

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation
 Note The organization may be able to use a copy of this return to satisfy state reporting requirements

2002

For calendar year 2002, or tax year beginning , 2002, and ending

G Check all that apply: Initial return Final return Amended return Address change Name change

Use the IRS label Otherwise, print or type See Specific Instructions

Name of organization: **THE J.M. KAPLAN FUND, INC.**
C/O CONDON O'MEARA MCGINTY & DONNELLY

Number and street (or P O box number if mail is not delivered to street address): **3 NEW YORK PLAZA**
18TH FLOOR

City or town, state, and ZIP code: **NEW YORK, NY 10004-2442**

Room/suite: _____

A Employer identification number: **13-6090286**

B Telephone number (see page 10 of the instructions): **(212) 661-7081**

C If exemption application is pending check here

D 1 Foreign organizations check here
 2 Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **127,797,834.**

J Accounting method: Cash Accrual
 Other (specify) _____ (Part I, column (d) must be on cash basis)

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 10 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc. received (attach schedule). Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
2	Distributions from split-interest trusts				
3	Interest on savings and temporary cash investments	11,625.	11,625.		STMT 1
4	Dividends and interest from securities	3,378,159.	3,378,159.		STMT 2
5a	Gross rents				
b	(Net rental income or (loss))				
6a	Net gain or (loss) from sale of assets not on line 10	893,447.			
b	Gross sales price for all assets on line 6a	23,623,819.			
7	Capital gain net income (from Part IV, line 2)		805,837.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	19,987.	-40,877.		STMT 3
12	Total. Add lines 1 through 11	4,303,218.	4,154,744.		
13	Compensation of officers, directors, trustees, etc.	311,972.	53,306.		258,666.
14	Other employee salaries and wages	326,081.	49,194.		276,887.
15	Pension plans, employee benefits	163,210.	30,526.		132,684.
16a	Legal fees (attach schedule) STMT 4	13,458.	NONE	NONE	13,458.
b	Accounting fees (attach schedule) STMT 5	23,201.	13,921.	NONE	9,280.
c	Other professional fees (attach schedule) STMT 6	1,154,486.	792,566.		361,920.
17	Interest STMT 7	132,820.	45,640.		
18	Taxes (attach schedule) (see page 13 of the instructions) STMT 8	-35,821.	44,212.		26,378.
19	Depreciation (attach schedule) and depletion				
20	Occupancy	211,283.			
21	Travel, conferences and meetings	87,495.	17,499.		69,996.
22	Printing and publications	9,084.	1,817.		7,267.
23	Other expenses (attach schedule) STMT 9	942,881.	857,321.		74,357.
24	Total operating and administrative expenses. Add lines 13 through 23	3,340,150.	1,906,002.	NONE	1,230,893.
25	Contributions, gifts, grants paid	8,779,428.			8,766,428.
26	Total expenses (or) disbursements. Add lines 24 and 25	12,119,578.	1,906,002.	NONE	9,997,321.
27	Subtract line 26 from line 12				
	Excess of revenue over expenses and disbursements	-7,816,360.			
	Net investment income (if negative, enter -0-)		2,248,742.		
	Adjusted net income (if negative, enter -0-)				

SCANNED NOV 20 '03
 Revenue
 Operating and Administrative Expenses
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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

	Beginning of year		End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets				
1 Cash - non-interest-bearing	500.	500.	500.	
2 Savings and temporary cash investments	4,395,725.	7,307,989.	7,307,989.	
3 Accounts receivable ▶ 175,902.				
Less allowance for doubtful accounts ▶	888,143.	175,902.	175,902.	
4 Pledges receivable ▶				
Less allowance for doubtful accounts ▶				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
7 Other notes and loans receivable (attach schedule) ▶ *		324,163.		
Less allowance for doubtful accounts ▶	406,938.	324,163.	324,163.	
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges	425,942.	33,892.	33,892.	
10 a Investments - U.S. and state government obligations (attach schedule)				
b Investments - corporate stock (attach schedule) STMT 10	45,110,035.	41,782,125.	41,782,125.	
c Investments - corporate bonds (attach schedule) STMT 11	31,217,656.	28,927,693.	28,927,693.	
11 Investments - land, buildings, and equipment, basis ▶				
Less accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) STMT 12	63,509,669.	49,184,565.	49,184,565.	
14 Land, buildings, and equipment, basis ▶				
Less accumulated depreciation (attach schedule) ▶	3,505.	3,505.	3,505.	
15 Other assets (describe ▶ STMT 13)	657,500.	57,500.	57,500.	
16 Total assets (to be completed by all filers - see page 16 of the instructions. Also, see page 1, item I)	146,615,613.	127,797,834.	127,797,834.	
Liabilities				
17 Accounts payable and accrued expenses	324,853.	162,262.		
18 Grants payable	83,132.	96,132.		
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶ STMT 14)	361,725.	375,233.		
23 Total liabilities (add lines 17 through 22)	769,710.	633,627.		
Net Assets or Fund Balances				
Organizations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
and complete lines 24 through 26 and lines 30 and 31.				
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Organizations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ <input checked="" type="checkbox"/>				
27 Capital stock, trust principal, or current funds	18,370,506.	18,370,506.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds	127,475,397.	108,793,701.		
30 Total net assets or fund balances (see page 16 of the instructions)	145,845,903.	127,164,207.		
31 Total liabilities and net assets/fund balances (see page 16 of the instructions)	146,615,613.	127,797,834.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	145,845,903.
2 Enter amount from Part I, line 27a	2	-7,816,360.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 15	3	459,018.
4 Add lines 1, 2, and 3	4	138,488,561.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 16	5	11,324,354.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	127,164,207.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo. day yr)	(d) Date sold (mo., day, yr)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	893,447.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 12 and 17 of the instructions) If (loss), enter -0- in Part I, line 8				3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 17 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2001	9,915,085.	150,877,450.	0.06571614910
2000	8,257,495.	154,376,103.	0.05348946397
1999	7,975,857.	140,473,414.	0.05677840933
1998	7,968,506.	128,260,354.	0.06212758465
1997	7,374,441.	125,272,800.	0.05886705654
2 Total of line 1, column (d)			2 0.29697866359
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.05939573272
4 Enter the net value of noncharitable-use assets for 2002 from Part X, line 5			4 130,305,548.
5 Multiply line 4 by line 3			5 7,739,594.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 22,487.
7 Add lines 5 and 6			7 7,762,081.
8 Enter qualifying distributions from Part XII, line 4			8 9,997,321.

If line 8 is equal to or greater than line 7 check the box in Part VI line 1b and complete that part using a 1% tax rate See the Part VI instructions on page 17

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 17 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I line 27b	1	22,487.
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	22,487.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	22,487.
6	Credits/Payments		
a	2002 estimated tax payments and 2001 overpayment credited to 2002	6a	25,529.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	25,529.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,042.
11	Enter the amount of line 10 to be credited to 2003 estimated tax	11	3,042. Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a	X	
4b	X	
5		X
6	X	
7	X	
8b	X	
9		X
10		N/A
11		X

1a During the tax year, did the organization attempt to influence any national, state or local legislation or did it participate or intervene in any political campaign?

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 18 of the instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities

1c Did the organization file Form 1120-POL for this year?

2 Has the organization engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities

3 Has the organization made any changes, not previously reported to the IRS in its governing instrument, articles of incorporation, or bylaws or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the organization have unrelated business gross income of \$1,000 or more during the year?

4b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes" attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either
• By language in the governing instrument or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the organization have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NEW YORK

8b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2002 or the taxable year beginning in 2002 (see instructions for Part XIV on page 25)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

11 Did the organization comply with the public inspection requirements for its annual returns and exemption application?
Web site address N/A

12 The books are in care of THE J. M. KAPLAN FUND Telephone no 212-661-7777
Located at 3 NEW YORK PLAZA-18 FL, NEW YORK, NY ZIP+4 10017-5947

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 13

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with columns for question descriptions, Yes/No checkboxes, and a summary table with Yes/No columns. Questions include: 1a During the year did the organization... (1) Engage in the sale or exchange... (2) Borrow money... (3) Furnish goods... (4) Pay compensation... (5) Transfer any income... (6) Agree to pay money... 1b If any answer is "Yes" to 1a(1)-(6)... 1c Did the organization engage in a prior year... 2 Taxes on failure to distribute income... 2a At the end of tax year 2002... 2b Are there any years listed... 2c If the provisions of section 4942(a)(2)... 3a Did the organization hold more than a 2% direct or indirect interest... 3b If "Yes," did it have excess business holdings... 4a Did the organization invest during the year... 4b Did the organization make any investment... 5a During the year did the organization pay or incur any amount to... (1) Carry on propaganda... (2) Influence the outcome... (3) Provide a grant to an individual... (4) Provide a grant to an organization... (5) Provide for any purpose... 5b If any answer is "Yes" to 5a(1)-(5)... 6a Did the organization, during the year, receive any funds... 6b Did the organization, during the year, pay premiums...

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions)

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE		311,972.	63,100.	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 20 of the instructions) If none, enter "NONE"

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM FALAHEE 261 MADISON AVENUE	CONTROLLER 40HRS/WK	98,388.	40,301.	NONE
ALISON BAUER 261 MADISON AVENUE	PROGRAM OF 40 HRS/WK	85,119.	29,266.	NONE
ANGELA CARABINE 261 MADISON AVENUE	GRANTS MAN 40HRS/WK	70,764.	35,778.	NONE

Total number of other employees paid over \$50,000 ▶ NONE

3 Five highest-paid independent contractors for professional services - (see page 20 of the instructions) If none, enter "NONE"

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
JOHN A. LEVIN & CO. 1 ROCKEFELLER PLAZA, NEW YORK, NY	INVESTMENT ADVISOR	56,446.
BRANDYWINE MANAGEMENT CO. 880 THIRD AVENUE, NEW YORK, NY	INVESTMENT SERVICES	481,244.
TWEEDY BROWNE 350 PARK AVE, NEW YORK, NY	INVESTMENT MANAGER	119,693.
OAK VALUE MANAGEMENT 3100 TOWER BLVD, DURHAM, NC	INVESTMENT MANAGER	75,206.
ALBERTO SZEKELY CHIHUAHUA, MEXICO	GRANT CONSULTANT	74,000.

Total number of others receiving over \$50,000 for professional services ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 21 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 21 of the instructions	
3	
Total Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 21 of the instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	69,686,510.
b Average of monthly cash balances	1b	7,018,473.
c Fair market value of all other assets (see page 22 of the instructions)	1c	55,584,913.
d Total (add lines 1a, b, and c)	1d	132,289,896.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	NONE
3 Subtract line 2 from line 1d	3	132,289,896.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 22 of the instructions)	4	1,984,348.
5 Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	130,305,548.
6 Minimum investment return Enter 5% of line 5	6	6,515,277.

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1 Minimum investment return from Part X, line 6	1	6,515,277.
2a Tax on investment income for 2002 from Part VI, line 5	2a	22,487.
b Income tax for 2002 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	22,487.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	6,492,790.
4a Recoveries of amounts treated as qualifying distributions	4a	58,500.
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	58,500.
5 Add lines 3 and 4c	5	6,551,290.
6 Deduction from distributable amount (see page 23 of the instructions)	6	NONE
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	6,551,290.

Part XII Qualifying Distributions (see page 23 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	9,997,321.
b Program-related investments - Total from Part IX-B	1b	NONE
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	NONE
b Cash distribution test (attach the required schedule)	3b	NONE
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	9,997,321.
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	22,487.
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	9,974,834.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2001	(c) 2001	(d) 2002
1 Distributable amount for 2002 from Part XI, line 7				6,551,290.
2 Undistributed income, if any as of the end of 2001				
a Enter amount for 2001 only			NONE	
b Total for prior years 2000		NONE		
3 Excess distributions carryover, if any to 2002				
a From 1997	372,016.			
b From 1998	2,261,044.			
c From 1999	1,909,844.			
d From 2000	769,537.			
e From 2001	2,422,240.			
f Total of lines 3a through e	7,734,681.			
4 Qualifying distributions for 2002 from Part XII, line 4 ▶ \$ 9,997,321				
a Applied to 2001, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see page 24 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 24 of the instructions)	NONE			
d Applied to 2002 distributable amount				6,551,290.
e Remaining amount distributed out of corpus	3,446,031.			
5 Excess distributions carryover applied to 2002 (If an amount appears in column (d), the same amount must be shown in column (a))		NONE		NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,180,712.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see page 24 of the instructions		NONE		
e Undistributed income for 2001 Subtract line 4a from line 2a Taxable amount - see page 24 of the instructions			NONE	
f Undistributed income for 2002 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2003				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 24 of the instructions)	NONE			
8 Excess distributions carryover from 1997 not applied on line 5 or line 7 (see page 25 of the instructions)	372,016.			
9 Excess distributions carryover to 2003 Subtract lines 7 and 8 from line 6a	10,808,696.			
10 Analysis of line 9				
a Excess from 1998	2,261,044.			
b Excess from 1999	1,909,844.			
c Excess from 2000	769,537.			
d Excess from 2001	2,422,240.			
e Excess from 2002	3,446,031.			

Part XIV Private Operating Foundations (see page 25 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation and the ruling is effective for 2002, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2002	(b) 2001	(c) 2000	(d) 1999	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . .					
b 85% of line 2a . . .					
c Qualifying distributions from Part XII line 4 for each year listed . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . .					
3 Complete 3a, b, or c for the alternative test relied upon . . .					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . .					
b "Endowment" alternative test. Enter 2/3 of minimum investment return shown in Part X, line 8 for each year listed . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) . . .					
(3) Largest amount of support from an exempt organization . . .					
(4) Gross investment income . . .					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see page 25 of the instructions.)

1 Information Regarding Foundation Managers.

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JACOB M. KAPLAN-DECEASED
HENRY C. KAPLAN-DECEASED

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here If the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds

If the organization makes gifts, grants, etc. (see page 25 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT ATTACHED

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT ATTACHED

c Any submission deadlines

SEE STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT ATTACHED				8,766,428.
Total				8,766,428.
b <i>Approved for future payment</i> SEE STATEMENT ATTACHED				96,132.
Total				96,132.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
23623819.		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES 22730372.				P	893,447.	
TOTAL GAIN (LOSS)							----- 893,447. -----	

The J Kaplan Fund, Inc.
990PF

1-Dec-02

	<u>Cost</u>	<u>Proceeds</u>	<u>Gains/ Losses</u>	<u>Per Tax Return</u>
Caduceus Capital - See attached	\$ 4,000,000	\$ 4,282,949	\$ 282,949	
John Levin- Equities- See Attached	\$ 5,394,225	\$ 4,612,257	\$ (781,968)	
Oak Value - See Attached	\$ 4,167,384	\$ 4,318,767	\$ 151,383	
Tweedy Browne - See Attached	\$ 989,293	\$ 1,031,840	\$ 42,547	
Vanguard - See attached	\$ 4,305,208	\$ 4,623,252	\$ 318,044	
Andy Brown Ltd -See attached	\$ 152,992	\$ 153,000	\$ 8	
Special Situations	\$ 33,407	\$ 132,774	\$ 99,367	
Brazos Mutual Fund	\$ 3,687,863	\$ 4,437,221	\$ 749,358	
Limited Partnerships Gains and Losses	\$ -	\$ 31,759	\$ 31,759	
	\$ 22,730,372	\$ 23,623,819	\$ 893,447	\$ 893,447
Less Gains from Partnerships subject to UBIT			\$ (87,610)	
Subtotal Gains for Tax Purposes			<u>\$ 805,837</u>	
Add Change of Tax to Book Basis for donated stock sold	\$ -			<u>\$ -</u>
Total Gains for Tax Return				<u>\$ 893,447</u>

The J M Kaplan Fund
Investment Analysis
31-Dec-01

Date	Type	Balance @1/1/02		Purchases		Sales				Balance @12/31/02			
		Shares	Cost	Shares	Cost	Shares	Cost	Proceeds	G/(L)	Shares	Cost	Market	
		194 24	\$ 575 457 33										
		194 24	\$ 575,457 33			\$ -	\$ -	\$ -	\$ -	\$ -	194 24	\$ 575,457 33	\$ 11,963 09
		10849 78	\$ 4 000,000 00										
						10849 78	\$ 4 000,000 00	\$ 4,282 948 68	\$ 282,948 68				
		10849 78	\$ 4,000,000 00			\$ 10,849 78	\$ 4,000,000 00	\$ 4,282,948 68	\$ 282,948 68	\$ -	\$ -	\$ -	\$ -
		490300 655	\$ 7 767 984 98										
						245150 328	\$ 3 687 862 63	\$ 4 437 220 94	\$ 749 358 31				
		\$ 490,300 66	\$ 7,767,984 98			\$ 245,150 33	\$ 3,687,862 63	\$ 4,437,220 94	\$ 749,358 31	\$ 245,150 33	\$ 4,080 122 35	\$ 3,152,633 21	
				\$ 277,326 31	\$ 4 437 220 94								
		\$ -	\$ -	\$ 277,326 31	\$ 4,437,220 94	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 277,326 31	\$ 4,437,220 94	\$ 3,000,670 66
		\$ 1 00	\$ 33 39										
		\$ 1 00	\$ 33 39	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1 00	\$ 33 39	\$ 0 94
				\$ 3 105 00	\$ 18 229 71								
						\$ 3 105 00	\$ 18 229 71	\$ 43 189 89	\$ 24 960 18				
		\$ -	\$ -	\$ 3 105 00	\$ 18 229 71	\$ 3 105 00	\$ 18 229 71	\$ 43 189 89	\$ 24 960 18	\$ -			
				\$ 667 00	\$ 8 814 97								
				\$ 593 00	\$ 8 582 22								
						\$ 1 260 00	\$ 15 177 19	\$ 89 583 80	\$ 74 406 61				
		\$ -	\$ -	\$ 1 260 00	\$ 15 177 19	\$ 1 260 00	\$ 15 177 19	\$ 89 583 80	\$ 74 406 61	\$ -	\$ (0 00)		

Special Situations

Covad Communications
Covad Communications
Covad Communications
Covad Communications
Covad Communications

Vastara Inc (mkt value 43 825 25)
Vastara Inc
Vastara Inc
Vastara Inc
Vastara Inc

Expedia Inc (mkt value 40051 18)
Expedia Inc (mkt value 43009 22)
Expedia Inc
Expedia Inc

spin-off
sell
2/8/2002

spin-off
spin-off
sell

Date	Typo	Shares	Cost	Purchases	Cost	Shares	Cost	Sales	Proceeds	G/(L)	Shares	Cost	Balance @ 12/31/02	Market
------	------	--------	------	-----------	------	--------	------	-------	----------	-------	--------	------	--------------------	--------

			\$ 4,000,000.00										Balance @ 12/31/02	
--	--	--	-----------------	--	--	--	--	--	--	--	--	--	--------------------	--

			\$ 4,000,000.00										\$ 4,000,000.00	\$ 2,208,104.14
--	--	--	-----------------	--	--	--	--	--	--	--	--	--	-----------------	-----------------

			\$ -										\$ 5,000.00	\$ 4,885,941.00
--	--	--	------	--	--	--	--	--	--	--	--	--	-------------	-----------------

Agus Offshore Capital

Gardner Lewis

The J M Kaplan Fund, Inc.
2002 Investment Recapitulation

John A. Levin, Inc. Account
Equities

SECURITY	DATE	TYPE	BALANCE @ 1/1/02		PURCHASES		SALES			BALANCE @ 12/31/02		MARKET	Dividends
			SHRS	COST	SHRS	COST	SHRS	COST	PROCEEDS	G/(L)	SHRS	COST	
			\$ 5 700 00	\$ 141 444 69									
ACE LTD	20020114	div											\$ 655 00
ACE LTD	20020124	sell				\$ 200 00	\$ 5 499 50	\$ 7 363 04	\$ 1 863 54				
ACE LTD	20020125	sell				\$ 1 300 00	\$ 35 263 51	\$ 48 306 96	\$ 12 045 45				
ACE LTD	20020126	sell				\$ 200 00	\$ 4 630 06	\$ 7 560 60	\$ 2 730 74				
ACE LTD	20020201	sell				\$ 1 500 00	\$ 39 775 21	\$ 56 801 69	\$ 17 026 68				
ACE LTD	20020401	div											\$ 375 00
ACE LTD	20020509	sell				\$ 200 00	\$ 4 630 06	\$ 7 108 88	\$ 2 278 82				
ACE LTD	20020510	sell				\$ 400 00	\$ 9 540 06	\$ 13 615 56	\$ 4 275 52				
ACE LTD	20020514	sell				\$ 500 00	\$ 11 775 00	\$ 17 369 92	\$ 5 594 92				
ACE LTD	20020515	sell				\$ 1 400 00	\$ 26 631 29	\$ 48 318 66	\$ 19 385 59				
ACE LTD	19990212	buy											
			\$ 5 700 00	\$ 141 444 69	\$ -	\$ -	\$ 5 700 00	\$ 141 444 69	\$ 206 645 95	\$ 65 201 26	\$ -	\$ -	\$ 1 230 00
			\$ 4 500 00	\$ 48 499 64									
AETNA LIFE AND CAS CO	20020530	sale				\$ 700 00	\$ 24 325 00	\$ 33 212 64	\$ 8 867 94				
AETNA LIFE AND CAS CO	20020531	sale				\$ 100 00	\$ 3 475 00	\$ 4 768 18	\$ 1 323 16				
AETNA LIFE AND CAS CO	20020603	sale				\$ 500 00	\$ 17 375 00	\$ 23 768 73	\$ 6 393 73				
AETNA LIFE AND CAS CO	20020604	sale				\$ 500 00	\$ 17 375 00	\$ 23 099 60	\$ 5 724 60				
AETNA LIFE AND CAS CO	20020621	sale				\$ 1 200 00	\$ 37 964 00	\$ 60 838 64	\$ 22 874 64				
AETNA LIFE AND CAS CO	20020731	sale				\$ 800 00	\$ 20 499 49	\$ 34 892 22	\$ 14 392 73				
AETNA LIFE AND CAS CO	20021016	sale				\$ 100 00	\$ 2 555 00	\$ 3 650 53	\$ 1 295 53				
AETNA LIFE AND CAS CO	20021017	sale				\$ 100 00	\$ 2 550 45	\$ 3 939 95	\$ 1 369 50				
AETNA LIFE AND CAS CO	20021024	sale				\$ 200 00	\$ 5 092 36	\$ 8 202 13	\$ 3 106 77				
AETNA LIFE AND CAS CO	20021025	sale				\$ 300 00	\$ 7 638 54	\$ 12 236 99	\$ 4 598 45				
AETNA LIFE AND CAS CO	2002	price change					\$ (90 350 00)	\$ -	\$ 90 350 00				
AETNA LIFE AND CAS CO			\$ 4 500 00	\$ 48 499 64	\$ -	\$ -	\$ 4 500 00	\$ 48 499 64	\$ 206 839 91	\$ 160 340 07	\$ -	\$ -	\$ -
			\$ 6 500 00	\$ 83 632 16									
Accenture Ltd Class A	20020312	sale				\$ 100 00	\$ 1 518 40	\$ 2 994 65	\$ 1 476 55				
Accenture Ltd Class A	20020318	sale				\$ 100 00	\$ 1 518 40	\$ 2 944 95	\$ 1 426 55				
Accenture Ltd Class A	20020318	sale				\$ 200 00	\$ 3 036 80	\$ 5 857 63	\$ 2 620 63				
Accenture Ltd Class A	20020715	buy			\$ 1 100 00	\$ 15 454 76							
Accenture Ltd Class A	20020718	buy			\$ 3 100 00	\$ 43 589 41							
Accenture Ltd Class A	20021218	sale				\$ 1 500 00	\$ 22 776 00	\$ 26 864 16	\$ 4 068 16				
Accenture Ltd Class A			\$ 6 500 00	\$ 93 632 16	\$ 4 200 00	\$ 59 044 19	\$ 1 900 00	\$ 26 849 60	\$ 36 661 71	\$ 9 812 11	\$ 6 800 00	\$ 123 626 75	\$ 156 312 00
ALCAN INC	20021218	BUY			\$ 700 00	\$ 20 961 08							
ALCAN INC	20021223	BUY			\$ 100 00	\$ 3 002 00							

20020813	BLACK & DECKER	sale	\$ 200.00	\$ 6,199.22	\$ 8,969.38	\$ 2,790.14
20020815	BLACK & DECKER	sale	\$ 300.00	\$ 9,499.05	\$ 13,791.64	\$ 4,295.79
20020819	BLACK & DECKER	sale	\$ 200.00	\$ 6,199.22	\$ 9,398.11	\$ 3,198.89
20020822	BLACK & DECKER	sale	\$ 500.00	\$ 21,773.88	\$ 23,526.04	\$ 1,752.36
20020901	BLACK & DECKER	div				
20021108	BLACK & DECKER	sale	\$ 300.00	\$ 16,133.89	\$ 14,372.86	\$ (1,861.23)
20021107	BLACK & DECKER	sale	\$ 250.00	\$ 13,739.87	\$ 11,957.08	\$ (1,772.99)
20021106	BLACK & DECKER	sale	\$ 250.00	\$ 13,715.73	\$ 11,757.64	\$ (1,958.09)
20021112	BLACK & DECKER	sale	\$ 250.00	\$ 12,754.58	\$ 11,737.34	\$ (1,017.24)
			\$ 2,450.00	\$ 108,203.28	\$ 114,411.20	\$ 6,208.03
			\$ -	\$ -	\$ -	\$ -
			\$ 2,450.00	\$ 108,203.28	\$ 114,411.20	\$ 6,208.03

20020102	Bristol-Myers Squibb	buy	\$ 2,000.00	\$ 101,094.20		
20020120	Bristol-Myers Squibb	buy	\$ 1,900.00	\$ 75,913.28		
20020321	Bristol-Myers Squibb	buy	\$ 2,200.00	\$ 91,047.66		
20020402	Bristol-Myers Squibb	buy	\$ 1,900.00	\$ 81,409.44		
20020404	Bristol-Myers Squibb	buy	\$ 800.00	\$ 24,408.48		
20020405	Bristol-Myers Squibb	buy	\$ 900.00	\$ 19,344.96		
20020410	Bristol-Myers Squibb	buy	\$ 500.00	\$ 15,600.90		
20020412	Bristol-Myers Squibb	buy	\$ 200.00	\$ 6,225.10		
20020415	Bristol-Myers Squibb	buy	\$ 500.00	\$ 15,794.75		
20020418	Bristol-Myers Squibb	buy	\$ 500.00	\$ 16,422.85		
20020501	Bristol-Myers Squibb	div				
20020604	Bristol-Myers Squibb	buy	\$ 400.00	\$ 11,431.20		
20020605	Bristol-Myers Squibb	buy	\$ 300.00	\$ 8,486.82		
20020711	Bristol-Myers Squibb	buy	\$ 300.00	\$ 6,441.99		
20020801	Bristol-Myers Squibb	div				
20021101	Bristol-Myers Squibb	div				
20021218	Bristol-Myers Squibb	SALE	\$ 1,700.00	\$ 85,939.07	\$ 40,943.77	\$ (45,398.30)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,700.00	\$ 85,939.07	\$ 40,943.77	\$ (45,398.30)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,700.00	\$ 85,939.07	\$ 40,943.77	\$ (45,398.30)

20020102	Constellation Energy	div				
20020401	Constellation Energy	div				
20020701	Constellation Energy	div				
20021001	Constellation Energy	div				
20021218	Constellation Energy	sale	\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)

20020124	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,834.69	\$ 2,412.48	\$ (2,422.23)
20020125	CVPR Crown Cable Int	sale	\$ 500.00	\$ 24,173.42	\$ 11,999.82	\$ (12,173.60)
20020128	CVPR Crown Cable Int	sale	\$ 400.00	\$ 19,338.74	\$ 9,499.85	\$ (9,838.89)
20020219	CVPR Crown Cable Int	sale	\$ 0.94	\$ -	\$ 6.94	\$ 5.94
20020219	CVPR Crown Cable Int	tax div				
20020219	CVPR Crown Cable Int	sale	\$ 54.00	\$ -	\$ 316.43	\$ 316.43
20020128	CVPR Crown Cable Int	sale	\$ 400.00	\$ 19,248.60	\$ 9,299.86	\$ (9,948.64)
20020213	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,612.13	\$ 2,074.96	\$ (2,737.17)
20020422	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,812.13	\$ 2,017.93	\$ (2,794.20)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,800.00	\$ 91,655.96	\$ 60,600.00	\$ (30,655.96)
			\$ 7,800.00	\$ 282,013.19	\$ 387,711.96	\$ 8,726.00

