPTOP	020598	SL	3.00	16	5,714.			5,714.	4,761.		953.
4	COMPUTER SERVERS	103098	SL	3.00	16	119,815.			119,815.	99,845.		19,970.
5	COMPUTER	060298	SL	3.00	16	7,357.			7,357.	6,130.		1,226.
6	COMPUTERS	010100	SL	3.00	16	50,969.			50,969.	8,495.		16,990.
7	COMPUTER EQUIPMENT	063001	SL	3.00	16	84,040.			84,040.			14,005.
	* TOTAL 990-PF PG 1 DEPR					307,685.		0.	307,685.	136,566.	0.	60,077.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST FROM INVESTMENTS	3,728,988.	0.	3,728,988.
DIVIDENDS AND INTEREST FROM LIMITED PARTNERSHIPS	1,138,162.	0.	1,138,162.
TOTAL TO FM 990-PF, PART I, LN 4	4,867,150.	0.	4,867,150.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	AMOUNT
CLASS ACTION LAWSUIT PROCEEDS	5,966.
ORDINARY AND OTHER INCOME(LOSS) PASSTHROUGH FROM LIMITED PARTNERSHIPS	-125,320.
TOTAL TO FORM 990-PF, PART I, LINE 11, COLUMN A	-119,354.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	11,592.	0.		6,388.
TO FM 990-PF, PG 1, LN 16A	11,592.	0.		6,388.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT & TAXES	24,670.	11,102.		12,772.
TO FORM 990-PF, PG 1, LN 16B	24,670.	11,102.		12,772.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACTUARIAL SERVICES	46,681.	4,108.		36,031.
FINANCIAL ADVISORY FEES	185,945.	185,945.		0.
COMPUTER CONSULTANTS	19,203.	0.		19,203.
FUNDRAISING CONSULTANTS	21,280.	0.		21,280.
INVESTMENT CUSTODY FEES	53,750.	53,750.		0.
MISCELLANEOUS	2,000.	0.		2,000.
TO FORM 990-PF, PG 1, LN 16C	328,859.	243,803.		78,514.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	39,978.	0.		0.
NYS UNRELATED BUSINESS INCOME TAX	250.	0.		0.
TO FORM 990-PF, PG 1, LN 18	40,228.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EQUIPMENT	134,550.	11,841.		119,821.
TELEPHONE	21,654.	1,905.		19,311.
POSTAGE, STATIONARY, & SUPPLIES	114,933.	0.		137,764.
INSURANCE	17,093.	1,504.		15,589.
MISCELLANEOUS	39,520.	0.		41,217.
ANNUITY PAYMENTS	16,460.	0.		16,460.
OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME PER K-1'S ADVERTISING	943,867. 58,795.	943,867. 0.		0. 58,795.
TO FORM 990-PF, PG 1, LN 23	1,346,872.	959,117.		408,957.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
UNREALIZED APPRECIATION IN MARKET VALUE OF INVESTMENTS		2,419,752.	
NONTAXABLE INCOME PER K-1'S FROM PARTNERSHIPS		82,982.	
DIFFERENCE IN TAX BASIS OF LIMITED PARTNERSHIP SOLD DURING 2001		38,151.	
TOTAL TO FORM 990-PF, PART III, LINE 3		2,540,885.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	9	
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS - SEE STATEMENT E	X		21,477,191.	21,477,191.
TOTAL U.S. GOVERNMENT OBLIGATIONS			21,477,191.	21,477,191.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			21,477,191.	21,477,191.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE STATEMENT E		31,885,400.	31,885,400.
TOTAL TO FORM 990-PF, PART II, LINE 10C		31,885,400.	31,885,400.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
LIMITED PARTNERSHIPS AND INVESTMENT COMPANIES- SEE STATEMENT E	143,336,604.	143,336,604.
SHORT-TERM INVESTMENTS - SEE STATEMENT E	2,924,131.	2,924,131.
TOTAL TO FORM 990-PF, PART II, LINE 13	146,260,735.	146,260,735.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 12	
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
TELEPHONE SYSTEM	30,742.	17,933.	12,809.
PROJECTOR	9,048.	6,335.	2,713.
LAPTOP	5,714.	5,714.	0.
COMPUTER SERVERS	119,815.	119,815.	0.
COMPUTER	7,357.	7,356.	1.
COMPUTERS	50,969.	25,485.	25,484.
COMPUTER EQUIPMENT	84,040.	14,005.	70,035.
TOTAL TO FM 990-PF, PART II, LN 14	307,685.	196,643.	111,042.

FORM 990-PF	OTHER ASSETS	STATEMENT 13
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME RECEIVABLE	713,827.	713,827.
PREPAID FEDERAL EXCISE TAX	239,871.	239,871.
PREPAID UNRELATED BUSINESS INCOME TAX	10,750.	10,750.
TOTAL TO FORM 990-PF, PART II, LINE 15	964,448.	964,448.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 14
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DESCRIPTION	AMOUNT
DEFERRED EXCISE TAX LIABILITY	663,012.
ACCUMULATED POSTRETIREMENT BENEFIT OBLIGATION	1,441,920.
TOTAL TO FORM 990-PF, PART II, LINE 22, COLUMN B	2,104,932.

FORM 990-PF	PART XV, LINE 2B APPLICATION REQUIREMENTS	STATEMENT 15
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DESCRIPTION

THE FOUNDATION HAS A STANDARD APPLICATION WHICH SPECIFIES THE MATERIAL THE APPLICANT SHOULD SUBMIT FOR HIS/HER FIELD.

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Dr. Samuel C. Silverstein
Department of Physiology & Cellular Biophysics
College of P&S of Columbia University
630 West 168th Street
New York, NY 10032
Molecular analysis of antibiotic metabolism in white blood cells.
Twelve months from September 1993.

- 0 - 20,000 20,000

Mr. Gyan Prakash
Department of History
129 Dickinson Hall
Princeton University
Princeton, NJ 08544-1017
The authority of science and the imagination in modern India.
Twelve months from July 1996.

17,400 17,400 - 0 -

Mr. Bell Yung
Department of Music
110 Music Building
University of Pittsburgh
Pittsburgh, PA 15260
Cantonese narrative songs.
Eleven months between May 1996 and August 1999

- 0 - 21,100 21,100

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30,000	Mr. Ricardo Brey Voormuide 71B Gent, 9000 BELGIUM Installation art and sculpture. Twelve months from December 1997. 30,000	CUBA	- 0 -
15,000	Professor Patricia Fumerton 941 West Campus Lane Goleta, CA 93117 The culture of vagrancy in early modern England. Twelve months from July 1999. 15,000		- 0 -
31,000	Ms. Nicola Beisel Department of Sociology Northwestern University 1810 Chicago Avenue Evanston, IL 60208 Race and the politics of abortion in America. Nine months from January 2001 31,000		- 0 -
- 0 -	Mr. Scott G. Burnham 319 Carter Road Princeton, NJ 08540 Mozart, Schubert, and the music of Romantic subjectivity. Twelve months from September 1999. 31,000		31,000

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16,500	Mr. David Biale Center for Jewish Studies Graduate Theological Union 2400 Ridge Road Berkeley, CA 94709 Blood as a symbol and a substance in Western culture. Twelve months from January 2000. 16,500		- 0 -
11,700	Ms. María C. Chavarría 994 Oxford Street North St. Paul, MN 55103 Ethnolinguistic dictionary of Eseeja and Spanish. Twelve months from May 2000. 11,700	PERU	- 0 -
11,700	Ms. Blondell Cummings Cycle Arts Foundation 1336 First Avenue New York, NY 10021 Choreography. Twelve months from December 1999. 11,700		- 0 -
15,500	Mr. Robert C. Davis 48 East Tulane Road Columbus, OH 43202 Italian responses to enslavement by Barbary Coast corsairs, 1500-1800. Twelve months from September 2000. 15,500		- 0 -
18,500	Mr. Emmanuel Dongala 84 Alford Road Great Barrington, MA 01230 Fiction. Nine months from January 2000. 18,500		- 0 -

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33,000	<p>Ms. Laura Lee Downs Department of History 1029 Tisch Hall University of Michigan Ann Arbor, MI 48109-1003 French children's summer camps, 1880-1960. Twelve months from January 2001.</p>	33,000	- 0 -
10,700	<p>Mr. Andrew G. Ewing Department of Chemistry 152 Davey Laboratory Pennsylvania State University University Park, PA 16802-6300 Single-cell membrane structure following exocytosis. Nine months from October 1999.</p>	10,700	- 0 -
19,200	<p>Ms. Glenda Elizabeth Gilmore 134 Cottage Street New Haven, CT 06511 Americans and race from World War I to the Brown decision. Twelve months from August 2000.</p>	19,200	- 0 -
- 0 -	<p>Ms. Lydia Goehr Department of Philosophy Columbia University 1150 Amsterdam Avenue, Mail Code 4986 New York, NY 10027 The concept of musicality in modernist opera. Twelve months from August 2002.</p>	33,000	33,000
18,300	<p>Mr. James E. Haber Rosenstiel Center, Mailstop 029 Brandeis University 415 South Street Waltham, MA 02254-9110 The mechanisms of recombination and DNA repair. Nine months from September 2000.</p>	18,300	- 0 -

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15,800	Mr. Jeffrey W. Harrison 59 Highland Road Andover, MA 01810 Poetry. Twelve months from June 2000. 15,800	- 0 -
29,000	Ms. Caroline A. Jones Department of Art History Boston University 725 Commonwealth Avenue, Room 301 Boston, MA 02215 Clement Greenberg and American art. Seven months from June 2001. 29,000	- 0 -
- 0 -	Mr. Paul Koonce 110 North Stanworth Drive Princeton, NJ 08540 Music composition. Twelve months from September 2002. 36,000	36,000
16,500	Ms. Carol Lansing c/o Villa I Tatti Via di Vincigliata, 26 Florence, 50135 ITALY The <i>popolo minuto</i> in medieval Bologna. Twelve months from January 2000. 16,500	- 0 -
22,000	Mr. Joseph H. Lynch Department of History The Ohio State University 106 Dulles Hall, 230 West 17th Avenue Columbus, OH 43210-1367 Deathbed conversion to the monastic life, 850-1250. Twelve months from September 2000. 22,000	- 0 -

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33,000	Mr. Ivan G. Marcus 198 Trenor Drive New Rochelle, NY 10804 The relationship of medieval Jews and Christians. Six months from January 2000. 33,000	- 0 -
- 0 -	Mr. Robert S. Nelson Department of Art History University of Chicago 5540 South Greenwood Avenue Chicago, IL 60637 Hagia Sophia as medieval church and modern monument. Twelve months from September 2002. 32,000	32,000
13,700	Mr. Richard G. Newhauser 2902 Meadow Thrush Street San Antonio, TX 78231-1616 The sin of avarice in medieval and Renaissance thought. Twelve months from June 2000. 13,700	- 0 -
16,500	Ms. Katharine Park 23 Traymore Street Cambridge, MA 02140 The early history of human dissection. Twelve months from July 2000. 16,500	- 0 -
18,000	Mr. Leighton Pierce 604 Fourth Avenue Iowa City, IA 52245 Film making. Eight months from May 2000. 18,000	- 0 -

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16,500	Mr. Peter Railton Department of Philosophy University of Michigan 2215 Angell Hall, 435 South State Street Ann Arbor, MI 48109-1003 Objectivity and value. Twelve months from July 2001. 33,000	16,500
20,000	Ms. Susan Rethorst Sarphati Park 26-3 Amsterdam, 1072 PB HOLLAND Choreography. Six months from September 1999. 20,000	- 0 -
10,300	Mr. Christopher Sullivan 5615 West Patterson Chicago, IL 60634 Film animation. Twelve months from September 1999. 10,300	- 0 -
19,800	Ms. Katherine H. Tachau Department of History 171 Schaeffer Hall University of Iowa Iowa City, IA 52242-1409 The creation of the <i>Bibles moralisées</i> in 13th-century Paris. Twelve months from August 2000. 19,800	- 0 -
33,000	Ms. Maria Tatar Department of Germanic Languages & Literatures Harvard University 353 Barker Center Cambridge, MA 02138-6531 "Bluebeard" in folklore, fiction, and film noir. Six months from February 2000. 33,000	- 0 -

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	Ms. Teresa Toledo Cabrera	CUBA	
	Princesa 3, Dpdo. Apto. 319, 3º		
	Madrid, 28008 SPAIN		
	A dictionary of Latin American film directors.		
	Twelve months from June 1999.		
19,337	19,337		- 0 -
	Mr. Mack Walker		
	2212 West Rogers Avenue		
	Baltimore, MD 21209		
	The Halle enlightenment, 1685-1725.		
	Eleven months between January 2000 and December 2001		
11,000	22,000		11,000
	Ms. Martha Woodmansee		
	2655 Euclid Heights Boulevard		
	Cleveland Heights, OH 44106		
	Germany's contribution to the Western concept of intellectual property.		
	Twelve months from July 2000.		
16,500	33,000		16,500

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23,300	<p>Mr. Robert H. Abzug Department of History Garrison 101 University of Texas at Austin Austin, TX 78712-1105 Rollo May and the transformation of American culture. Twelve months from September 2000. 23,300</p>	- 0 -
17,000	<p>Mr. Richard D. Alba 45 Union Avenue Delmar, NY 12054 Second generations in immigrant societies. Twelve months from September 2000. 17,000</p>	- 0 -
- 0 -	<p>Ms. April Alliston P.O. Box 409 101 Washington Street Rocky Hill, NJ 08553 Character, plausibility, and gender in French and English historical narratives, 1650-1850. Twelve months from July 2004. 36,000</p>	36,000
17,000	<p>Mr. Douglas Anderson Department of English 132 Park Hall, Baldwin Street University of Georgia Athens, GA 30602-6205 William Bradford and the Anglo-European republic of letters. Twelve months from July 2000. 17,000</p>	- 0 -
20,000	<p>Mr. James Arthur Department of Mathematics University of Toronto 100 St. George Street, Room 4072 Toronto, Ontario M5S 3G3 CANADA Representations of classical groups. Twelve months from September 2000. 20,000</p>	- 0 -

CANADA

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15,000	Mr. David Auburn 40 Wyckoff Street (#22A) Brooklyn, NY 11201 Play writing. Twelve months from June 2000. 15,000		- 0 -
42,000	Mr. Javier Auyero 20-41 41st Street #2 Astoria, NY 11105 Forms of collective action against structural adjustment and public corruption in contemporary Argentina. Twelve months from September 2000. 42,000	ARGENTINA	- 0 -
14,000	Ms. Ana Mariella Bacigalupo 663 Lafayette Avenue Buffalo, NY 14222 Gender and healing among the Chilean Mapuche. Twelve months from January 2001. 42,000	CHILE	28,000
26,100	Mr. David Baker 135 Granview Road Granville, OH 43023 Poetry. Eight months from January 2001. 26,100		- 0 -
8,700	Ms. Joan Banach 463 West Street (#A324) New York, NY 10014 Painting. Twelve months from April 2000. 8,700		- 0 -