20020102	Constellation Energy	div				
20020401	Constellation Energy	div				
20020701	Constellation Energy	div				
20021001	Constellation Energy	div				
20021218	Constellation Energy	sale	\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)

20020124	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,834.69	\$ 2,412.48	\$ (2,422.23)
20020125	CVPR Crown Cable Int	sale	\$ 500.00	\$ 24,173.42	\$ 11,999.82	\$ (12,173.60)
20020128	CVPR Crown Cable Int	sale	\$ 400.00	\$ 19,338.74	\$ 9,499.85	\$ (9,838.89)
20020219	CVPR Crown Cable Int	sale	\$ 0.94	\$ -	\$ 6.94	\$ 5.94
20020219	CVPR Crown Cable Int	tax div				
20020219	CVPR Crown Cable Int	sale	\$ 54.00	\$ -	\$ 316.43	\$ 316.43
20020128	CVPR Crown Cable Int	sale	\$ 400.00	\$ 19,248.60	\$ 9,299.86	\$ (9,948.64)
20020213	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,612.13	\$ 2,074.96	\$ (2,737.17)
20020422	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,812.13	\$ 2,017.93	\$ (2,794.20)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,800.00	\$ 91,655.96	\$ 60,600.00	\$ (30,655.96)
			\$ 7,800.00	\$ 282,013.19	\$ 387,711.96	\$ 8,726.00

20020102	Constellation Energy	div				
20020401	Constellation Energy	div				
20020701	Constellation Energy	div				
20021001	Constellation Energy	div				
20021218	Constellation Energy	sale	\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)

20020124	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,834.69	\$ 2,412.48	\$ (2,422.23)
20020125	CVPR Crown Cable Int	sale	\$ 500.00	\$ 24,173.42	\$ 11,999.82	\$ (12,173.60)
20020128	CVPR Crown Cable Int	sale	\$ 400.00	\$ 19,338.74	\$ 9,499.85	\$ (9,838.89)
20020219	CVPR Crown Cable Int	sale	\$ 0.94	\$ -	\$ 6.94	\$ 5.94
20020219	CVPR Crown Cable Int	tax div				
20020219	CVPR Crown Cable Int	sale	\$ 54.00	\$ -	\$ 316.43	\$ 316.43
20020128	CVPR Crown Cable Int	sale	\$ 400.00	\$ 19,248.60	\$ 9,299.86	\$ (9,948.64)
20020213	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,612.13	\$ 2,074.96	\$ (2,737.17)
20020422	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,812.13	\$ 2,017.93	\$ (2,794.20)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,800.00	\$ 91,655.96	\$ 60,600.00	\$ (30,655.96)
			\$ 7,800.00	\$ 282,013.19	\$ 387,711.96	\$ 8,726.00

20020102	Constellation Energy	div				
20020401	Constellation Energy	div				
20020701	Constellation Energy	div				
20021001	Constellation Energy	div				
20021218	Constellation Energy	sale	\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,100.00	\$ 48,731.42	\$ 30,821.07	\$ (18,910.35)

20020124	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,834.69	\$ 2,412.48	\$ (2,422.23)
20020125	CVPR Crown Cable Int	sale	\$ 500.00	\$ 24,173.42	\$ 11,999.82	\$ (12,173.60)
20020128	CVPR Crown Cable Int	sale	\$ 400.00	\$ 19,338.74	\$ 9,499.85	\$ (9,838.89)
20020219	CVPR Crown Cable Int	sale	\$ 0.94	\$ -	\$ 6.94	\$ 5.94
20020219	CVPR Crown Cable Int	tax div				
20020219	CVPR Crown Cable Int	sale	\$ 54.00	\$ -	\$ 316.43	\$ 316.43
20020128	CVPR Crown Cable Int	sale	\$ 400.00	\$ 19,248.60	\$ 9,299.86	\$ (9,948.64)
20020213	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,612.13	\$ 2,074.96	\$ (2,737.17)
20020422	CVPR Crown Cable Int	sale	\$ 100.00	\$ 4,812.13	\$ 2,017.93	\$ (2,794.20)
			\$ -	\$ -	\$ -	\$ -
			\$ 1,800.00	\$ 91,655.96	\$ 60,600.00	\$ (30,655.96)
			\$ 7,800.00	\$ 282,013.19	\$ 387,711.96	\$ 8,726.00

CVPR Crown Caffe Intl	20020423	sale		\$ 300.00	\$ 14,438.37	\$ 8,205.88	\$ (8,230.49)						
CVPR Crown Caffe Intl			\$ 1,900.00	\$ 91,655.98	\$ 54.94	\$ 1,954.94	\$ 91,655.98	\$ 43,832.83	\$ (47,823.15)	\$ (0.00)	\$		
CHEVRON CORP	20020212	sale		\$ 1,100.00	\$ 91,747.57	\$	\$ 1,100.00	\$ 91,747.57	\$ 90,579.35	\$ (1,168.22)			
CHEVRON CORP			\$ 1,100.00	\$ 91,747.57	\$	\$ 1,100.00	\$ 91,747.57	\$ 90,579.35	\$ (1,168.22)	\$	\$	-	\$
Cigna Corporation	20021025	buy		\$ 1,800.00	\$ 70,814.34								
Cigna Corporation	20021028	buy		\$ 700.00	\$ 28,878.85								
Cigna Corporation	20021218	sale				\$ 400.00	\$ 15,738.52	\$ 17,239.48	\$ 1,502.96				
Cigna Corporation			\$	\$	\$ 2,500.00	\$ 97,691.19	\$ 400.00	\$ 15,738.52	\$ 17,239.48	\$ 1,502.96	\$ 2,100.00	\$ 81,954.87	\$ 86,352.00
CITIGROUP INC	20020930	BUY		\$ 2,200.00	\$ 64,749.98								
CITIGROUP INC	20021122	div											\$ 398.00
CITIGROUP INC	20021218	sale				\$ 300.00	\$ 8,829.54	\$ 11,105.66	\$ 2,278.12				
CITIGROUP INC			\$	\$	\$ 2,200.00	\$ 64,749.98	\$ 300.00	\$ 8,829.54	\$ 11,105.66	\$ 2,278.12	\$ 1,900.00	\$ 55,920.42	\$ 66,861.00
CITIGROUP INC													\$ 398.00
CIT Group	20020701	buy		\$ 10,800.00	\$ 248,400.00								
CIT Group	20020718	sell				\$ 4,400.00	\$ 101,200.00	\$ 98,783.82	\$ (2,438.18)				
CIT Group	20021218	sell				\$ 900.00	\$ 20,700.00	\$ 17,828.48	\$ (2,871.54)				
CIT Group			\$	\$	\$ 10,800.00	\$ 248,400.00	\$ 5,300.00	\$ 121,900.00	\$ 118,892.28	\$ (5,307.72)	\$ 5,500.00	\$ 129,500.00	\$ 107,600.00
CNA FINANCIAL	20021218	sale		\$ 4,897.00	\$ 102,548.02								
CNA FINANCIAL			\$ 4,897.00	\$ 102,548.02	\$	\$ 700.00	\$ 14,794.73	\$ 17,849.46	\$ 3,054.73	\$ 3,987.00	\$ 87,751.29	\$ 102,323.20	\$
COCA COLA COMPANY	20020401	div		\$ 2,000.00	\$ 102,980.78								\$ 400.00
COCA COLA COMPANY	20020701	div											\$ 400.00
COCA COLA COMPANY	20020718	sell				\$ 2,000.00	\$ 102,980.78	\$ 98,623.08	\$ (6,357.70)				
COCA COLA COMPANY			\$ 2,000.00	\$ 102,980.78	\$	\$ 2,000.00	\$ 102,980.78	\$ 98,623.08	\$ (6,357.70)	\$	\$	\$	\$ 800.00
CONOCO	20020301	div		\$ 6,850.00	\$ 141,674.92								\$ 1,073.50
CONOCO	20020601	div											\$ 1,073.50
CONOCO	20020901	div											\$ 1,073.50
CONOCOPhillips	20020805	name change				\$ 3,007.50	\$	\$	\$				
CONOCOPhillips	20020918	sale				\$ 0.51	\$ 27.60	\$ 26.31	\$ (1.29)				
CONOCOPhillips	20021104	sale				\$ 1,200.00	\$ 65,185.88	\$ 58,172.08	\$ (6,993.80)				
CONOCOPhillips	20021108	sale				\$ 968.00	\$ 51,344.27	\$ 48,407.91	\$ (4,936.36)				

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Totals

\$ 264 560 00 \$ 8 189 945 55 \$ 169 234 40 \$ 3 908 128 37 \$ 171 855 32 \$ 5 394 224 87 \$ 4 612 257 17 \$ (781 967 70) \$ 261 939 08 \$ 6 703 849 05 \$ 5 381 829 14 \$ 141 751 03

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8 279 00 249 835 38

8 279 00 249 835 38 152 861 97 1 842 20

7 985 00 58 548 14

EZ CORP INC 19900519 BUY
EZ CORP INC 19990604 BUY
EZ CORP INC 19990609 BUY
EZ CORP INC 19990618 BUY
EZ CORP INC 19990629 BUY
EZ CORP INC 19990824 DIV
EZ CORP INC 19991215 DIV

7 985 00 58 548 14 - - 7 985 00 58 548 14 26 682 75

7 170 00 87 916 15

FEDERATED INVESTORS 20020215 DIV
FEDERATED INVESTORS 20020501 DIV
FEDERATED INVESTORS 20020801 DIV
FEDERATED INVESTORS 20021115 DIV
FEDERATED INVESTORS 19991115 DIV

7 170 00 87 916 15 - - 7 170 00 87 916 15 181 802 90 1 555 89

5 210 00 281 158 81

FREDDIE MAC COM 20020301 DIV
FREDDIE MAC COM 20020801 DIV
FREDDIE MAC COM 20020901 DIV
FREDDIE MAC COM 20020924 sell
FREDDIE MAC COM 20021231 DIV

5 210 00 281 158 81 580 00 33 308 04 35 108 89 1 802 85 4 830 00 247 852 77 273 401 50 4 457 20

8 115 00 80 028 88

FRIEDMAN'S INC A 20020115 DIV
FRIEDMAN'S INC "A" 20020401 DIV
FRIEDMAN'S INC "A" 20020701 DIV
FRIEDMAN'S INC "A" 20021001 DIV
FRIEDMAN'S INC "A" 19991015 DIV

8 115 00 80 028 88 - - 8 115 00 80 028 88 53 078 20 473 91

4 560 00 158 244 85

GATX CORP 20020401 DIV
GATX CORP 20020701 DIV
GATX CORP 20020901 DIV
GATX CORP 20021231 DIV
GATX CORP 19991231 DIV
GATX CORP 19990930 DIV

4 560 00 158 244 85 - - 4 560 00 158 244 85 104 059 20 5 836 80

3 420 00 54 948 85

GORMAN RUPP CO 20020301 DIV
GORMAN RUPP CO 20020801 DIV
GORMAN RUPP CO 20020901 DIV
GORMAN RUPP CO 20021210 DIV
GORMAN RUPP CO 19990810 DIV
GORMAN RUPP CO 19990910 DIV
GORMAN RUPP CO 19991210 DIV

3 420 00 54 948 85 - - 3 420 00 54 948 85 80 370 00 2,223 00

2 295 00 48 526 10

Great American Fint Resources	20021219	div							229 50		
Great American Fint Resources											
Great American Fint Resources											
Great American Fint Resources											
Great American Fint Resources											
Great American Fint Resources											
			2 295 00	49 528 10				2 295 00	49 528 10	39 474 00	229 50
			612 00	195 978 00							
GREY Global Group	20020301	DIV									812 00
GREY Global Group	20020601	DIV									812 00
GREY Global Group	20020901	DIV									812 00
GREY Global Group	20021217	DIV									812 00
GREY Global Group	19991215	DIV									
			812 00	195 978 00				812 00	195 978 00	373 993 20	2 448 00
			7 315 00	98 684 93							
HIBERNIA CORP (CLASS A)	20020220	DIV									1 024 10
HIBERNIA CORP (CLASS A)	20020501	DIV									1 024 10
HIBERNIA CORP (CLASS A)	20020801	DIV									1 024 10
HIBERNIA CORP (CLASS A)	20020808	sell			7 315 00	98 684 93	148 675 07	49 990 14			
HIBERNIA CORP (CLASS A)	19991218	BUY									
			7 315 00	98 684 93	-	7 315 00	98 684 93	148 675 07	49 990 14		3 072 30
			14 650 00	166 514 31							
HOLLINGER INTL	20020115	div									2 014 38
HOLLINGER INTL	20020401	div									1 811 50
HOLLINGER INTL	20020701	div									1 811 50
HOLLINGER INTL	20021001	div									732 50
HOLLINGER INTL	19991027	BUY									
			14 650 00	166 514 31				14 650 00	166 514 31	148 644 00	5 989 88
			8 230 00	136 078 80							
HON INDS INC	20020301	DIV									778 75
HON INDS INC	20020501	DIV									778 75
HON INDS INC	20020801	DIV									778 75
HON INDS INC	20021127	DIV									778 75
HON INDS INC	19990801	DIV									
HON INDS INC	19991201	DIV									
			8 230 00	136 078 80				8 230 00	136 078 80	178 184 40	3 115 00
			4 740 00	193 188 27							
HOUSEHOLD INTL INC	20020118	DIV									1 042 80
HOUSEHOLD INTL INC	20020401	DIV									1 042 80
HOUSEHOLD INTL INC	20020701	DIV									1 185 00
HOUSEHOLD INTL INC	20020814	buy			1 675 00	57 081 05					
HOUSEHOLD INTL INC	20021001	DIV									1 603 75
HOUSEHOLD INTL INC	19991015	DIV									
			4 740 00	193 188 27	1 675 00	57 081 05		6 415 00	250 249 32	178 401 15	4 874 35
			6 275 00	88 589 83							
HUB GROUP INC	19990209	BUY									
HUB GROUP INC	19990211	BUY									
			6 275 00	88 589 83				6 275 00	88 589 83	30 120 00	
			8 730 00	54 119 85							

POPULAR INC	20020102	fw tax	2,500.00	75,262.50	2,500.00	75,262.50	84,500.00	1,800.00	(50.00)
POPULAR INC	20020401	DIV							500.00
POPULAR INC	20020401	fw tax							(50.00)
POPULAR INC	20020701	DIV							500.00
POPULAR INC	20020701	fw tax							(50.00)
POPULAR INC	20021001	DIV							500.00
POPULAR INC	19991001	DIV							(50.00)

PNC Financial Services Group	20020219	buy			2,595.00	143,966.19			1,245.80
PNC Financial Services Group	20020401	DIV							1,245.80
PNC Financial Services Group	20020701	DIV							2,206.00
PNC Financial Services Group	20020722	buy			2,005.00	74,364.25			
PNC Financial Services Group	20021001	DIV							2,206.00
PNC Financial Services Group	19990914	BUY							

RCN Corporation	19990510	BUY	16,620.00	191,736.95	4,800.00	218,330.44	192,740.00	4,899.20	
RCN Corporation	20020115	BUY	16,620.00	191,736.95	16,620.00	191,736.95	8,806.80		

Rimco-Garshenson Properties	20020115	dfv	3,065.00	39,164.06					1,295.70
Rimco-Garshenson Properties	20020401	dfv							1,295.70
Rimco-Garshenson Properties	20020701	dfv							1,295.70
Rimco-Garshenson Properties	20021001	dfv							1,295.70
Rimco-Garshenson Properties	19990914	BUY							

RAYONIER INCORPORATED	20020301	DIV	4,960.00	211,697.09					1,785.60
RAYONIER INCORPORATED	20020601	DIV							1,785.60
RAYONIER INCORPORATED	20020901	DIV							1,785.60
RAYONIER INCORPORATED	20021231	DIV							1,785.60
RAYONIER INCORPORATED	19990630	DIV							
RAYONIER INCORPORATED	19991230	DIV							
RAYONIER INCORPORATED	19990630	DIV							

REGAL BELOIT CORP WISCONSIN COM	20020115	DIV	5,565.00	109,217.47					670.20
REGAL BELOIT CORP WISCONSIN COM	20020401	DIV							670.20
REGAL BELOIT CORP WISCONSIN COM	20020701	DIV							670.20
REGAL BELOIT CORP WISCONSIN COM	20021001	DIV							670.20
REGAL BELOIT CORP WISCONSIN COM	19991015	DIV							

SCHNITZER STEEL INDS	20020222	dfv	4,163.00	50,748.57					209.25
SCHNITZER STEEL INDS	20020501	DIV							209.25
SCHNITZER STEEL INDS	20020801	DIV							209.25
SCHNITZER STEEL INDS	20021121	dfv							209.25

TORCHMARK CORP	20020501	div								715 05
TORCHMARK CORP	20020801	div								715 05
TORCHMARK CORP	20021101	div								715 05
TORCHMARK CORP	19991220	BUY								
			7 945 00	237 234 22	-	-	7 945 00	237 234 22	290 230 85	2 860 20
			5 212 00	259 687 91						
TRANSATLANTIC HOLDING INC	20020301	DIV								500 35
TRANSATLANTIC HOLDING INC	20020601	DIV								500 35
TRANSATLANTIC HOLDING INC	20020901	DIV								521 20
TRANSATLANTIC HOLDING INC	20021213	DIV								521 20
TRANSATLANTIC HOLDING INC	19991217	DIV								
			5 212 00	259 687 91	-	-	5 212 00	259 687 91	347 640 40	2 043 10
			2 452 00	179 715 41						
UNILEVER N V	20020801	DIV								2 362 08
UNILEVER N V	20020801	FW TAX								(354 31)
UNILEVER N V	20021202	DIV								1 324 33
UNILEVER N V	20021202	FW TAX								(108 85)
UNILEVER N V	19991217	DIV								
			2 452 00	179 715 41	-	-	2 452 00	179 715 41	151 312 82	3 133 45
			1 600 00	39 528 00						
UNILEVER NV NY SHARES F	20020801	DIV								1 028 13
UNILEVER NV NY SHARES F	20020801	FW TAX								(102 81)
UNILEVER NV NY SHARES F	20021202	DIV								577 21
UNILEVER NV NY SHARES F	20021203	fw tax								(57 72)
UNILEVER NV NY SHARES F	19990811	DIV								
UNILEVER NV NY SHARES F	19990811	tax reclaim								
UNILEVER NV NY SHARES F	19990811	fw tax								
UNILEVER NV NY SHARES F	19990822	DIV								
			1 600 00	39 528 00	-	-	1 600 00	39 528 00	61 200 00	1 443 01
			14 075 00	120 897 23						
VALUE CITY DEPARTMENT STORES INC	19990308	BUY								
VALUE CITY DEPARTMENT STORES INC	19990323	BUY								
VALUE CITY DEPARTMENT STORES INC	19991015	SELL								
			14 075 00	120 897 23	-	-	14 075 00	120 897 23	25 757 25	
			7 110 00	121 998 27						
WALLACE COMPUTER SERVICES INC	20020301	DIV								1 173 15
WALLACE COMPUTER SERVICES INC	20020801	DIV								1 173 15
WALLACE COMPUTER SERVICES INC	20020901	DIV								1 173 15
WALLACE COMPUTER SERVICES INC	20021220	DIV								1 173 15
			7 110 00	121 998 27	-	-	7 110 00	121 998 27	152 936 10	4 692 60
			3 785 00	151 486 24						
WELLS FARGO AND COMPANY	20020301	DIV								984 10
WELLS FARGO AND COMPANY	20020601	DIV								1 059 80
WELLS FARGO AND COMPANY	20020801	DIV								1 059 80
WELLS FARGO AND COMPANY	20021202	DIV								1 059 80
			3 785 00	151 486 24	-	-	3 785 00	151 486 24	177 402 05	4 183 50
			7 050 00	101 861 83						
WERNER ENTERPRISE	20020128	DIV								176 25
WERNER ENTERPRISE	20020320	stock split			2 350 00					

SECURITY	DATE	TYPE	BALANCE @ 1/1/02		PURCHASES		SALES			BALANCE @ 12/31/02		MARKET	Dividends	
			SHRS	COST	SHRS	COST	SHRS	COST	PROCEEDS	G/(L)	SHRS	COST		VALUE
Brown Forman Corp Cl B					\$ 6 904 00	\$ 542 782 48								
Brown Forman Corp Cl B	20020701	div											\$ 2 418 40	
Brown Forman Corp Cl B	20021001	div											\$ 2 418 40	
Brown Forman Corp Cl B														
Brown Forman Corp Cl B														
Brown Forman Corp Cl B														
Brown Forman Corp Cl B														
Brown Forman Corp Cl B														
Brown Forman Corp Cl B														
			\$	\$	\$ 6 904 00	\$ 542 782 48	\$	\$	\$	\$	\$ 6 904 00	\$ 542 782 48	\$ 451 245 44	\$ 4 832 80
Fortune Brands					\$ 3 942 00	\$ 206 008 92								
Fortune Brands	20020601	DIV											\$ 985 50	
Fortune Brands	20020901	DIV											\$ 985 50	
Fortune Brands	20021202	DIV											\$ 1 064 34	
Fortune Brands														
Fortune Brands														
Fortune Brands														
Fortune Brands														
Fortune Brands														
			\$	\$	\$ 3 942 00	\$ 206 008 92	\$ -	\$ -	\$ -	\$ -	\$ 3 942 00	\$ 206 008 92	\$ 183 342 42	\$ 3 035 34
Kimberly Clark Corp					\$ 7 676 00	\$ 499 861 12								
Kimberly Clark Corp	20020701	div											\$ 2 302 80	
Kimberly Clark Corp	20021001	div											\$ 2 302 80	
Kimberly Clark Corp														
Kimberly Clark Corp														
Kimberly Clark Corp														
Kimberly Clark Corp														
Kimberly Clark Corp														
			\$	\$	\$ 7 676 00	\$ 499 861 12	\$ -	\$ -	\$ -	\$ -	\$ 7 676 00	\$ 499 861 12	\$ 364 379 72	\$ 4 605 60
New York Times Co					\$ 10 196 00	\$ 474 725 76								
New York Times Co	20020601	DIV											\$ 1 376 46	
New York Times Co	20020901	DIV											\$ 1 376 46	
New York Times Co	20021219	DIV											\$ 1 376 46	
New York Times Co														
New York Times Co														
New York Times Co														
New York Times Co														
			\$	\$	\$ 10 196 00	\$ 474 725 76	\$ -	\$ -	\$ -	\$ -	\$ 10 196 00	\$ 474 725 76	\$ 488 263 08	\$ 4 129 38

Phillip Morris Cos \$ 7,474 00 \$ 406,809 82
 Phillip Morris Cos 20020701 div \$ 4 334 92
 Phillip Morris Cos 20021001 div \$ 4 783 38
 Phillip Morris Cos
 Phillip Morris Cos
 Phillip Morris Cos
 Phillip Morris Cos
 Phillip Morris Cos

\$ \$ \$ 7 474 00 \$ 406 809 82 \$ \$ \$ \$ \$ 7 474 00 \$ 406 809 82 \$ 302 921 22 \$ 9 118 28

Torstar Corp Cl B \$ 6 566 00 \$ 100 480 78
 Torstar Corp Cl B 20020701 div \$ 531 01
 Torstar Corp Cl B 20021001 div \$ 512 19
 Torstar Corp Cl B
 Torstar Corp Cl B
 Torstar Corp Cl B
 Torstar Corp Cl B
 Torstar Corp Cl B
 Torstar Corp Cl B

\$ \$ \$ 6 566 00 \$ 100 480 78 \$ - \$ \$ \$ \$ 6 566 00 \$ 100 480 78 \$ 106 353 44 \$ 1 043 20

Diageo \$ 25 355 00 \$ 338 638 16
 Diageo 20021106 div \$ 5 709 56
 Diageo
 Diageo
 Diageo
 Diageo
 Diageo
 Diageo

\$ - \$ \$ 25,355 00 \$ 338 638 16 \$ \$ \$ \$ \$ 25 355 00 \$ 338 638 16 \$ 275 882 40 \$ 5 709 56

Richemont Sec Ag Chf 1 \$ 7 884 00 \$ 178 672 02
 Richemont Sec Ag Chf 2 20021001 div \$ 2 442 24
 Richemont Sec Ag Chf 3
 Richemont Sec Ag Chf 4
 Richemont Sec Ag Chf 5
 Richemont Sec Ag Chf 6
 Richemont Sec Ag Chf 7

\$ \$ - \$ 7 884 00 \$ 178 672 02 \$ - \$ - \$ - \$ \$ 7 884 00 \$ 178 672 02 \$ 146 820 68 \$ 2 442 24

Zardoya Otis \$ 38 617 00 \$ 455 163 28
 Zardoya Otis 20020601 DIV \$ 3 678 98
 Zardoya Otis 20020901 DIV \$ 3 796 03
 Zardoya Otis 20020901 DIV \$ 379 53
 Zardoya Otis 20021212 DIV \$ 4 295 78
 Zardoya Otis 20020901 name change \$ 3 661 00
 Zardoya Otis

\$ \$ \$ 40 278 00 \$ 455 163 28 \$ - \$ - \$ \$ 40 278 00 \$ 455 163 28 \$ 526 487 64 \$ 12 148 32

Cadbury Schweppes GBPO
Cadbury Schweppes GBPO
Cadbury Schweppes GBPO

\$ - \$ 90 981 00 \$ 690 160 67 \$ \$ \$ \$ 90 981 00 \$ 690 160 67 \$ 560 442 98 \$ 4 919 80

Capital Radio ORD GPBO
Capital Radio ORD GPBO
Capital Radio ORD GPBO
Capital Radio ORD GPBO
Capital Radio ORD GPBO
Capital Radio ORD GPBO

20020601 div

\$ 7 936 00 \$ 85 920 72

\$ 705 67

\$ \$ 7 936 00 \$ 85 920 72 \$ \$ - \$ 7 936 00 \$ 85 920 72 \$ 65 087 28 \$ 705 67

Imperial Tobacco GRP PLC ORD
Imperial Tobacco GRP PLC ORD
Imperial Tobacco GRP PLC ORD
Imperial Tobacco GRP PLC ORD
Imperial Tobacco GRP PLC ORD

20020801 div

\$ 26 999 00 \$ 382 465 89

\$ 4 931 10

20020701 name change

\$ 10 800 00 \$ 152 992 02

\$ \$ 37 799 00 \$ 535 457 91 \$ - \$ - \$ - \$ 37 799 00 \$ 535 457 91 \$ 589 884 40 \$ 4 931 10

Imperial Tobacco New ORD 10P RFD
Imperial Tobacco New ORD 10P RFD
Imperial Tobacco New ORD 10P RFD
Imperial Tobacco New ORD 10P RFD
Imperial Tobacco New ORD 10P RFD
Imperial Tobacco New ORD 10P RFD

20020701 name change

\$ 10 800 00 \$ 152 992 02

\$ 10 800 00 \$ 152 992 02

\$ -

\$ - \$ 10 800 00 \$ 152,992 02 \$ 10 800 00 \$ 152 992 02 \$ \$ \$ \$ -

Reckitt Benckiser ORD PLC
Reckitt Benckiser ORD PLC
Reckitt Benckiser ORD PLC
Reckitt Benckiser ORD PLC
Reckitt Benckiser ORD PLC

20020901 div

\$ 40 280 00 \$ 713 254 48

\$ 7 872 85

\$ - \$ 40 280 00 \$ 713 254 48 \$ - \$ \$ - \$ 40 280 00 \$ 713 254 48 \$ 792 307 60 \$ 7 872 85

SMG ORD GBPO
SMG ORD GBPO
SMG ORD GBPO
SMG ORD GBPO
SMG ORD GBPO
SMG ORD GBPO

20020701 div

\$ 44 348 00 \$ 101 468 88

\$ 1 025 39

\$ - \$ 44 348 00 \$ 101 468 88 \$ - \$ - \$ \$ 44 348 00 \$ 101 468 88 \$ 68 385 98 \$ 1 025 39