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33,000	Mr. Abhijit V. Banerjee Department of Economics Massachusetts Institute of Technology 50 Memorial Drive, E52-252D Cambridge, MA 02142-1347 The new economics of poverty. Six months from January 2001. 33,000	- 0 -
8,700	Mr. Ernesto Bazan 361 Pacific Street Brooklyn, NY 11217 Photography. Twelve months from April 2000. 8,700	- 0 -
17,000	Mr. Howard C. Berg Biological Laboratories Harvard University 16 Divinity Avenue Cambridge, MA 02138 The motile behavior of bacteria. Twelve months from July 2000. 17,000	- 0 -
19,000	Ms. Jane A. Bernstein 15 Symmes Road Winchester, MA 01890 Music and print culture in Renaissance Rome. Six months from July 2000. 19,000	- 0 -
32,000	Mr. Carlos A. Bertulani Instituto de Física Universidade Federal do Rio de Janeiro Av. Brigadeiro Trompovski s/n Rio de Janeiro, RJ 21945-970 BRAZIL A study of relativistic heavy-ion collisions and neutrino astrophysics. Twelve months from November 2000. 32,000	BRAZIL - 0 -

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Mr. William Betz
Department of Physiology & Biophysics
University of Colorado Medical School
4200 East Ninth Avenue, Campus Box C240
Denver, CO 80262
The optical detection of synaptic function.
Twelve months from November 2000.
35,000 35,000 - 0 -

Mr. Rabi Bhattacharya
Department of Mathematics
Indiana University
267 Rawles Hall, 831 East Third Street
Bloomington, IN 47405-7106
Studies in Markov processes.
Twelve months from July 2000.
34,000 34,000 - 0 -

Mr. Tom Bills
252 Green Street
Brooklyn, NY 11222
Sculpture.
Twelve months from September 2000.
22,700 22,700 - 0 -

Ms. Lisa M. Bitel
Department of History
3001 Wescoe Hall
University of Kansas
Lawrence, KS 66045-2130
Landscape, gender, and Christianization in Gaul and Ireland.
Twelve months from August 2000.
19,000 19,000 - 0 -

Mr. Stuart Blackburn
Department of South Asian Languages
SOAS, University of London
Thornhaugh Street, Russell Square
London, WC1H 0XG UNITED KINGDOM
The role of folklore in colonial south India.
Twelve months from July 2000.
18,000 18,000 - 0 -

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7,000	Mr. Isidro Blasco 854 West 181st Street (#3G) New York, NY 10033 Sculpture and installation art. Twelve months from March 2000. 7,000	- 0 -
12,500	Ms. Anne Bogart 507 West 113th Street (#82) New York, NY 10025 Essays on the theatre. Eight months from May 2000. 12,500	- 0 -
14,500	Mr. Lloyd Bonfield John Giffen Weinmann Hall Tulane Law School 6329 Freret Street, Suite 259 New Orleans, LA 70118-5670 Litigants, lawyers, and the law in English probate courts, 1660-1700. Twelve months from July 2001. 29,000	14,500
10,700	Ms. Nina Bovasso P.O. Box 168 New York, NY 10009 Painting and drawing. Twelve months from May 2000. 10,700	- 0 -
17,000	Mr. John M. Bowers Department of English University of Nevada, Las Vegas 4505 Maryland Parkway, Box 455011 Las Vegas, NV 89154-5011 The antagonistic tradition of Chaucer and Langland. Twelve months from July 2000. 17,000	- 0 -

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12,500	<p>Ms. Coral Bracho Reyna 32 San Angel México, D.F. 01000 MEXICO Poetry. Twelve months from June 2000. 12,500</p>	MEXICO	- 0 -
22,700	<p>Mr. Michael E. Bratman Department of Philosophy Stanford University Stanford, CA 94305-2155 Self-determination and planning agency. Twelve months from September 2000. 22,700</p>		- 0 -
34,000	<p>Mr. Martin Brody Department of Music Wellesley College 106 Central Street Wellesley, MA 02181 Music composition. Twelve months from January 2001. 34,000</p>		- 0 -
7,700	<p>Mr. Ronald K. Brown Evidence, Inc. P.O. Box 20389 London Terrace Station New York, NY 10011-0004 Choreography. Twelve months from April 2000. 7,700</p>		- 0 -
16,000	<p>Mr. William Craft Brumfield Department of German & Slavic Languages 305 Newcomb Hall Tulane University New Orleans, LA 70118 The architecture of the Russian North. Twelve months from July 2000. 16,000</p>		- 0 -

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14,600	<p>Mr. Arnaldo Calveyra 14 rue Pascal Paris, 75005 FRANCE Poetry. Twelve months from June 2000. 14,600</p>	ARGENTINA	- 0 -
22,000	<p>Mr. Ernesto Julio Calvo Facultad de Ciencias Exactas y Naturales Universidad de Buenos Aires Pabellon 2 Ciudad Universitaria Buenos Aires, 1428 ARGENTINA A study of self-assembled proteins at interfaces for molecular recognition and signal generation. Twelve months from July 2000. 22,000</p>	ARGENTINA	- 0 -
22,700	<p>Mr. Michael Camille Department of Art History University of Chicago 5540 South Greenwood Avenue Chicago, IL 60637 Sculpture, signs, and street life in medieval France. Twelve months from September 2000. 22,700</p>		- 0 -
17,500	<p>Mr. Ricardo Cantoral Matematica Educativa Central de Investigacion de Estudios Avanzados del IPN Apartado Postal 14-740 México, D.F. 07000 MEXICO The social construction of advanced mathematical knowledge and its institutional diffusion. Twelve months from July 2000. 17,500</p>	MEXICO	- 0 -
17,500	<p>Ms. Vicki Caron 52 Woodcrest Avenue Ithaca, NY 14850 Catholic-Jewish relations in France since 1871. Twelve months from August 2000. 17,500</p>		- 0 -

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20,000	Mr. Daniel Catan 4434 Los Feliz Blvd. apt. #203 Los Angeles, CA 90027 Music composition. Twelve months from September 2000. 20,000	MEXICO	- 0 -
20,000	Ms. Shih-Hui Chen 11 Grace Street Malden, MA 02148 Music composition. Twelve months from August 2000. 40,000		20,000
17,500	Ms. Patricia Wenjie Cheng Department of Psychology Franz Hall University of California Los Angeles, CA 90095-1563 A psychological theory of causal discovery. Twelve months from July 2000. 17,500		- 0 -
20,000	Ms. Alice L. Conklin Department of History University of Rochester 364 Rush Rhees Library Rochester, NY 14627 Ethnographic liberalism in France, 1920-1945. Twelve months from September 2000. 20,000		- 0 -
18,000	Ms. Diana Cooper 55 South 11th Street 3rd Floor Brooklyn, NY 11211 Painting and installation art. Twelve months from June 2000. 18,000		- 0 -

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Mr. Kevin R. Cox
7179 Lorine Court
Columbus, OH 43235-5125
The Americal politics of local economic development.
Twelve months from September 2000.
34,000 34,000 - 0 -

Mr. Christopher J. Cramer
Dept. of Chemistry, Kolthoff & Smith Halls
University of Minnesota
207 Pleasant Street, SE
Minneapolis, MN 55455-0431
The structure and reactivity of chemical and biological systems.
Twelve months from July 2000.
17,000 17,000 - 0 -

Ms. Elvira Cuevas
Centro de Ecología IVIC
Apartado 21827
Caracas, 1020-A VENEZUELA
Changes in peat accretion in mangrove communities as an indicator of climate change.
Twelve months from August 2000.
19,000 19,000 - 0 -

Mr. Hai-Lung Dai
Department of Chemistry
University of Pennsylvania
231 South 34th Street
Philadelphia, PA 19104-6323
Chemical-reaction control.
Eight months from January 2001.
34,000 34,000 - 0 -

Ms. Kathryn Davis
115 North Calais Road
East Calais, VT 05650
Fiction.
Twelve months from June 2000.
21,500 21,500 - 0 -

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12,100	Ms. Veronica Day 253 Henry Street, #3 Brooklyn, NY 11201 Photography. Twelve months from June 2000. 12,100	- 0 -
34,000	Mr. Peter Dear Department of History McGraw Hall Cornell University Ithaca, NY 14853 Making sense in science. Eight months from January 2001. 34,000	- 0 -
15,000	Dr. Marco Antonio de la Parra Las Achiras 2993 Correo Providencia Santiago, CHILE Play writing. Twelve months from July 2000. 15,000	CHILE - 0 -
5,000	Mr. Aurelio de los Reyes República de Cuba 78-402 Zona Centro México, D.F. 06010 MEXICO The cinema in Mexico, 1924-1932. Ten months from September 2000. 5,000	MEXICO - 0 -
16,500	Mr. Donald J. DePaolo Department of Geology and Geophysics 307 McCone Hall, MC 4767 University of California Berkeley, CA 94720-4767 The geochemical effects of magma generation and transport. Twelve months from July 2000. 16,500	- 0 -

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17,000	Mr. Robert Desjarlais 15 Medford Street (#6) Arlington, MA 02474 Sensory biographies among Nepal's Yolmo Buddhists. Twelve months from July 2000. 17,000	- 0 -
6,300	Ms. Jessica Diamond 549 83rd Street Brooklyn, NY 11209 Painting. Twelve months from March 2000. 6,300	- 0 -
21,000	Mr. Arthur Dong 2506 Micheltorena Street Los Angeles, CA 90039-2534 Film making. Twelve months from April 2000. 21,000	- 0 -
13,000	Mr. Tom Drury 44 Spencer Street Box 383 Litchfield, CT 06759 Fiction. Twelve months from May 2000. 13,000	- 0 -
17,000	Mr. Thomas Dublin RR #1, Box 3088 Brackney, PA 18812 Economic decline in the Pennsylvania anthracite region, 1920-1990. Twelve months from July 2001. 34,000	17,000

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Mr. Robert S. DuPlessis
Department of History
Swarthmore College
212 Trotter Hall, 500 College Ave.
Swarthmore, PA 19081-1397
A history of consumption in the early modern Atlantic world.
Twelve months from September 2000.

22,700

22,700

- 0 -

Ms. Lauren B. Edelman
Jurisprudence & Social Policy Program
University of California School of Law
Boalt Hall, 2240 Piedmont Avenue
Berkeley, CA 94720-2150
The formation of civil-rights law in the workplace.
Twelve months from August 2000.

34,000

34,000

- 0 -

Mr. Anthony Feinstein
Sunnybrook Hospital and Women's College
Health Science Centre
2075 Bayview Avenue (#FG38)
Toronto, Ontario M4N 3M5 CANADA
Trauma-related mental health issues in post-apartheid Namibia.
Ten months from August 2000.

CANADA

12,300

12,300

- 0 -

Mr. Alexei V. Filippenko
Department of Astronomy
601 Campbell Hall
University of California
Berkeley, CA 94720-3411
The expansion of the universe.
Twelve months from January 2001.

34,000

34,000

- 0 -

Mr. Marc R. Forster
Department of History
Connecticut College
Campus Box 5526, 270 Mohegan Avenue
New London, CT 06320-4196
The emergence of German Catholic identity.
Twelve months from July 2000.

17,000

17,000

- 0 -

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- 0 -	<p>Mr. Jonathon Glassman Department of History Northwestern University 1881 Sheridan Road Evanston, IL 60208-2220 Racial thought in colonial Zanzibar. Twelve months from September 2002. 36,000</p>	36,000
19,800	<p>Ms. Jill Godmilow Department of Film, Television & Theatre 320 O'Shaughnessy Hall University of Notre Dame Notre Dame, IN 46556-5639 Video. Twelve months from August 2000. 19,800</p>	- 0 -
21,000	<p>Mr. Daniel Goldberg Paseo de Primavera 15-6 Colonia Bosques de Vista Hermosa México, D.F. 05100 MEXICO Film making. Twelve months from July 2000. 21,000</p>	MEXICO - 0 -
25,500	<p>Ms. Susan Goldin-Meadow Department of Psychology University of Chicago 5848 South University Avenue Chicago, IL 60637 Gesture and the mind. Twelve months from October 2000. 25,500</p>	- 0 -

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<u>Paid in 2001</u>		<u>Approved for Future Payment</u>
	<p>Mr. Rigoberto González 175 East 62nd Street (#1A) New York, NY 10021 Poetry. Twelve months from June 2000.</p>	
20,000	20,000	- 0 -
	<p>Dr. Francisco Gonzalez-Crussi Department of Pathology, Box 17 Children's Memorial Hospital 2300 Children's Plaza Chicago, IL 60614 Essays on human generation. Six months from September 2000.</p>	
6,700	6,700	- 0 -
	<p>Mr. Paul D. Grannis Department of Physics and Astronomy State University of New York at Stony Brook Stony Brook, NY 11794-3800 Studies of broken symmetry in nature. Twelve months from January 2001.</p>	
34,000	34,000	- 0 -
	<p>Mr. Milford Graves 156-04 110th Avenue Jamaica, NY 11433 Music composition. Twelve months from April 2000.</p>	
9,000	9,000	- 0 -
	<p>Ms. Vanalyne Green 6816 North Greenview Avenue (#3) Chicago, IL 60626 Video. Twelve months from May 2000.</p>	
12,000	25,000	13,000

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17,500	Ms. Linda Gregerson 4881 Hidden Brook Lane Ann Arbor, MI 48105 Poetry. Twelve months from July 2000. 35,000	17,500
20,000	Mr. Craig R. Groves The Nature Conservancy Conservation Science Division 2404 Bank Drive, Suite 314 Boise, ID 83705 The conservation of biological diversity. Twelve months from June 2000. 20,000	- 0 -
17,000	Mr. Robert J. Hamers Department of Chemistry University of Wisconsin 1101 University Avenue Madison, WI 53706 Studies in molecular electronics. Twelve months from July 2000. 17,000	- 0 -
34,000	Mr. Brooks Haxton 835 Maryland Avenue Syracuse, NY 13210 Poetry. Twelve months from January 2001. 34,000	- 0 -
19,000	Mr. Wick Haxton Institute for Nuclear Theory Physics/Astronomy Building, Box 351550 University of Washington Seattle, WA 98195 Studies in neutrino-induced nucleosynthesis. Eight months from January 2001. 34,000	15,000

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24,000	Mr. Thomas Head 99 Clinton Street (#3) Brooklyn, NY 11201 Saints, relics, and patronage in Western Christendom, 200-1215. Twelve months from September 2000. 24,000	- 0 -
20,000	Mr. Gerry Hemingway 16 Edgemere Avenue Plainsboro, NJ 08536 Music composition. Six months between May 2000 and April 2001 20,000	- 0 -
15,000	Ms. Amy Hempel P.O. Box 1124 Bridgehampton, NY 11932 Fiction. Twelve months from June 2000. 15,000	- 0 -
20,400	Ms. Alicia Henry 1809 Morena Street (#A2) Nashville, TN 37208 Painting and drawing. Twelve months from August 2000. 20,400	- 0 -
17,000	Ms. Nancy A. Hewitt 176 Maple Avenue Metuchen, NJ 08840 American women's activism, 1840-1965. Twelve months from July 2000. 17,000	- 0 -