Swedish Match Co
 Swedish Match Co
 Swedish Match Co
 Swedish Match Co
 Swedish Match Co
 Swedish Match Co

\$ 50 960 00 \$ 402 531 57

\$ \$ \$ 50 960 00 \$ 402 531 57 \$ \$ \$ \$ \$ 50 960 00 \$ 402 531 57 \$ 396 609 12 \$

Kone Corp
 Kone Corp
 Kone Corp
 Kone Corp
 Kone Corp
 Kone Corp
 Kone Corp

\$ 13 473 00 \$ 424 850 89

\$ \$ \$ 13 473 00 \$ 424 850 89 \$ \$ \$ \$ \$ 13 473 00 \$ 424 850 89 \$ 402 990 00 \$

Rapala Normark Corp
 Rapala Normark Corp
 Rapala Normark Corp
 Rapala Normark Corp
 Rapala Normark Corp
 Rapala Normark Corp
 Rapala Normark Corp
 Rapala Normark Corp

20021219 div

\$ 8 720 00 \$ 30 218 16

\$ 376 16

\$ - \$ \$ 8 720 00 \$ 30 218 16 \$ - \$ \$ - \$ - \$ 8 720 00 \$ 30 218 16 \$ 32 961 60 \$ 376 16

Totals

\$ - \$ - \$ 638 519 00 \$ 9 541 199 83 \$ 14 488 00 \$ 152 892 02 \$ 8 34 \$ 8 34 \$ 624 051 00 \$ 9 388 207 81 \$ 8 693 388 91 \$ 100 924 73

The J M Kaplan Fund, Inc.
2002 Investment Recapitulation

Kaplan Cheate Management, Inc. Account
Corporate Debt Securities

SECURITY	DATE	TYPE	BALANCE @ 1/1/02		PURCHASES		SALES		BALANCE @ 12/31/02		MARKET	Interest
			SHRS	COST	SHRS	COST	SHRS	COST	PROCEEDS	G/(L)	SHRS	
			75 000 00	78 375 00								
CHECKPOINT SYSTEMS	20020501	Int										1 988 75
	20021101	Int										1 988 75
			75 000 00	78 375 00					75 000 00	78 375 00	80 000 00	3 937 50

The J.M. Kaplan Fund, Inc.
 2002 Investment Recapitulation

Kaplan Choate Management, Inc. Account
 Equities

SECURITY	DATE	TYPE	BALANCE @ 1/1/02		PURCHASES		SALES		BALANCE @ 12/31/02		MARKET	Dividends
			SHRS	COST	SHRS	COST	SHRS	COST	PROCEEDS	G/(L)	SHRS	
			2 790 00	28 785 24								
Click2learn com Inc.			2 790 00	28 785 24					2 790 00	28 785 24	2 092 90	
H & Q Healthcare Inv Fund			374 00	5 399 57								
H & Q Healthcare Inv Fund	20020328	div										228 14
H & Q Healthcare Inv Fund	20020801	div										180 74
H & Q Healthcare Inv Fund	20020901	div										153 34
H & Q Healthcare Inv Fund	20021227	div										411 40
			374 00	5 399 57					374 00	5 399 57	5 153 72	983 82
			22 184 90									
HOMEFED CORP												
HOMEFED CORP			22 184 90						22 184 90		32 188 80	
			4 00									
Microsemi Corp			4 00						4 00		24 36	
			2 143 00									
TLC BEATRICE INTL												
TLC BEATRICE INTL												
TLC BEATRICE INTL			2 143 00						2 143 00		18 001 00	
Totals			27,495 90	32,184 81	-	-	-	-	27,495 90	32,184 81	54,438 38	983 82

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
BANK OF NEW YORK INTEREST	8,616.	8,616.
COMMUNITY CAPITAL BANK-CD	3,009.	3,009.
TOTAL	----- 11,625. =====	----- 11,625. =====

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INTEREST AND DIVIDENDS ON PARTNERSHIPS	1,305,552.	1,305,552.
DIVIDENDS ON SECURITIES	588,566.	588,566.
INTEREST ON MONEY MARKET FUNDS	112,576.	112,576.
INTEREST ON BOND FUND	1,300,675.	1,300,675.
INTEREST ON NOTES RECEIVABLE	70,790.	70,790.
	-----	-----
TOTAL	3,378,159.	3,378,159.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INTEREST ON P.R.I. INVESTMENT	7,800.	7,800.
OTHER PARTNERSHIP INCOME (LOSS)	-62,219.	-62,219.
OTHER INCOME	10,033.	10,033.
TAX REFUND INTEREST	5,873.	5,873.
RETURN OF GRANT	58,500.	
LESS: AMOUNT ATTRIBUTABLE TO UBI		-2,364.
	-----	-----
TOTALS	19,987.	-40,877.
	=====	=====

FORM 990PF, PART I - LEGAL FEES
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
PROSKAUER ROSE-GENERAL COUNSEL	13,458.			13,458.
TOTALS	13,458.	NONE	NONE	13,458.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
CONDON O'MEARA MCGINTY & DONNELLY-AUDIT & TAX SERVICE	23,201.	13,921.		9,280.
TOTALS	23,201.	13,921.	NONE	9,280.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
CONSULTANT FEES AND STIPENDS	361,920.		361,920.
BRANDYWINE MANAGEMENT COMPANY- ADVISORY FEES	481,244.	481,244.	
JOHN LEVIN-INVESTMENT FEES	56,446.	56,446.	
TWEEDY BROWNE-INVESTMENT FEES	119,693.	119,693.	
OAK VALUE-INVESTMENT FEES	75,206.	75,206.	
WELLINGTON MANAGEMENT-INV. FEE	45,265.	45,265.	
BANK OF NEW YORK-CUSTODIAL FEE	14,712.	14,712.	
TOTALS	----- 1,154,486. =====	----- 792,566. =====	----- 361,920. =====

FORM 990PF, PART I - INTEREST EXPENSE
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
INTEREST EXPENSE THRU PARTNERS	132,820.	132,820.
LESS: AMOUNT ALLOCATED TO UBI		-87,180.
TOTALS	=====	=====
	132,820.	45,640.

FORM 990BF, PART I - TAXES

DESCRIPTION	TOTALS
FEDERAL EXISE TAXES	13,339.
PAYROLL TAXES	31,777.
FOREIGN WITHHOLDING TAXES	38,813.
STATE TAXES ON U.B.I.	250.
DEFERRED EXCISE TAXES	-120,000.
LESS: AMOUNT ALLOCATED TO UBI	

REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
13,339.	5,399.	26,378.
31,777.	38,813.	
38,813.	250.	
-120,000.	-250.	
-35,821.	44,212.	26,378.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE	33,364.	10,009.	23,355.
TELEPHONE	14,076.	4,223.	9,853.
SUPPLIES	8,021.	2,407.	5,615.
REPAIRS AND MAINTENANCE	33,790.	9,264.	24,526.
FILING FEES	1,500.		1,500.
MISCELLANEOUS	13,582.	4,075.	9,508.
LIMITED PARTNERSHIP DEDUCTIONS	836,270.	836,270.	
WRITE OFF OF PRI ASSET & INVEST	2,278.		
LESS: AMOUNT ALLOCATED TO UBI		-8,927.	
TOTALS	942,881.	857,321.	74,357.

THE J.M. KAPLAN FUND, INC.
 13-6090286

FORM 990PF, PART II - CORPORATE STOCK
 =====

DESCRIPTION

 SEE ATTACHED SCHEDULE

TOTALS

ENDING	ENDING
FMV	BOOK VALUE
----	-----
41,782,125.	41,782,125.
-----	-----
41,782,125.	41,782,125.
=====	=====

The J M Kaplan Fund, Inc
2002 Investment Recapitulation

Equities

	MARKET	
	COST	VALUE
Accenture Ltd Class A	\$ 123,827	\$ 158,312
ALCAN INC	\$ 23,963	\$ 23,616
A O N Corp	\$ 285,550	\$ 247,459
Archer Daniels Midland	\$ 54,156	\$ 54,560
ANHEUSER BUSCH COS INC	\$ 63,901	\$ 82,280
BANK OF NEW YORK	\$ 143,578	\$ 115,008
Burlington Resources	\$ 144,347	\$ 174,865
BELLSOUTH CORP	\$ 232,118	\$ 133,231
Bristol-Myers Squibb	\$ 367,712	\$ 226,870
Constellation Energy	\$ 215,282	\$ 180,830
Cigna Corporation	\$ 81,955	\$ 86,352
CITIGROUP INC	\$ 55,920	\$ 66,861
CIT Group	\$ 126,500	\$ 107,800
CNA FINANCIAL	\$ 87,751	\$ 102,323
CSX Corp	\$ 176,900	\$ 144,381
Deere & Co	\$ 49,620	\$ 59,605
DEL MONTE FOODS CO	\$ 14,106	\$ 13,068
DISNEY, WALT CO	\$ 69,700	\$ 65,240
Dow Chemical	\$ 90,886	\$ 98,010
DU PONT EI DE NEMOURS & CO	\$ 59,719	\$ 59,360

El Paso Corp	\$	296,417	\$	68,904
EXXON MOBIL CORP	\$	102,728	\$	101,326
FLEETBOSTON FINL CORP	\$	103,059	\$	72,900
GENERAL ELEC CO	\$	190,556	\$	143,665
Heinz H J Co	\$	130,403	\$	124,906
HEWLETT PACKARD CO	\$	198,321	\$	118,048
Home Depot	\$	105,773	\$	96,080
Honeywell Intl Inc	\$	67,206	\$	57,600
INTERNATIONAL BUSINESS MACHINES	\$	99,229	\$	89,125
JOHN HANCOCK FINANCIAL SERVICES	\$	55,227	\$	55,800
KIMBERLY CLARK CORP	\$	86,107	\$	80,699
BEARINGPOINT INC	\$	196,532	\$	74,520
KONINKLIJKE PHILIPS ELECTRONICS N V	\$	194,468	\$	122,186
LILLY ELI & CO	\$	120,471	\$	127,000
McDonalds Corp	\$	144,599	\$	94,872
MONSANTO CO	\$	44,115	\$	40,079
Oracle Systems Corp	\$	92,020	\$	106,920
PNC Financial Services Group	\$	120,701	\$	96,370
Pharmacia Corp	\$	131,916	\$	142,120
Raytheon Co	\$	137,326	\$	135,300
Reliant Resources Inc	\$	208,377	\$	40,960
Sara Lee Corp	\$	88,087	\$	96,793
Sprnt Corp PCS Group	\$	61,765	\$	38,982
TEXAS INSTRUMENTS, INC	\$	69,897	\$	54,036
TEXTRON INC	\$	27,042	\$	34,392
Thermo Electron Corp	\$	103,596	\$	112,672

TRIBUNE	\$	83,847	\$	113,650
TYCO INTL LTD NEW	\$	149,589	\$	134,932
US Bancorp	\$	83,594	\$	110,386
UNOCAL CORP	\$	123,101	\$	103,972
UnumProvident	\$	248,924	\$	156,106
Venzon Communications Inc	\$	126,894	\$	98,813
Williams Cos Inc Del	\$	171,863	\$	37,260
XL CAPITAL LTD	\$	72,610	\$	100,425
Click2learn com Inc	\$	26,765	\$	2,093
H & Q Healthcare Inv Fund	\$	5,400	\$	5,154
HOMEFED CORP	\$	-	\$	32,167
Microsemi Corp	\$	-	\$	24
TLC BEATRICE INTL	\$	-	\$	15,001
20TH CENTURY INDUSTRIES - CALIF	\$	109,294	\$	75,683
ABN AMRO Hldg NV	\$	210,435	\$	195,681
AKZO NOBEL N V ADR	\$	111,610	\$	87,538
AMERICAN EXPRESS CO	\$	319,376	\$	301,712
AMERICAN NATL INS CO	\$	221,034	\$	257,543
Bristol Myers Squibb	\$	231,795	\$	146,192
Commonwealth Tele Enterprises	\$	40,877	\$	34,406
CREDIT ACCEPTANCE CORP	\$	51,078	\$	55,993
DOLLAR THRIFTY AUTOMOTIVE GROUP INC	\$	99,641	\$	177,766
Diageo PLC Sponsored ADR	\$	120,865	\$	183,960
Electronic Data Systems Corp	\$	249,835	\$	152,582
EZ CORP INC	\$	58,548	\$	26,683
FEDERATED INVESTORS	\$	87,916	\$	181,903

FREDDIE MAC COM	\$	247,853	\$	273,402
FRIEDMAN'S INC "A"	\$	60,029	\$	53,078
GATX CORP	\$	158,245	\$	104,059
GORMAN RUPP CO	\$	54,947	\$	80,370
Great American Finl Resources	\$	49,526	\$	39,474
GREY Global Group	\$	195,978	\$	373,993
HOLLINGER INTL	\$	166,514	\$	148,844
HON INDS INC	\$	136,077	\$	176,184
HOUSEHOLD INTL INC	\$	250,249	\$	178,401
HUB GROUP, INC	\$	98,590	\$	30,120
INTERNATIONAL SPECIALTY PRODUCTS	\$	54,120	\$	58,503
Natuzzi S P A ADR	\$	50,031	\$	39,319
JOHNSON & JOHNSON	\$	200,828	\$	219,137
LAWSON PRODUCTS INC	\$	43,095	\$	61,960
MBIA, INC	\$	344,780	\$	377,942
Monsanto Company	\$	20,150	\$	22,388
NATIONAL WESTERN LIFE INSURANCE CO	\$	214,337	\$	195,840
NESTLE S A SPONSORED ADR REPSTG	\$	111,492	\$	131,404
The Navigators Group, Inc	\$	29,194	\$	45,257
PANAMERICAN BEVERAGES INC CL A	\$	284,551	\$	358,975
PHARMACIA Corp	\$	310,300	\$	285,076
Pro Quest Co	\$	290,685	\$	213,542
POPULAR INC	\$	75,263	\$	84,500
PNC Financial Services Group	\$	218,330	\$	192,740
RCN Corporation	\$	191,739	\$	8,809
Ramco-Gershenson Properties	\$	39,164	\$	60,929

RAYONIER INCORPORATED	\$	211,697	\$	224,440
REGAL BELOIT CORP WISCONSIN COM	\$	109,217	\$	115,610
SCHNITZER STEEL INDS	\$	50,749	\$	83,784
Schenng Plough Corp	\$	380,961	\$	230,192
STEPAN CO	\$	50,461	\$	55,875
SYMS CORP	\$	64,909	\$	64,980
TECUMSEH PRODUCTS CO CL-A	\$	107,558	\$	102,161
TORCHMARK CORP	\$	237,234	\$	290,231
TRANSATLANTIC HOLDING INC	\$	259,688	\$	347,640
UNILEVER N V	\$	179,715	\$	151,313
UNILEVER NV - NY SHARES F	\$	39,528	\$	61,200
VALUE CITY DEPARTMENT STORES, INC	\$	120,897	\$	25,757
WALLACE COMPUTER SERVICES, INC	\$	121,996	\$	152,936
WELLS FARGO AND COMPANY	\$	151,466	\$	177,403
WHITNEY HOLDINGS CORP	\$	101,135	\$	149,718
AOL Time Warner	\$	556,706	\$	355,338
AFLAC, INC	\$	100,906	\$	102,408
AMBAC FINANCIAL GROUP	\$	284,118	\$	356,393
BERKSHIRE HATHAWAY, INC	\$	578,113	\$	671,171
Cendent Corp	\$	400,915	\$	314,662
Certegy, Inc	\$	77,520	\$	85,925
Charter Communications	\$	677,034	\$	83,456
Comcast Corp	\$	555,425	\$	403,232
Constellation Brands Inc	\$	269,962	\$	324,827
Diebold Inc	\$	87,511	\$	98,928
DISNEY, WALT CO	\$	489,912	\$	315,599

Dow Jones & Co	\$	349,164	\$	282,076
Equifax Inc	\$	119,054	\$	144,047
Hewlett Packard Co	\$	183,996	\$	177,506
HOUSEHOLD INTL INC	\$	257,636	\$	196,061
IMS Health Inc	\$	159,509	\$	156,000
INTERPUBLIC GROUP	\$	387,886	\$	206,272
Merck & Co	\$	349,832	\$	359,474
Partnerre Ltd Bermuda	\$	198,129	\$	204,689
SCRIPPS, E W CLASS A	\$	193,858	\$	317,419
Schering Plough Corp	\$	431,908	\$	342,435
Scientific Atlanta	\$	251,314	\$	135,501
Tupperware Corp	\$	193,285	\$	148,161
Waters Corporation	\$	184,181	\$	169,340
XL Capital Ltd	\$	278,023	\$	320,588
Zale Corp	\$	263,758	\$	218,515
Brown Forman Corp Cl B	\$	542,792	\$	451,245
Fortune Brands	\$	206,009	\$	183,342
Kimberly Clark Corp	\$	499,861	\$	364,380
New York Times Co	\$	474,726	\$	466,263
Philip Morris Cos	\$	406,810	\$	302,921
Torstar Corp Cl B	\$	100,481	\$	106,353
Diageo	\$	336,636	\$	275,862
Richemont Sec Ag Chf 1	\$	178,672	\$	146,821
Zardoya Otis	\$	455,163	\$	528,488
Group Danone	\$	391,122	\$	387,236
Pernod Ricard	\$	162,470	\$	179,622

New Allied Domeco	\$	528,435	\$	493,350
British American Tobacco GBPO	\$	578,744	\$	546,730
Cadbury Schweppes GBPO	\$	690,161	\$	560,443
Capital Radio ORD GPBO	\$	85,921	\$	65,067
Imperial Tobacco GRP PLC ORD	\$	535,458	\$	589,664
Reckitt Benckiser ORD PLC	\$	713,254	\$	792,308
SMG ORD GBPO	\$	101,469	\$	66,386
Ulster Television 5P	\$	56,105	\$	51,280
WPP Group 10P	\$	293,469	\$	207,450
Nestle Sachfi	\$	502,932	\$	437,225
Reed Elsevier NV	\$	184,816	\$	163,023
Davide Campan	\$	505,101	\$	493,378
Swedish Match Co	\$	402,532	\$	398,609
Kone Corp	\$	424,851	\$	402,991
Rapala Normark Corp	\$	30,218	\$	32,962
Covad Communications Group	\$	33	\$	1
	\$	31,999,493	\$	28,544,813

Mutual Funds

Marcstone Capital- Class C	\$	575,457	\$	11,963
Brazos Small Cap	\$	4,080,120	\$	3,152,633
Brazos Multi Cap	\$	4,437,220	\$	3,000,671
Gardner Lewis	\$	4,000,000	\$	2,206,104
Argus Offshore Capital	\$	5,000,000	\$	4,865,941
	\$	18,092,798	\$	13,237,312
Total Corporate Stock	\$	50,092,290	\$	41,782,125

THE J.M. KAPLAN FUND, INC.
 13-6090286

FORM 990PF, PART II - CORPORATE BONDS
 =====

DESCRIPTION

 SEE ATTACHED SCHEDULE

TOTALS

ENDING	ENDING
FMV	BOOK VALUE
----	-----
28,927,693.	28,927,693.
-----	-----
28,927,693.	28,927,693.
=====	=====

Fixed Income and Bonds

CHECKPOINT SYSTEMS	\$	78,375	\$	60,000
Vanguard Total Bond Market Index	\$	19,027,468	\$	20,297,467
Elliott Associates	\$	1,434,532	\$	8,570,226
	\$	20,540,375	\$	28,927,693

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
EQUITY IN LIMITED PARTNERSHIPS	48,224,565.	48,224,565.
PROGRAM RELATED INVESTMENTS	825,000.	825,000.
INVESTMENT IN KINGSBURY TIMBER	135,000.	135,000.
INVESTMENT IN JMK HOLDINGS, INC	49,184,565.	49,184,565.
TOTALS	=====	=====

THE J.M. KAPLAN FUND, INC.
FORM 990PF, PART II - OTHER ASSETS
=====

DESCRIPTION

NOGUCHI SCULPTURES
ART WORK

TOTALS

ENDING
BOOK VALUE

57,500.

57,500.
=====

ENDING
FMV

57,500.

57,500.
=====

FORM 990PF, PART II - OTHER LIABILITIES

=====

DESCRIPTION -----	ENDING BOOK VALUE -----
DUE TO BROKER FOR SECURITIES	375,233.
TOTALS	----- 375,233. =====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
ADJUSTMENT FOR BOOK/TAX DIFF.-L.P.'S	459,018.

TOTAL	459,018.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
UNREALIZED LOSS FROM PARTNERHIPS	276,526.
PRIOR PERIOD ADJUSTMENT-EXCISE TAX	578,491.
UNREALIZED LOSSES FROM SECURITIES	10,469,337.

TOTAL	11,324,354.
	=====

The J.M. Kaplan Fund, Inc.
For the year ended
December 31, 2002

<u>TRUSTEE/Address</u>	<u>Hours Worked/Wk</u>	<u>Contributions to "EE" Benefit Plans</u>	<u>Expense Account</u>	<u>Compensation</u>
Note: The mailing address for All Trustees is				
C/o The J M Kaplan Fund, Inc 261 Madison Avenue 19 th Fl New York, NY 10016				
Joan Davidson, Trustee	3-5	None	None	6,250
Elizabeth K. Fonseca, Trustee	3-5	None	None	6,250
Mary E. Kaplan, Trustee	3-5	None	None	6,250
Richard D. Kaplan, Co-Chair	3-5	None	None	6,250
J.Matthew Davidson, Trustee	3-5	None	None	11,250
Brad Davidson, Trustee	3-5	None	None	11,250
Elizabeth Davidson Pickering, Co-Chair	3-5	None	None	11,250
Peter Davidson, Trustee	3-5	None	None	11,250
Caio Fonseca, Trustee	3-5	None	None	7,500
Isabel Fonseca, Trustee	3-5	None	None	10,000
Quina Fonseca, Trustee	3-5	None	None	11,250
Conn Nugent, Exec Director/Secretary	40	63,100	None	\$213,222
		<u>\$ 63,100</u>	<u>\$ -0-</u>	<u>\$ 311,972</u>

The J.M. Kaplan Fund

Guidelines

[Letter from the Chairman](#)

[History of the Fund](#)

[Grant Programs](#)

[Discretionary Grants](#)

[Guidelines](#)

[Trustees & Staff](#)

[Contact Us](#)

[Home](#)

Organizations interested in applying for a grant from the Environment, Historic Preservation, or Migration programs should send a brief letter of inquiry to Ang Carabine, Grants Manager, J M Kaplan Fund, 261 Madison Ave, 19th floor, NY 10016. Applicants will be notified within thirty days if they are to submit a full proposal. Organizations interested in the Furthermore program should contact Birckmayer, Program Associate, Furthermore Grants in Publishing, PO Box 667, Hudson NY 12534, telephone 518-828-8900.

Discretionary grants are made by individual trustees on the basis of their own investigations, no applications or proposals will be reviewed.

The J.M. Kaplan Fund
261 Madison Avenue
19th Floor
NY NY 10016

All content
© 2003
The J.M. Kaplan Fund

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone 212 767 0630 Fax 212 767 0639

11th February 2003

Margaret Moore Booker, Associate Director
The Egan Foundation/Egan Institute
4 Winter Street
Nantucket, MA 02554

Dear Ms Booker

Thank you for returning a copy of the signed expenditure responsibility letter. In accordance with our agreement, we are pleased to enclose a check for \$2,500 payable to The Egan - Foundation, Inc. As stated in our letter of 16th January 2003, this grant was recommended by our Trustee and President Emeritus, Joan K Davidson and is to be used for the book, "Sea Captains' Houses and Rose-Covered Cottages: The Architectural Heritage of Nantucket Island."

Please see that our check is endorsed with your organization name and bank account number and deposited in the organization's bank. Send acknowledgment of the grant to Furthermore president Joan K Davidson in Hudson, New York, and also send a copy to the Fund offices here in New York City.

Both Furthermore and the Fund should be acknowledged in the publication itself and in any related promotional materials and press coverage. Acknowledgement should be readily seen, it should appear on the copyright page in books. We should be named as

Furthermore: a program of the J.M. Kaplan Fund

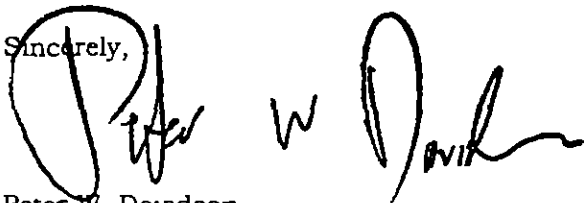
Please confirm your acknowledgement placement and wording early on with Ann Birckmayer, Program Associate, at the Furthermore office (518 828 8900 fax 8901, e-mail furthermore@taconic.net)

Upon publication of the product, please provide at least six samples of it for our archives. They should be sent to

Furthermore
Post Office Box 667
Hudson, New York 12534

We greatly look forward to the finished work and meanwhile send you, along with this check, the very best wishes of all our Trustees.

Sincerely,



Peter W Davidson
Chair

Ref #2002-513

cc Joan K Davidson, Trustee and President Emeritus

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone 212 767 0630 Fax 212 767 0639

16th January 2003

Margaret Moore Booker
Associate Director
The Egan Foundation Inc
4 Winter Street
Nantucket, MA 02554

Dear Ms Booker

The Trustees of the J M Kaplan Fund ("Kaplan Fund") are pleased to inform you that the Fund has approved a grant of \$2,500 from its Furthermore publishing grants program for This grant is for the book, "Sea Captains' Houses and Rose-Covered Cottages The Architectural Heritage of Nantucket Island " We understand that The Egan Foundation, Inc , is a non-profit entity organized under the laws of the United States, has obtained tax-exempt status from the IRS and is classified as a private foundation

The grant funds may not be used (whether in the United States or elsewhere in the world) for any of the following purposes to attempt to influence legislation or the outcome of any specific public elections to carry on, directly or indirectly, any voter registration drive, to make grants to individuals or to other organizations, which do not comply with the U S. tax laws, or undertake any activities for a non-charitable purpose

Under the applicable laws of the United States, all grant funds must be expended for charitable, scientific, literary, or educational purposes as defined under Internal Revenue Code 501(c)(3) Consistent with the U S law, this proposed grant would be made to enable The Egan Foundation, Inc , to further its charitable and educational activities This proposed grant is only for the purposes stated in this letter, and the proposed grant funds as well as any interest earned thereon may not be expended for any other purpose without the Kaplan Fund's prior approval in writing It is understood that the funds would be used substantially in accordance with the budget submitted Any funds not expended or committed for the purposes of the grant within the period stated or a reasonable period thereafter, would have to be returned to the Kaplan Fund

The Egan Foundation, Inc agrees to provide an annual narrative and financial report on the use of grant funds to the Kaplan Fund and a final report upon completion of the grant period Each report should include a narrative account of what was accomplished by the expenditure of funds (including a description of progress made towards achieving the goals of the grant), a detailed financial statement, and copies of any publications resulting from the grant

The financial statement should be attested by the chief financial officer of The Egan Foundation, Inc , or its independent auditors

The proposed grant funds may be co-mingled with other funds for temporary investment and alternative purposes, but they must be shown separately on your books and records for the ease of reference and verification Your books and records are made available for the Kaplan Fund's inspection at reasonable times

The foregoing conditions are intended to assist the Kaplan Fund in complying with the U S laws to make reasonable efforts and establish adequate procedures to see that proposed grant funds are spent solely for the purpose for which they were granted, and to obtain full and complete reports on how grant funds have been expended Changes in U S laws, or in regulations interpreting them, may require the Kaplan Fund to ask that more detailed reports be submitted or that other steps be taken The Kaplan Fund will promptly inform you of any such changes

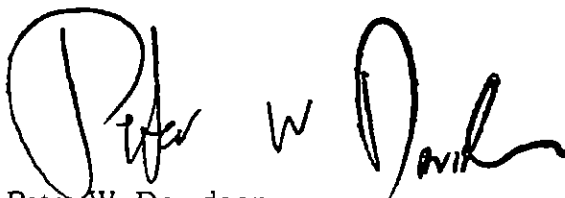
The Kaplan Fund will include information on this grant in its periodic reports filed with the U S tax authorities and made available for public inspection as required by U S law

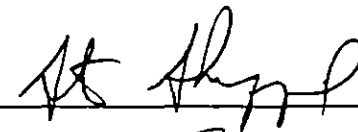
If this letter correctly sets forth your understanding of the arrangements made regarding this grant, will you please countersign and return to me the enclosed copy of this letter Upon receipt of the signed copy, we will forward the grant payment

On behalf of the Kaplan Fund, my best wishes to you for success in your worthy endeavors

Very truly yours,

AGREED AND ACCEPTED
The Egan Foundation, Inc


Peter W Davidson
Chair

By 
Name Steve Sheppard
Title Deputy Director / Egan Institute /

Egan Found.

Ref #2002-513

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone: 212.767.0630 Fax: 212.767.0639

17th July 2002

Simon Benson Offit
President
Ann Norton Sculpture Gardens
253 Barcelona Road
West Palm Beach, FL 33401

Dear Mr. Offit:

The Trustees of the J.M. Kaplan Fund ("Kaplan Fund") are pleased to inform you that a grant of \$10,000 to Ann Norton Sculpture Gardens Inc. has been approved. This grant was recommended by our Trustee, Richard D. Kaplan and is to be used as general support. We understand that Ann Norton Sculpture Gardens Inc., is a non-profit entity organized under the laws of the State of Florida of the United States, has obtained tax-exempt status from the IRS and is classified as a private operating foundation.

The grant funds may not be used (whether in the United States or elsewhere in the world) for any of the following purposes: to attempt to influence legislation or the outcome of any specific public election; to carry on, directly or indirectly, any voter registration drive; to make grants to individuals or to other organizations, which do not comply with the U.S. tax laws; or undertake any activities for a non-charitable purpose.

Under the applicable laws of the United States, all grants funds must be expended for charitable, scientific, literary, or educational purposes as defined under Internal Revenue Code 501(c)(3). Consistent with the U.S. law, this proposed grant would be made to enable Ann Norton Sculpture Gardens Inc. to further its charitable and educational activities. This proposed grant is only for the purposes stated in this letter, and the proposed grant funds as well as any interest earned thereon may not be expended for any other purpose without the Kaplan Fund's prior approval in writing. It is understood that the funds would be used substantially in accordance with the approved budget. Any funds not expended or committed for the purposes of the grant within the period stated above or a reasonable period thereafter, must be returned to the Kaplan Fund.

Ann Norton Sculpture Gardens Inc. agrees to provide annual narrative and financial reporting on the use of the grant funds to the Kaplan Fund upon completion of the grant period. Each report should include a narrative account of what was accomplished by the expenditure of funds,

ERL-Ann Norton Sculpture Gardens Inc.
Page 2

including a description of progress made towards achieving the goals of the grant, a detailed financial statement, and copies of any publications resulting from the grant. The financial statement should be attested by the chief financial officer of Ann Norton Sculpture Gardens Inc. or its independent auditors.

The proposed grant funds may be co-mingled with other funds for temporary investment and alternative purposes, but they must be shown separately on your books and records for the ease of reference and verification. Your books and records are to be made available for the Kaplan Fund's inspection at reasonable times.

The foregoing conditions are intended to assist the Kaplan Fund in complying with the U.S. laws to make reasonable efforts and establish adequate procedures to see that proposed grant funds are spent solely for the purpose for which they were granted, and to obtain full and complete reports on how grant funds have been expended. Changes in U.S. laws, or in regulations interpreting them, may require the Kaplan Fund to ask that more detailed reports be submitted or that other steps be taken. The Kaplan Fund will promptly inform you of any such changes. You agree to comply with any such requests.

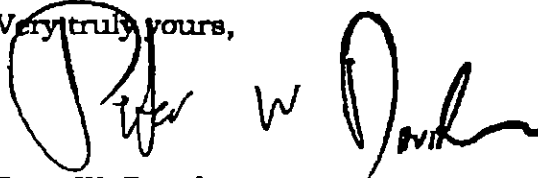
The Kaplan Fund will include information on this grant in its periodic reports filed with the U.S. tax authorities and made available for public inspection as required by U.S. law.

If this letter correctly sets forth your understanding of the arrangements made regarding this grant, please countersign as indicated and return to me the one copy of this letter.

The Kaplan Fund will make the grant funds available upon receipt of this letter signed by an appropriate official of Ann Norton Sculpture Gardens Inc.

On behalf of the Kaplan Fund, my best wishes to you for success in your worthy endeavors.

Very truly yours,


Peter W. Davidson
Chair

AGREED AND ACCEPTED:

By: 
Simon Benson Offit
President

Enclosure
Ref: #2002-296

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone 212 767 0630 Fax 212 767 0639

19th August 2002

Simon Benson Offit
President
Ann Norton Sculpture Gardens
253 Barcelona Road
West Palm Beach, FL 33401

Dear Mr Offit:

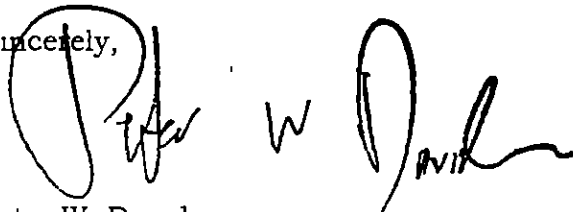
Thank you for returning the signed expenditure responsibility letter along with the 2001 990 PF. In accordance with our agreement, we are pleased to enclose a check for \$10,000 payable to Ann Norton Sculpture Gardens Inc. As stated in our letter of 17th July 2002, this grant was recommended by our Trustee, Richard D Kaplan and is to be used as general support.

We ask that you forward acknowledgement at your earliest convenience. Please be sure this grant check is restrictively endorsed (i.e with the organization name and account number) and deposited to your organization's bank account.

The success of your work is important to us. We ask that you keep us informed on the progress of your efforts by sending a brief narrative report by July 2003.

Along with this check go the very best wishes of all our Trustees.

Sincerely,

A handwritten signature in black ink, appearing to read "Peter W Davidson". The signature is written in a cursive style with a large initial "P" and "D".

Peter W Davidson
Chair

Ref #2002-296

cc Richard D Kaplan, Trustee

Affidavit Of

COTIDIANO MUJER

The undersigned, to assist grant-making foundations in the United States of America determine whether Cotidiano Mujer is the equivalent of a public charity described in Section 509 (a) (1), (2) or (3) of the US Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942 (j) (3), makes the following statement.

- (1) I am the Coordinator of Cotidiano Mujer.
- (2) Cotidiano Mujer was created by Statute in 1992 and is operated exclusively for:
 - charitable
 - religious
 - scientific
 - literary
 - educational
 - cultural
 - fostering national or international amateur sports competition

(3) The activities of Cotidiano Mujer have included *[describe past and current activities and operations]* _____

ATTACHMENT I

and will include *[describe future activities and operations]*

ATTACHMENT II

- (4) Copies of the charter, bylaws, and other documents pursuant to which Cotidiano Mujer is governed are on file (#1998/531) at the J.M. Kaplan Fund office
- (5) The laws and customs applicable to Cotidiano Mujer do not permit any of its income or assets to be distributed to or applied for the benefit of, a private person or non-charitable organization other than pursuant to the conduct of Cotidiano Mujer's charitable and cultural activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which Cotidiano Mujer has purchased.

Affidavit of COTIDIANO MUJER
Page 2

- 6) Cotidiano Mujer has no shareholders or members who have a proprietary interest in the income or assets of the organization.
- 7) In the event that Cotidiano Mujer were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of Cotidiano Mujer's assets on liquidation is on file (#1998/531) at the J M. Kaplan Fund office.
- 8) The laws and customs applicable to Cotidiano Mujer do not permit the organization, other than as an insubstantial part of its activities,
 - (a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
 - (b) to attempt to influence legislation, by propaganda or otherwise
- 9) The laws and customs applicable to Cotidiano Mujer do not permit the organization directly or indirectly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.
- 10) Cotidiano Mujer is not controlled by or operated in connection with any organization than as follows [describe, or if none, so state]
- 11) A schedule of support for (i) Cotidiano Mujer's four most recently completed taxable years is on file at the J M Kaplan Fund office.

Cotidiano Mujer is not controlled by or operated in connection with any organization

Date: *2nd September 2002*

Lilian Celiberti
Lilian Celiberti
Coordinator

ATTACHMENT I:

The activities carried out by Cotidiano Mujer in 2002, were

- Publication of three issues of the magazine (No 37, 38 and 39)
- Broadcast of the radio program "Nunca en Domingo", from Monday to Friday and from March to December from 14 30 to 15 30
- Participation in the World Social Forum held in Porto Alegre in March
- Coordination of the Regional Campaign Against Fundamentalisms in the WSF
- Edition of the book "Cosa Juzgada" about Domestic Violence (supported by OEA and CLADEM)
- Participation in two regional seminars (Chile and Argentina) from the MARCOSUR Women's articulation
- Co-organization of the Uruguayan Social Forum

ATTACHMENT II:

The activities to be carried out by Cotidiano in 2003

- Publication of three issues of the magazine (N° 40, 41 and 42)
- Broadcast of the radio program "Nunca en Domingo", from Monday to Friday and from March to December from 14 30 to 15 30
- Participation in the World Social Forum held in Porto Alegre to be held in March
- Organization of the second campaign Against all kind of Fundamentalisms to be carried out in the WSF
- Participation in the three year (2003-2006) Regional Program together with Argentina, and Chile It's objectives are the construction of a regional women movement articulating with the social organizations in order to create a common Agenda
- Participation in two regional seminars (Chile and Argentina) from the MARCOSUR Women's articulation

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016
Phone 212 767.0630 Fax 212 767 0639

29th August 2002

Elena Fonseca, Director
Cotidiano Mujer
Salto 1265, Montevideo 11.200
URUGUAY

Dear Ms Fonseca

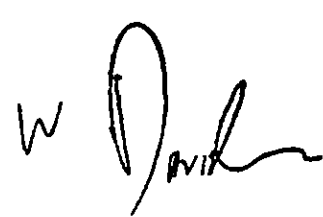
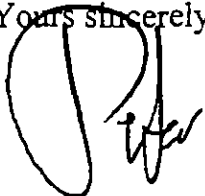
On behalf of the Board of Trustees of the J.M. Kaplan Fund, we are pleased to inform you that the Fund has approved a grant of \$20,000 payable to Cotidiano Mujer This grant was recommended by our Trustee, Elizabeth K. Fonseca, and is to be used as support for "Nunca En Domingo" radio program.

Under United States tax regulations, we are required to have any overseas charity receiving a Fund grant complete the attached affidavit and return it to us with the requested supporting materials. If you have any queries about the form please contact Angela Carabine at the Fund's offices.

The Kaplan Fund will make the funds available upon receipt of the signed affidavit. Please let us know if you would like payment by check or by wire transfer.

Along with this grant announcement go the very best wishes of the Fund and all the Trustees

Yours sincerely,



Peter Davidson
Chair

Enclosure

Ref. #2002-320

cc Elizabeth K. Fonseca, Trustee

By Facsimile 011 598 2413 0374

NARRATIVE REPORT

"NUNCA EN DOMINGO" in 2002

to THE J.M. KAPLAN FUND

Cotidiano Mujer
Uruguay



Narrative Report

Ref · 2001-324

March - December 2002

Radio Programme "Nunca en Domingo"

Cotidiano Mujer

CX 22 Radio Universal, 970 AM

Montevideo, Uruguay

From 1994 onwards "Nunca en Domingo" has maintained the same general design, which is by now our identity in the media. We produce sketches of a maximum of 15 minutes each, each has it's own musical interlude made by a professional musician; sketches are different from Monday to Friday and produced in the radio studio, there are interviews, research, testimonies, stories, etc. trying to keep the depth of the matter, but not losing the sense of humor And good music everyday

Each year the contents of the micro varies following the different realities of our country, the region, the world. As feminist we focus the matters through the gender perspective, having a special attention to all kinds of discrimination, is it because of race, sexual option, religion, economic situation, human rights and so on.

This year the economic situation all around the Region has had a priority over anything else in our program, as well as it's consequences: unemployment, hunger, the increase in the prices of the basic needs, decrease of the incomes, etc. In a word, a very big crisis which wounds specifically women. On the other hand, and also as a reaction to the crisis, a strong sense of solidarity developed in a large spectrum of society.

Domestic Violence, Sexual and Reproductive Rights and the visibility of women in history are steady matters in our weekly programming.

Two milestones marked the Uruguayan feminist movement in 2002 the implementation of the Law on Domestic Violence and the voting and approval of the abortion law in the Lower House of the Parliament; both had a special attention in our program during this year.

THE CONTENT OF THE MICROS

General Coordination: Elena Fonseca

Conduction: Ana Pañella

From Monday to Friday **The news of the day**

We choose the daily news through our own alternative sources, differing, thus, substantially from the mass media ones, we consult newspapers from all around the world through Internet, and we receive specific information on women matters through E-mail from our correspondents, CIMAC, FEMPRESS, REPEM, LA CUERDA, LA TERTULIA, COMCOSUR, RAWA, IPS, ARGENPRESS, REBRA, AMARC, etc. The profile of this information makes it unique.

Mondays: "Good News"

Responsible Elena Fonseca

We invited women who were capable to run a family enterprise and to live from it craftsman women who worked with metals in jewelry, or making glass objects, or working with seeds Rural women who raised lean pigs, or produced goat cheese, or basil, or smoked wild boar, or sold otter meat, or produced honey for export, or champignons, etc, etc. They all had attended courses in marketing, accounting, public relations and packing and were prepared to have quality inspections All of them could have a living, could earn enough to sustain their families in the middle of the 2002 crisis''

Tuesday: People in Movement

Responsible Elena Fonseca

Actresses, painters, singers, dancers, writers, photographers, and all type of people doing something interesting in town.

The Witches the and Muses

Responsible: Elena Fonseca

Women aviators (from Amelia Erhart to the Uruguayan pioneers); great mountaineers, Japanese ancient novelists (Murasaki Shikubua, Sei Shonagon), anthropologists who studied the primate (Diane Fossey, Jane Goodall, Birute Galdikas), argentine politic women from Eva Peron to the revolutionary ladies of the independence, famous murderers (the "Toffanas", Mme de Brinvilliers; Countess Elizabeth Batory, the Maffia Godmothers); and to end the year three great Uruguayan poets (Juana de Ibarbourou, M°Eugenia Vaz Ferreira, Delmira Agustini.

Wednesday. The Indiscreet Window
Responsible Elena Fonseca

Cycles of matters that are very seldom focused in the media. We invite people with different points of views: religious and market fundamentalisms; football local hooligans, new shanty towns around the capital, racisms against Peruvian migrants, treatment to common prisoners, urban young "tribes".

The Paper Arrow
Responsible: Ana Pañella

Reading of famous literary pieces (short novels, poetry, memoirs of political women prisoners, etc.)

Thursday. Behind the four walls: Women's health; Domestic Violence
Responsible: Elena Fonseca

Matters on women's health (sexual and reproductive rights, birth control methods, VIH/SIDA, abortion, sexual education, etc). Experts from different health areas were invited.
Domestic Violence: cases analyzed with a Social Worker who took care of the victims.

One Way Trip:
Responsible: Judith Gonzalez

Travel stories from all around the world

Friday: Proposals for the Week End
Responsible . Ana Pañella

All the cultural activities of the week (cinema, theatre, music, magazines, with interviews with the directors, actors, etc.)

Music Miscelánea
Responsible Ruben Olivera
The latest issues of the national record label Ayuí

Kaplan Grant for DOCOMOMO Cuba

**Interim Report
6 December 2002**

After preparatory arrangements a sponsoring of US \$ 10 000,- was granted by the J F Kaplan Fund to DOCOMOMO International on July 8, 2002, to be used to sponsor two representative members of DOCOMOMO Cuba to attend the 7th International DOCOMOMO Conference from September 16 - 19, 2002, in Paris

The grant has been received on the DOCOMOMO International Foundation bank account on July 25, 2002, representing a value of EURO 10 080,65 (copy of bank statement attached)

Mr Eduardo Luis Rodriguez, member of DOCOMOMO Cuba, arrived in Paris shortly before the Conference. He was received by me, in my capacity as the Secretary of DOCOMOMO International, at his hotel in Paris. Unfortunately Mr José Antonio Choy, chairman, arrived one day later, due to visa problems at Havana Airport. Mr Choy was accommodated in the same hotel.

The Conference theme was 'Reception of Modernist Architecture', the interpretation of modern architecture by professionals, users and the audience at large, and dealt with topics such as the influence of writings, images, photography and films, and great events such as world exhibitions, and heritage and conservation strategies for modernist architecture. The format of the Conference was that of round table discussions, each theme being introduced by four short statements by international speakers. Both Cuban representatives have attended the Conference for the full period, and have actively participated in the debates.

After the Conference both Cuban representatives have joined the main excursion to the harbour city of Le Havre, that was reconstructed by the famed French architect Auguste Perret in the 1940-50s, after the city was shelled in the aftermath of WW II. At the occasion of the DOCOMOMO excursion, the first retrospective exhibition on Perret, conceived by a group of DOCOMOMO members from France and Switzerland, was opened at the City Museum of Le Havre by the French Minister of Cultural Affairs.

During the Conference, I have had several meetings with Mr Choy and Mr Rodriguez, to discuss the DOCOMOMO Cuba 2002-2004 working program. The meetings were well prepared from their end, and we have agreed in principle on the various projects and activities that could be developed by DOCOMOMO Cuba, to elaborate on the results of their team between 1997 - 2001. Some projects were identified to solicit foreign assistance in terms of expertise, and we have agreed that DOCOMOMO International must play a role in this respect. A visit by a representative of the DOCOMOMO Board was scheduled for the first half of 2003.

It has been agreed that the Cuban party would revise their proposal for the 2002-2004 working plan according to what had been agreed during our meetings, and to prepare a budget for the required activities in Cuba. In addition, DOCOMOMO International will add the expenses required for the requested international assistance, after reception of the documents from Cuba. We are now in anticipation of these documents, in order to submit an application for further funding of the program with the Kaplan Fund in February 2003.

An account of expenditure in relation to the Kaplan sponsoring for this program for the period until October 25, 2002, is attached. So far, about US \$ 6 000,- has been spent, mainly on travelling expenses for our two colleagues from Cuba, and their hotels. Management and secretariat costs for DOCOMOMO International have been added.

do.co.mo.mo

Meanwhile, the International Secretariat of DOCOMOMO has been moved to Paris and is now located at the Institut Francais d'Architecture, 6 rue de Tournon, 75006 Paris, docomomo@ifa-chaillet.asso.fr The new president is Prof Maristella Casciato from Bologna University, Italy, and the new secretary is Dr Emilie d'Orgeix from Paris Mme D'Orgeix is now in charge of financial affairs, including the Kaplan Fund sponsoring, and, as far as DOCOMOMO International is involved, the management of our Cuba program

The US \$ 4 000,-surplus of the Kaplan sponsoring will shortly be transferred to the bank account of DOCOMOMO International in France

Delft, 6 December 2002

Wessel de Jonge

(Former Secretary DOCOMOMO International)

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone 212 767 0630 Fax: 212 767 0639

8th July 2002

Wessel de Jonge
Secretary DOCOMOMO International
DOCOMOMO Internationa
Delft University of Technology
Faculty of Architecture
Berlageweg 1
2628 CR Delft
THE NETHERLANDS

Dear Mr de Jonge



On behalf of the Board of Trustees of the J M Kaplan Fund, we are pleased to inform you that the Fund has approved a grant of US\$10,000 payable to DOCOMOMO International. This grant was recommended by our Executive Director, Conn Nugent and is to be used to sponsor two representative members of the Cuban DOCOMOMO to attend the Paris Conference in September 2002.

Under United States tax regulations, we are required to have any non-U S charity receiving a Fund grant complete the attached affidavit and return it to us with the requested supporting materials. If you have any queries about the form please contact Angela Carabine at the Fund's offices

The Kaplan Fund will make the funds available upon receipt of the signed affidavit and requested materials. Please let us know if you would like payment by check or by wire transfer

Along with this grant announcement go the very best wishes of the Fund and all the Trustees

Sincerely,



Peter W Davidson
Chair

Enclosure

Ref #2002-311

By Facsimile 31 15 2788750

Affidavit
of
DOCOMOMO International

The undersigned, to assist grant-making foundations in the United States of America in determining whether DOCOMOMO International is the equivalent of a public charity described in Section 509(a) (1), (2) or (3) of the US Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942(j)(3), makes the following statement

(1) I am the Secretary of DOCOMOMO International

(2) DOCOMOMO International was created by CHARTER OF FOUNDATION
[identify statute, charter, or other document] in 1991 [enter year] and is operated exclusively for [check applicable box or boxes].

- charitable
- religious
- scientific
- literary
- educational
- cultural

(3) The activities of DOCOMOMO International have included [describe past and current activities and operations] _____

SEE ENCLOSURE "AIMS AND ACTIVITIES"

and will include [describe future activities and operations] _____

SEE ENCLOSURE "AIMS AND ACTIVITIES"

(4) Copies of the charter, bylaws, and other documents pursuant to which DOCOMOMO International is governed should be attached.

(5) The laws and customs applicable to DOCOMOMO International do not permit any of its income or assets to be distributed to or applied for the benefit of, a private person or non-charitable organization other than pursuant to the conduct of DOCOMOMO International's charitable and cultural activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which DOCOMOMO International has purchased.

(6) DOCOMOMO International has no shareholders or members who have a proprietary interest in the income or assets of the organization.

Affidavit of DOCOMOMO International
Page 2

(7) In the event that DOCOMOMO International were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of DOCOMOMO International assets on liquidation should be attached.

(8) The laws and customs applicable to DOCOMOMO International do not permit the organization, other than as an insubstantial part of its activities,

- (A) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
- (B) to attempt to influence legislation, by propaganda or otherwise.

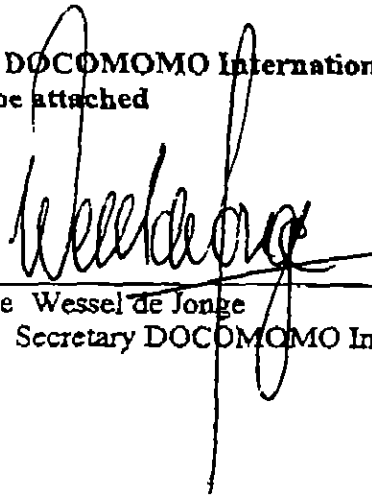
(9) The laws and customs applicable to DOCOMOMO International do not permit the organization directly or indirectly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.

(10) DOCOMOMO International is not controlled by or operated in connection with any organization other than as follows *[describe, or if none, so state]*

NONE

(11) Audited financial statements for DOCOMOMO International's four most recently completed taxable years should be attached

Date: 19 JULY 2002



Name Wessel de Jonge
Title Secretary DOCOMOMO International

DOCOMOMO International

Aims and Activities

The architectural heritage of the Modern Movement appears more at risk than that of any other period. This built inheritance, which glorifies the dynamic spirit of the Machine Age, employed advanced technology which has not always endured long term stresses. The functions which the buildings originally met have changed substantially.

By the late 1980s, many modern masterpieces were already demolished, or changed beyond recognition due to their relatively young age, the often innovative technology, the functions they were designed to perform, and the contemporary cultural climate that is geared towards volatile images rather than conceptual substance. There was a call for an organization, grouping those with a special interest and admiration for this body of work, to press for the documentation and the conservation of the best examples, as well as to promote a greater understanding of the ideas behind it. Such an organization would also reflect the international character of modernity in architecture.

The preservation of significant buildings and neighborhoods, as works of art, presents a demanding economic and physical problem. The continued life of both the icon and the ordinary, as elements in an economically driven world, depends upon the shared recognition of their cultural and social value, as well as upon their continuing economic viability.

The reconciliation of these two key factors lies at the core of an international campaign launched in The Netherlands in 1988, which initiated the founding of DOCOMOMO International, formalized in 1991 through the founding of the *Stichting DOCOMOMO Foundation*. This acronym stands for the International Working party for the **DO**ocumentation and **CO**nservation of buildings and neighborhoods of the **MO**dern **M**ovement. The objectives of this organization are:

- 1 to act as watchdog whenever important Modern Movement buildings anywhere are under threat
- 2 to exchange ideas relating to conservation technology, history and education
- 3 to foster interest in the ideas and heritage of the Modern Movement beyond their own circle
- 4 to remind those in power of their responsibilities towards this recent architectural inheritance

Activities

Rather than style, DOCOMOMO values the innovative character of the Modern Movement according to its social, technical and esthetical dimensions. This approach has helped us to establish a framework for an Advisory Report on the inclusion of Modern Movement heritage in the UNESCO World Heritage List. This report, invited by ICOMOS, has been based on the DOCOMOMO international Register with the participation of most of our national working parties, and has been finished in November 1998.

One of the activities undertaken by the national and regional branches is the compilation of standardized National Registers of the most important buildings, urban areas and cultural landscapes of the Modern

Movement in their respective countries. A first publication based on this large and ambitious project has been presented in Brasilia in September 2000 "The Modern Movement in Architecture. Selections from the DOCOMOMO Registers" (enclosed)

The International Register today involves documentation files of about 1,000 sites from Buenos Aires to Tokyo, and between Vancouver and Como. These have been selected from the National Registers and can be visited at the Netherlands Architectural Institute archives in Rotterdam. As soon as finances are available, this DOCOMOMO International Selection will be presented on the Internet, being one of the largest and most comprehensive architectural documentation in architectural history.

Apart from our work for the register there are international committees for technology, for education and theory, for publications, and for urbanism, gardens and landscape. In all of these fields, specialists from various countries are exchanging their knowledge and experience regarding the similar and the different problems raised by the study and conservation of modern architectural heritage. Many of the findings of these studies are published, such as the technology dossiers on the conservation and repair of modern windows, glazing and curtain walls, exposed concrete, modern wood constructions and the particular use of color in modern architecture.

DOCOMOMO International provides a communication network for all these activities and between the various national groups. To this end, the DOCOMOMO Journal is published with a particular theme every six months. National groups may publish their own bulletin, such as the DOCOMOMO US Newsletter, and organize national conferences and seminars. Campaigns have been organized to safeguard important modern buildings in several countries.

At the founding conference in 1990, about 200 professionals from 13 countries participated. Since then, DOCOMOMO has grown into a network of some 2,500 professionals, preservationists, policy-makers, and researchers. Working parties in 42 countries and regions organize symposia and exhibitions, they make films and educational documentaries, publish books, and are involved in the development of listing criteria for modern architectural heritage and education, both under-graduate and post-graduate, in modernist conservation theory and practice.

DOCOMOMO International created and sustains an international forum for debate at our biannual international conferences, each time with a specific theme, including 'The Challenge of Modernity' in Barcelona in 1994, 'The Modern Movement, Universality and Heterogeneity' in Slovakia in 1996, 'Social Aspects of the Modern Movement' in Stockholm in 1998. In 2000 we have celebrated the 50th Anniversary of Brasilia with our conference 'The Future of the Modern City', followed by 'Image, Use and Heritage. The Reception of the Modern Movement' in Paris in 2002, and 'International Postwar Modernism and the Conjunction of Preservation and Design', at Columbia University, New York in 2004.

ENTERED JUL 30 2002
2002-180



1568 Argyle Street, Suite 31
Halifax, Nova Scotia
Canada B3J 2B3
(902) 429-2202

Peter W Davidson
Chairman
J M Kaplan Fund
261 Madison Avenue
19th Floor
New York, New York
10016

July 26th, 2002

Dear Mr Davidson,

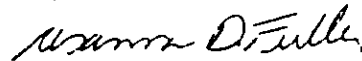
This is an acknowledgement of the receipt of funding in the amount of \$20,000 00USD (\$30,240 00CDN) from the J.M Kaplan Fund to the Ecology Action Centre Attached is an unofficial web-based bank statement showing the deposit of these funds We will forward an official statement for this transaction when it becomes available, in mid August.