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19,500	<p>Mr. Tony Hoagland 626 West Hadley Avenue Las Cruces, NM 88005 Poetry. Eight months from January 2001.</p>	19,500	- 0 -
- 0 -	<p>Ms. Jennifer L. Hochschild Department of Politics Princeton University Princeton, NJ 08544 The prospects for democratic pluralism in the United States. Six months from July 2001.</p>	34,000	34,000
4,100	<p>Ms. Lillian Hoddeson Department of History 309 Gregory Hall, 810 S. Wright St. University of Illinois Urbana, IL 61801 The life and science of John Bardeen. Nine months from May 2000.</p>	4,100	- 0 -
15,000	<p>Ms. Manuela Hoelterhoff 221 West 82nd Street New York, NY 10024 Germaine Lubin and Bayreuth in 1939. Twelve months from May 2000.</p>	15,000	- 0 -
23,300	<p>Mr. Michael B. Holden 3455 Verde Vista Drive Santa Rosa, CA 95404 Painting. Twelve months from August 2000.</p>	23,300	- 0 -

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34,000	Mr. Peter Jeffery 365 Old Whitfield Street Guilford, CT 06437 The earliest manuscript of the Roman chant tradition. Twelve months from September 2000. 34,000	- 0 -
17,000	Mr. Sajeew John Department of Physics University of Toronto 60 Saint George Street Toronto, Ontario M5S 1A7 CANADA Photonic-band gap materials. Twelve months from July 2000. 17,000	CANADA - 0 -
37,000	Ms. Claudia L. Johnson 90 Hartley Avenue Princeton, NJ 08540 Jane Austen's status as a legend. Six months from January 2001. 37,000	- 0 -
- 0 -	Ms. Amelia Jones 339 South Orange Drive Los Angeles, CA 90036-3008 New York Dada, 1915-1922. Twelve months from January 2002. 34,000	34,000
14,600	Mr. Lawrence Joseph 355 South End Avenue (#33-N) New York, NY 10280 Essays on Catholicism. Twelve months from June 2000. 14,600	- 0 -

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17,500	Ms. Deborah Anne Kapchan Folklore Center, GRG 220 University of Texas at Austin 24th and Whitis Austin, TX 78712 Self and nation in Moroccan oral poetry. Twelve months from July 2000. 17,500	- 0 -
14,600	Mr. Larry Karush 10439 Holman Avenue Los Angeles, CA 90024 Music composition. Twelve months from June 2000. 14,600	- 0 -
13,300	Mr. Dovid Katz Center for Stateless Cultures Vilnius University - Faculty of History Universiteto 7 Vilnius, 2734 LITHUANIA Fiction in Yiddish. Twelve months between September 2000 and February 2002 40,000	26,700
17,000	Mr. Steve Keister 46 Laight Street New York, NY 10013 Sculpture. Twelve months from June 2000. 17,000	- 0 -
22,700	Ms. Evelyn Fox Keller Department of History Massachusetts Institute of Technology Building E51, Room 263B Cambridge, MA 02139 Explanation in developmental biology. Twelve months from September 2000. 22,700	- 0 -

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23,000	Mr. Joel Kingsolver Department of Zoology Box 351800 University of Washington Seattle, WA 98195-1800 The topography of adaptive landscapes. Twelve months from September 2000. 23,000		- 0 -
17,000	Mr. George Knox 3495 West 11th Avenue Vancouver, British Columbia V6R 2K1 CANADA Tiepolo's New Testament drawings. Eight months between June 2000 and May 2001 17,000	CANADA	- 0 -
- 0 -	Ms. Dorothy Ko 171 West 12th Street New York, NY 10011-8225 The history and culture of footbinding. Twelve months from September 2000. 18,500		18,500
17,000	Ms. Ewa Lajer-Burcharth Department of History of Art and Architecture Harvard University 485 Broadway Cambridge, MA 02138 The idea of the self in 18th-century art. Twelve months from July 2000. 17,000		- 0 -
5,000	Mr. Chang-rae Lee 560 Laurel Road Ridgewood, NJ 07450 Fiction. Eight months from January 2001. 5,000		- 0 -

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Ms. Laura L. Letinsky
1350 East Madison Park (#2A)
Chicago, IL 60615
Photography.
Twelve months from November 2000.

30,000

30,000

- 0 -

Ms. Jill Levine
333 East 14th Street (#11D)
New York, NY 10003
Painting and sculpture.
Twelve months from June 2000.

17,000

17,000

- 0 -

Mr. Mario Levrero
Bartolomé Mitre 1376 -7
Montevideo, 11000 URUGUAY
Fiction.
Twelve months from July 2000.

URUGUAY

11,500

11,500

- 0 -

Mr. Bernth Lindfors
Department of English
Parlin 108, Campus Mail Code B5000
University of Texas at Austin
Austin, TX 78712-1164
Ira Aldridge's theatrical career in Europe.
Twelve months from September 2000.

17,000

17,000

- 0 -

Mr. John T. Lis
Department of Molecular Biology & Genetics
Cornell University
107 Biotechnology Building
Ithaca, NY 14853-2703
Protein templating in the propagation of gene activity.
Twelve months from September 2000.

24,700

24,700

- 0 -

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11,300	Ms. Jennie Livingston 841 Carroll Street (#1) Brooklyn, NY 11215 Film making. Twelve months from May 2000. 11,300	- 0 -
17,000	Ms. Susanne Lohmann Department of Political Science 4289 Bunche Hall, Box 951472 University of California Los Angeles, CA 90095-1472 Administrative rationality in the research university. Twelve months from July 2000. 17,000	- 0 -
26,700	Mr. Leonardo López Luján Museo Templo Mayor Guatemala 60, Centro México, D.F. 06060 MEXICO Elite and government at Teotihuacan, Mexico. Twelve months from September 2000. 26,700	MEXICO - 0 -
8,500	Mr. Lev Loseff Department of Russian Dartmouth College 44 North College Street Hanover, NH 03755-1801 An annotated bilingual edition of Joseph Brodsky's poetry. Twelve months from April 2000. 8,500	- 0 -
17,500	Mr. Thomas Mallon 230 Saugatuck Avenue (#8) Westport, CT 06880 Fiction. Twelve months from July 2000. 17,500	- 0 -

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14,600	Ms. Sara Shelton Mann 499 Alabama Street (#202) San Francisco, CA 94110 Choreography. Twelve months from July 2000. 14,600	- 0 -
10,000	Mr. Jaime Manrique 33 Bank Street (#5) New York, NY 10014 A memoir. Twelve months from June 2000. 10,000	- 0 -
11,200	Ms. Emer Martin 125 Summit Avenue (#8) Summit, NJ 07901 Fiction. Twelve months from April 2000. 11,200	- 0 -
16,200	Mr. James Matheson 307 East 85th St, Apt. 1RE New York, NY 10028 Music composition. Twelve months from June 2000. 16,200	- 0 -
34,000	Ms. Katharine Eisaman Maus 480 Manor Road Earlsville, VA 22936 A history of English literature, 1603-1660. Twelve months from January 2001. 34,000	- 0 -

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17,000	Ms. Colleen McDannell Department of History University of Utah 380 South 1400 East, Room 211 Salt Lake City, UT 84112-0311 Religious America in government photography, 1935-1943. Twelve months from July 2000. 17,000	- 0 -
13,300	Mr. Andrew Rimvydas Miksys 1225 9th Avenue West Seattle, WA 98119 Photography. Twelve months from May 2000. 13,300	- 0 -
17,000	Ms. Donka Minkova Department of English University of California 405 Hilgard Avenue Los Angeles, CA 90095 Verse form and linguistic reconstruction in English. Twelve months from July 2000. 17,000	- 0 -
22,000	Mr. Eduardo Reck Miranda 83 Boulevard Saint Marcel Paris, 75013 FRANCE Music composition. Twelve months from September 2000. 22,000	BRAZIL - 0 -
18,500	Mr. Rick Moody 33 Reservoir Road Fishers Island, NY 06390 A family memoir. Twelve months from July 2000. 18,500	- 0 -

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- 0 -	<p>Mr. Philip D. Morgan Omohundro Institute of Early American History and Culture P.O. Box 8781 Williamsburg, VA 23187-8781 White and black in 18th-century Jamaica. Twelve months from September 2000.</p>	34,000
12,700	<p>Mr. Bill Morrison 54 East 7th Street (#1) New York, NY 10003 Film making. Twelve months from April 2000.</p>	- 0 -
9,200	<p>Mr. Stephen Mueller 53 Little West 12th Street New York, NY 10014 Painting. Twelve months from April 2000.</p>	- 0 -
11,200	<p>Ms. Madhusree Mukerjee 23 Indrani Park Calcutta 700 033 INDIA The Andaman Islanders. Twelve months from April 2000.</p>	- 0 -
22,700	<p>Mr. Lawrence Nees Department of Art History University of Delaware Newark, DE 19716-2516 Frankish illuminated manuscripts. Twelve months from September 2000.</p>	- 0 -

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23,300	Ms. Antonya Nelson 425 West Las Cruces Avenue Las Cruces, NM 88005 Fiction. Twelve months from August 2000. 23,300	- 0 -
- 0 -	Mr. Carlos Newland Universidad Argentina De La Empresa Lima 717 Buenos Aires, 1073 ARGENTINA Economic growth and structural change in the Andean region, 1650-1800. Twelve months from September 2000. 28,000	ARGENTINA 28,000
22,700	Ms. Barbara Newman Department of English University Hall 215 Northwestern University Evanston, IL 60208 Vision, poetry, and belief in the Middle Ages. Twelve months from September 2000. 22,700	- 0 -
22,700	Ms. Andrea Wilson Nightingale Department of Classics Stanford University Building 20, Main Quad Stanford, CA 94305-2080 The conception of wisdom in 4th-century Athens. Twelve months from September 2000. 22,700	- 0 -
22,000	Mr. Stephen Orgel 920 Mears Court Stanford, CA 94305-1029 The history of the relation between Shakespearean texts and productions. Twelve months from September 2000. 22,000	- 0 -

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25,870	Ms. Keyla Orozco Alemán Lange Niezel 15-II Amsterdam, 1012 GS THE NETHERLANDS Music composition. Twelve months from April 2001. 25,870	CUBA	- 0 -
17,000	Mr. H. Allen Orr Department of Biology 402 Hutchison Hall University of Rochester Rochester, NY 14627-0211 The genetic origin of species. Twelve months from September 2000. 17,000		- 0 -
17,000	Mr. Robert A. Orsi Department of Religious Studies Sycamore Hall 230 Indiana University Bloomington, IN 47405-2601 American Catholics' recollections of their childhoods in the Church. Twelve months from July 2000. 17,000		- 0 -
15,000	Mr. Ed Osborn Box 9121 Oakland, CA 94613 Sound installation. Twelve months from June 2000. 15,000		- 0 -
21,000	Mr. Eric Pankey 4213 Lenox Drive Fairfax, VA 22032 Poetry. Twelve months from June 2000. 42,000		21,000

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15,000	Mr. Joseph Parisi 3440 North Lake Shore Drive Chicago, IL 60657 A documentary history of <i>Poetry</i> magazine. Eight months from October 2000. 15,000	- 0 -
15,000	Ms. Suzan-Lori Parks 10 Plaza Street (#9H) Brooklyn, NY 11238 Play writing. Twelve months from March 2000. 15,000	- 0 -
22,700	Mr. Ed Paschke 317 Howard Street Evanston, IL 60202 Painting. Twelve months from September 2000. 22,700	- 0 -
45,000	Ms. Mary Sponberg Pedley 1720 Morton Avenue Ann Arbor, MI 48104 Printed maps and popular taste in 18th-century France and England. Seven months from February 2001. 45,000	- 0 -
32,000	Mr. Louis A. Pérez, Jr. Department of History Campus Box 3195, Hamilton Hall University of North Carolina Chapel Hill, NC 27599-3195 Suicide and exemplary death in Cuba. Eight months from January 2001. 32,000	- 0 -

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	Mr. Mark Phillips Department of History University of British Columbia #1297 - 1873 East Mall, Buchanan Tower Vancouver, British Columbia V6T 1Z1 CANADA A short history of distance. Twelve months from July 2000.	CANADA	
17,000	17,000		- 0 -
	Ms. Suzan Pitt 1725 Burnell Drive Los Angeles, CA 90065 Film animation. Six months from May 2000.		
17,500	17,500		- 0 -
	Mr. Enrique Ramiro Pujals Estrada Dona Castorina 110 Jardim Botânico Rio de Janeiro, 22460-320 BRAZIL A study of nonhyperbolic dynamical systems. Twelve months from October 2000.	ARGENTINA	
24,700	24,700		- 0 -
	Mr. Vicente L. Rafael Department of Communication University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093-0503 Language and the origins of nationalism in the Philippines. Twelve months from July 2000.		
17,000	17,000		- 0 -
	Mr. Alejandro Cristian Raga Instituto de Astronomía Universidad Nacional Autónoma de México Apartado Postal 70-264 México, D.F. 04510 MEXICO A study of collimated outflows from young stars. Twelve months from July 2000.	MEXICO	
21,000	21,000		- 0 -

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17,000	Mr. Jahan Ramazani 829 Gilliams Mountain Road Charlottesville, VA 22903 Postcolonial poetry in English. Twelve months from July 2000. 17,000	- 0 -
19,800	Mr. Thomas W. Reps Department of Computer Sciences University of Wisconsin 1210 West Dayton Street Madison, WI 53706 A new compressed representation of Boolean functions. Twelve months from August 2000. 19,800	- 0 -
13,000	Mr. David Riker 51 MacDougal Street (#70) New York, NY 10012 Film making. Twelve months from May 2000. 13,000	- 0 -
17,500	Mr. John Storm Roberts 418 Lasher Road Tivoli, NY 12583 Latin dance in the United States. Twelve months from April 2000. 17,500	- 0 -
8,300	Ms. Roxana Robinson 116 East 68th Street New York, NY 10021 Fiction. Eight months from July 2000. 8,300	- 0 -

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20,000	Mr. Larry Rohrschneider Fred Hutchinson Cancer Research Center 1100 Fairview Avenue, North M/S B2-152, P.O. Box 19024 Seattle, WA 98109-1024 Molecular mechanisms for regulating the growth of blood cells. Nine months from September 2000. 20,000	- 0 -
17,500	Mr. Daniel S. Rokhsar Department of Physics 366 LeConte Hall University of California Berkeley, CA 94720-7300 Studies in computational and theoretical biology. Twelve months from July 2000. 17,500	- 0 -
15,400	Mr. Dennis Romano 507 Cumberland Avenue Syracuse, NY 13210 Doge Francesco Foscari and the crisis of Venetian republicanism. Twelve months from August 2000. 37,000	21,600
20,000	Ms. Marian Roth 2 Allerton Street Provincetown, MA 02657 Photography. Twelve months from August 2000. 20,000	- 0 -
17,500	Ms. Ingrid D. Rowland Department of Art History University of Chicago 5540 South Greenwood Avenue Chicago, IL 60637 A life of Giordano Bruno. Twelve months from April 2000. 17,500	- 0 -