Your support of the Marine Issues Committee project, "Defining Marine Conservation Making the Ecosystem Approach Happen" is much appreciated and we look forward to the coming year as this project takes form and provides further mechanisms for marine conservation on the Scotian Shelf and Gulf of Maine area A recent meeting in June with several US and Canadian marine conservation organizations indicated strong support and collaboration for the project. The Gulf of Maine Council is holding a workshop in December on the subject of ocean zoning and we plan to participate

We will send you a report on the progress of this initiative in June 2003, including any discussion papers and workshop proceedings

Please extend out thanks to the staff and trustees

Sincerely,



Susanna D Fuller

NB I am no longer co-chair of the Board of Directors of the Ecology Action Centre, hence future correspondence should be directed to Mark Butler and Jennifer Ford of the Marine Issues Committee I intend to remain involved in the project and will assist in project planning and final report writing

☐Fax (902) 422-6541☐ E-mail eac_hfx@istar.ca
<http://www.ecologyaction.ca>

**Affidavit
of
Ecology Action Centre**

The undersigned, to assist grant-making foundations in the United States of America in determining whether Ecology Action Centre is the equivalent of a public charity described in Section 509(a) (1), (2) or (3) of the US Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942(j)(3), makes the following statement

(1) I am the Co-Chair, Board of Directors of Ecology Action Centre

(2) Ecology Action Centre was created by the Societies Act in 1971 and is operated exclusively for [*check applicable box or boxes*]

- charitable
- religious
- scientific
- literary
- educational
- cultural

(3) The activities of Ecology Action Centre have included public information and education, scientific research, community activism and development and will include protection of marine, coastal and wilderness environments, promotion of sustainable industry – particularly fishing, silvaculture and ecotourism, promotion of sustainable transportation and energy strategies

(4) Copies of the charter, bylaws, and other documents pursuant to which Ecology Action Centre is governed are attached.

(5) The laws and customs applicable to Ecology Action Centre do not permit any of its income or assets to be distributed to or applied for the benefit of, a private person or non-charitable organization other than pursuant to the conduct of Ecology Action Centre's charitable and cultural activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which Ecology Action Centre has purchased

(6) Ecology Action Centre has no shareholders or members who have a proprietary interest in the income or assets of the organization

(7) In the event that Ecology Action Centre were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of Ecology Action Centre assets on liquidation is attached.

(8) The laws and customs applicable to Ecology Action Centre do not permit the organization, other than as an insubstantial part of its activities,

(A) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes, or

(B) to attempt to influence legislation, by propaganda or otherwise

(9) The laws and customs applicable to Ecology Action Centre do not permit the organization directly or indirectly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office

(10) Ecology Action Centre is not controlled by or operated in connection with any organization other than as follows *[describe, or if none, so state]*

N/A

(11) A schedule of support for Ecology Action Centre's four most recently completed taxable years

Date

June 24, 02



Name Susanna D Fuller

Title Co-Chair, Board of Directors

1568 Argyle Street, Suite 31
Halifax, Nova Scotia
Canada B3J 2B3
(902) 429-2202

Peter Davidson
The J M Kaplan Fund
261 Madison Avenue
New York, New York
10016

May 26, 2003

Dear Mr Davidson,

I wanted to update you on the progress of our efforts regarding our project involving the ecosystem approach and ocean zoning. It appears that ocean zoning is a hot topic in marine conservation with many groups interested in learning more about it. In addition, preliminary reports suggest that both the Pew Oceans Commission and the U S Commission on Ocean Policy reports to Congress, which will be released in the next few months, will propose an approach to fisheries management based on ecosystem-based planning and ocean zoning.

Since October 2002, I've been interviewing a range of ocean professionals from both Canada and the U S to gain different perspectives on ocean zoning. I've also been gathering information about zoning from the literature. I will be putting together a report about zoning and the results of the interviews over the next 2 months.

In December 2002, Mark Butler and I attended the Gulf of Maine Ocean Zoning Forum in Boston, sponsored by the Gulf of Maine Council on the Marine Environment and the National Oceanic and Atmospheric Administration. This forum included a panel discussion on the need for and concerns about ocean zoning. We had some interesting conversations about zoning with participants of the forum including Art Hanson, Canada's Ocean Ambassador.

In February 2003, Mark and I attended the Eastern Scotian Shelf Integrated Management (ESSIM) workshop to discuss the draft management plan prepared by the Oceans and Coastal Management Division (Maritimes Region) of the Department of Fisheries and Oceans Canada (DFO). The ESSIM initiative is a pilot project under Canada's Oceans Act that will lead to an integrated oceans management plan for this large offshore area. The draft plan considers spatial planning (i.e., marine zoning) as a potential tool for managing the ESSIM area. At the workshop we had a display and distributed information about ocean zoning (see attached).

As a result of our work on ocean zoning and our participation in the ESSIM workshop, we have been invited to participate in an online panel discussion on ocean zoning for the ESSIM Forum. Our participation will involve posting our ideas on the topic and interacting with over 75 other



1568 Argyle Street, Suite 31
Halifax, Nova Scotia
Canada B3J 2B3
(902) 429-2202

discussants over a 1 or 2 week period in June. A representative of the Oceans and Coastal Management Division of DFO will also act as a panellist.

On April 15th, we organized a 1-day ocean zoning workshop in Bar Harbour, Maine which followed a workshop on marine protected areas. We presented an overview of ocean zoning and developments in Canada. The workshop brought together community-based and advocacy groups from Canada and the U.S. that are interested in the conservation of the Gulf of Maine. We had a wonderful discussion about ocean zoning with workshop participants and received several good ideas for our next steps regarding zoning.

We have made arrangements to publish an article on ocean zoning in the September issue of the Gulf of Maine Times. This article will be the second in a 2-part series and will include the results of my interviews and an overview of Canadian legislation regarding zoning. The first article in the series will focus on a discussion of ocean zoning in the U.S. and will be submitted by someone else.

Over the next few months, I will be finishing my interviews and putting together a report. We are also planning an ocean zoning workshop to which we will invite international speakers who have experience in the implementation of zoning practices. Representatives from the Oceans and Coastal Management Division of DFO have expressed interest in this event and in being workshop sponsors. Participants of the ocean zoning workshop in Bar Harbour were also interested in the possibility of a workshop with international speakers. The first planning meeting for this event is scheduled for the first week of June and representatives from the Marine Environmental Law program at Dalhousie University, DFO and Environment Canada will be attending.

Although the ocean zoning workshop is planned for the fall of 2003, we need to engage in discussions now to prepare for this event. When would it be appropriate for us to discuss further funding from you to continue with this worthwhile project?

We are pleased with the progress of our project and look forward to providing more details to you as our work continues.

Sincerely,

Penny Doherty
Marine Communications Coordinator
ocean@ecologyaction.ca

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone 212 767 0630 Fax: 212 767 0639

5th June 2002

Javier Corcuera
Director General
Fundación Vida Silvestre Argentina
Defensa 251, 6° "K"
(C1065AAC) Buenos Aires
ARGENTINA

Dear Mr. Corcuero

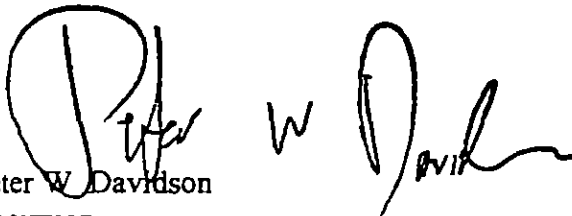
On behalf of the Board of Trustees of the J M Kaplan Fund, we are pleased to inform you that the Fund has approved a grant of US\$25,000 payable to Fundación Vida Silvestre Argentina. This grant is to be used to perform a tri-national diagnosis of the wild grasslands in the South American southern cone (Argentina, Uruguay, Brazil).

Under United States tax regulations, we are required to have any foreign charity receiving a Fund grant complete the enclosed affidavit and return it to us with the requested supporting materials. If you have any queries about the form please contact Angela Carabine at the Fund offices

The Kaplan Fund will make the funds available upon receipt of the completed and signed affidavit, and requested materials. Please advise us at that time if you would like payment by check or by wire transfer.

Along with this grant announcement go the very best wishes of the Fund and all the Trustees.

Sincerely,

A handwritten signature in black ink, appearing to read 'Peter W Davidson', written over a large, stylized circular mark.

Peter W Davidson
Chairman

Enclosure

Ref #2002-183

By Facsimile 011 54 11 4331 3631 int. 24

FOREIGN PUBLIC CHARITY EQUIVALENCE AFFIDAVIT OF OFFICER

The undersigned, to assist grantmaking foundations in the United States of America to determine whether Fundacion Vida Silvestre Argentina (the "Grantee") is the equivalent of a public charity described in Section 509(a)(1), (2), or (3) of the United States Internal Revenue Code, makes the following statement.

1. **Office.** I am the DIRECTOR GENERAL (CEO) of the Grantee
[official title]

2. **Formation and purposes.** The Grantee was created in 1977 by STATUTE and is operated under the laws of ARGENTINA exclusively for the following purposes [check applicable boxes]
 - charitable
 - religious
 - scientific
 - literary
 - educational
 - fostering national or international amateur sports competition
 - prevention of cruelty to children or animals

3. **Programs and activities.** The Grantee's programs and activities have included and will include the following: Environmental, land conservation, acquisition, and protection. Promotion of creation of protected areas, support to their implementation, support to sustainable development projects, education and support to scientific research on environmental issues related to nature's conservation.

4. **Governing documents.** We have attached copies of the bylaws under which the Grantee is governed. We have also attached English translations of these materials if their original language is other than English.

5. **No improper private benefit.** Under the applicable laws and customs or under the Grantee's governing instruments, none of Grantee's income or assets may be distributed to, or applied for the benefit of, a private person or non-charitable organization other than (a) as part of the conduct of the Grantee's charitable or educational activities, or (b) as payment of reasonable compensation for services rendered, or (c) as payment representing the fair market value of property which the Grantee has purchased.

6. **No proprietary interest in Grantee.** The Grantee has no shareholders or members who have a proprietary interest in its income or assets.

7. **Distribution of assets upon dissolution.** Under the Grantee's governing instruments, all of its assets will be distributed upon its dissolution or liquidation to the National Parks Administration of Argentina (Administración de Parques Nacionales). This is the federal governmental agency that protects natural habitats in Argentina.

8. **Limits on activities.** Under the laws and customs applicable to the Grantee, or under the Grantee's governing instruments, the Grantee is not permitted, other than as an insubstantial part of its activities, to

(a) engage in activities that are not for religious, charitable, scientific, literary, or educational purposes, or

(b) attempt to influence legislation, by propaganda or otherwise

9. **No candidate campaign activity.** The laws and customs applicable to the Grantee do not permit it to participate or intervene, directly or indirectly, in any political campaign on behalf of, or in opposition to, any candidate for public office

10. **Control by other organizations.** The Grantee is [choose one]

not controlled by, or operated in connection with, any other organization.

X controlled by or operated in connection with another organization or organizations, as follows

Fundación Vida Silvestre Argentina is the Associate Organization of the World Wildlife Fund (WWF), in Argentina. This relationship is not one of control but of agreements to develop joint efforts to conserve nature

11. **Qualification as publicly supported organization.** The Grantee is [check one of the following]

a school (that is, an educational organization which normally maintains a regular faculty and curriculum and normally has a regularly enrolled body of pupils or students in attendance at the place where its educational activities are regularly carried on and that has adopted and operates pursuant to a racially nondiscriminatory policy as to students, and we have completed IRS Form 5578)

a hospital (that is, an organization whose principal purpose or function is the providing of medical or hospital care)

a church (that is, a church, synagogue, or mosque)

an agency or instrumentality of the national, state, province, county, or city government.

X none of the above, but it satisfies a public support test as demonstrated by the Schedule of Financial Support for the four most recently completed taxable years, attached.

12. **Authorization.** The Board of Directors of the Grantee has authorized me to make this Declaration and affirms its contents.

13. **Binding representations.** The representations made in this Declaration are binding on the Grantee

I declare that the foregoing is true and correct of my own knowledge

DATE May 29, 2001



[signature of declarant]

Javier Corcuera

[name of declarant]

Summary

The *La Plata River Grasslands* are the main complex of grassland ecosystems in South America. Covering the most productive areas of central Argentina, Uruguay and southern Brazil, this region was the habitat of millions of pampas deer and a unique biodiversity. Today, the original landscape has been almost totally modified by agriculture and cattle ranching. Less than 1% of this original landscape of the *gauchos* –the south american cowboys- still remains, spread in small patches throughout this region. The extent of the wild grasslands fragments, as well as their condition, is poorly known.

This proposal aims to perform a tri-national diagnosis of the wild grasslands in the South American southern cone. Valuable wild Grassland Areas (VGAs) will be defined by means of a survey that will gather data on their biodiversity, endemisms, size, services provided to people, legal status, trends in terms of habitat loss and degradation as well as cultural importance. VGAs will be mapped, with the support of satellite images. The results will be validated with field trips and ensuring that at least one relevant governmental organization and one relevant NGO within each country support the conclusions. A final report (in spanish, portuguese and english) will be published and distributed.

Fundación Vida Silvestre Argentina is an environmental NGO created in 1977. Since 1988, FVSA is the associate organization of WWF in Argentina. This NGO has previously led other transboundary conservation efforts (Brazil, Paraguay and Chile). Deeply involved in wild grasslands protection at a local level since its conception, Vida Silvestre owns the first private reserve of grasslands in Argentina. In 1999, this argentine fund co-organized an "Inter-Institutional Initiative for the Pampas Grasslands". Since then, this fund has led a public campaign addressing the need to create the first argentine National Park focused in the conservation of the original grasslands.

In order to trigger a coordinated, international coalition to promote the conservation and sustainable use of wild grasslands, Fundación Vida Silvestre Argentina also intends to support an exchange between experts/conservation leaders of the region of this project and those in North America (US and Canada).

Total budget requested to the J.M. Kaplan Fund is USD 45,094 for one year.

2002 12 09

J M KAPLAN FUND, INC

212 767 0639 P.02

Affidavit of Grupo Ecologico Sierra Gorda I.A.P.

The undersigned, to assist grantmaking foundations in the United States of America in determining whether Grupo Ecologico Sierra Gorda I.A.P. is the equivalent of a public charity described in Section 509(a)(1), (2) or (3) of the U.S Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942(j)(3), makes the following statement:

(1) I am the Director of Grupo Ecologico Sierra Gorda I.A.P

(2) Grupo Ecologico Sierra Gorda I.A.P was created by Constitutional Act an I.A.P. by folio 1328/96 [identify statute, charter, or other document] in 1996 [enter year] and is operated exclusively for [check applicable box or boxes]: (Institucion de Asistencia Privada)

- [] charitable
[] religious
[] scientific
[] literary
[X] educational
[] cultural
[] fostering national or international amateur sports competition

(3) The activities of Grupo Ecologico Sierra Gorda I.A.P. have included [describe past and current activities and operations] Regionwide outreach of environmental education, community development, biodiversity conservation and restoration in the Sierra Gorda Biosphere Reserve, Me and will include [describe future activities and operations] will extend beyond Queretaro State to three neighboring states and othe Natural Protected Areas in the Republic.

(4) Copies of the charter, bylaws, and other documents pursuant to which Grupo Ecologico Sierra Gorda I.A.P. is governed are attached.

(5) The laws and customs applicable to Grupo Ecologico Sierra Gorda I A P do not permit any of its income or assets to be distributed to or applied for the benefit of, a private person or non-charitable organization other than pursuant to the conduct of Grupo Ecologico Sierra Gorda I A.P.'s charitable and cultural activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which Grupo Ecologico Sierra Gorda I A.P. has purchased.

(6) Grupo Ecologico Sierra Gorda I A P has no shareholders or members who have a proprietary interest in the income or assets of the organization



2002 12 03

J M KAPLAN FUND, INC

212 787 0539

P.03

Affidavit of Grupo Ecologico Sierra Gorda I.A.P.
Page 2

(7) In the event that Grupo Ecologico Sierra Gorda I.A.P. were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of Grupo Ecologico Sierra Gorda I.A.P. assets on liquidation is attached.

(8) The laws and customs applicable to Grupo Ecologico Sierra Gorda I.A.P. do not permit the organization, other than as an insubstantial part of its activities,

(A) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or

(B) to attempt to influence legislation, by propaganda or otherwise

(9) The laws and customs applicable to Grupo Ecologico Sierra Gorda I.A.P. do not permit the organization directly or indirectly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.

(10) Grupo Ecologico Sierra Gorda I.A.P. is not controlled by or operated in connection with any organization other than as follows *[describe, or if none, so state]*

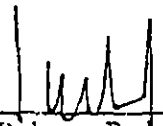
GESG was a non-profit partner of three non-governmental organizations

in the U.S.A. (1) Golden Gate Audubon Society (2) WolfTree Inc

(3) Counterpart International for joint projects and proposals.

(11) A schedule of support for the following Grupo Ecologico Sierra Gorda I.A.P.'s most recently completed taxable years (1998, 1999, 2000) are attached.

Date: 13 de Mayo 2002


Name Roberto Pedraza
Title Legal Representative

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone: 212 767 0630 Fax: 212 767 0639

30th April 2002

Martha Isabel Ruiz Corzo
Director
Grupo Ecologico Sierra Gorda I.A P
Juarez #9
Col Centro
Jalpan de Serra
C P 76340
MEXICO

Dear Ms Corzo

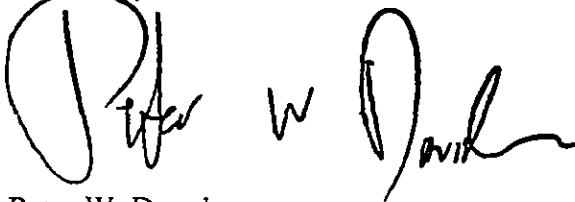
On behalf of the Board of Trustees of the J.M Kaplan Fund, we are pleased to inform you that the Fund has approved a grant of US\$5,000 payable to Grupo Ecologico Sierra Gorda I.A.P. This grant was recommended by our Executive Director, Conn Nugent and is to be used as general support.

Under United States tax regulations, we are required to have any non-U S. charity receiving a Fund grant complete the enclosed affidavit and return it to us with the requested supporting materials. If you have any queries about the form please contact Angela Carabine at the Fund offices

The Kaplan Fund will make the funds available upon receipt of the completed and signed affidavit, and requested materials. Please advise us at that time if you would like payment by check or by wire transfer

Along with this grant announcement go the very best wishes of the Fund and all the Trustees.

Sincerely,

A handwritten signature in black ink, appearing to read "Peter W Davidson". The signature is written in a cursive style with a large initial "P" and "D".

Peter W Davidson
Chairman

Enclosure

Ref #2002-087

By Facsimile

The J M Kaplan Fund/Grupo Ecologico Sierra Gorda, I A P
Final Report

The amount of \$5,000 received by Grupo Ecológico Sierra Gorda from the Kaplan Fund, for general support was used to complement the funds required by the Reforestation and Restoration Program as part of the "Biodiversity Conservation of the Sierra Gorda Biosphere Reserve" project partially financed by the Global Environment Facility

Specifically with the amount of \$5,000 a reforestation extensionist was paid for 6 months as well as part of his traveling expenses

Reforestation

Grupo Ecologico Sierra Gorda (GESG) directly supervised the establishment of 100 hectares of forestry plantations belonging to 68 small property owners and in coordination with government extensionists in 2 municipalities of the Reserve established more than 600 hectares of plantations

The following activities were necessary for the development of this activity

- a) Location and selection of plots to be planted
- b) Promotion and negotiation with owners
- c) Election of work committees by community
- d) Selection of plant
- e) Transport of plant from nurseries to planting sites
- f) Planting techniques consulting
- g) Supervision of the plantations

Management of natural regenerations and plantations

GESG supervised the management of 300 hectares of natural regeneration and reforestation

Activities

- a) Location and selection of plots to be planted
- b) Promotion and negotiation with owners
- c) Election of work committees
- d) Technical assistance for pruning, thinning and pre-thinning of natural regeneration plots and established plantations
- e) Supervision of field activities for 300 hectares established

Forestry sanitation

This element was included in the program since October 2001 but it was not until 2002 that field work fully started, asking for the cooperation of the owners for the opportune control of forest pests that have been found in some areas

Activities

- a) Monitoring of 65 hectares for the detection of the pest *Dendroctonus frontalis*
- b) Writing of technical reports for the control of the pest
- c) Marking of damaged trees in 8 plots with the corresponding report to the SEMARNAT (Environment and Natural Resources Secretariat)
- d) Supervision and control of the sanitation field work
- e) Assistance to 4 producers for the use of the felled trees as well as assistance in the writing of the permit documents necessary

Technical assistance to producers in the semi-desert

10 training workshops were given to producers and commoners (*ejido* members) in the Peñamiller municipality for the exploitation of desert herbs (oregano and damiana)

Technical assistance in forestry management

The following activities were undertaken

- a) Coordination with owners to negotiate funds and obtain resources for the production of forestry management programs for 4 groups in 4 communities, as well as assistance in the negotiating process
- b) Training on management and control of forest pests
- c) Organization of working groups to take forest inventories
- d) Assistance in the field and office for the writing of the management programs

Prevention of forest fires

The following activities were undertaken for the prevention of forest fires

- a) 68 community meetings
- b) 20 training workshops for voluntary brigades
- c) 3 meeting with surveillance volunteers
- d) Construction of a surveillance cabin
- e) Construction of a vantage platform
- f) Purchase of a radio communication system
- g) Maintenance of 32 kilometers of fire break paths
- h) Supervision of a surveillance team of 60 people
- i) Supervision of a prevention brigade of 20 people

This element of the program has help to diminish forest fires

Assistance and training to the staff of 3 nurseries

This project started as a response to the need to count on good quality plant for the plantations. During 2002 there were 5 training visits to nurseries in Pinal de Amoles, Jalpan de Serra, Qro and Xilitla, S L P

The classroom/field training workshop and 5 visits consisted of the following

- a) Mixture of compost
- b) Seed planting
- c) Sterilization and tray cleaning
- d) Placing of shading netting
- e) Fertilization
- f) Use of chemicals
- g) Use of fungus for their symbiotic relationship in benefit of plants
- h) Watering
- i) Plant maintenance
- j) Delivery of saplings

Evaluation

In this period an evaluation of the work done in 2001 was undertaken as well as the completion of a census of all plantations established since the beginning of the project in 1987

In 2003 an evaluation will be done of the plantations established in 2002 and they will be integrated to the overall census

Continuation

For the 2003 period again a total of 700 hectares of work is planned with the following activities

200 hectares of reforestation as a restoration measure
300 hectares of management of natural regeneration sites
200 hectares of commercial plantations
Forestry sanitation
Assistance to producers
Prevention of forest fires
Soil conservation
Technical assistance in forestry management

Once again we would like to request from J M Kaplan Fund support to continue this program that requires to pool resources from a variety of sources for its completion

**Affidavit
of
McCord Museum**

The undersigned, to assist grant-making foundations in the United States of America in determining whether McCord Museum is the equivalent of a public charity described in Section 509(a) (1), (2) or (3) of the US Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942(j)(3), makes the following statement

(1) I am the Executive Director of the McCord Museum.

(2) McCord Museum was created by Letters Patent [*identify statute, charter, or other document*] in 1980 [*enter year*] and is operated exclusively for [*check applicable box or boxes*]:

- charitable
- religious
- scientific
- literary
- educational
- cultural
- fostering national or international amateur sports competition

(3) The activities of McCord Museum have included [*describe past and current activities and operations*] The McCord is a public research and teaching museum dedicated to the preservation, study, diffusion and appreciation of Canadian History. For specifics on past and current activities, please see the attached annual reports.

and will include [*describe future activities and operations*] Future plans include further exhibitions, school and community programs, publications, and web-based educational tools.

(4) **Copies of the charter, bylaws, and other documents pursuant to which McCord Museum is governed are attached.**

(5) The laws and customs applicable to McCord Museum do not permit any of its income or assets to be distributed to or applied for the benefit of, a private person or non-charitable organization other than pursuant to the conduct of McCord Museum's charitable and cultural activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which McCord Museum has purchased

(6) McCord Museum has no shareholders or members who have a proprietary interest in the income or assets of the organization.

(7) In the event that McCord Museum were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. **A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of McCord Museum assets on liquidation is attached.**

(8) The laws and customs applicable to McCord Museum do not permit the organization, other than as an insubstantial part of its activities,

(A) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or

(B) to attempt to influence legislation, by propaganda or otherwise.

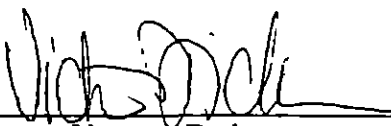
(9) The laws and customs applicable to McCord Museum do not permit the organization directly or indirectly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office

(10) McCord Museum is not controlled by or operated in connection with any organization other than as follows *[describe, or if none, so state]*

NONE

(11) **A schedule of support (Audited Financial Statements) for McCord Museum's four most recently completed taxable years are attached.**

Date: 22/08/07


Name: Victoria Dickenson
Title: Executive Director

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone: 212 767 0630 Fax 212.767 0639

30th September 2002

Melanie Martens
McCord Museum
690 Sherbrooke Street West
Montreal, QC H3A 2A3
CANADA

Dear Ms Martens

Thank you for returning the signed affidavit and the requested materials. The grant of \$3,500 (US dollars) to McCord Museum has been wired transferred to your account at the Bank of Montreal (#011-01581-10380123). As stated in our letter of 1st August 2002, this grant is to support the book, "Across Borders: Beadwork in Iroquois Life".

We ask that you forward an acknowledgement of the wire transfer as soon as it has been deposited. We would also like to request a copy of your bank statement showing the deposit to the McCord Museum's bank account (auditor's requirement.)

Both Furthermore and the Fund should be acknowledged in the publication itself and in any related promotional materials and press coverage. Acknowledgement should be readily seen, it should appear on the copyright page in books. We should be named as:

Furthermore, a program of the J.M. Kaplan Fund

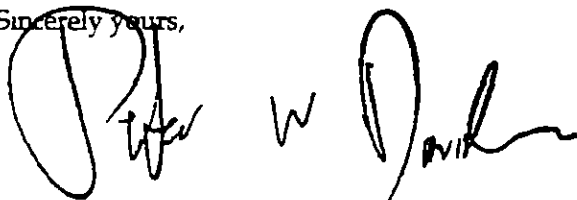
Please confirm your acknowledgement placement and wording early on with Ann Birckmayer, Program Associate, at the Furthermore office (518 828.8900 fax 8901, e-mail furthermore@taconic.net)

Upon publication of the product, please provide at least six samples of it for our archives. They should be sent to

Furthermore
Post Office Box 667
Hudson, New York 12534

We greatly look forward to the finished work and meanwhile send you the very best wishes of all our Trustees

Sincerely yours,



Peter W Davidson
Chair

Ref #2002-343

cc Joan K. Davidson, Trustee & President Emeritus of the J. M. Kaplan Fund

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone 212 767 0630 Fax 212 767 0639

2nd October 2002

A. C. Thompson
President
Nova Scotia Lighthouse Preservation Society
c/o Marine Museum of the Atlantic
1675 Lower Water Street
Halifax, Nova Scotia
NS B3J 1S3
CANADA

Dear Mr Thompson:

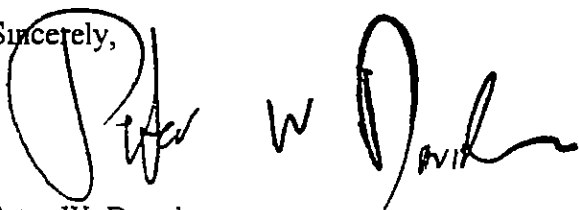
On behalf of the Board of Trustees of the J M Kaplan Fund, we are pleased to inform you that the Fund has approved a grant of US\$50,000 payable to the Nova Scotia Lighthouse Preservation Society. This 1:1 matching grant is to be used for the preservation of the historic lighthouses of Nova Scotia.

Under United States tax regulations, we are required to have any non – U.S. charity receiving a Fund grant complete the attached affidavit and return it to us with the requested supporting materials. If you have any queries about the form please contact Angela Carabine at the Fund's offices.

The Kaplan Fund will make the funds available upon receipt of the signed affidavit and requested materials. Please let us know if you would like payment by check or by wire transfer.

Along with this grant announcement go the very best wishes of the Fund and all the Trustees.