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19,500	Mr. Roswell Rudd P.O. Box 407 Accord, NY 12404 Music composition. Twelve months from May 2000. 19,500	- 0 -
20,000	Mr. John Russell 166 East 61st Street (#21-E) New York, NY 10021 A memoir. Twelve months from October 2000. 20,000	- 0 -
19,000	Ms. Jacqueline Saccoccio 66 Gansevoort Street (#2) New York, NY 10014-1401 Painting. Twelve months from July 2000. 19,000	- 0 -
8,500	Mr. Mark Salzman 3430 Figueroa Street Glendale, CA 91206 Nonfiction. Twelve months from April 2000. 8,500	- 0 -
21,000	Ms. Alessandra Sanguinetti Libertador 3770, 9° piso Buenos Aires, 1425 ARGENTINA Photography. Twelve months from July 2000. 21,000	ARGENTINA - 0 -

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4,200	Mr. Glen Seator 12 Duffield Street Brooklyn, NY 11201 Sculpture and installation art. Twelve months from June 2000. 4,200	- 0 -
34,000	Mr. James J. Sheehan 2742 Benvenue Avenue Berkeley, CA 94705 A history of sovereignty in 20th-century Europe. Twelve months from January 2001. 34,000	- 0 -
17,000	Mr. S. Murray Sherman Department of Neurobiology & Behavior State University of New York at Stony Brook Stony Brook, NY 11794-5230 The thalamic relay of visual signals to the cortex. Twelve months from September 2000. 17,000	- 0 -
12,500	Ms. Jocelyn Penny Small 7 West 96th Street (#9D) New York, NY 10025-6539 Narrative in classical art. Twelve months from July 2000. 12,500	- 0 -
20,000	Mr. Bruce Smith 1219 Oakwood Avenue Tuscaloosa, AL 35401 Poetry. Twelve months from August 2000. 20,000	- 0 -

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28,000	<p>Ms. Marta Lucia de Amorim Soares Rua Doutor Homem de Mello nº843 apto. 45 Sao Paulo, SP 05007-002 BRAZIL Choreography. Nine months from October 2000. 28,000</p>	BRAZIL	- 0 -
16,700	<p>Mr. Fernando E. Solanas Roque Saénz Peña 793 Olivos Buenos Aires, 1636 ARGENTINA Film making. Twelve months from July 2000. 16,700</p>	ARGENTINA	- 0 -
37,000	<p>Ms. Rebecca Solnit 605 Lyon Street (#4) San Francisco, CA 94117 Photography and the invention of the present. Twelve months from January 2001. 37,000</p>		- 0 -
34,000	<p>Mr. John Stembridge Department of Mathematics University of Michigan Ann Arbor, MI 48109-1109 Combinatorial aspects of root systems and Weyl characters. Six months from January 2001. 34,000</p>		- 0 -
9,700	<p>Ms. Judy Stevens 77 Franklin Street New York, NY 10013-3408 Sculpture. Twelve months from April 2000. 9,700</p>		- 0 -

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17,000	Mr. Frank H. Stewart 31 Jane Street (#5H) New York, NY 10014-1979 The customary law of the Sinai Bedouin. Twelve months from July 2000. 17,000	- 0 -
17,500	Mr. Robert Blair St. George Department of History University of Pennsylvania 3401 Walnut Street, Room 352-B Philadelphia, PA 19104-6228 Spoken language and oral poetics in early New England. Twelve months from July 2000. 17,500	- 0 -
22,700	Ms. Kristine Stiles Department of Art and Art History Duke University 112 East Duke Building, Box 90764 Durham, NC 27708-0764 Documentary photography of the nuclear age. Twelve months from September 2000. 22,700	- 0 -
25,300	Ms. Gwen Strahle 485 Valley Road Dayville, CT 06241 Painting. Twelve months from September 2000. 25,300	- 0 -
- 0 -	Ms. Z. S. Strother 423 West 120th Street (#76) New York, NY 10027 The relationship of art to power in central Africa. Twelve months from June 2001. 37,000	37,000

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28,000	Mr. Richard J. A. Talbert Department of History CB #3195, Hamilton Hall University of North Carolina Chapel Hill, NC 27599-3195 Cartography and world-view in ancient Rome. Six months from July 2001. 28,000	- 0 -
11,300	Ms. Julie Taylor 6727 Vanderbilt Houston, TX 77005 Argentine tango and the aesthetic of violence. Twelve months from May 2000. 11,300	- 0 -
19,800	Ms. Maria Todorova Department of History 4131 Turlington Hall, P.O. Box 117320 University of Florida Gainesville, FL 32611-7320 Nationalism and hero worship in the Balkans. Twelve months from June 2001. 34,000	14,200
27,000	Mr. Stephen Turlentes 195 Summer Street Somerville, MA 02143 Photography. Twelve months from September 2000. 27,000	- 0 -
12,500	Mr. Robert Trivers Department of Anthropology Rutgers University 131 George Street New Brunswick, NJ 08901-1414 Genetic conflict within the individual. Twelve months from July 2000. 12,500	- 0 -

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11,700	Ms. Amanda Vaill 60 East 96th Street New York, NY 10128 A biography of Jerome Robbins. Twelve months from May 2000. 11,700		- 0 -
17,500	Mr. Ricardo Valderrama Fernández Calle Alejandro Velasco N°95 San Jerónimo Cusco, PERU Twentieth-century myths of the Quechas. Twelve months from August 2000. 17,500	PERU	- 0 -
38,000	Mr. David J. Vayo School of Music Illinois Wesleyan University P.O. Box 2900 Bloomington, IL 61702-2900 Music composition. Twelve months from August 2000. 38,000		- 0 -
21,000	Mr. Pablo Veron c/o Anne Monty 405 Prince Arthur Street West apt. #6 Montréal, Québec H2X 1T3 CANADA Choreography. Twelve months from July 2000. 21,000	ARGENTINA	- 0 -
19,800	Ms. Elizabeth Vierling Department of Biochemistry University of Arizona 1007 East Lowell Street Tucson, AZ 85721-0106 Gene-mapping for agricultural productivity at high temperatures. Twelve months from August 2000. 19,800		- 0 -

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25,300	Ms. Darla Villani 80 Cambridge Place, 1st Floor Brooklyn, NY 11238 Choreography. Twelve months from September 2000. 25,300	- 0 -
5,000	Mr. Mike Wallace 331 President Street Brooklyn, NY 11231 A history of New York City since 1898. Twelve months from September 2000. 5,000	- 0 -
17,000	Mr. Wen I. Wang Department of Electrical Engineering Columbia University 1312 Mudd-MC 4712, 500 W. 120th Street New York, NY 10027 Semiconductor heterostructures for information technologies. Twelve months from July 2000. 17,000	- 0 -
11,300	Ms. Brenda Way 3936 Rhoda Avenue Oakland, CA 94602 Choreography. Twelve months from May 2000. 11,300	- 0 -
- 0 -	Ms. Joan Weiner 3437 North Frederick Avenue Milwaukee, WI 53211 Frege's lessons for our understanding of language. Twelve months from July 2001. 34,000	34,000

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15,500	Mr. Stephen S.-T. Yau Department of MSCS (M/C 249) University of Illinois at Chicago 851 South Morgan Street Chicago, IL 60607-7045 Studies in complex and combinatorial geometry. Twelve months from July 2000. 15,500	- 0 -
20,700	Ms. Marilyn B. Young 3 Washington Square Village (#6-H) New York, NY 10012 The postwar war in Korea. Twelve months from September 2000. 20,700	- 0 -
21,000	Ms. Vida Yovanovich P.O. Box 82082 Cuajimalpa México, D.F. 05000 MEXICO Photography. Twelve months from July 2000. 21,000	MEXICO - 0 -
23,300	Mr. Xumu Zhang Department of Chemistry 152 Davey Laboratory Pennsylvania State University University Park, PA 16802-6300 Man-made catalysts for manufacturing. Nine months from October 2000. 23,300	- 0 -
25,300	Ms. Trisha Ziff-Meyer Ortega 20 Coyoacán México, D.F. 04000 MEXICO The historical narrative of the San Patricios in a contemporary context. Twelve months from November 2000. 25,300	MEXICO - 0 -

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<p>23,300</p>	<p>Mr. Ray Anderson 189 Old Field Road Setauket, NY 11733 Music composition. Twelve months from June 2001. 40,000</p>	<p>16,700</p>
<p>14,600</p>	<p>Ms. Ann W. Astell Department of English Purdue University West Lafayette, IN 47907-1356 Medieval asceticism, mysticism, and aesthetics. Twelve months from August 2001. 35,000</p>	<p>20,400</p>
<p>9,900</p>	<p>Mr. Ignacio Baca-Lobera Carlos Septien #48 Cimatario Queretaro, Queretaro 76030 MEXICO Music composition. Twelve months from June 2001. 17,000</p>	<p>MEXICO 7,100</p>
<p>- 0 -</p>	<p>Ms. Cristina Bacchilega Department of English University of Hawaii-Manoa 1733 Donaghho Road Honolulu, HI 96822 Narrative and the politics of landmarks in Hawaii. Twelve months from August 2001. 35,000</p>	<p>35,000</p>
<p>- 0 -</p>	<p>Ms. Charlotte Bacon 222 Wadleigh Falls Road Lee, NH 03824 Fiction. Twelve months from September 2001. 46,000</p>	<p>46,000</p>

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Mr. Claude Baker
School of Music
Indiana University at Bloomington
Music Building
Bloomington, IN 47405
Music composition.
Twelve months from September 2001.

11,700

35,000

23,300

Mr. Carlos L. Ballaré
CONICET and Facultad de Agronomia UBA
IFEVA(Inst. Invest. Fisiol. y Ecol. Vinculadas a
la Agric.)
Avenida San Martin 4453
Buenos Aires, C1417DSE ARGENTINA
Functional aspects of the impacts of solar ultraviolet radiation on plant-insect
interactions.
Twelve months from September 2001.

ARGENTINA

14,300

43,000

28,700

Mr. John A. Bargh
Department of Psychology
Seventh Floor
New York University
6 Washington Place
New York, NY 10003
Nonconscious forms of self-regulation.
Nine months from September 2001.

14,200

32,000

17,800

Mr. James R. Bartholomew
Department of History
The Ohio State University
Columbus, OH 43210-1367
Japan and the Nobel science prizes, 1901-1949.
Twelve months from September 2001.

11,700

35,000

23,300

Mr. Harry William Bartnick
14 Prospect Street
Beverly, MA 01915
Painting.
Twelve months from May 2001.

18,800

25,000

6,200

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- 0 -	<p>Mr. Frank D. Bean Department of Sociology University of California, Irvine 3151 Social Science Plaza Irvine, CA 92697-5100 Multiracial identification and America's color lines. Twelve months from January 2002.</p>	35,000
17,500	<p>Mr. James J. Beatty Department of Physics 303 Osmond Laboratory Pennsylvania State University University Park, PA 16802 Studies of the highest energy cosmic rays. Twelve months from July 2001.</p>	17,500
17,800	<p>Ms. Florence Bernault Department of History 3211 Humanities Building University of Wisconsin, Madison 455 North Park Street Madison, WI 53706 The invention of witchcraft in colonial and postcolonial Gabon. Twelve months from August 2001.</p>	17,200
10,000	<p>Mr. B. Douglas Bernheim Department of Economics Stanford University Stanford, CA 94305 The political economy of legislative institutions and policy formation. Twelve months from September 2001.</p>	20,000
24,000	<p>Mr. Jason Berry 7901 Belfast Street New Orleans, LA 70125 A history of jazz funerals in New Orleans. Twelve months from April 2002.</p>	24,000

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Ms. Maggie Bickford
Dept. of History of Art and Architecture
Brown University
Box 1855
Providence, RI 02912-1855
Auspicious visuality in China.
Twelve months from July 2001.

17,500

35,000

17,500

Mr. Ralph Blumenthal
THE NEW YORK TIMES
Culture News, 4th Floor
229 West 43rd Street
New York, NY 10036
The reforms of the Sing Sing warden Lewis E. Lawes.
Twelve months from April 2001.

45,000

45,000

- 0 -

Mr. Christopher Boehm
1047 Camino San Acacio
Sante Fe, NM 87501
The evolution of conflict resolution.
Twelve months from July 2001.

35,000

35,000

- 0 -

Ms. Graciela Lina Boente Boente
Moldes 1855 3° A
Buenos Aires, 1428 ARGENTINA
Robust and nonparametric inference.
Twelve months from September 2001.

ARGENTINA

14,300

43,000

28,700

Ms. Michele H. Bogart
34 Second Street
Brooklyn, NY 11231-4802
The Art Commission and public culture in New York City.
Twelve months from July 2001.

17,500

35,000

17,500

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35,000	Ms. Natalie Bookchin 1926 Whitmore Avenue Los Angeles, CA 90039 New media art. Twelve months from April 2001. 35,000		- 0 -
- 0 -	Ms. Alicia Borinsky 11 Bradford Road Newton, MA 02461 Fiction. Six months from July 2002. 43,000	ARGENTINA	43,000
- 0 -	Mr. Kevin Boyle 11 Sugarloaf Street South Deerfield, MA 01373 The 1925 Sweet trials and the modern civil rights movement. Twelve months from September 2002. 35,000		35,000
16,000	Mr. Christopher Bram 28 Perry Street, #5R New York, NY 10014 Fiction. Twelve months from July 2001. 32,000		16,000
17,500	Ms. Martha Burgess 181 Powers Street, #2R Brooklyn, NY 11211 New media art. Twelve months from June 2001. 35,000		17,500

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ARGENTINA

Dr. Alfredo Cáceres
Instituto de Investigación Médica Mercedes y
Martín Ferreyra
Friuli 2434
Córdoba, 5016 ARGENTINA
Kinesin-like protein functions during neuronal polarization.
Twelve months from April 2002.
- 0 - 35,000

35,000

Mr. Charles Cajori
2338 Litchfield Road
Watertown, CT 06795
Painting and drawing.
Twelve months from May 2001.
15,000 30,000

15,000

Ms. Kathleen Cambor
2003 Milford Street
Houston, TX 77098
Fiction.
Twelve months from April 2001.
25,000 40,000

15,000

Ms. Ardis Cameron
24 Pond Road
South Portland, ME 04106
Peyton Place as a social and cultural artifact.
Twelve months from August 2002.
- 0 - 35,000

35,000

Mr. Alan Campion
Dept. of Chemistry and Biochemistry
The University of Texas at Austin
Austin, TX 78746
Spectroscopic studies of molecules adsorbed on solid surfaces.
Twelve months from September 2001.
- 0 - 35,000

35,000

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	Mr. Sergio Chejfec Residencias Parque Sebucán Ap.10-2 Av. Rómulo Gallegos, Sebucán Caracas, 1062-A VENEZUELA Fiction. Twelve months from January 2002.	VENEZUELA	
32,000	32,000		- 0 -
	Mr. Jem Cohen 284 10th Street, #1 Brooklyn, NY 11215 Film making. Twelve months from June 2001.		
14,600	35,000		20,400
	Ms. Cathy C. Cook 40 Washington Avenue Brooklyn, NY 11205 Film making. Twelve months from June 2001.		
19,000	38,000		19,000
	Ms. Marsha Cottrell 295 Washington Avenue, #6E Brooklyn, NY 11205 Drawing. Twelve months from April 2001.		
33,800	45,000		11,200
	Mr. Eduardo Coutinho Largo de Sao Francisco de Paula 34/4º andar-Centro Rio de Janeiro, 20051-070 BRAZIL Film making. Twelve months from August 2001.	BRAZIL	
20,000	40,000		20,000

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13,300	<p>Mr. Christian Cravo Rua Padre Camilo Torrend 172 Apt. 022 Sao Lázaro Salvador, Bahia 40210-650 BRAZIL Photography. Twelve months from August 2001. 32,000</p>	BRAZIL	18,700
17,500	<p>Mr. John E. Crowley Department of History Dalhousie University Halifax, Nova Scotia B3H 3J5 CANADA Landscape art and Anglo-American identities in North America. Twelve months from September 2001. 35,000</p>	CANADA	17,500
- 0 -	<p>Ms. Leticia Fernanda Cugliandolo Laboratoire de Physique Théorique École Normale Supérieure 24, Rue Lhomond 75231 Paris, Cedex 05 FRANCE Quantum disordered systems and optimization problems. Twelve months from December 2001. 42,000</p>	ARGENTINA	42,000
8,000	<p>Ms. Patricia Curd Department of Philosophy Purdue University West Lafayette, IN 47907 A translation and study of Anaxagoras of Clazomenae. Twelve months from July 2001. 16,000</p>		8,000
15,000	<p>Mr. Gregory D'Alessio 13433 Detroit Avenue 310 Lakewood, OH 44107 Music composition. Nine months from September 2001. 30,000</p>		15,000

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- 0 -	Ms. Lynn Dally 1828 California Avenue Santa Monica, CA 90403 Choreography. Twelve months from July 2001. 35,000	35,000
17,500	Mr. Richard H. Davis 926 Ridge Road Hamden, CT 06517 Processions in medieval south India. Twelve months from July 2001. 35,000	17,500
- 0 -	Mr. Marcel Detienne Department of Classics Gilman Hall 121 The Johns Hopkins University 3400 North Charles Street Baltimore, MD 21218 The gods of politics in early Greek cities. Six months from January 2002. 35,000	35,000
35,000	Mr. Tom D. Dillehay Department of Anthropology University of Kentucky Lexington, KY 40506 History and the identity politics of the Chilean Mapuche. Twelve months from July 2001. 35,000	- 0 -
17,500	Mr. Bruce Randall Donald Department of Computer Science 6211 Sudikoff Laboratory Dartmouth College Hanover, NH 03755 Algorithms for structural proteomics. Twelve months from July 2001. 35,000	17,500

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Mr. James Drake
Rt. 10 Box 88M
West Alameda
Santa Fe, NM 87501
Visual art.
Twelve months from September 2001.

17,500

35,000

17,500

Mr. Andre Dubus, III
24 Allen Street
Newburyport, MA 01950
Fiction.
Twelve months from January 2002.

- 0 -

48,000

48,000

Ms. Marcia Lea Due
P.O. Box 188
Amenia, NY 12501
Photography.
Twelve months from June 2001.

20,000

40,000

20,000

Mr. Thomas L. Dumm
Department of Political Science
Amherst College
Amherst, MA 01002-2000
Loneliness and experience.
Twelve months from September 2001.

11,700

35,000

23,300

Ms. Patricia Ebrey
Jackson School of International Studies
University of Washington
Box 353650
Seattle, WA 98195-3650
The Song emperor Huizong and his China.
Twelve months from January 2002.

- 0 -

35,000

35,000

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- 0 -	<p>Mr. Geoff Eley Department of History 1029 Tisch Hall University of Michigan Ann Arbor, MI 48109-1003 The German Right from Bismarck to the present. Twelve months from September 2003.</p>		35,000
21,000	<p>Mr. Javier A. Escobal Grupo de Análisis para el Desarrollo Avenida del Ejercito 1870 San Isidro, Lima 27 PERU The links between rural producers and markets. Twelve months from August 2001.</p>	PERU	42,000
20,400	<p>Mr. William F. Fagan Department of Biology Arizona State University Tempe, AZ 85287-1501 The challenge of addressing key problems in conservation biology with weak data. Twelve months from May 2001.</p>		35,000
10,000	<p>Mr. Alejandro Fainstein Centro Atómico Bariloche Laboratorio de Propiedades Opticas Bustillo 9500 S.C. de Bariloche, Río Negro 8400 ARGENTINA Optically confined spectroscopy of nanostructures. Twelve months from July 2001.</p>	ARGENTINA	40,000
12,900	<p>Ms. Ana Fernández Garay Perú 1098 (4to. "G") Buenos Aires, 1068 ARGENTINA An edition of the testimonies of the last Ranquels. Twelve months from August 2001.</p>	ARGENTINA	31,000

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16,700	Mr. Sérgio T. Ferreira Rua Cosme Velho 415/703 Cosme Velho, Rio de Janiero 22241-090 BRAZIL Protein misfolding and aggregation in human amyloid diseases. Twelve months from August 2001. 40,000	BRAZIL 23,300
14,000	Mr. Michael C. Ferris Computer Sciences Department University of Wisconsin 1210 West Dayton Street Madison, WI 53706 Optimization for medical applications. Ten months from September 2001. 35,000	21,000
44,000	Mr. Steve Fiffer 2722 Hartzell Street Evanston, IL 60201 A biography of the spinal cord. Twelve months from April 2001. 44,000	- 0 -
11,700	Mr. Robbert Flick 3117 Lansbury Avenue Claremont, CA 91711 Photography. Twelve months from September 2001. 35,000	23,300
15,000	Mr. John J. Flynn Department of Geology The Field Museum 1400 South Lake Shore Drive Chicago, IL 60605 The interplay of evolution and geologic change in South America. Twelve months from July 2001. 30,000	15,000

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17,500	<p>Mr. John Ganim Department of English University of California Riverside, CA 92521 Theories of the origins of medieval culture. Twelve months from July 2001. 35,000</p>	17,500
- 0 -	<p>Mr. Juan Eduardo García-Huidobro Rey Cristian 3920 Santiago de Chile,, CHILE Public policies to achieve equity in education. Twelve months from January 2002. 28,000</p>	CHILE 28,000
20,000	<p>Mr. Joe Gibbons 100 Forsyth Street, #1 New York, NY 10002 Film making. Twelve months from May 2001. 40,000</p>	20,000
- 0 -	<p>Mr. Simon Gikandi 1359 Mesa Drive Ypsilanti, MI 48197-8948 Pan-Africanism and culture, 1860-1960. Twelve months from September 2001. 35,000</p>	35,000
10,000	<p>Ms. Rebecca Gilman 5611 N. Glenwood, #3 Chicago, IL 60660 Play writing. Twelve months from July 2001. 30,000</p>	20,000

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25,300	<p>Ms. Judy Glantzman 64 Grand Street New York, NY 10013 Painting. Twelve months from May 2001. 38,000</p>	12,700
14,600	<p>Mr. Daniel S. Godfrey 222 Kensington Place Syracuse, NY 13210 Music composition. Twelve months from August 2001. 35,000</p>	20,400
35,000	<p>Mr. Aníbal González-Pérez Dept. of Spanish, Italian, and Portuguese Pennsylvania State University 352 North Burrowes Building University Park, PA 16802-6203 The new sentimental novel in Spanish America. Six months from July 2001. 35,000</p>	- 0 -
25,000	<p>Ms. Deborah M. Gordon Department of Biological Sciences Stanford University Gilbert Building 371 Serra Mall Stanford, CA 94305-5020 The organization of work in ant colonies. Twelve months from September 2001. 35,000</p>	10,000
39,000	<p>Ms. Joanne Greenbaum 73 Leonard Street New York, NY 10013 Painting. Twelve months from July 2001. 39,000</p>	- 0 -

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14,600	Mr. Daniel A. Griffith Department of Geography 144 Eggers Hall Syracuse University Syracuse, NY 13244-1020 Scientific visualization of spatial autocorrelation. Twelve months from August 2001. 35,000	20,400
10,000	Ms. Sally Gross 463 West Street New York, NY 10014 Choreography. Twelve months from September 2001. 40,000	30,000
33,700	Ms. Jessica Hagedorn 684 Washington St., #4D New York, NY 10014 Fiction. Twelve months from May 2001. 40,000	6,300
12,500	Mr. Joseph Y. Halpern 46 Beckett Way Ithaca, NY 14850 Decision-making in complex systems. Twelve months from August 2001. 30,000	17,500
16,000	Ms. Rebecca Harris-Warrick 333 The Parkway Ithaca, NY 14850 Dance in French opera during the ancien régime. Twelve months from July 2001. 32,000	16,000

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<p>Mr. Ehud Havazelet 34232 Colorado Lake Drive Corvallis, OR 97333 Fiction. Twelve months from September 2001.</p>	<p>36,000</p>	<p>36,000</p>
<p>- 0 -</p>		
<p>Ms. Christine Heindl P.O. Box 23 Chauncey, OH 45719 Painting. Twelve months from June 2001.</p>	<p>32,000</p>	<p>13,300</p>
<p>18,700</p>		
<p>Mr. José Hernandez-Claire Abraham Lincoln #116 Guadalajara C.P. 44690 Jalisco, MEXICO Photography. Twelve months from August 2001.</p>	<p>30,000</p>	<p>10,000</p>
<p>20,000</p>		
<p>Ms. Anne Higonnet 85 Everit Street New Haven, CT 06511 A history of private art museums, 1848-1940. Twelve months from August 2001.</p>	<p>35,000</p>	<p>32,100</p>
<p>2,900</p>		
<p>Mr. David Hilliard 23 Hillcrest Street West Roxbury, MA 02132 Photography. Twelve months from September 2001.</p>	<p>40,000</p>	<p>10,000</p>
<p>30,000</p>		

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- 0 -	Ms. Marianne Hirsch 355 Rte. 132 Norwich, VT 05055 Czernowitz and the Holocaust. Twelve months from March 2002. 35,000	35,000
17,500	Mr. Philip T. Hoffman Humanities and Social Sciences M/C 228-77 California Institute of Technology Pasadena, CA 91125 The role of crises in economic and financial development (in collaboration with Jean-Laurent Rosenthal). Twelve months from July 2001. 35,000	17,500
- 0 -	Mr. Hugo Hopenhayn Corrientes 783 Olivos 1636 Buenos Aires ARGENTINA Topics in social insurance. Twelve months from August 2001. 42,000	ARGENTINA 42,000
20,000	Mr. Joseph Horowitz 49 West 96th Street, #6G New York, NY 10025 Music and the Gilded Age. Twelve months from September 2001. 40,000	20,000
24,500	Mr. Jim Isermann 3129 6th Street, #D Santa Monica, CA 90405 Visual art. Twelve months from June 2001. 42,000	17,500

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2001

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Future Payment

20,400	Mr. Anil Kumar Jain 2139 Woodfield Road Okemos, MI 48864 The structure of multidimensional patterns. Twelve months from June 2001. 35,000	14,600
20,400	Mr. Roberto Juarez 303 E. 8th Street, #5R New York, NY 10009 Painting. Twelve months from May 2001. 35,000	14,600
20,000	Mr. Mehran Kardar Department of Physics Massachusetts Institute of Technology Room 12-108 77 Massachusetts Avenue Cambridge, MA 02139 Statistical physics and biological information. Twelve months from May 2001. 30,000	10,000
25,000	Mr. Ira Katznelson 211 Central Park West New York, NY 10024 Liberalism and the city. Twelve months from July 2001. 35,000	10,000
- 0 -	Mr. Webb Keane Department of Anthropology 1020 LSA Building University of Michigan 500 South State Street Ann Arbor, MI 48109-1382 Missionaries, Protestants, and dilemmas of "modernity" in Indonesia. Twelve months from July 2003. 35,000	35,000

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11,700	Mr. J. Gerald Kennedy Department of English Louisiana State University Baton Rouge, LA 70803-5001 Literary nationalism in the age of Poe. Twelve months from September 2001. 35,000	23,300
- 0 -	Ms. Dale Vivienne Kent Department of History University of California Riverside, CA 92521 Patronage and patriarchy in early Medicean Florence. Twelve months from July 2002. 35,000	35,000
17,500	Mr. Todd Kontje Department of Literature University of California, San Diego La Jolla, CA 92093-0410 German orientalism. Twelve months from July 2001. 35,000	17,500
- 0 -	Mr. Daniel W. Koontz 15 Canterbury Mews Southampton, NY 11968 Music composition. Twelve months from January 2002. 45,000	45,000
11,700	Ms. Maryanne Kowaleski Center for Medieval Studies Fordham University Bronx, NY 10458 An ethnography of maritime communities in medieval England. Twelve months from September 2001. 35,000	23,300

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8,700	Mr. Matthew H. Kramer Churchill College Cambridge, CB3 ODS ENGLAND An analysis of the ideal of negative liberty. Twelve months from September 2001. 26,000	17,300
17,500	Mr. Arthur J. Krener Department of Mathematics University of California One Shields Avenue Davis, CA 95616-8633 Normal forms and bifurcation of control systems. Twelve months from July 2001. 35,000	17,500
- 0 -	Mr. Michael Kubovy Department of Psychology University of Virginia P.O. Box 400400 McCormick Road Charlottesville, VA 22904-4400 A new approach to human pleasure. Twelve months from August 2002. 35,000	35,000
35,000	Ms. Joan B. Landes Department of History Pennsylvania State University 108 Weaver Building University Park, PA 16802-5500 Artificial life in 18th-century France. Twelve months from July 2001. 35,000	- 0 -
13,300	Mr. Ricardo Lanzarini Juan José Quesada 3871 Montevideo URUGUAY C.P. 11713 Drawing. Twelve months from August 2001. 32,000	URUGUAY 18,700

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ARGENTINA

Mr. Jorge Lauret
FaMAF
Universidad Nacional de Córdoba
Córdoba, 5000 ARGENTINA
Studies in differential geometry.
Twelve months from August 2001.

16,700

40,000

23,300

Ms. Dorianne Laux
1755 W. 17th Avenue
Eugene, OR 97402
Poetry.
Nine months from January 2002.

- 0 -

40,000

40,000

Ms. Asunción Lavrin
1433 West Meseto Avenue
Mesa, AZ 85202
Masculinity and the religious orders in colonial Mexico.
Twelve months from August 2002.

- 0 -

32,000

32,000

Ms. Jocelyn Lee
298 Mitchell Road
Cape Elizabeth, ME 04107
Photography.
Twelve months from October 2001.

10,000

40,000

30,000

BRAZIL

Ms. Annette Leibing
Rua Engenheiro Pena Chaves, 150
Rio de Janeiro, 22460-090 BRAZIL
Aging and homelessness in Rio de Janeiro.
Twelve months from July 2001.