Sincerely,

A handwritten signature in black ink, appearing to read "Peter W Davidson". The signature is written in a cursive style with a large initial "P" and "D".

Peter W Davidson
Chair

Enclosure

Ref #2002-276

By Facsimile

**Affidavit
of
Nova Scotia Lighthouse Preservation Society**

The undersigned, to assist grant-making foundations in the United States of America in determining whether the Nova Scotia Lighthouse Preservation Society is the equivalent of a public charity described in Section 509(a) (1), (2) or (3) of the U S Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942(j)(3), makes the following statement.

(1) I am the President of Nova Scotia Lighthouse Preservation Society

* (2) Nova Scotia Lighthouse Preservation Society was created by MEMORANDUM of Assoc [identify statute, charter, or other document] in 1994 [enter year] and is operated exclusively for [check applicable box or boxes]

* Under the SOCIETIES Act of NOVA SCOTIA. It is also a registered charity under the Charities Act.

- charitable
- religious
- scientific
- literary
- educational
- cultural
- fostering national or international amateur sports competition

(3) The activities of Nova Scotia Lighthouse Preservation Society have included [describe past and current activities and operations]

1. Public lectures and meetings 2. trips to lighthouses 3. publication of newsletter (The Lightkeeper)
4. Creation of a website documenting lighthouses 5. Collection of oral history 6. Support of local lighthouse groups.

and will include [describe future activities and operations]
all of the above and now concrete financial support for local groups

(4) Copies of the charter, bylaws, and other documents pursuant to which Nova Scotia Lighthouse Preservation Society is governed are attached.

(5) The laws and customs applicable to Nova Scotia Lighthouse Preservation Society do not permit any of its income or assets to be distributed to or applied for the benefit of, a private person or non-charitable organization other than pursuant to the conduct of Nova Scotia Lighthouse Preservation Society's charitable and cultural activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which Nova Scotia Lighthouse Preservation Society has purchased

(6) Nova Scotia Lighthouse Preservation Society has no shareholders or members who have a proprietary interest in the income or assets of the organization

(7) In the event that Nova Scotia Lighthouse Preservation Society were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. **A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of Nova Scotia Lighthouse Preservation Society assets on liquidation is attached.**

See the last paragraph of Section 2

of the Memorandum of Association.

(8) The laws and customs applicable to Nova Scotia Lighthouse Preservation Society do not permit the organization, other than as an insubstantial part of its activities,

(A) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes, or

(B) to attempt to influence legislation, by propaganda or otherwise

(9) The laws and customs applicable to Nova Scotia Lighthouse Preservation Society do not permit the organization directly or indirectly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office

(10) Nova Scotia Lighthouse Preservation Society is not controlled by or operated in connection with any organization other than as follows [describe, or if none, so state]

None.

(11) Copies of the Nova Scotia Lighthouse Preservation Society's audited financials for the years ending March 31, 2001, 2000 and 1999.

Date 28th Oct 2002

Anthony C Thompson
Name A C Thompson
Title President



THE Lightkeeper

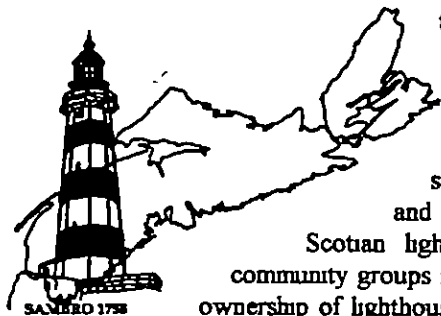
The Nova Scotia Lighthouse Restoration Society

Vol. 9, No. 4, December 2002

IN THIS ISSUE:

Programs	p.2
News From the Board	p.3
Kaplan Fund	p.3
News and Views	p.4
Those Blessed Fog Horns!	p.5
Bertie Smith Farewell	p.9
Fake Lighthouse	p.10
Port Medway Restoration	p.11
Sandy Point Lighthouse	p.12

MEMBERSHIP FEES DUE FOR 2003!



The objectives of the Nova Scotia Lighthouse Preservation Society are To promote and support preservation and awareness of Nova Scotian lighthouses, to assist community groups in leasing or taking ownership of lighthouse sites, to provide access to written research and photographic

documentation and to initiate oral history research, and to classify and monitor the status of historic lighthouse sites

WEBSITE: <http://www.ednet.ns.ca/educ/heritage/nslps>

LIGHTKEEPER EDITOR CHRIS MILLS

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Director: Doug Bamford

WELCOME ABOARD!

The following people recently joined the NSLPS

Rebecca Kennedy, L B & J.A. Hamende, Peter Westaway, Parker, Vicki, Kim & Chris Rodd, Annalise & Tony Chapman, Mary Lu & Bruce MacDonald, Norma Lee Bisha, Tom & Becky Emerson, Iris Chambers

PROGRAMS

Wednesday, February 26

7:30 pm

What was it really like to live at a lighthouse? Lonely? Tedious? Romantic?

Whatever the truth, the idea of life at a lighthouse presents a perennial appeal to people of all ages

Chris Mills has spent the past decade interviewing Nova Scotia lightkeepers and their families -- tonight he'll share the memories of the people who lived their lives on isolated headland and islands -- their tragedies, triumphs and their unwavering love of "life on the lights"

(NSLPS meeting runs 7 00 to 7 30 pm)

Wednesday, March 26

Reports at 7 00/ Program at 7 30 pm

Hands On! Demonstrations of Lighthouse

Equipment from the Golden Age of Lightkeeping.

See how lightkeepers lit their lamps and sounded their fog horns in the era when Nova Scotia had over 200 staffed light stations

In this joint program between the Maritime Museum of the Atlantic and the Nova Scotia Lighthouse Preservation Society, museum curator Dan Conlin, society volunteers and former lightkeepers will explain and demonstrate the secrets of lighthouse lamps, lenses, foghorns. And you'll be able to help bring light to a lens and crank up a foghorn.

Please arrive by 7 00, or earlier for programmes. Seating is limited.

NEWS FROM THE BOARD

Fiona Marshall

The NSLPS Board has been very active this fall

In September, members attended the Heritage Canada conference in Halifax. The theme of our booth was "Lighthouses Are A National Issue." We received a great deal of positive feedback on our display as well as the new look of *The Lightkeeper*.

We also had many inquiries from western Canadians about the status of lighthouses on the east coast. The conference proved to be a good marketing and networking tool for the NSLPS and we were pleased to be included by Heritage Canada.

As reported throughout the past year, we are currently revising the *Visit Our Lighthouses* brochure in collaboration with the ALC.

The brochure is now expected to be released in early 2003 and includes new information on many of our affiliates.

The Board continued its efforts to support a Lighthouse Protection Act by sending letters to Prime Minister Chrétien and the Clerk of the Privy Council.

Although the Act has been proposed twice, it was tabled both times due to reorganization of the Senate. It is our hope that such legislation can precede widespread divestiture of lights throughout Canada.

Recently, the World Lighthouse Society was established. The society serves as a forum for the preservation of the world's stock of lighthouse properties.

Wanda Barrett of Newfoundland is one of the founders of this organization. More information can be obtained by visiting www.leadinglights.net/wls.htm.

And finally, our big news is that NSLPS was awarded a substantial grant from the J.M. Kaplan Fund of New York to assist with heritage preservation of lighthouse and related buildings.

Please see our special announcement in this issue and continue to watch for further details.

DONATION

NSLPS has received a donation of \$5000.00 from the Westaway Charitable Foundation of Toronto. The head of the foundation, Peter W. Westaway, owns and has

restored the "Old Cut Lighthouse" at Long Point on Lake Erie. Some of this money will be used to buy equipment and software to improve *The Lightkeeper*. In particular, you should find that the quality of the photos and the colour cover improve very much in the near future. We are extremely grateful to the Westaway Foundation for its generosity.

ANNOUNCEMENT

Financial Awards for Lighthouse Community Groups

NSLPS is pleased to announce the creation of a fund to assist community groups in lighthouse repair and restoration.

This fall, The J.M. Kaplan Fund of New York awarded the NSLPS a substantial grant to preserve historic lighthouses in Nova Scotia. This grant will provide matching funding for lighthouse improvements up to \$20,000 per group. This means that community groups must match each dollar from the NSLPS-Kaplan Fund with money raised from other sources.

The fund is open to non-profit community groups in Nova Scotia, who are members of NSLPS and own a lighthouse site or have permission from the Coast Guard to work on a lighthouse site. The fund is intended to assist only with the physical condition of lighthouse sites.

The NSLPS is now seeking expressions of interest from lighthouse groups in the province. The deadline to apply for this funding will be Monday, March 31, 2003.

Successful applicants will be announced at the NSLPS Annual General Meeting in April. Work funded by this project must be completed by the end of 2003.

Community groups interested in obtaining an application package with detailed procedures and criteria are asked to send a written request to

The Nova Scotia Lighthouse Preservation Society-
Kaplan Fund Committee
PO Box 8093
6175 Almon Street
Halifax NS B3K 5L8

Watch the NSLPS website for more details of the fund. www.nslps.com



NEWS AND VIEWS

New online historic images of Nova Scotia Lighthouses

The Nova Scotia Museum is putting a selection of its image collection online in a program called Images Nova Scotia. Many are postcards. Others are staff research photos and some are rare prints. They include 80 images of lighthouses ranging from a rare drawing of the Coffin Island light in 1817 to colour photos of the Cape Sable light station in the 1970s. You can find them on the net at <http://museum.gov.ns.ca/imagesns/>

Lighthouse Photos

Tom Rissesco, a volunteer at the Maritime Museum of the Atlantic, has completed a reference binder of lighthouse photographs in the museum's collection. Tom, whose father was a crew member on the *Sambro Lightship*, volunteered to make photocopies of all known lighthouse and lightship photos at the museum to assist lighthouse researchers and help illustrate any museum exhibits. The project located 100 period photographs of 30 Nova Scotian lighthouses. Researchers can use this material in the museum's library by making an appointment 424 7890.

Lightkeeping Teddy Bears!

Now that the Port Medway lighthouse is reborn, Dan Conlin has fixed up his Port Medway model and loaned it to the Maritime Museum for their special holiday event. Dan invites any NSLPSers to come to the Museum until January 12 to see it as part of the Museum's spectacular Christmas diorama. The Great Teddy Bear Regatta. The Port Medway light has a lovely little miniature Fresnel lens and is being tended by a teddy bear in a yellow sou'wester which belonged to the light keepers family at the East Pubnico light!

Walk to the Lights

Ann Kay of Middle Musquodoboit Elementary school is organizing a pilot programme for two classes there. It's a physical education project to encourage students to



Two eager participants in Graham McBride's spring East Ironbound model workshop. Leah and Katelyn Salsman (grand-daughters of board member Shirley McIntyre) Shirley McIntyre

walk. However, the encouragement is quite unique! Ms Kay is using our lighthouse brochure with map to get the children to "walk" from lighthouse to lighthouse. Distances walked around the school are translated into distances on the map. She gives the children information about each lighthouse. Thus, there is a lot of geography, history and Nova Scotia heritage included in the

programme. We think it is a great idea. She is also using our pins as small prizes for the children. If the pilot is successful we may find this developing into quite a programme!

Public Programs

This fall there have been two most enjoyable public events. The first, in October, was a delightful presentation by our treasurer, Lew Perry. Lew has a very extensive collection of old postcards and other pictures of historic lights. He has made a selection of these into slides to accompany his own photos of the modern lights

which, in many cases, have replaced older ones. These juxtapositions made a most interesting evening.

Then in November, Graham McBride gave us another model workshop, this time to create a model of the old Bon Portage light kept by Evelyn Richardson. Many thanks to both Lew and Graham



Josette d'Entremont working on a model of the Bon Portage lighthouse. Courtesy Josette d'Entremont

THOSE BLESSED FOG HORNS!

I'll sleep good tonight with that blattin' bastard goin'!
-Lightkeeper on Machias Seal Island, NB, early 1990s

The foghorn – it's been part of the soundscape of coastal Canada for generations. From the early days of bells and steam whistles, to today's electronic horns, the steady gong, bellow and blast of fog signals has served to warn and guide mariners.

Today's fog signals are automated and for the most part, unattended. But back in the glory days of lightkeeping, horns were a big part of a keeper's daily life.

In the nineteenth century, keepers and their families kept busy cutting wood and shovelling coal into hungry steam whistle boilers.

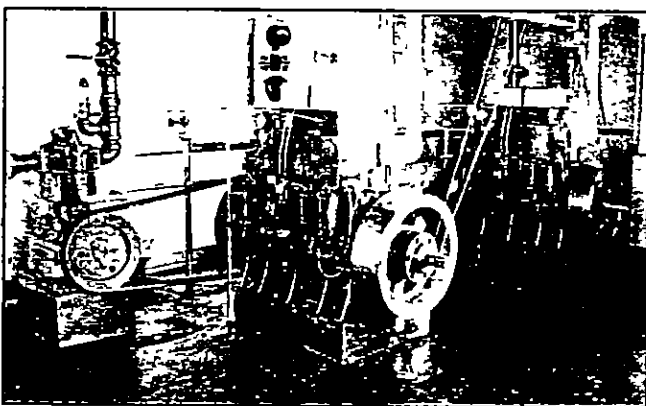
By the early 20th century, they were firing up kerosene and diesel engines to power big air horns.

And at small harbour and island lights, entire families took turns pumping little bellows-operated hand horns, as schooners and fishing boats cautiously felt their way into fog-bound harbours.

The following accounts illustrate the trials and tribulations of operating fog horns – through the experiences of five Nova Scotian lighthouse folk.

Sid Smith
Cape Sable

On the end of the Cape Sable ledge, which was really the reason for the station being there in the first place, [the ledge] ran off to the sou'west of the lightstation about



Vivian diesels for the fog alarm at Cape Sable, in the 1950s
Sid and Betty June Smith

four miles, and that had a big buoy right on the end of the ledge. So when you couldn't see the buoy or the light from the buoy we usually started the fog alarm engine.

The Vivian diesels we had in the 50s and 60s

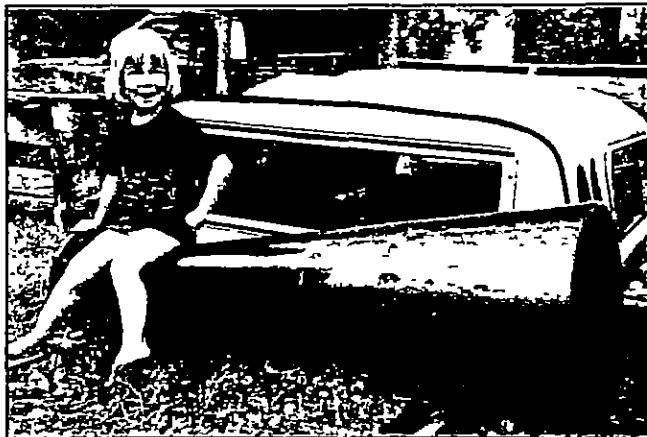


Sid and Betty June Smith sit below Cape Sable's powerful diaphone fog horn. *Sid and Betty June Smith*

were started on compressed air, so you also had your compressed air tanks but you'd start an engine and you kicked your air compressor into gear, and when your air got up to 30 pounds, why, then you could operate your horn on 30 pound pressure. It was one of those things you had to do and you had to be around a lot to make sure your oil pressure was [okay], and the water system was still pumping water.

When your engines were running at the proper speed to pump 30 pounds pressure, you also had the pulleys on the engine and on the timing device, the proper size that would make the timing device dogs come around every 20 seconds. This gave you three blasts a minute, or 17 seconds silence and a three second blast. All stations have their own characteristic, so if Cape Sable on your chart says 17 seconds silence and three second blast, well that's what it had to be.

I just loved that old thing! I guess I p'rhaps learned that from my Dad because I know he loved that old diaphone. They operated on two operating valves, a large one and a small one. Those old diaphones, the ones that had the "grunt" on them, had two operating valves and they had the long blast with the "grunt" on the end.



A lighthouse youngster provides scale to this F diaphone resonator *Chris Mills*

The "grunt" was because one operating valve had shut off but the other one still had enough air trapped in between the two valves to keep the one valve open, and that's where you got the "grunt" from. So if you had more pipe between your small operating valve and your diaphone you'd get a longer "grunt" on the other end

'Course Dad knew all these things and he'd been operating diaphones all his lightkeeping days. I know he was getting everything out of that poor old diaphone that it had to give! I always used to tell everybody how that rockweed down behind the engine room would all stand right on end when that thing would blow!

"Oh no, it doesn't, does it really?!"

Jim Gupstill
Gull Rock

Lightkeepers did a lot of waiting for a few moments before they finished a conversation. Hughie Bignell was one of the Coast Guard mechanics. He pointed out to me one time it was very interesting coming to these [lightstations] because he said even on clear day a lightkeeper will rest a moment and he'll pick up his... story and finish it off. So it's almost like a brain thing.

[The horn at Gull Rock] was right there. The house, the windows, shook, the dishes rattled a bit and it was a very large, audible sound. It was huge. So you stopped whatever you were doing and waited until that went away and then you continued on.

Studies since then have shown that noise is as bad a pollution perhaps as smog or smoke. I didn't recognize it then. It was something you adjusted to.

As I remember, the longest period of continuous running of the old-type fog horn was 56 days on Gull Rock. And I was there for 28 of those! At the

end of it I was punchy!

It was very difficult, because you're never outside of that. You shut it off, because you can't sleep if you can't shut it off. The blessed thing went every, I think it was every 30 seconds there.

Mentally you clicked it off. It was no good to wear ear plugs or anything like that because it was a presence more than a sound even. It literally was like somebody had come in to the house. A very large noisy, plump somebody had taken over your life for just that five seconds.

I never thought of it as being traumatic, but it was. It was an assault on your senses, twice every minute for five seconds. It was pretty bad, actually!

Russ Latimer
Jerseyman Island

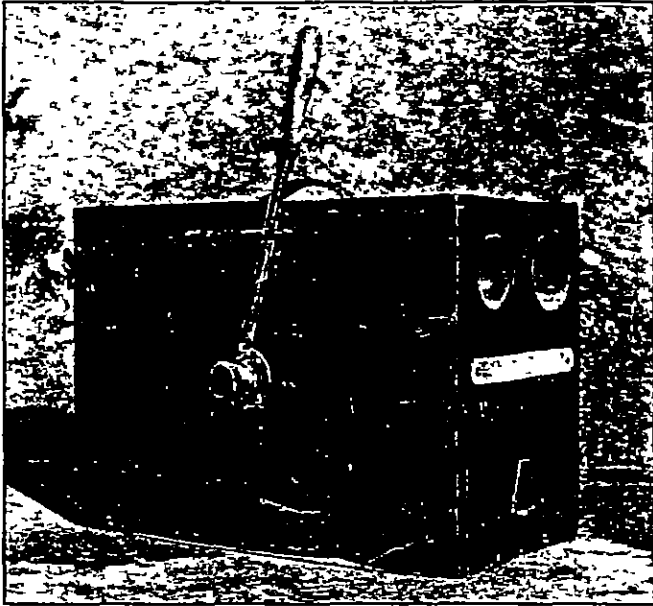
The horn was a double reed box affair, with a lever-type handle, and you sat on it by straddling it, and you pumped the lever to and from you, towards you and



Russ Latimer "We all got a little special dessert or something, for looking after the horn" *Chris Mills*

back. It gave quite a blast. It was a rather nice musical sound. And it was loud, with the double reeds.

Those reeds were removable if for any reason they became clogged up with snow or ice, or damaged. You removed them by unscrewing them from this brass



Hand fog horn manufactured by Powers Brothers in Lunenburg *Chris Mills*

cone And inside the box that contained the horn was the bellows, and you could get at all that as well And we carried a spare bellows, spare reeds That was part of the inventory of spares that we carried

Oh yes, in spring there was a lot of fog And of course in winter it was snow and heavy rain We always had a mark across the other side of the channel which gave us an indication when we should stand by the horn, when somebody would be calling

We all got a little special dessert or something, for looking after the horn A lot of it was volunteer I did a lot of it. I'd dress warm and I'd sit there and I always thought it fascinating "Now when will he call?"

You usually knew what ship would be calling you I hardly remember a ship coming in there that we weren't really expecting, or a ship that we didn't know about.

You wouldn't hear a ship from inside [the house] In times of bad visibility there was always somebody on standby Not necessarily by the horn, but in the boathouse with the big doors, the large double

doors were open My Dad would be working in there on carpentry work and the large doors would allow a ship to be heard, you see So, you didn't really stand by it, per se, but you certainly were in range, earshot.

We wouldn't spend a long time at the horn Probably no more than an hour, unless the ship was having trouble finding the channel through soundings and so on, and listening to the breakers They knew every crop of rocks, as we did You went by the sound of the breakers and the soundings, and the direction of the sea and the wind You pretty well felt your way in. It was a narrow channel besides

Then once he passed the lighthouse, he never asked for you to blow a blast from astern, no, because the skippers often used to say well, "We hear you blowing When we blow the international signal for fog, but we're not asking you for a reply on the horn."

So we never answered their signals if we knew we were astern of them

Wick Lent *Brier Island*

That thing was powerful It was only 40 feet above your head and it was a powerful, powerful horn They claimed they could hear it certain times right over to Eastport, Maine That's 70-odd miles! In June and again

in early fall you could hear it on Machias Seal Island and you could hear it at Gannet Rock But it was only certain times of the year that you could hear it

There was no gate there then. You could drive right up [to the fog alarm building]. Where you'd park was right down under the horn And there was a big puddle there. One day this old lady and her grand daughter got out of the car. I was in the window and she

says "Could we come in and see what's going on?"

I said "Help yourselves but it's kind of noisy "

So they come It happened that it was a long enough space that they got up around the corner 'till they didn't mind it so much So in between the blasts I explained to her when the cam came around and pressed down on that lever that's when the noise would come



Wick Lent "That thing was powerful!" *Chris Mills*



Don Gallagher stands amidst the remains of the old fog alarm building at Chebucto Head *Chris Mills*

And that old thing cut loose and that roar, it would just fairly shiver your timbers! The old lady got right down on her knees on the floor and the little girl was laughing to kill herself! The next time it blew the old lady was out front and the little girl said "Let it go again, let it go again!"

There was another one that was a better one. There was two or three women and some kids. And they stopped right in front of this big puddle. I never thought to go to the window and warn them. I looked out the window and here's two of them right flat on their belly in this puddle, all dressed up! They'd gone right flat in that old mucky water. Oh, oh, oh!

There was some odd ones. I should have recorded all of them. There was one car used to come, a young fellow, and he always brought a bunch of girls and he'd always park in the same place, especially if the puddle wasn't there, he knew where to park. He'd time it from up above when he was coming down across the flat and he'd get up there and stop. Just about time they'd get out the whistle would cut loose. And (they'd) go into hysterics and when they'd get all through he'd look up laugh. He said "See you next week. The only thing different will be the crew!"

Don Gallagher *Chebucto Head*

The horn had an "ah" at the end of it. Like a "boo-ahh". But that was one of my father's favourite tricks. There was two big front double doors. It was for bringing in supplies. They used to bring in the coal and the barrels of fuel and everything. Take an engine out and bring one in and that was facing the water.

When somebody came in, they'd be standing around talking and Dad would say "Let's go outside where it's quiet." So he'd open one of the double doors and they'd go out and there and 'course you could just hear the drone of the engines.

He'd close the door behind him and all of a sudden that horn would let go! If you'd had a barber around you could have given everybody a perfect brush cut because their hair was standing right on end! Right underneath (the horn) and he'd just grin! It was funny!

I guess we were all immune to it to a degree. Dad had a sixth sense when it was going to happen. I think it blew twice every 60 seconds, or 50 seconds, and he knew just when that thing was gonna go off he had it down pat!

FAREWELL

William Baker

The Nova Scotia lighthouse community has suffered a major loss. Anyone living on or near Port Hood Island knew Bertie Smith, 70, to be a force bigger than life. He was the person we came to for all our needs and the man who could get anything done well.

He built and repaired our homes, fixed our electrical items, transported us to the islands, gave good advice, knew the history of the place and was himself an important part of the history of the community.

He died on October 23rd at his home, 500 feet from where he was born on Port Hood Island, talking to a neighbour about work to be done. That's the way we are certain he would have wanted to do it.

Bertie was born June 6, 1932, son of the late Bert and Myrtle (Watts) Smith. He was a fisherman for



Bertie Smith on Henry Island *William Baker*

many years before attending St. John Maritime Vocational School where he studied marine electronics. He worked in North Sydney repairing radars on large oceangoing ships before moving to Lunenburg to operate Bluefin Electronics. He could fix just about anything electrical.

In 1980 Bertie returned home to his beloved Port Hood Island where he assumed the role as caretaker for the homes of its thirty plus residents, the church, community centre and the one room schoolhouse. He cared for the historic graveyard in which he now rests. He was a member of the island's Jubilee United Church.

He is survived by his wife Shirley (Embree), daughter Linda Louise, Port Hood, sons, David Harold and wife Pat, Wesley Courtney and wife Laurie both of Calgary, Alberta, sisters Carroll (Joe) Smith, Toronto, Pearl (Bob) Whalen, Greenwood, brother Woodrow, Burlington, Ontario and grandchildren David, Tanya and Wesley.

Bert's body was transported to his island accompanied by minister, family and friends and local fishermen in heavy weather and rolling seas on October 27th. He is now resting peacefully on his beloved Port Hood Island, a place dear to him, a place he kept alive and working and beautiful for years.

He rebuilt the keeper's house and maintained the lighthouse on Henry Island where he was President of the Henry Island Lighthouse Preservation Society.

Bertie will want those of us who care about this small, precious spot on God's Earth to carry on and maintain it with care and love as he did. We will try.

William Baker owns Henry Island

LIGHTHOUSE PROTECTION ACT UPDATE

The society has continued to work toward legislative protection for lighthouses, an initiative launched back in 1999, with the support of representatives from all the Maritime Provinces (at the BEACON Conference).

Existing federal rules make it impossible for most lighthouses to receive heritage protection. Under the existing Federal Heritage Building Review Office system, only slightly more than 20% have heritage protection, compared to over 70% in the United States.

Senate Bill S-21 ("An Act to Protect Heritage Lighthouses"), first introduced in 2000, was re-introduced in the last session of Parliament (ending in September 2002) by Senators Mike Forrestall and Pat Carney.

However with the end of the Fall Session of Parliament, it died on the order paper. The society is hoping to see an improved version of this bill re-introduced or to have lighthouses receive special mention in new heritage building legislation currently being discussed in Ottawa.

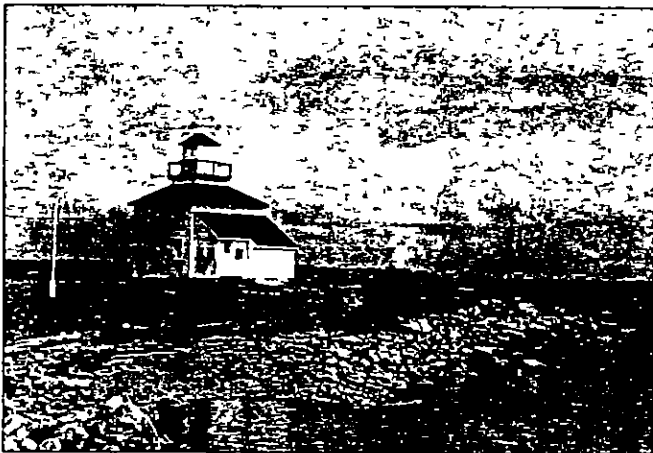
This society raised this issue in recent letters to cabinet ministers and the prime ministers office.

The NSLPS has also invested a lot of time making it clear that our desire for future legislative change should not hold up transfer of lighthouses to community groups which are already underway, such as the current divestiture plan in Prince Edward Island.

FAKE NOVA SCOTIAN LIGHTHOUSE CLOCK CREATES CONFUSION

Dan Conlin

An imaginary Nova Scotia lighthouse clock made by an American company generated a number of enquiries earlier this year. The "Cape Calais Lighthouse Clock" is produced by the Howard Miller Clock Company in Zeeland, Michigan. The company's website markets the clock with this description. "As seafarers plied the icy



A "real" Nova Scotia lighthouse, at Gilberts Cove. E H Irwin

waters off Nova Scotia, the lighthouse at Cape Calais stood for home. So venerated was the old house that it became the very symbol of the Cape itself, and its image was immortalized in the making of a clock that hung in the Olde Town Hall."