39,000

39,000

- 0 -

John Simon Guggenheim Memorial Foundation, Federal ID #13-5673173, Statement A

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2001

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10,800	Mr. Jac Leirner Rua Teodoro Ramos 149 Sao Paulo, SP 01250-020 BRAZIL Visual art. Twelve months from October 2001. 43,000	BRAZIL	32,200
17,500	Mr. Ricardo Llorca 185 West Houston, Apt. 1G New York, NY 10014 Music composition. Twelve months from June 2001. 30,000		12,500
17,500	Ms. Sharon Lockhart 1317 Lucile Avenue, #13 Los Angeles, CA 90026 Film making. Twelve months from June 2001. 35,000		17,500
15,500	Ms. Elizabeth Lunbeck Department of History 129 Dickinson Hall Princeton University Princeton, NJ 08544 Psychoanalytic practice in the United States before 1920. Nine months from September 2001. 35,000		19,500
20,000	Ms. Eva Lundsager 10 Downing Street, #6F New York, NY 10014 Painting. Twelve months from July 2001. 40,000		20,000

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12,300	<p>Ms. Vera Lutter 333 West 39th Street, #302 New York, NY 10018 Visual art. Twelve months from September 2001. 37,000</p>		24,700
11,300	<p>Ms. Paula Luttringer Defensa 1368 Buenos Aires, 1143 ARGENTINA Photography. Twelve months from August 2001. 27,000</p>	ARGENTINA	15,700
16,300	<p>Mr. Jorge Macchi Estados Unidos 2906 Buenos Aires, 1227 ARGENTINA Visual art. Twelve months from June 2001. 28,000</p>	ARGENTINA	11,700
17,500	<p>Dr. Stephen E. Malawista Dept. of Internal Medicine-Rheumatology Yale University School of Medicine 333 Cedar Street, Room 608 LCI P.O. Box 208031 New Haven, CT 06520-8031 Studies of chemotaxis in human blood plasma. Twelve months from July 2001. 35,000</p>		17,500
17,100	<p>Ms. Rachel Manley 39 Crawford Street, Unit C Toronto, Ontario M6J 3V1 CANADA A biography of Edna Manley. Twelve months from August 2001. 41,000</p>	JAMAICA	23,900

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Future Payment

21,600	Mr. Mark Maroncelli Department of Chemistry 152 Davey Laboratory Pennsylvania State University University Park, PA 16802 Computational studies of supercritical fluids. Twelve months from August 2001. 32,000	10,400
18,500	Ms. Beverly McIver 941 N. Adams Court Chandler, AZ 85225 Painting. Twelve months from September 2001. 37,000	18,500
36,000	Ms. Cindy McTee 1217 Piping Rock Lane Denton, TX 76205 Music composition. Six months from July 2001. 36,000	- 0 -
30,000	Mr. Claudio Mercado Muñoz Museo Chileno de Arte Precolombino Bandera 361 Santiago,, CHILE <i>Bailes chinos</i> and prehispanic memory in central Chile. Twelve months from July 2001. 42,000	CHILE 12,000
31,300	Dr. Susan K. Mikota c/o Ms. Barbara Vincent 3520 Mimosa Court New Orleans, LA 70131 A program for Sumatran elephant healthcare and conservation. Twelve months from May 2001. 47,000	15,700

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11,700	Ms. Susan L. Mizruchi 24 Saxon Terrace Newton, MA 02461 American culture, economy, and the novel, 1860-1915. Twelve months from September 2001. 35,000	23,300
- 0 -	Ms. Toril Moi 152 Pinecrest Road Durham, NC 27705 Ibsen's modernity. Twelve months from January 2002. 35,000	35,000
17,500	Mr. Dilip Mookherjee Department of Economics Boston University 270 Bay State Road Boston, MA 02215 Land reforms and fiscal decentralization in the economic development of West Bengal. Nine months from September 2001. 35,000	17,500
22,500	Ms. Rachel Olivia Moore 601 W. 113th Street Apt. 5A New York, NY 10025 A study of folklore on film. Twelve months from June 2001. 45,000	22,500
17,500	Mr. François M. Morel Department of Geosciences Guyot Hall - Room 153 Princeton University Princeton, NJ 08544 The biological chemistry of sea water. Twelve months from July 2001. 35,000	17,500

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12,700	<p>Mr. Brian Morton 100 W. 93rd Street, # 26E New York, NY 10025-7568 Fiction. Twelve months from September 2001.</p>	25,300
	<p>38,000</p>	
	<p>Mr. Tomas Moulian Emparanza Mapocho 2665 Block G, Appt. 33 Santiago,, CHILE Intellectuals and politics in Chile, 1958-1970. Twelve months from June 2001.</p>	- 0 -
30,000	<p>30,000</p>	
	<p>Mr. John Mulvaney 3629 Powelton Avenue Philadelphia, PA 19104 Painting. Twelve months from June 2001.</p>	16,700
23,300	<p>40,000</p>	
	<p>Ms. Nalini M. Nadkarni Lab II Evergreen State College Olympia, WA 98505 The communication of forest-canopy research to nonscientists. Nine months from September 2001.</p>	24,000
12,000	<p>36,000</p>	
	<p>Mr. Pablo E. Navarro Pasaje Santo Tomás 328 Barrio Observatorio Córdoba, 5000 ARGENTINA Normative relevance and justification of institutional decisions. Seven months from September 2001.</p>	22,000
10,000	<p>32,000</p>	

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<p>- 0 -</p>	<p>Ms. Marilyn Nelson 98 Candide Lane Storrs, CT 06268 Poetry. Twelve months from January 2002. 35,000</p>	<p>35,000</p>
<p>11,700</p>	<p>Mr. Herbert Neuberger Department of Physics and Astronomy Rutgers University Piscataway, NJ 08855 Chirality in nature. Twelve months from August 2001. 35,000</p>	<p>23,300</p>
<p>23,400</p>	<p>Mr. Hans W. Niemeyer Fernandez Padre Hurtado 323, Depto. 201 Las Condes Santiago, CHILE The rock paintings of El Médano. Twelve months from July 2001. 39,000</p>	<p>CHILE 15,600</p>
<p>- 0 -</p>	<p>Mr. Richard E. Nisbett Department of Psychology 3229 East Hall University of Michigan Ann Arbor, MI 48109 Eastern holism and Western analysis. Eight months from January 2002. 35,000</p>	<p>35,000</p>
<p>36,000</p>	<p>Ms. Jacki Ochs 591 Broadway, #4B New York, NY 10012 Film making. Twelve months from May 2001. 36,000</p>	<p>- 0 -</p>

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14,600	<p>Mr. Raymond J. O'Connor Department of Wildlife Ecology University of Maine 5755 Nutting Hall Orono, ME 04469-5755 The practice of ecology. Twelve months from August 2001.</p>	20,400
- 0 -	<p>Mr. Oscar Satio Oiwa 16-10 Senju Kotobuki-cho Adachi-ku Tokyo, 120-0033 JAPAN Visual art. Twelve months from December 2001.</p>	43,000
20,000	<p>Mr. Pedro L. Oliveira Departamento de Bioquímica Médica Instituto de Ciências Biomédica Centro de Ciências da Saúde Rio de Janeiro, 21941-590 BRAZIL Biological defenses against heme toxicity. Twelve months from July 2001.</p>	20,000
17,500	<p>Mr. Alejandro César Olivieri Departamento de Química Analítica Facultad de Ciencias Bioquímicas y Farmacéuticas Universidad Nacional de Rosario Suipacha 531 Rosario, 2000 ARGENTINA The development of analytical methods for biomedical samples. Twelve months from July 2001.</p>	17,500
10,000	<p>Mr. Julio M. Ottino Department of Chemical Engineering R.R. McCormick School of Engineering and Applied Science Northwestern University Evanston, IL 60208-3120 Dynamics and self-organization in granular media. Eight months from September 2001.</p>	20,000

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30,000	<p>Mr. George Packer 311 President Street, #3 Brooklyn, NY 11231 The human face of globalization. Twelve months from May 2001.</p>	45,000	15,000
10,300	<p>Ms. Hilda Paredes 12 Dukes Avenue London, N3 2DD ENGLAND Music composition. Twelve months from September 2001.</p>	31,000	20,700
- 0 -	<p>Mr. Geoffrey Parker 5110 Postlewaite Road Columbus, OH 43235 The world crisis, 1635-1665. Twelve months from September 2002.</p>	35,000	35,000
18,500	<p>Mr. Eduardo Antonio Parra Yácatas #339 Colonia Narvarte México, D.F. 03020 MEXICO Fiction. Twelve months from July 2001.</p>	37,000	18,500
12,000	<p>Mr. Clifton Peacock Department of Studio Arts College of Charleston 66 George Street Charleston, SC 29424 Painting. Twelve months from September 2001.</p>	36,000	24,000

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- 0 -	<p>Mr. Robert J. Penella Department of Classics Fordham University Bronx, NY 10458 A study and translation of the orations of Himerius. Eight months from January 2002. 35,000</p>	35,000
- 0 -	<p>Mr. Antonio Arnoni Prado Rua Mateus Garcia, 618 Sao Paulo, 02374-000 BRAZIL A comparative study of the critical thought of Sérgio Buarque de Holanda and Manuel de Oliveira Lima. Ten months from October 2001. 35,000</p>	BRAZIL 35,000
15,500	<p>Mr. Wyatt Prunty 267 Clara's Point Road Sewanee, TN 37375 Poetry. Twelve months from July 2001. 31,000</p>	15,500
15,000	<p>Mr. Kevin Matthew Puts 10301 FM2222, #1311 Austin, TX 78730 Music composition. Twelve months from September 2001. 45,000</p>	30,000
15,500	<p>Mr. Ronald T. Raines Department of Biochemistry University of Wisconsin-Madison 433 Babcock Drive Madison, WI 53706-1569 Automated protein assembly to mine the human genome. Nine months from August 2001. 35,000</p>	19,500

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- 0 -	Ms. Sumathi Ramaswamy 1200 Barrister Road Ann Arbor, MI 48105 Maps and modernity in India. Twelve months from June 2003. 35,000		35,000
14,300	Ms. María Cristina Redondo via Balbi 30 (16126) Genova, 16126 ITALY An inquiry into the practical authority of law. Twelve months from September 2001. 43,000	ARGENTINA	28,700
- 0 -	Ms. Amy G. Remensnyder 18 Everett Avenue Providence, RI 02906 Conquest, conversion, and the Virgin Mary in medieval Spain and Spanish colonial America. Twelve months from July 2001. 30,000		30,000
- 0 -	Ms. Joan L. Richards Department of History Box N Brown University Providence, RI 02912 Mathematics and spirit in the world of Augustus and Sophia DeMorgan. Twelve months from July 2002. 35,000		35,000
- 0 -	Mr. John Richardson 73 Fifth Avenue Seventh Floor New York, NY 10003 A life of Picasso, 1917-1939. Twelve months from May 2001. 25,000		25,000

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- 0 -	Ms. Katherine Wentworth Rinne 184 Otis Street, #2 Cambridge, MA 02138 The waters of the city of Rome. Twelve months from September 2001. 40,000		40,000
15,000	Mr. David Rivard 72 A Inman Street Cambridge, MA 02139 Poetry. Twelve months from September 2001. 45,000		30,000
24,000	Ms. Silvia Rivas Costa Rica 4165 Buenos Aires, 1176 ARGENTINA Video installation art. Nine months from July 2001. 24,000	ARGENTINA	- 0 -
25,000	Ms. Anne Charlotte M. Robertson 69 Dennison Avenue Framingham, MA 01702-6418 Film making. Twelve months from June 2001. 45,000		20,000
40,000	Ms. Mercedes Roffé 322 E. 89th Street, Apt. 5A New York, NY 10128 Poetry. Twelve months from July 2001. 40,000	ARGENTINA	- 0 -

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	Mr. Tulio Rojas Curieux Calle 8 sur No. 10-52 Apartamento 402 Barrio Ciudad Berna Bogotá,, COLOMBIA Analysis of complex sentences in Nasa Yuwe. Twelve months from August 2001.	COLOMBIA	
6,000	24,000		18,000
	Mr. George D. Rose Dept. of Biophysics & Biophysical Chemistry The Johns Hopkins University 725 North Wolfe Street - WBSB 704 Baltimore, MD 21205-2185 The physical basis of protein structure. Twelve months from January 2002.		
- 0 -	25,000		25,000
	Mr. Jean-Laurent Rosenthal Department of Economics University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095-1477 The role of crises in economic and financial development (in collaboration with Philip T. Hoffman). Twelve months from July 2001.		
17,500	35,000		17,500
	Ms. Cynthia Rosenzweig Earth Institute Columbia University 2880 Broadway New York, NY 10025 The impacts of major systems of climate variability on world food security. Twelve months from June 2001.		
11,700	35,000		23,300
	Mr. Andrew Ross 165 Hudson Street, #4C New York, NY 10013 Work and play in the new economy. Twelve months from September 2001.		
17,500	35,000		17,500

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- 0 -	<p>Ms. Janice L. Ross Department of Drama Stanford University Stanford, CA 94305 Anna Halprin and avant-garde dance. Twelve months from June 2002.</p>	30,000
14,600	<p>Ms. María Teresa Ruiz Departamento de Astronomía Universidad de Chile Casilla 36-D Santiago,, CHILE The oldest stars. Twelve months from August 2001.</p>	CHILE 20,400
11,700	<p>Ms. Margaret Russett Department of English University of Southern California Los Angeles, CA 90089-0354 Literature and abstraction in early 19th-century Britain. Twelve months from September 2001.</p>	23,300
5,000	<p>Ms. Marie-Laure Ryan 6207 Red Ridge Trail Bellvue, CO 80512 Literary cartography. Twelve months from September 2001.</p>	25,000
34,000	<p>Mr. Guillermo Saavedra Cachimayo 132, 2° K Buenos Aires, C1424AQD ARGENTINA Poetry. Twelve months from September 2001.</p>	ARGENTINA - 0 -

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23,300	<p>Mr. Joe Sacco 41-09 41st Street, #2H Sunnyside, NY 11104 Comic-book journalism. Twelve months from May 2001. 40,000</p>	16,700
16,000	<p>Mr. Frederick Schauer John F. Kennedy School of Government Harvard University 79 John F. Kennedy Street Cambridge, MA 02138 Generality and justice. Twelve months from July 2001. 32,000</p>	16,000
- 0 -	<p>Mr. Paul Schechter Department of Physics - Room 6-206 Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139-4307 Studies in the microlensing of quasar lightcurves. Nine months from September 2001. 35,000</p>	35,000
18,500	<p>Mr. Stephen A. Scheer 1160 Mason Mill Road Athens, GA 30606 Photography. Twelve months from May 2001. 37,000</p>	18,500
11,700	<p>Ms. Hilary M. Schor 400 S. Burnside Ave., #10C Los Angeles, CA 90036 Women, fiction, and the subject of realism. Twelve months from September 2001. 35,000</p>	23,300

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<p>Ms. Sarah Schulman 406 East 9th Street, #20 New York, NY 10009 Play writing. Twelve months from September 2001.</p>	<p>26,700</p>
<p>13,300 40,000</p>	
<p>Mr. Francisco V. Sepulveda Centro de Estudios Científicos Av. Arturo Prat, #514 Valdivia, 1469 CHILE Molecular identification and regulation of the potassium channel in cell volume control. Twelve months from September 2001.</p>	<p>CHILE 28,000</p>
<p>14,000 42,000</p>	
<p>Ms. Sol Serrano Alonso de Sotomayor 4335 Vitacura, Santiago, CHILE Catholicism and secularization in 19th-century Chile. Ten months from January 2002.</p>	<p>CHILE 28,000</p>
<p>- 0 - 28,000</p>	
<p>Mr. Freydoon Shahidi Department of Mathematics Purdue University West Lafayette, IN 47907 New instances of functoriality. Nine months from September 2001.</p>	<p>19,400</p>
<p>15,600 35,000</p>	
<p>Dr. William Sheehan 2105 Sixth Avenue SE Willmar, MN 56201 The structure and evolution of the galaxy. Six months from April 2002.</p>	<p>35,000</p>
<p>- 0 - 35,000</p>	

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40,000	<p>Mr. Shen Wei 610 West 150th Street, #1K New York, NY 10031 Choreography. Twelve months from May 2001.</p>	40,000	- 0 -
11,700	<p>Mr. Daniel J. Sherman 1410 Richmond Avenue Apt. 279 Houston, TX 77006 The French and their "Others," 1945-1975. Twelve months from January 2002.</p>	35,000	23,300
- 0 -	<p>Ms. Amy Sillman 208 Jackson Street Brooklyn, NY 11211 Painting. Twelve months from January 2002.</p>	37,000	37,000
- 0 -	<p>Mr. Larry Silver 7 Woodsworth Court Wayne, PA 19087 The rise of visual genres in the Antwerp art market. Twelve months from September 2002.</p>	31,000	31,000
23,300	<p>Ms. Taryn Simon 251 Sixth Avenue New York, NY 10014 Photography. Seven months from June 2001.</p>	40,000	16,700

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15,600	<p>Mr. Yuri Slezkine 2140 Rose Street Berkeley, CA 94709 Moscow's house of government, 1928-1938. Nine months from September 2001. 35,000</p>	19,400
15,500	<p>Mr. Bruce R. Smith 5182 Fulton Street, NW Washington, DC 20016-3449 Essays in historical phenomenology. Ten months from September 2001. 35,000</p>	19,500
14,600	<p>Mr. Mike Smith 348 Austin Springs Road Johnson City, TN 37601 Photography. Twelve months from August 2001. 35,000</p>	20,400
30,000	<p>Ms. Deborah Solomon 15 West 81st St., #9E New York, NY 10024 A biography of Norman Rockwell. Twelve months from April 2001. 40,000</p>	10,000
35,000	<p>Ms. Abigail Solomon-Godeau 1020 Placido Place Santa Barbara, CA 93101 Gender, genre, and the female nude in France. Twelve months from September 2001. 35,000</p>	- 0 -

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Ms. Lyn Spillman
Department of Sociology
810 Flanner Hall
University of Notre Dame
Notre Dame, IN 46556
Cultural dimensions of retail market exchange.
Twelve months from August 2001.