There is no statement that this is an imaginary place. Not surprisingly, NSLPS and the Nova Scotia Museum received a number of enquiries from people who bought the clock and wanted to visit "Cape Calais". Research in our records quickly showed there has never been a Cape Calais lighthouse in Nova Scotia.

Correspondence with the Howard Miller company revealed that the Cape Calais lighthouse clock was inspired by the Whitlocks Mill lighthouse, near Calais, Maine but for some reason the Howard Miller company labelled and marketed it as a Nova Scotian lighthouse, complete with the Nova Scotian coat of arms. The Whitlocks Mill lighthouse is a small round tower on the banks of the St. Croix River in Maine and is used as a logo by the Calais Chamber of Commerce.

The lighthouse shown on the clock is a type of cupola lantern lighthouse quite common in Nova Scotia at many locations from the 1880s to the 1930s. The fact that someone would invent a fake Nova Scotian

lighthouse to market a clock certainly demonstrates the mystique and attraction of Nova Scotian lighthouses.

WORLD LIGHTHOUSE SOCIETY UP AND RUNNING

Kathy Brown

As a result of three years' hard work, the World Lighthouse Society (WLS) has been established as an international organization for the promotion and preservation of lighthouses and lightships, seamarks, and associated equipment.

E-mail flew thick and fast for months, between people in Sweden, Chile, Australia, Norway, Canada and the USA, Netherlands, the United Kingdom (UK) and Germany, in an exchange of ideas and details for organizing. Key movers and shakers have been Peter Williams, UK, and Egbert Koch, Germany (Both Peter and Egbert are strong supporters of NSLPS).

Attendees at the inaugural meeting held in England on Sept 2, 2002, represented Canada, Chile, Germany, Holland, Norway, Sweden, UK and USA. The WLS will be a UK registered charity.

The organization aims to create an international meeting place for all people dedicated to lighthouses and seamarks, to raise public awareness of the important part these play in our maritime heritage worldwide, to give people the opportunity to play their part worldwide in lighthouse preservation, and to share and exchange information.

Communication is currently by e-mail, through a newsletter, and a page in each issue of *Leading Lights*, *The International Lighthouse Journal*. A website is planned, and this should be an important worldwide source of information and support.

Members of the Executive Board of the WLS are Dankert Monrad-Krohn (Norway), Chairman, Peter Williams (UK), Treasurer, and Rosalie Davis Gibb (UK) Vice-Chairman and Publicity and Communications Officer.

Committees of the Board include members from many countries. Kathy Brown, Past President, NSLPS, and Wanda Barrett, President, Lighthouse Society of Newfoundland and Labrador are among the founding members.

I expect that NSLPS will find the WLS an important source of information and help in the future. It is worthy of your support.

For further information contact Rosalie Davis Gibb, The Lantern House, 63 Bacton Road, North Walsham, Norfolk, NR28 9DS, UK. Or, email rosalie@davisgibb.fslife.co.uk



NEW LIFE FOR THE PORT MEDWAY LIGHTHOUSE

Chris Mills

In the late 1990s, the future of the Port Medway lighthouse looked pretty grim. The little wooden tower guarding the entrance to this Nova Scotia fishing port of 250 people had been abandoned for almost a decade. Siding ripped off and lantern paint peeling, it sat lightless, next to a disused fish plant.

The 32-foot high tower had definitely seen better days.

Built by the Department of Marine and Fisheries in 1899, the Port Medway lighthouse was once an important beacon, guiding fishing schooners into this protected south shore harbour.

Samuel Thomas Foster was the first keeper, beginning his duties on February the 17th, 1899. Foster walked to the tower each evening, climbing two sets of wooden ladders to light the fixed seventh order Fresnel lens*. For his efforts, Foster received the princely sum of \$100 each year.

At least another four keepers tended the light until the Department of Transport electrified and automated the tower in 1961. George Edward McConnell had the honour of being the last keeper. When McConnell assumed his duties in 1942, his annual salary was set at \$150. 19 years later, his salary had risen to \$345!

By the late 1980s, decreased marine traffic into Port Medway, along with increased use of electronic navigation equipment, spelled the end of the light's active role as an aid to navigation. The Coast Guard switched the light off on January 4th, 1989.

For the next decade, the tower sat dark, neglected and wind-battered, next to the village fish plant. The plant itself closed in 1993, joining the lighthouse as a weathered symbol of the port's former glory as a thriving fishing community.

In 1998 the Medway Area Community Association decided the light should be saved as part of the area's marine heritage. In July 2001, work began on a project to restore the lighthouse and establish a park around the tower.

Workers demolished the vacant fish plant. In the spirit of Maritime thrift, the project was funded in part by the sale of equipment from the old fish plant! The Region of Queens Municipality, and various provincial government agencies also provided funds to help restore the lighthouse, construct a new sea wall, and establish walkways, gardens and a bandstand/picnic pavilion near the lighthouse. The total cost of the project was just under \$602,000.



Visitors enjoy the beautifully restored Port Medway lighthouse in October, 2002. Chris Mills

The park's official opening ceremony got underway on a crisp and cloudless Saturday, on the 19th of October. About 100 people gathered to celebrate Port Medway's accomplishment, including 92-year-old Kate Armstrong, niece of longtime keeper George McConnell.

After unveiling the new park's sign, Armstrong told a reporter from the *Halifax Sunday Herald* "I always spent my summers down here, climbing and jumping in the water."

"It was a lovely place to play," she said. "My two girls used to go up to the light with [my uncle]. I never went up to the top though I was too afraid!"

Today, the Port Medway lighthouse stands proudly with new purpose. "The park will provide benefits for both the community and the visitor,"

says Jill Cruikshank, special projects coordinator for the Region of Queens Municipality.

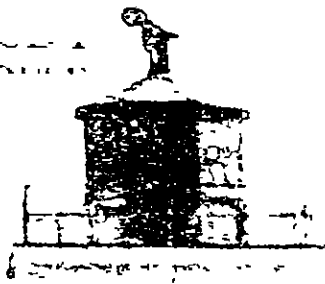
"Community groups will be using the park for fundraising events and general recreation," she says, "whilst the tourist can enjoy a scenic spot with a preserved lighthouse in its original location."

Congratulations to all the people of Port Medway, who worked so hard to save an important piece of their maritime past!

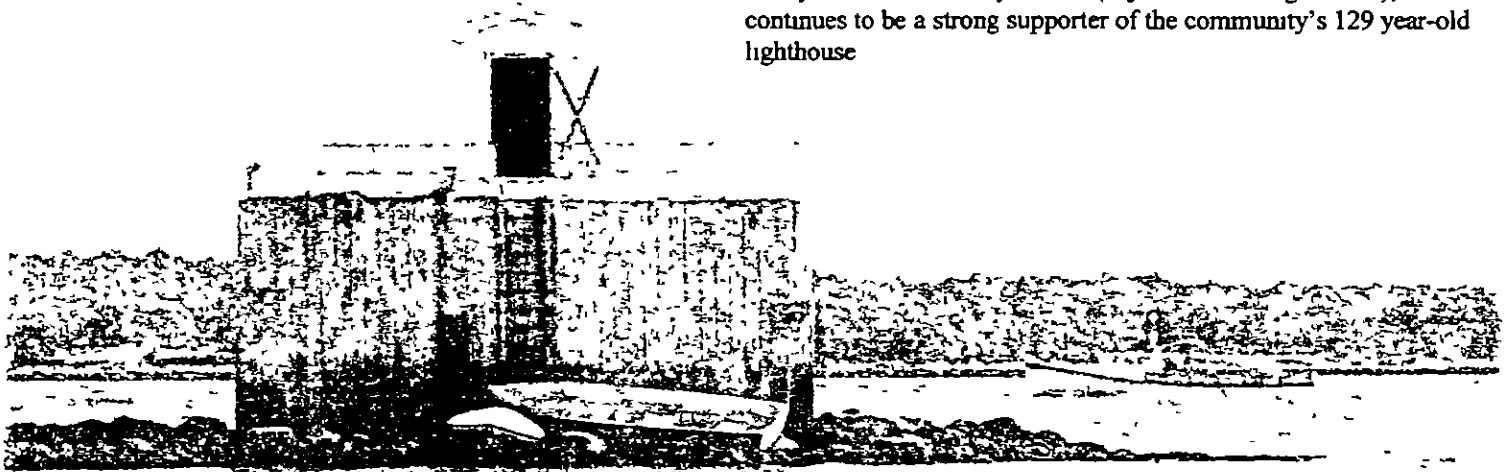
**SANDY POINT
LIGHTHOUSE**

Near Shelburne, NS

Chris Mills



Bernice Goodick was a driving force behind the establishment of the Sandy Point Community Centre (adjacent to the lighthouse), and she continues to be a strong supporter of the community's 129 year-old lighthouse



View from the lantern deck, looking toward the community centre

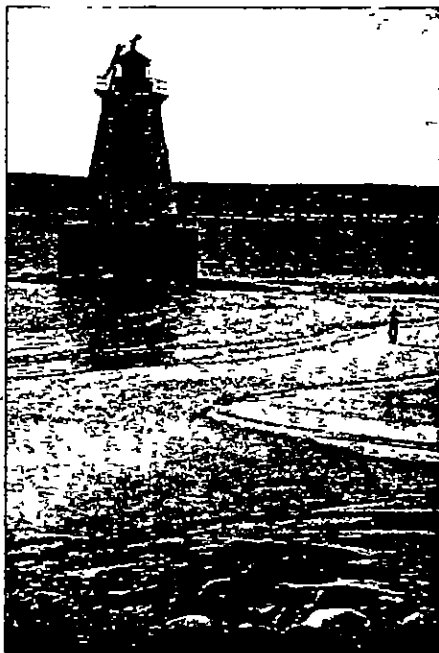
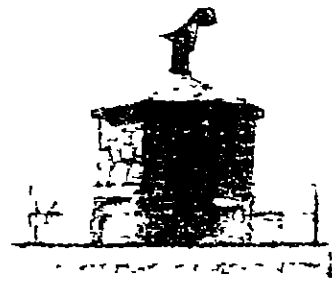


A painter sits suspended on a bosun's chair at Sandy Point, October 2002





Volunteer Dwayne Butler paints the 1873 iron lantern at the Sandy Point Lighthouse

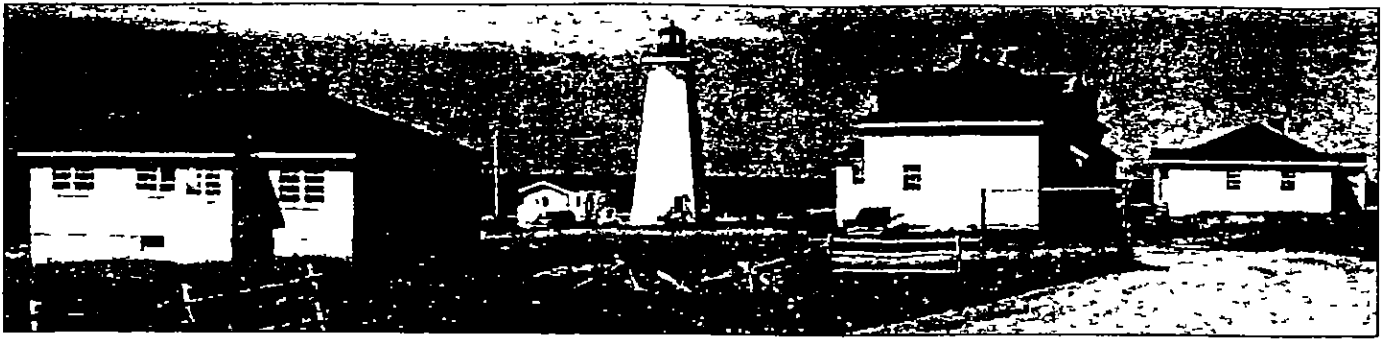


A visitor from Colorado retreats from the advancing tide at Sandy Point



A weathered ventilator sits atop the Sandy Point lantern





Although recently "re-staffed", the lighthouse at Cape Anguille, Newfoundland is still surrounded by abandoned and deteriorating lightkeepers homes *Chris Mills*

AUDITOR GENERAL'S REPORT

Tony Thompson

Some readers may have heard or seen reports in the media about the Auditor General's December report as it relates to Lightstations Chapter Two of that report deals with the Department of Fisheries and Oceans If you are interested in seeing it, it can be viewed at <http://www.oag-bvg.gc.ca/domino/reports.nsf/html/20021202ce.html>

Paragraphs 83 to 90 are headed, "Activities undertaken that do not support safety and efficiency" and the one example cited is staffed lightstations It is this section that several news reports have focussed on 50 stations in Newfoundland and BC are staffed "largely for heritage reasons"; (there is one in the Maritimes staffed for sovereignty purposes) The Auditor General feels that this is not a good reason for the expenditure involved (Ed's note Mariners and aviators on Canada's west coast have strongly argued that lightkeepers are there for safety reasons, on a coast noted for its unstable weather and long stretches of uninhabited coastline. Lightstations are often the only points of civilization along the coast.)

It seems evident that staffed lights are maintained in much better condition than unstaffed ones

A regular coat of paint and replacement of shingles generally keeps the weather out Furthermore, it is not just the towers that have heritage value but the whole station including keepers' houses and other buildings. Unstaffed stations have seen much damage to these other buildings

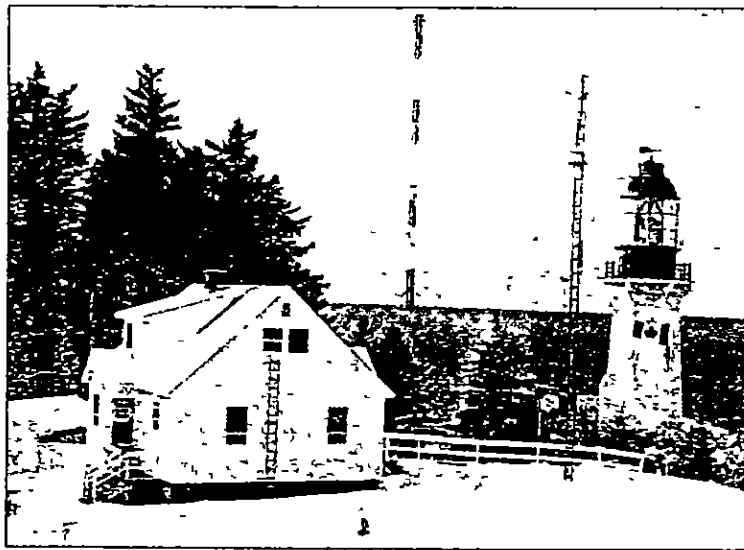
Even on Sambro Island where the tower has been repaired and has Federal Heritage status, the keepers' houses are in a deplorable state Nevertheless, it is hard to argue with the general thrust of the report that staffing lights for heritage reasons is an expensive proposition that is not part of the duties of DFO These

buildings and the surrounding coastal lands ARE part of Canada's national heritage and should be preserved

But other agencies, particularly Heritage Canada, should be taking a role in this endeavour The Auditor General commends the approach being taken towards divestiture in the Maritime Region where great effort is being made to involve other levels of government and local community groups

The recommendation at the end of this section of the report may be rather

bland (definitely of the 'motherhood' variety) but it is sensible and one that has the full support of NSLPS- "Fisheries and Oceans Canada should develop and implement an overall strategy for the future of its light stations considering maritime safety and heritage objectives "



In British Columbia, 27 lightstations are carefully maintained by resident keepers, such as this station at Langara Point, Haida Gwaii *Chris Mills*



Season's Greetings to all NSLPS members! Thanks to all of you who have made The Lightkeeper a success in 2002. Look for improved print, photo and layout quality in 2003!

APRIL AGM

Lighthouses at Chebucto Head have been part of "The Sea Road to Halifax" since 1872. At the NSLPS annual general meeting, learn about Chebucto Head's four lights - and how war led to the relocation of the lighthouse site. Former lightkeeping family members will also be on hand to talk about life at Chebucto Head. Further details in the March, 2003 *Lightkeeper*

COVER PHOTO: Dr. John and Mrs. Gloria Marshall on Sambro Island, June 2002 *Fiona Marshall*

BACK COVER: Locke and Beverley Smith (children of Sid and Betty June Smith of Cape Sable Lighthouse), with their cousin Karen Smith (centre), at Cape Sable, circa 1973 *Roxanne Smith*

DONATIONS

The Nova Scotia Lighthouse Preservation Society has charitable tax status. That means you'll get a receipt for your donation to the NSLPS. We'll gladly accept donations in memory as well.

DOOMSDAY LIST

This is a partial list of Canadian lighthouses and lightstation buildings in danger of being lost

- Cape Roseway, NS Dwellings and old fog alarm building
- Country Island, NS Keepers houses
- Cross Island, NS Keepers houses
- Fish Fluke Point, NB Combined light and dwelling
- Flowers Island, NF Combined light and dwelling
- Georges Island, NS Keeper's house
- Guyon Island, NS Keepers houses
- Ingonish Island, NS Keeper's house
- Isaac's Harbour, NS Combined dwelling/lighthouse
- Keppel Island, NF Lighthouse, keepers houses, fog alarm building, boat house
- Liscomb Island, NS Keepers' house
- Margaree Island, NS Lighthouse and dwelling
- Moshers Island, NS Keepers' houses and small fog alarm building
- Peases Island, NS Keepers duplex
- Queensport(Rook Island),NS Combined dwelling/lighthouse
- Sambro Island, NS Dwellings and Gas House
- Seal Island, NS Radio operator's house, barn
- Southwest Point, Anticosti Island, QC
- Saint Paul's Island,NS South west light keepers house, wireless operators house



The Lightkeeper is published quarterly by THE NOVA SCOTIA LIGHTHOUSE PRESERVATION SOCIETY, c/o Maritime Museum of the Atlantic, 1675 Lower Water Street, Halifax, B3J 1S3. Editor: Chris Mills. Send items for publication to Editor *The Lightkeeper*, c/o 1121 Ketch Harbour Road, Ketch Harbour, NS B3V 1K7, or e-mail ketch@ns.sympatico.ca. **Deadline for March issue: February 20, 2003.**

NSLPS MEMBERSHIP FORM : January 1 - December 31, 2003

NAME _____ TELEPHONE _____
 ADDRESS _____ POSTAL CODE _____
 E-MAIL _____ New Renewal Membership Number _____

Family/Group names for extra card(s) _____
 Single - \$15 00 Institution/Group - \$30 00 (4 cards) Patron - \$100 00
 Family - \$20 00 Sustaining - \$50 00 Foreign - \$15 00 U S funds, or equivalent.

Amount enclosed \$ _____ Please make cheques payable to Nova Scotia Lighthouse Preservation Society
 Mail to Nova Scotia Lighthouse Preservation Society, c/o Maritime Museum of the Atlantic, 1675 Lower Water Street, Halifax, B3J 1S3





**Affidavit
of
Saskatchewan Heritage Foundation**

The undersigned, to assist grant-making foundations in the United States of America in determining whether Saskatchewan Heritage Foundation is the equivalent of a public charity described in Section 509(a) (1), (2) or (3) of the US Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942(j)(3), makes the following statement

(1) I am the Chairman of Saskatchewan Heritage Foundation

(2) Saskatchewan Heritage Foundation was created by the government of
[identify statute, charter, or other document] in 1991 [enter year] and is operated Saskatchewan
exclusively for [check applicable box or boxes]

- charitable
- religious
- scientific
- literary
- educational
- cultural
- fostering national or international amateur sports competition

*through The
Saskatchewan
Heritage Foundatio
Act.*

(3) The activities of Saskatchewan Heritage Foundation have included
[describe past and current activities and operations] historic building restoration,
archaeological investigations, publication assistance and assorted
and will include [describe future activities and operations] significant additional bldg
restoration, revitalization + expansion of the Provincial Historic Marker
Program, assistance to the Sask youth Heritage Fairs, and restoration of the

(4) Copies of the charter, bylaws, and other documents pursuant to which
Saskatchewan Heritage Foundation is governed are attached. Claybank
Brick Point
National Historic
site

(5) The laws and customs applicable to Saskatchewan Heritage Foundation do
not permit any of its income or assets to be distributed to or applied for the benefit of, a
private person or non-charitable organization other than pursuant to the conduct of
Saskatchewan Heritage Foundation's charitable and cultural activities, or as payment of
reasonable compensation for services rendered or as payment representing the fair market
value of property which Saskatchewan Heritage Foundation has purchased

(6) Saskatchewan Heritage Foundation has no shareholders or members who
have a proprietary interest in the income or assets of the organization

(7) In the event that Saskatchewan Heritage Foundation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality **A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of Saskatchewan Heritage Foundation assets on liquidation is attached.**

(8) The laws and customs applicable to Saskatchewan Heritage Foundation do not permit the organization, other than as an insubstantial part of its activities,

(A) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes, or

(B) to attempt to influence legislation, by propaganda or otherwise

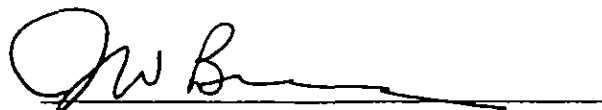
(9) The laws and customs applicable to Saskatchewan Heritage Foundation do not permit the organization directly or indirectly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office

(10) Saskatchewan Heritage Foundation is not controlled by or operated in connection with any organization other than as follows *[describe, or if none, so state]*

The government of the province of
Saskatchewan

(11) A schedule of support for Saskatchewan Heritage Foundation's four most recently completed taxable years is on file at the Fund office (see file #2002-007).

Date February 27, 2002



Name Dr. J. William Brennan
Title Chairman

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone 212 767 0630 Fax 212 767 0639

13th February 2002

Dr J William Brennan
Chairman
Saskatchewan Heritage Foundation
1855 Victoria Avenue, Room 430
Regina, Saskatchewan
S4P 3V7
CANADA

Dear Dr Brennan

On behalf of the Board of Trustees of the J M Kaplan Fund, we are pleased to inform you that the Fund has approved a grant of US\$50,000 payable to Saskatchewan Heritage Foundation This grant is to be used for the Foundation's heritage conservation program to preserve historic churches and sites in the Great Plains sector

Under United States tax regulations, we are required to have any foreign charity receiving a Fund grant complete the attached affidavit and return it to us with the requested supporting materials. If you have any queries about the form please contact Angela Carabine at the Fund's offices

The Kaplan Fund will make the funds available upon receipt of the signed affidavit and requested materials Please let us know if you would like payment by check or by wire transfer

Along with this grant announcement go the very best wishes of the Fund and all the Trustees

Sincerely,



Peter Davidson
Chairman

Enclosure

Ref #2002-007

By Facsimile

Progress Report to the J.M. Kaplan Fund

List of New Anticipated Project Applications (October 2003 Review)

(Note not all projected costs are eligible for funding)

<i>Project Number</i>	<i>Project Name</i>	<i>Projected Request</i>
2003-xxC	Presbyterian Church, Balgonie	\$ 25,000
2003-xxC	Federal Grain Elevator, Horizon	\$ 25,000

Progress Report to the J.M Kaplan Fund

List of New Project Applications (June 2003 Review)

(Note not all projected costs are eligible for funding)

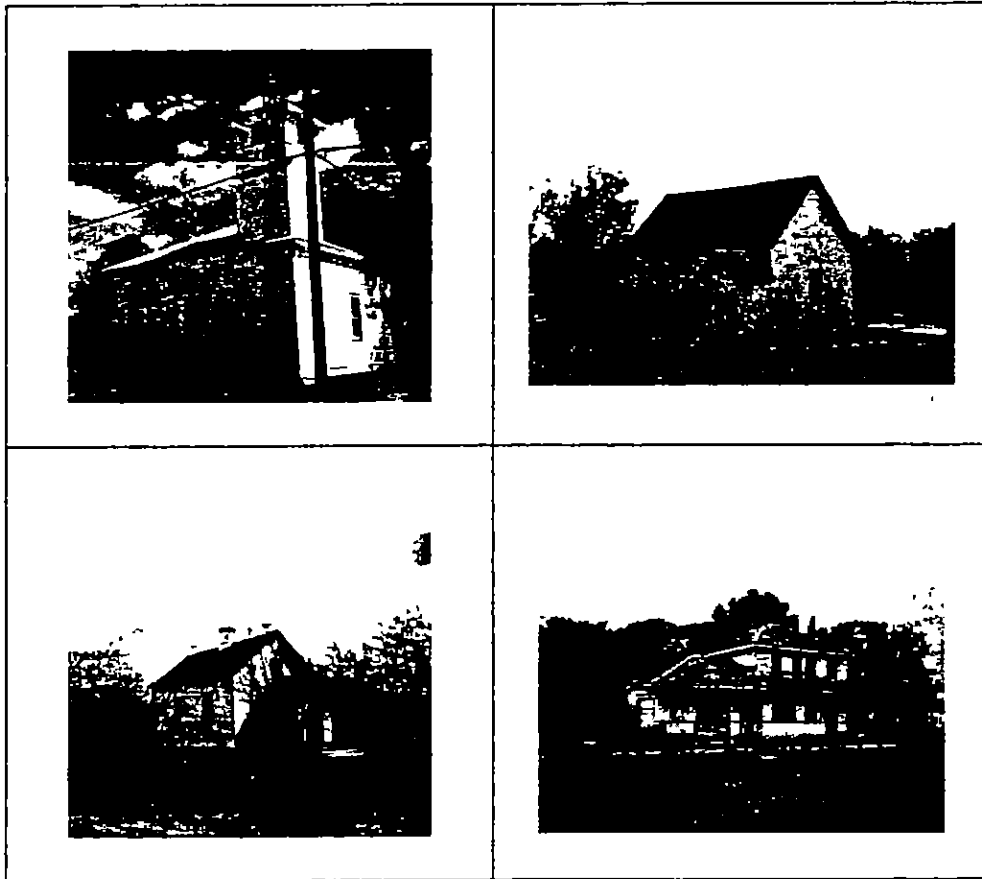
<i>Project Number</i>	<i>Project Name</i>	<i>Unadjusted Project Costs</i>
2003-01C	Princess Theatre, Radville	\$ 8,000
2003-02C	Giles Farm House, near Prince Albert	\$ 34,040
2003-03C	St Vital School, Battleford	\$ 20,955
2003-04C	Shiloh Baptist Church, near Maidstone	\$ 70,000
2003-05C	Honeywood Nursery, Parkside	\$ 55,550
2003-07C	Capitol Theatre, Kamsack	\$ 15,953
2003-08C	Emmanuel Lutheran Church, near Lumsden	\$ 26,000
2003-09C	Court House / Town Office, Gravelbourg	\$ 11,750
2003-10C	Post Office / Museum, Humboldt	\$ 16,608
2003-11C	Calvary Baptist Church, Weyburn	\$ 19,362
2003-12C	Gardiner Presbyterian Church, Battleford	\$ 13,595
2003-13C	Jesus and Mary Convent, Gravelbourg	\$ 90,000
2003-14C	Rabeau Building, Camduff	\$ 9,523
2003-15C	Ridgedale United Church, Ridgedale	\$ 24,509
2003-16C	St Mary's Ukr Orth Church, near Canora	\$ 5,180
2003-17C	Swanson Barn, near Whitewood	\$ 22,877

Progress Report to the J.M Kaplan Fund

List of Approved Restoration Projects

<i>Project Number</i>	<i>Project Name</i>	<i>Allocation</i>
2001-35C	Amtson Homestead, near Canora	\$ 2,000
2002-03C	Glenside United Church, Glenside	\$ 550
2002-08C	Land Titles Building, Arcola	\$ 850
2002-11C	Sunshine & Glen Cottages, Regina Beach	\$ 2,000
2002-12C	CPR Railway Station, Rockglen	\$ 3,400
2002-15C	Esterhazy Flour Mill, Esterhazy	\$ 5,000
2002-17C	Zion Lutheran Church, near Southey	\$ 3,000
2002-18C	All Saints Anglican Church, Katepwa	\$ 550
2002-19C	St Mary's Ukrainian Orth Church, Wakaw	\$ 1,000
2002-20C	CNR Railway Station, Cudworth	\$ 3,000
2002-21C	Humboldt Water Tower, Humboldt	\$ 10,500
2002-22C	Fire Hall / Jail, Abbey	\$ 1,000
2002-24C	St James Anglican Church, Hazenmore	\$ 1,000
2002-26C	Holy Ghost Ukrainian Orth. Church, Whitkow	\$ 5,000
2002-27C	Court House / Town Office, Shaunavon	\$ 4,000
2002-30C	CPR Railway Station, Theodore	\$ 3,000
2002-31C	St Paul's Evan Missionary Church, Rosthem	\$ 1,000

Progress Report to the J.M. Kaplan Fund
on
Heritage Restoration Projects in
Saskatchewan, Canada



February 2003

Board of Directors

Dr J William Brennan
Chairman

Ingrid Cazakoff

Sherry Farrell Racette

Elinor Hjertass

Glenda James

Harry Jedlic, R A I C

Dr Margaret Kennedy

12 March 2003

File 1087

Conn Nugent
Executive Director
J M Kaplan Fund
261 Madison Avenue, 19th floor
New York, N Y
U.S A 10016

ENTERED
2002-007 U

Administration

Garth Pugh
Manager

Suzanne Pambrun
Grants and Financial
Administrator

Frank Korvemaker
Research and Restoration
Advisor

Dear Mr Nugent

RE: J.M. Kaplan Fund Restoration Grant – Progress Report

Further to Frank Korvemaker's e-mail last week, enclosed is a paper copy and CD of the progress report on the heritage projects being funded with assistance of the J M Kaplan Fund

As most of the projects were approved late last year, the actual work will not be completed until some time this coming summer, at which time staff from the Heritage Foundation will tour the province to document the work

The attached report lists each of the projects that have been approved, along with the funding amount for each project. The Total Allocation refers to the combined contributions of the Kaplan Fund and the Saskatchewan Heritage Foundation. The applicants are required to match those contributions. The projects listed on pages 5 to 13 have been approved, and work on them is now underway or will commence this summer.