14,600

35,000

20,400

Mr. Justin Spring
159 Madison Avenue, #7F
New York, NY 10016
A cultural history of Provincetown.
Twelve months from May 2001.

22,500

45,000

22,500

Ms. Nancy Shatzman Steinhardt
154 Christopher Drive
Princeton, NJ 08540-2322
Chinese architecture of the 4th to 6th centuries.
Twelve months from July 2001.

17,500

35,000

17,500

Mr. Jeffrey Stock
5 Fox Lane
Dix Hills, NY 11746
Music composition.
Twelve months from April 2001.

30,700

41,000

10,300

Mr. Richard S. Street
15 Hillcrest Court
San Anselmo, CA 94960
Photography and the farm-worker experience in California, 1850-2000.
Twelve months from June 2001.

25,700

44,000

18,300

<u>Paid in 2001</u>		<u>Approved for Future Payment</u>
16,500	<p>Mr. D. M. G. Sutherland 4616 Harvard Road College Park, MD 20742 The French agricultural revolution, 1660-1914. Twelve months from July 2001.</p>	16,500
- 0 -	<p>Mr. Jorge Daniel Tartarini Calle 10 Nro. 857 - Piso 20 "B" La Plata, 1900 ARGENTINA The architecture of the Argentine railroads. Twelve months from January 2002.</p>	30,000
- 0 -	<p>Ms. Ana Maria Tavares Rua Capitaio Nicolau Puccini 123 Sao Paulo, SP 05592-070 BRAZIL Visual art. Twelve months from January 2002.</p>	36,000
- 0 -	<p>Mr. Peter Temin Department of Economics Massachusetts Institute of Technology 50 Memorial Drive Cambridge, MA 02142-1347 Market economy in the early Roman empire. Eight months from January 2002.</p>	35,000
- 0 -	<p>Mr. Alejandro Tortolero Villaseñor Oriente 160-40 Colonia Unidad Modelo México, D.F. 09089 MEXICO Land, society, and ecology in the economy of Mexico, 1780-1940. Twelve months from January 2002.</p>	42,000

John Simon Guggenheim Memorial Foundation, Federal ID #13-5673173, Statement A

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17,900	<p>Mr. Trajano Augusto Ricca Vieira Rua Brejo Alegre 542 Sao Paulo, SP 04557-051 BRAZIL Translation of <i>The Bacchantes</i> by Euripides. Twelve months from August 2001. 43,000</p>	<p>BRAZIL</p> <p>25,100</p>
17,500	<p>Mr. Paul E. Walker 5761 Blackstone Chicago, IL 60637 A study of the caliph al-Hakim. Twelve months from July 2001. 35,000</p>	<p>17,500</p>
- 0 -	<p>Mr. Richard A. Walker Department of Geography 507 McCone Hall University of California Berkeley, CA 94720 The urban experience of San Francisco, 1950-2000. Six months from January 2002. 35,000</p>	<p>35,000</p>
17,500	<p>Mr. Jim C. H. Wang Department of Chemistry University of Nebraska-Lincoln Lincoln, NE 68588-0304 Laser spectroscopy of polymeric liquids. Twelve months from May 2001. 35,000</p>	<p>17,500</p>
17,500	<p>Mr. Charles Harper Webb 1700 Thorncrest Drive Glendale, CA 91207 Poetry. Twelve months from April 2001. 35,000</p>	<p>17,500</p>

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17,500	Mr. Michael V. Wedin Department of Philosophy University of California, Davis Davis, CA 95616 Perception, change, and noncontradiction in Aristotle's <i>Metaphysics</i> . Twelve months from July 2001. 35,000	17,500
15,600	Mr. Monte Westerfield Institute of Neuroscience 1254 University of Oregon Eugene, OR 97403-1254 Mechanisms that regulate patterning of the anterior central nervous system. Nine months from September 2001. 35,000	19,400
22,200	Mr. Alan Wiener 139 North 10th Street Brooklyn, NY 11211 Sculpture. Twelve months from June 2001. 38,000	15,800
15,000	Ms. Ruth J. Williams Department of Mathematics University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093-0112 Mathematical theory for stochastic networks. Twelve months from August 2001. 35,000	20,000
17,500	Ms. Kathleen Wilson 2 Hillside Road Stony Brook, NY 11790 Theatre, culture, and modernity in the English provinces, 1720-1820. Twelve months from July 2001. 35,000	17,500

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2001**

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26,700	Mr. Leigh Witchel 330 West 56th Street, #4A New York, NY 10019 Choreography. Twelve months from June 2002. 40,000	13,300
- 0 -	Mr. Kazuo Yamaguchi NORC University of Chicago 1155 East 60th Street Chicago, IL 60637 Statistical and behavioral modeling of family processes. Twelve months from October 2002. 35,000	35,000
- 0 -	Ms. Susan Youens 850 Forest Avenue South Bend, IN 46616 The social history of the lied. Twelve months from August 2001. 30,000	30,000
- 0 -	Ms. Arlene Zallman 641 Washington Street Wellesley, MA 02482 Music composition. Nine months from January 2003. 35,000	35,000
15,000	Ms. Nadine Zanow 322 Summer Street 6th floor Boston, MA 02210 Painting. Twelve months from September 2001. 45,000	30,000

John Simon Guggenheim Memorial Foundation, Federal ID #13-5673173, Statement A (41

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21,000	Publication Subventions	-0-
<hr/>		
7,576,807	TOTALS	5,657,700

The above grants were made in accordance with a long-established objective and nondiscriminatory selection process, which was approved by the Internal Revenue Service per letters dated November 1, 1929, February 18, 1953, and October 20, 1970. The grants are consistent with the Foundation's exempt status under #501(c) (3), are designed to fulfill a purpose described in 170(c) (2) (B), and are for purposes described in #4945(g) (3) I R C. The persons who select the recipients of the grants are not in a position to derive a profit or benefit, directly or indirectly, from the selections made. The procedures followed are calculated to result in the performance by the grantee of the activities that the grants are intended to finance. With respect to grants made in 2001, the Foundation is obtaining reports to determine whether the grantees performed the activities the grants are intended to finance, and on the progress made toward achieving the purposes for which the grants are made, and upon completion of the undertaking for which each grant was made a final report is being obtained describing the grantee's accomplishments with respect to the grant and accounting for the funds received under the grant. The Foundation retains records pertaining to all grants to individuals, which records include all information secured to evaluate the qualification of potential grantees, identification of grantees, specification of the amount and purpose of each grant, and the follow-up information above mentioned.

THE JOHN SIMON GUGGENHEIM MEMORIAL FOUNDATION

STATEMENT B

ID #13-5673173

DECEMBER 31, 2001

2001-990PF, Part VIII, Line 1

	-A-	-B-	-C-	-D-	-E-	
NAME	ADDRESS	POSITION / TIME	SALARY	CONTRIBUTIONS	OTHER	
Joel Conarroe	90 Park Avenue, New York NY 10016	President & Trustee	Full	266,249 96	30,209 78	None
G Thomas Tanselle	90 Park Avenue, New York NY 10016	Senior Vice Pres & Secretary	Full	196,250 04	29,194 78	"
Coleen P Higgins-Jacob	90 Park Avenue, New York NY 10016	C F O and Treasurer	Full	145,833 35	36 556 02	"
Peter Kardon	90 Park Avenue, New York, NY 10016	Vice President	Full	124,666 62	29,304 99	"
Richard Hatter	90 Park Avenue, New York NY 10016	Dir-Devlpmt & Pub Rel	Full	84,916 70	19,832 16	"
Margaret R Johnson	90 Park Avenue, New York NY 10016	Asst Secretary	Full	77,020 86	18,860 89	"
Eunice R Schwager	90 Park Avenue, New York, NY 10016	Associate Secretary	Full	76,770 84	18,737 76	"
Charles Bryant	90 Park Avenue, New York NY 10016	Dir/Fin Sysms & Oper	Full	71,450 00	17,797 34	"
Patricia O'Sullivan	90 Park Avenue, New York NY 10016	Asst Secretary	Full	68,125 07	17,219 15	"
Elizabeth Gurl	90 Park Avenue, New York, NY 10016	Asst Secretary	Full	67,395 87	17,181 95	"
Susan Tarone	90 Park Avenue, New York NY 10016	Asst Treas & Asst Sec	Full	63,499 92	16 608 20	"
Diane Goldberg **	90 Park Avenue, New York, NY 10016	Asst Secretary	Full	7,225 55	2,008 23	"
	**Retired as of 1/31/2001					
Leon Levy	Odyssey Partners 31 West 52nd Street New York, NY 10019	Trustee				
Kenneth Lipper	Lipper & Co 101 Park Avenue, 6th Fl, New York NY 10178	Trustee				
Joyce Carol Oates	9 Honey Brook Drive Princeton, NJ 08540	Trustee				
A Alex Porter	Porter Felleman, Inc , 666 Fifth Avenue 17th Floor, New York, NY 10103	Trustee				
C Nicholas Potter	J P Morgan Investments Inc 522 5th Avenue, New York, NY 10017	Trustee				(Deceased on July 11, 2001)
Joseph A Rice	15 Rose Lane, Chappaqua, NY 10514	Chairman & Trustee				
Richard A Rifkind	Chairman Emeritus - Sloan-Kettering Institute 1275 York Avenue New York NY 10021	Trustee				
Charles A Ryskamp	Three East 77th Street New York, NY 10021	Trustee				
Charles P Stevenson, Jr	Technical Services of North America Inc P O Box 4566, Gr Cntrl Stn, NYC 10163-4566	Trustee				
Jean Strouse	110 West 69th Street, Apt 4D New York NY 10023	Trustee				
Roger W Straus Jr	Farrar, Straus, & Giroux Inc 19 Union Square West, New York, NY 10003	Trustee Emeritus				
Wendy Wasserstein	75 Central Pk W #11B, New York, NY 10023-6011	Trustee				
Ellen Taaffe Zwilich	600 West 246 Street #1405 Riverdale NY 10471	Trustee				
		TOTALS		1,249,404 78	253,511 25	

* This Trustee is not on a full-time basis. In connection with foundation matters he/she devoted the time required in attending regular and special meetings of the Board and of such committees as he/she is a member in reviewing material for consideration at meetings, and in giving attention to such special matters as arose from time to time.

John Simon Guggenheim Memorial Foundation
Schedule of Investments
December 31, 2001
EIN # 13-5673173
Form 990-PF
Statement C

Part IV line 6d
Backup withholding erroneously withheld

Amount withheld by limited partnership
K-1 Attached

GEORGICA PARTNERS EIN 13-3915212 \$ 59

Form 1065
Partnership Return

Partner's Share of Income, Credits, Deductions, etc.

OMB No. 1545-0099

See separate instructions.

2001

For calendar year 2001 or tax year beg. January 1, 2001 & end. December 31, 2001

Partner's identifying number ▶ 13-5673173 Partner's name, address, and ZIP code John Simon Guggerheim Memorial Foundatn 33 Park Avenue New York, NY 10016	Partnership's identifying number ▶ 13-3915212 Partnership's name, address, and ZIP code GEORGICA PARTNERS 152 WEST 57TH STREET 46TH FLOOR NEW YORK, NY 10019																				
This partner is a <input type="checkbox"/> general partner <input checked="" type="checkbox"/> limited partner <input type="checkbox"/> limited liability company member What type of entity is this partner? ▶ <u>Fiduciary</u> Is this partner a <input checked="" type="checkbox"/> domestic or a <input type="checkbox"/> foreign partner?	F Partner's share of liabilities (see instructions): Nonrecourse \$ _____ Qualified nonrecourse financing \$ _____ Other \$ _____ G Tax shelter registration no. <u> </u> H Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2) <input type="checkbox"/>																				
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;"></th> <th style="width:15%;">(i) Before change or termination</th> <th style="width:15%;">Various %</th> <th style="width:15%;">(ii) End of year</th> <th style="width:15%;">Various %</th> </tr> </thead> <tbody> <tr> <td>Enter partner's % of Profit sharing</td> <td>Various</td> <td>%</td> <td>Various</td> <td>%</td> </tr> <tr> <td>Loss sharing</td> <td>Various</td> <td>%</td> <td>Various</td> <td>%</td> </tr> <tr> <td>Ownership of capital</td> <td>Various</td> <td>%</td> <td>Various</td> <td>%</td> </tr> </tbody> </table> IRS Center where partnership filed return: <u>Opden, UT</u>		(i) Before change or termination	Various %	(ii) End of year	Various %	Enter partner's % of Profit sharing	Various	%	Various	%	Loss sharing	Various	%	Various	%	Ownership of capital	Various	%	Various	%	I Check applicable boxes: (1) <input type="checkbox"/> Final K-1 (2) <input type="checkbox"/> Amended K-1
	(i) Before change or termination	Various %	(ii) End of year	Various %																	
Enter partner's % of Profit sharing	Various	%	Various	%																	
Loss sharing	Various	%	Various	%																	
Ownership of capital	Various	%	Various	%																	

(a) Capital account at beginning of year	(b) Capital contributed during year	(c) Partner's share of lines 3, 4, and 7, Form 1065, Schedule M-2	(d) Withdrawals and distributions	(e) Capital account at end of year (combine columns (a) through (d))
2,000,548.74		(461,387.80)	(1,869,097.70)	22,063.24

(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on:
1 Ordinary income (loss) from trade or business activities	(1,469.34)	See Partner's Instructions for Sch. K-1 (Form 1065)
2 Net income (loss) from rental real estate activities		
3 Net income (loss) from other rental activities		
4 Portfolio income (loss):		
4a Interest	58,197.78	Sch. B, Part I, line 1
4b Ordinary dividends	7,156.46	Sch. B, Part II, line 5
4c Royalties		Sch. E, Part I, line 4
4d Net short-term capital gain (loss)	(331,355.95)	Sch. D, line 8, col. (f)
4e(1) Net long-term capital gain (loss)	(395,723.33)	Sch. D, line 12, col. (f)
4e(2) 20% rate gain (loss)		Sch. D, line 12, col. (g)
4e(3) Qualified 5-year gain		Line 4 of worksheet for Sch. D, line 29
4f Other portfolio income (loss) (attach schedule)	2.83	Enter on applicable line of your return.
5 Guaranteed payments to partner		See Partner's Instructions for Sch. K-1 (Form 1065). Enter on applicable line of your return.
Net section 1231 gain (loss) (other than due to casualty or theft)		
Other income (loss) (attach schedule)	0.00	
8 Charitable contributions (see instructions) (attach schedule)06	Sch. A, line 15 or 16
9 Section 179 expense deduction		See Partner's Instructions for Sch. K-1 (Form 1065).
Deductions related to portfolio income (attach schedule)	21,073.70	
Other (deductions (attach schedule)		
12a Low-income housing credit:		Form 8586, line 5
(1) From section 42(i)(5) partnerships		
(2) Other than on line 12a(1)		
b Qualified rehabilitation expenditures related to rental real estate activities		
c Credit (other than credits shown on lines 12a and 12b) related to rental real estate activities		See Partner's Instructions for Schedule K-1 (Form 1065)
d Credits related to other rental activities	*	
13 Other credits	59.30	

A-1

SCHEDULE K-1

SCHEDULE 1

2001

PARTNER'S NAME, ADDRESS & ZIP CODE

PARTNERSHIP'S NAME, ADDRESS & ZIP CODE

John Simon Guggenheim Memorial Founda
90 Park Avenue
New York, NY 10016

GEORGICA PARTNERS
152 WEST 57TH STREET
46TH FLOOR
NEW YORK, NY 10019

PARTNER'S ID NO. 13-5673173

PARTNERSHIP'S ID NO. 13-3915212

K-1 SUPPORTING SCHEDULE 1

ITEM J, COLUMN (C) - RECONCILIATION OF INCOME

Income from Schedule K-1, Lines 1-7

Ordinary income (loss)	(1,469.34)✓	
Interest income	58,197.78✓	
Dividend income	7,156.46✓	
Net short-term capital gain (loss)	(331,355.95)	
Net long-term capital gain (loss)	(395,723.33)	
Other portfolio income	2.83	
		<hr/>
Total income (loss)		(663,191.55)

Deductions from Schedule K-1, Lines 8-11, 14a and 17g

Deductions related to portfolio income	(21,073.70)	
Interest expense	(5,051.89)	
Foreign taxes paid	(135.59)	
Charitable contributions	(.06)	
		<hr/>
Total deductions		(26,261.24)

Taxable Income

(689,452.79)

Credits from Schedule K-1, Lines 12a-13

Withholding taxes on domestic dividends

(59.30)

Nontaxable (nondeductible)/miscellaneous items

Amortization book/tax difference	178.81	
Net unrealized appreciation (depreciation) on securities	186,947.33	
Reversal of prior year net realized losses currently deductible	41,363.49	
Net realized losses not currently deductible (wash sales)	(365.37)	
Transfer of Partner's interest	0.00	
		<hr/>

Total nontaxable (nondeductible)/
miscellaneous items

228,124.26

Total Income per Item J, Column (c)

(461,387.83)

C1176A1

C-0

JOHN SIMON GUGGENHEIM MEMORIAL FOUNDATION
 SCHEDULE OF DISPOSITIONS
 DECEMBER 31, 2001
 ID# 13-5673173
 FORM 990-PF

STATEMENT D

<u>DESCRIPTION</u>	<u>UNITS</u>	<u>COST</u>	<u>PROCEEDS</u>	<u>REALIZED GAIN/(LOSS)</u>
BankBoston Home Equity Ln TR 1998-2, 6 110%, 9/25/2013	1,500,000	1,529,824 24	1,529,062 50	-761 74
Coastal Corp NT DTD 05/12/1999, 6 200%, 05/15/2004	2,250,000	2,193,399 96	2,273,400 00	80,000 04
Commonwealth Edison Co NT, 6 400%, 10/15/2005	2,250,000	2,140,984 16	2,239,762 50	98,778 34
Conoco Inc NT, 5 900%, 04/15/2004	2,000,000	1,948,266 52	2,026,780 00	78,513 48
Deere John B V GTD NT DTD 4/6/2001, 5 875%, 4/6/2006	1,250,000	1,248,381 18	1,229,450 00	-18,931 18
Ford Mtr Cr Co Global NT, 7 600%, 08/01/2005	2,500,000	2,516,372 91	2,583,300 00	66,927 09
ICI Wilmington Co GTY, 6 950%, 09/15/2004	2,500,000	2,463,999 73	2,473,600 00	9,600 27
IMC Global Inc NT DTD 11/12/98, 7 400%, 11/01/2002	2,525,000	2,523,823 36	2,424,000 00	-99,823 36
K Mart Corp SR NT, 9 375%, 02/01/2006	700,000	702,010 24	575,750 00	-126,260 24
Mellon Financial Co, 5 750%, 11/15/2003	2,500,000	2,421,563 03	2,543,725 00	122,161 97
Meyer Fred Inc Del New NT, 7 375%, 03/01/2005	2,500,000	2,501,900 49	2,588,650 00	86,749 51
Morgan Stanley Dean Witter & Global, 7 125%, 01/15/2003	2,250,000	2,249,669 65	2,321,167 50	71,497 85
National Rural Utils Coll TR, 6 000%, 01/15/2004	2,275,000	2,236,309 05	2,277,388 75	41,079 70
Newcourt Cr Group Inc US\$ GTD NT SER, 6 875%, 2/16/05	1,075,000	1,109,366 57	1,120,171 50	10,804 93
Pharmacia Corp NT, 5 750%, 12/01/2005	2,250,000	2,124,771 04	2,250,000 00	125,228 96
Sears Roebuck Accep Corp NT, 6 750%, 09/15/2005	1,500,000	1,501,820 04	1,519,965 00	18,144 96
Sprint Capital Corp, 5 700%, 11/15/2003	2,250,000	2,179,328 43	2,237,400 00	58,071 57
TRW Inc NT, 7 625%, 03/15/2006	1,100,000	1,099,052 35	1,143,527 00	44,474 65
Union Pac Res Group, 7 000%, 10/15/2006	1,100,000	1,066,007 26	1,153,053 00	87,045 74
Worldcom Inc GA NT, 6 400%, 08/15/2005	1,500,000	1,458,905 12	1,496,610 00	37,704 88
SUBTOTAL	37,775,000	37,215,755 33	38,006,762 75	-791,007-42
FNMA Pass Thru CTF Pool No 600837, 8 000%, 08/01/2031	284,417	296,008 04	284,416 91	-11,591 13
United States Treas NTS, 4 625%, 05/15/2006	200,000	200,880 94	204,578 12	3,697 18
SUBTOTAL	484,417	496,888 98	488,995 03	-7,893 95
TOTALS	38,259,417	37,712,644 31	38,495,757 78	783,113 47

JOHN SIMON GUGGENHEIM MEMORIAL FOUNDATION
 SCHEDULE OF INVESTMENTS
 DECEMBER 31, 2001
 ID# 13-5673173
 FORM 990-PF

STATEMENT E
 Page 1 of 2

	<u>MARKET VALUE</u>	<u>COST BASIS</u>
LIMITED PARTNERSHIPS:		
American Securities Partners	62,625 00	62,625 00
Ariel Fund Limited	7,942,036 00	5,008,893 71
DCF Healthcare	9,146,102 00	9,146,575 00
Georgica Partners	22,063 24	22,063 24
The Jaguar Fund N V	25,316 00	77,615 00
Long Horizons	12,966,999 00	7,500,000 00
Maverick Fund	8,826,975 00	4,045,828 21
New Castle Partners	13,990,071 23	13,385,655 00
Odyssey Investment Partners	8,773,202 54	8,773,202 54
Para International Fund Limited	13,235,690 09	11,291,435 91
P A W Partners	9,825,829 00	9,281,754 00
Pequot Healthcare Offshore Fund	13,255,571 00	10,000,000 00
Pequot Scout	13,233,607 81	10,720,579 27
Questor Partners Fund II, L P	2,268,822 00	2,268,822 00
The Raptor Global Fund, Ltd	10,618,297 89	5,000,000 00
Steel Partners Offshore Fund	7,870,942 00	7,500,000 00
Tiedemann/Ayer Asian Growth	860,908 12	999,983 87
Tontine Partners	10,411,545 97	6,122,170 00
	<u>143,336,603 89</u>	<u>111,207,202 75</u>
U.S. GOVERNMENT OBLIGATIONS:	see page 2	<u>21,477,190 94</u>
CORPORATE BONDS:	see page 2	<u>31,885,399 10</u>
SHORT-TERM INVESTMENTS:		
Annuity Fund#1 (Master Treasury Money Fund see detail	262,100 00	262,100 00
Vista Premier US Government Money Market Fund		
Loomis Sayles Account, #P24508	595,311 00	595,311 00
Cash in Transit	2,066,720 00	2,066,720 00
	<u>2,924,131 00</u>	<u>2,924,131 00</u>
TOTAL INVESTMENT PORTFOLIO	<u><u>199,623,324 93</u></u>	<u><u>166,472,701 92</u></u>

JOHN SIMON GUGGENHEIM MEMORIAL FOUNDATION
 SUPPLEMENTAL SCHEDULE OF INVESTMENTS
 DECEMBER 31, 2001
 ID# 13-5673173
 FORM 990-PF

CATEGORY DESCRIPTION	FACE AMOUNT	MARKET VALUE	COST
U.S. GOVERNMENT OBLIGATIONS.			
Federal Home Ln Mtg Corp, 5 250%, 01/15/2006	2,000,000	2,043,120 00	1,992,364 33
Federal Natl Mtg Assn, 5 500%, 05/02/2006	1,000,000	1,019,370 00	996,956 56
Federal Natl Mtg Assn, 6 250%, 02/01/2011	1,400,000	1,422,092 00	1,419,996 97
Federal Natl Mtg Assn Deb, 6 000%, 05/15/2008	2,200,000	2,285,932 00	2,231,608 73
FHLMC Gold Pc Group No C01258, 6 000%, 11/1/2031	998,017	977,118 72	972,785 05
FNMA Pass Thru CTF Pool No 600837, 8 000%, 8/1/2031	1,463,937	1,534,381 22	1,523,251 53
United States Treas Nts, 4 625%, 05/15/2006	1,300,000	1,317,667 00	1,305,582 59
United States Treas Note, 5 250%, 08/15/2003	4,700,000	4,887,248 00	4,756,911 92
United States Treas Note, 5 875%, 11/15/2005	2,200,000	2,335,102 00	2,190,470 93
US Treasury Note, 6 500%, 10/15/2006	2,200,000	2,392,500 00	2,314,673 18
US Treasury Note, 8 125%, 08/15/2019	1,000,000	1,262,660 00	1,261,076 37
TOTAL GOVERNMENT	20,461,954	21,477,190 94	20,965,678 16

CORPORATE BONDS:

American Elec Pwr Inc Sr NT Ser A, 6 125%, 5/15/06	1,250,000	1,235,437 50	1,247,899 02
Americredit Automobile Rec 1999-B, 5 96%, 3/12/06	1,500,000	1,539,465 00	1,506,889 40
Carolina Pwr & Lt Co Medium T Book, 6 65%, 4/1/08	1,250,000	1,279,375 00	1,245,681 55
Citigroup Inc Nt, 6 750%, 12/01/2005	1,000,000	1,058,720 00	1,025,413 36
Coastal Corp Nt, 6 500%, 05/15/2006	2,000,000	1,989,300 00	2,010,010 85
Community Program Ln Tr 1987 A BD CL, 4 500%, 10/1/18	1,002,455	962,356 81	965,963 67
Countrywide Home Lns Inc Nt, 6 850%, 06/15/2004	500,000	525,635 00	511,382 56
Exelon Corp Sr Nt, 6 750%, 05/01/2011	1,400,000	1,403,556 00	1,388,379 41
Ford Mtr Co Del Bd, 6 375%, 02/01/2029	1,000,000	804,690 00	789,555 24
General Mtrs Accep Corp Nt, 6 750%, 01/15/2006	1,350,000	1,367,320 50	1,362,459 74
Georgia Pac Corp Nt, 7 500%, 05/15/2006	1,750,000	1,735,107 50	1,757,265 36
Georgia Pwr Co Sr Nt Ser G, 5 750%, 01/31/2003	1,000,000	1,026,670 00	998,997 67
Household Fin Corp Nt, 6 500%, 01/24/2006	1,350,000	1,387,921 50	1,347,603 78
Lincoln Natl Corp Ind Nt, 6 200%, 12/15/2011	500,000	488,355 00	490,108 00
MBNA Master Cr Card TrII Ser 98J, 5 250%, 2/15/2006	2,000,000	2,053,740 00	1,907,688 71
Morgan Stanley Dean Witter Nt, 7 750%, 06/15/2005	2,250,000	2,446,357 50	2,381,683 39
National Rural Utils Coop Fin Corp, 6 000%, 5/15/06	1,825,000	1,860,021 75	1,817,658 40
Navistar Finl 2001B Owner Tr Asset, 4 370%, 11/15/2008	800,000	796,154 16	799,904 99
Niagra Mohawk Pwr Corp, 5 375%, 10/01/2004	1,250,000	1,260,800 00	1,249,463 91
R&B Falcon Corp Sr Nt Ser B, 6 500%, 04/15/2003	1,250,000	1,287,637 50	1,257,334 35
R&B Falcon Corp Sr Nt Ser B, 6 750%, 04/15/2005	750,000	772,627 50	766,196 97
Salomon Smith Barney Hldgs Inc, 5 875%, 3/15/2006	1,000,000	1,024,960 00	997,544 00
Sprint Capital Corp, 6 000%, 01/15/2007	750,000	744,660 00	756,874 81
Varco Intl Inc Del Sr Nt, 7 250%, 05/01/2011	1,250,000	1,244,620 88	1,234,995 67
Worldcom Inc, 7 750%, 04/01/2007	1,500,000	1,589,910 00	1,558,735 20
TOTAL CORPORATE	31,477,455	31,885,399 10	31,375,690 01

John Simon Guggenheim Memorial Foundation
December 31, 2001
EIN # 13-5673173
Form 990-PF
Statement F

Part XI, line 2a, Income tax for 2001

2001 New York State Form CT-13, unrelated
business income tax

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