The projects listed on pages 14 to 21 have been submitted for review by the Heritage Foundation Board at its upcoming May-June meeting. In many instances the projected costs include a number of ineligible items (such as utilities, interior restoration, or new construction), so the final grant allocation will generally be substantially less than 50% of the Unadjusted Project Costs. Indeed, some projects may not qualify for any funding, if, after a detailed review, the projected work is deemed not to meet our restoration criteria.

2

Mandate

The Saskatchewan Heritage Foundation was established in 1991 through an Act of the Provincial Legislature. Its principal mandate is to provide cost-shared financial support through a variety of heritage grant programs.

The Foundation may also acquire and manage real and moveable heritage property.

9th floor, 1919 Saskatchewan Drive, Regina, Saskatchewan S4P 3V7

Tel: [306] 787-4188 Fax: [306] 787-0069 E-mail: william.brennan@uregina.ca



Conn Nugent
Page 2
12 March 2003

The final page (22) lists two projects for which we expect to receive applications by our July 31st deadline. Others will likely come forth as the months progress.

I trust that this report provides you with sufficient information on how your restoration funding assistance is being distributed in Saskatchewan. Should you wish any further details at this time, please do not hesitate to contact Garth Pugh, Manager of the Foundation (787-4188) or myself at 585-4214.

Yours sincerely,

A handwritten signature in cursive script, appearing to read "Bill Brennan", followed by a long horizontal flourish.

Dr J William Brennan
Chairman
Saskatchewan Heritage Foundation

Affidavit
of
Thomas Sill Foundation Inc.

The undersigned, to assist grant-making foundations in the United States of America in determining whether Thomas Sill Foundation Inc is the equivalent of a public charity described in Section 509(a) (1), (2) or (3) of the US Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942(j)(3), makes the following statement

(1) I am the President of Thomas Sill Foundation Inc

(2) Thomas Sill Foundation Inc was created by ARTICLES OF INCORPORATION
[identify statute, charter, or other document] in 1987 [enter year] and is operated exclusively for [check applicable box or boxes]

- charitable
- religious
- scientific
- literary
- educational
- cultural
- fostering national or international amateur sports competition

(3) The activities of Thomas Sill Foundation Inc have included [describe past and current activities and operations] SUPPORT OF CHARITABLE ORGANIZATIONS AND OTHER SPECIAL PROJECT SUCH AS, ESTABLISHING COMMUNITY FOUNDATIONS / GIFTS IN KIND.

and will include [describe future activities and operations] CONTINUED SUPPORT OF THE FORE GOING AND AN INCREASE IN INVESTMENT IN RURAL PROJECTS.

(4) Copies of the charter, bylaws, and other documents pursuant to which Thomas Sill Foundation Inc. is governed are attached.

(5) The laws and customs applicable to Thomas Sill Foundation Inc do not permit any of its income or assets to be distributed to or applied for the benefit of, a private person or non-charitable organization other than pursuant to the conduct of Thomas Sill Foundation Inc 's charitable and cultural activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which Thomas Sill Foundation Inc has purchased

(6) Thomas Sill Foundation Inc has no shareholders or members who have a proprietary interest in the income or assets of the organization

(7) In the event that Thomas Sill Foundation Inc were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality **A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of Thomas Sill Foundation Inc. assets on liquidation is attached.**

(8) The laws and customs applicable to Thomas Sill Foundation Inc do not permit the organization, other than as an insubstantial part of its activities,

(A) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes, or

(B) to attempt to influence legislation, by propaganda or otherwise

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(10) Thomas Sill Foundation Inc is not controlled by or operated in connection with any organization other than as follows *[describe, or if none, so state]*

NONE

(11) **Annual Returns for The Thomas Sill Foundation Inc.'s four most recently completed years.**

Date

Nov. 20/02

Norman Fiske
Name Norman Fiske
Title President



September 11, 2002

Conn Nugent
Executive Director
The J M Kaplan Fund
261 Madison Avenue - 19th Floor
New York, New York, 10016

Dear Mr Nugent

Please find attached a project proposal for the proposed Manitoba Prairie Churches Program, which we discussed earlier this year

I apologize for the brevity of the application. I just recently received the criteria and proposal information requirements, and had only a short time to prepare a submission. Nevertheless, I believe it adequately covers the proposal and provides a sense of the unfortunate situation we are facing here, vis a vis the many wonderful rural heritage churches we have which are seriously threatened and slowly but surely disappearing from the landscape. I hope that the Thomas Sill Foundation annual reports adequately provide the financial information you require concerning their charitable operations. Any assistance would be of help. If you require further information, or clarification, please do not hesitate to call me or Mr Arklie. Our business cards are attached. If you are ever planning a trip to Manitoba, it would be my pleasure to take you on a tour of some of our church-rich rural districts. I thank you for your interest.

Finally, given the significance of today's date, I would like to pass on our deepest condolences and respect to you, your staff, and indeed everyone in New York City. The tragedy that occurred there one year ago today, as far away as it was, did not escape us. In addition to the shock and absolute disbelief, we also were totally immersed in the horror that was 9/11.

Best Regards

Ed Ledohowski
Heritage Designation Officer

EL/

Attachment

C:\WINNT\Kaplan Fund cover letter.doc

Manitoba Prairie Churches Program

Proposal to the J M Kaplan Fund

1 What is the problem?

The Province of Manitoba is located in the centre of Canada, and this, together with the configuration of its boundaries, resulted in it being dubbed "the keystone province". In its historic role as the 'gateway' to the Canadian prairies, it was the first of the three prairie provinces to be settled, and as a result, it hosted a great number of 'ethnic' immigrant groups, and today continues to be the most multi-cultural province in Canada. These early settlement groups included Scottish and English settlers from the United Kingdom, Anglo-Ontarians from eastern Canada, French from Quebec and the US eastern seaboard, German-speaking Mennonites from southern Russia, Icelanders, Ukrainians, Scandinavians, and smaller numbers of Germans, Poles, Romanians, Hungarians, and Jewish settlers. The majority of these early immigrant groups took to the, then, unsettled prairie lands in the southern areas of the province. Each group brought with them their traditional language, culture, and architectural styles in the form of cultural baggage, and for a time these rural ethnic enclaves were quite literally little pieces of the 'old country' transplanted onto the Canadian prairies. The most dramatic and ethnically distinct aspects of this cultural transference involved the wonderful country churches, which the settlers built.

Over the years, with assimilation, acculturation, and modernization, the cultural distinctiveness of these groups has largely faded – despite the many cultural festivals, which occur throughout the year. However, the numerous and often highly ornate churches continue to dot the rural landscape of Manitoba, and are the final signposts or landmarks denoting the former presence of these ethnic 'old country' enclaves. Manitoba's many eastern European churches, in particular, with their domed roofs and freestanding bell-towers are striking landmarks and have become popular subjects for photographers and artists (e.g. photographer Steve Kostus, annually journeys from New York City to photograph Manitoba's rural churches). Similarly, such sites are increasingly being incorporated in heritage coach tours, which are becoming quite popular in Manitoba.

Unfortunately, these rural landmarks are now seriously threatened, and increasing numbers are being demolished due to lack of funds and declining congregations. This is a result of the combined effects of farm mechanization, rural electrification, and centralization of services which, in recent decades, has led to a massive de-population in rural Manitoba, as it has throughout the North American mid-west. Manitoba's rural areas now contain but a fraction of the population it once supported, and as a result, the congregations are rapidly declining. The few remaining aged members are increasingly unable to adequately maintain these wonderful heritage gems. Once closed and vacated, these churches often become targets of vandals, and are increasingly deconsecrated and demolished or 'put to the torch' by diocese authorities concerned with liability and cost issues. It really is quite a sad situation.

2. What can be done about the problem?

Recognizing the cultural and architectural significance of many of these rural churches, and other similar landmarks, the Manitoba Provincial Government, through the programs of the Historic Resources Branch of Manitoba Culture, Heritage and Tourism, has established the Designated Heritage Buildings Grant (DHBG) Program. This program provides restoration assistance to those sites that have been given statutory protection by being 'designated' as heritage sites under *The Heritage Resources Act (Manitoba 1986)*. Provincially designated sites are eligible to receive restoration grants of up to \$75,000.00 on a 50/50 matching basis, while municipally designated sites are eligible to receive up to \$35,000.00. With the recent economic downturn, the annual amount of funds available to this program has been reduced with each passing year. Of greater concern, in terms of Manitoba's rural churches, is that the small congregations that are left to maintain and operate these sites, are often unable to match the government's grants, even if their structure has been designated a heritage site and was eligible for restoration funds. Half of the project funds must come from non-government sources. The Thomas Sill Foundation is one of the few private charitable foundations in Manitoba that such groups can turn to. As well, heritage projects make up only a portion of the projects that the Sill Foundation annually funds. Therefore, while community based heritage projects involving former schoolhouses, town halls, and commercial blocks are better able to access the government program, small, little-used, rural churches are often unable to take advantage of this program.

The Board of Directors and administrators of The Thomas Sill Foundation have indicated their willingness to administer any funds, which the J M Kaplan Fund might make available to the proposed Manitoba Prairie Churches Program. Criteria for accessing the funds would follow existing criteria, which like the J M Kaplan Fund, is on a 50/50 matching basis, and would involve restoration items only. Non-restorative items such as plumbing, carpeting, day-to-day operation items, general maintenance, etc. would not be eligible. As well, the specific details of work would have to be approved in advance by the Historic Resources Branch restoration technician, to ensure that the work is properly conceived and undertaken. At the application stage for each project, groups receiving assistance from the DHBGP and/or Thomas Sill Foundation must submit a detailed work plan and budget. At the conclusion of the project, the groups must also submit a final report and accounting of the project before receiving final payment. (Copies of these reports would be made available to the J M Kaplan Fund Board of Directors, for those projects receiving support from the proposed J M Kaplan - Manitoba Prairie Churches Program.) As it often requires several years for a small local church congregation to plan, prepare, and execute a restoration program, the proposed Manitoba Prairie Churches program would likely extend over a five-year period. Reports would be submitted annually to the J M Kaplan Fund administrators, until the total allotment has been expended.

3 Why You?

The Thomas Sill Foundation and the Historic Resources Branch staff, in partnership, are best suited to carry out this project because these two agencies are likely the only groups in the Province of Manitoba intimately involved with rural heritage preservation, and have extensive experience in both administering restoration projects funds and working with rural groups. Both agencies are highly respected, and experienced in this field of endeavour.

4. How much will it cost? Who pays what?

While the cost of individual projects cannot at this time be estimated, the amount of funds comprising the total proposed Manitoba Prairie Churches Program, as was suggested in conversations with J M Kaplan Fund, Executive Director, Conn Nugent and Grants Administrator, Angela Carabine, that \$50,000.00 would be a reasonable request for such an initiative. Of course, any assistance in this regard would be appreciated and put to good use. In terms of individual projects, up to 50% of the project funds could originate from the Province of Manitoba's Designated Heritage Building Grants Program, and up to 50% from the Prairie Churches Program. Other lesser amounts originating from local fundraising efforts and corporate or private donations possibly would also be involved. The local church members would make up any difference. However, as indicated above, many of the congregations or 'care-taker groups' for these small rural churches are having difficulty raising funds for on-going maintenance, least of all major restoration projects. With the proposed J M Kaplan Fund Manitoba Rural Churches Program, considerably more groups will be able to access the Province's DHBG Program to undertake much needed restoration projects.

5. How will you evaluate success?

Projects involving assistance for the Manitoba DHBG Program are required to prepare a written description of both the short and long-term goals of the organization. These reports can be included in the final project reports to be sent annually to the J M Kaplan Fund. In most cases, in terms of rural church groups, the goals are quite simple and straightforward – to prevent the demolition of their district's rural church, and to generate increased interest in maintaining the local landmark among area residents. Restoring the church structure often leads to more community involvement and interest in these landmarks, increased local pride, and general social/cultural community health.

6 Thomas Sill Foundation Inc. - Board Of Directors

K Milton McLean, C.A - Treasurer,
Robert Filuk, F C.A - Vice-President,
Hugh Arklie, C A - Executive Director,
Norman Fiske, F C A - President

7. Please see attached Thomas Sill Foundation annual reports Audited statements, as such, do not exist The Sill Foundation board members are all Chartered Accountants, and the financial summaries published in each annual report are personally vouched for Audited reports are not usually required

8. 2002 Operating Budget:

The coming year's budget has not been established yet, however Sill Foundation staff indicate that it will be in line with recent year's budgets which, according to published annual reports, involve approximately 1.5 million dollars in cash grants per year for all categories or awards, or approximately \$70,000.00 in cash grants per year for heritage related projects

A few examples of threatened historic prairie churches in Manitoba



< St. Michael's Ukrainian Orthodox Church, Sandy Lake

Church of the Ascension, Ashville district >



< Shiloh United Church, Kenton district



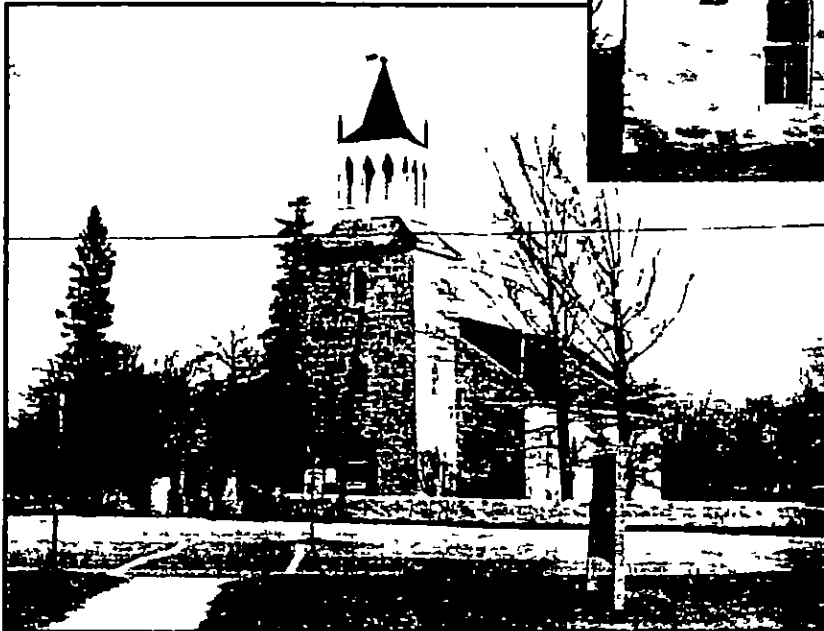
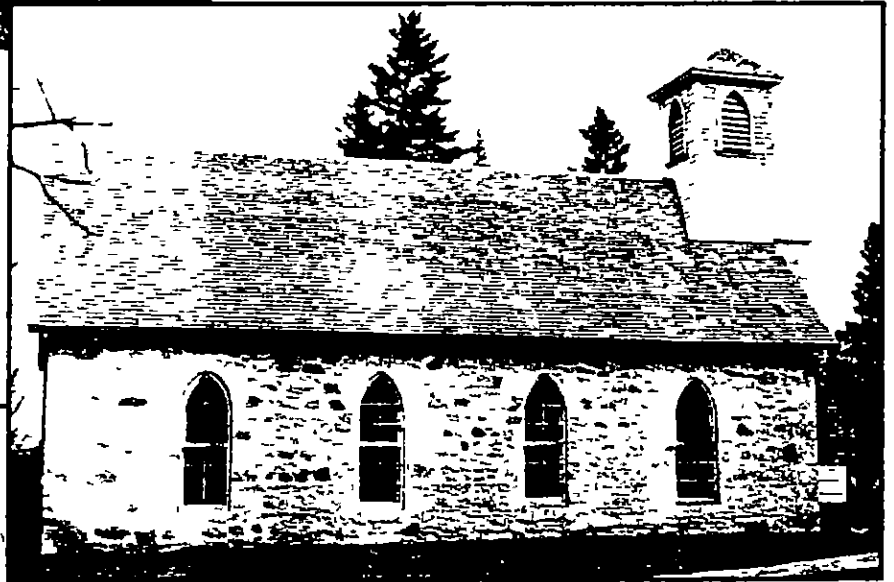
A few examples of Manitoba's historic prairie churches, currently being restored.

St Michaels Ukrainian Orthodox Church, Gardenton >



< Holy Tnnty Ukrainian Orthodox Church, Poplarfield

Little Britain United Church, Lockport >



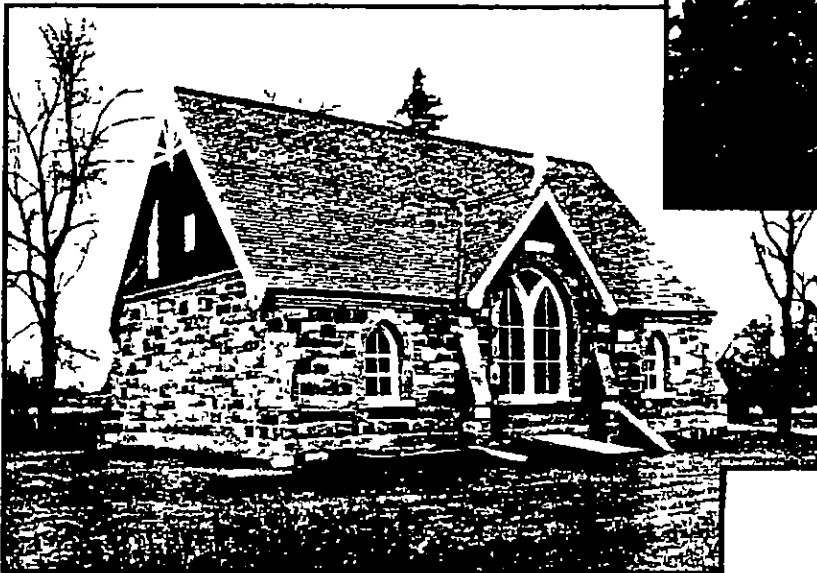
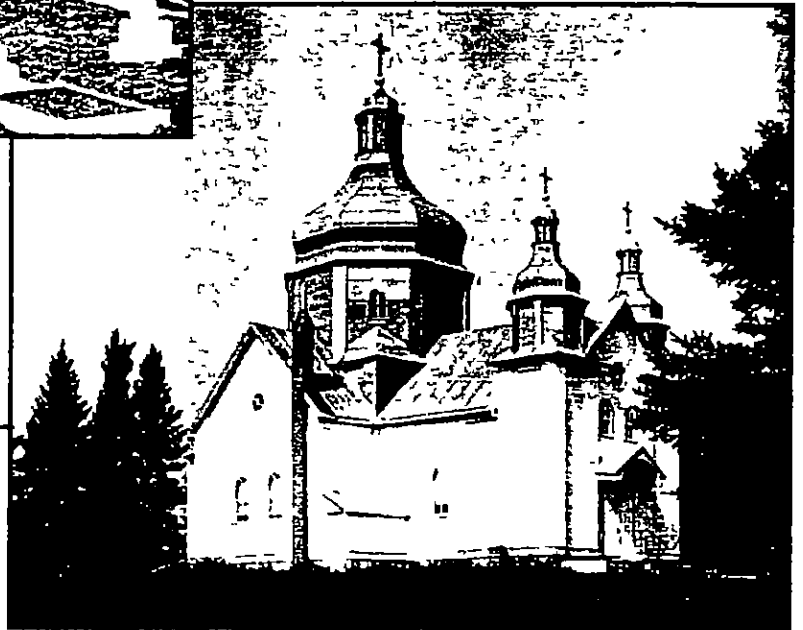
< St. Andrews-on-the-Red Anglican Church, Lockport





< St. Elias Ukrainian Orthodox Church Sirko district

St. Nicholas Ukrainian Catholic Church, Rackham district >



< Griswold United Church

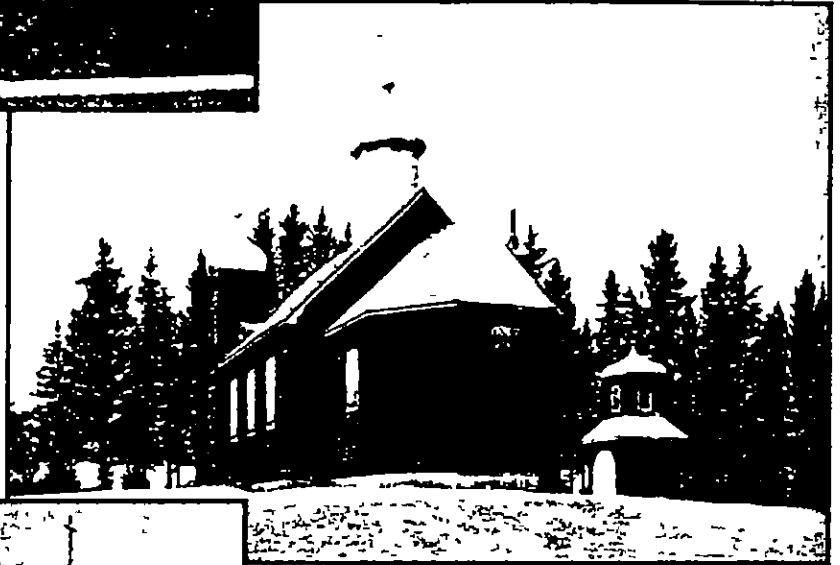
St. John the Divine Anglican Church, Rounthwaite >





< Icelandic Unitarian Church, Arborg

Sts Peter & Paul Ukrainian Catholic Church, > Marco district



Ste Genevieve (French) Roman Catholic Church >

< Sacré-Coeur (French) Roman Catholic Church, Fannystelle



Affidavit Of

Universidad Nacional Autonoma de Mexico

The undersigned, to assist grant-making foundations in the United States of America determine whether Universidad Nacional Autonoma de Mexico is the equivalent of a public charity described in Section 509(a)(1), (2) or (3) of the US Internal Revenue Code ("Code") or a private operating foundation described in Code section 4942 (j) (3), makes the following statement

(1) I am the Director of the Instituto de Ecologia of the Universidad Nacional Autonoma de Mexico

(2) The Universidad Nacional Autonoma de Mexico was created by organic law in 1945 and is operated exclusively for [*check applicable box or boxes*]

charitable

religious

scientific

literary

educational

fostering national or international amateur sports competition, or

(3) The activities of Universidad Nacional Autonoma de Mexico have included [*describe past and current activities and operations*]

◆ Educate professionals, researchers, university professors and technicians useful to society,

◆ Carry out research

and will include - same as above

(4) Copies of the charter, bylaws, and other documents pursuant to which Universidad Nacional Autonoma de Mexico are on file at the Fund office (#2001-239)

(5) The laws and customs applicable to Universidad Nacional Autonoma de Mexico do not permit any of its income or assets to be distributed to or applied for the benefit of, a private person or non-charitable organization other than pursuant to the conduct of Universidad Nacional Autonoma de Mexico's charitable and educational activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which Universidad Nacional Autonoma de Mexico has purchased

(6) Universidad Nacional Autonoma de Mexico has no shareholders or members who have a proprietary interest in the income or assets of the organization

(7) In the event that Universidad Nacional Autonoma de Mexico were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organization for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of Universidad Nacional Autonoma de Mexico's assets on liquidation is on file at the Fund office (#2001-239)

(8) The laws and customs applicable to Universidad Nacional Autonoma de Mexico do not permit the organization, other than as an insubstantial part of its activities,

(a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes, or

(b) to attempt to influence legislation, by propaganda or otherwise


(9) The laws and customs applicable to Universidad Nacional Autonoma de Mexico do not permit the organization directly or indirectly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office

(10) Universidad Nacional Autonoma de Mexico is not controlled by or operated in connection with any organization other than as follows

Mexican Ministry of Education

(11) Universidad Nacional Autonoma de Mexico's Audited Financial Statements for the past five years are on file at the Fund office (#2001-239)

Date January 9, 2003

By 
Héctor T. Ariza
Director, Instituto de Ecologia

The J.M. Kaplan Fund

261 Madison Avenue, 19th Floor, New York, New York 10016

Phone. 212 767 0630 Fax. 212 767 0639

23rd December 2002

Héctor T Arta
Director
Instituto de Ecologia
Universidad Nacional Autónoma de México
Apartado Postal 70-725
Ciudad Universitaria, UNAM
04510 Mexico City
MEXICO

Dear Mr. Arta.

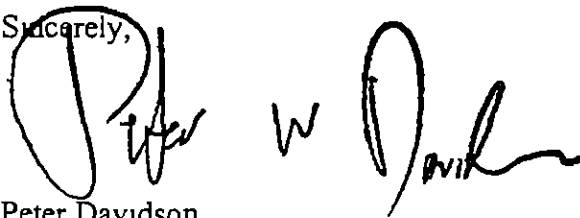
On behalf of the Board of Trustees of the J.M. Kaplan Fund, we are pleased to inform you that the Fund has approved a grant of US\$5,000 payable to Universidad Nacional Autónoma de México. This grant is to be used for the Itinerant Photographic Exhibition of the grasslands of Janos-Casas Grande, Chihuahua, México.

Under United States tax regulations, we are required to have any non U S charity receiving a Fund grant complete the attached affidavit and return it to us with the requested supporting materials. If you have any queries about the form please contact Angela Carabine at the Fund's offices.

The Kaplan Fund will make the funds available upon receipt of the signed affidavit and requested materials. Please let us know if you would like payment by check or by wire transfer.

Along with this grant announcement go the very best wishes of the Fund and all the Trustees.

Sincerely,

A handwritten signature in black ink, appearing to read "Peter Davidson". The signature is written in a cursive style with a large initial "P".

Peter Davidson
Chairman

Enclosure

Ref #2002-484

cc Rurik List

The exhibition will also include a 1-2 page text that will introduce the exhibition, and will give concise information about the most relevant aspects of JCG

A section of acknowledgements, with the names and logos of the participating organisations will be included

The photographic materials included in the exhibition will be from Rurik List and Gerardo Ceballos, and can include material from Patricio Robles Gil, John Harris, George Wuerthner, as well as from other photographers

The participant photographers will not charge fees for their photographs

The cost of transport and museography will be covered by each of the institutions hosting the exhibition in Canada and the U S., while the set touring Mexico will be framed and ready to display to reduce costs and make it accessible to a wide range of places.

The permanent home of the exhibition will be the Prairie Field Station in Ejido San Pedro, Chihuahua, where the region's inhabitants will be able to admire it when is not on tour

The opening of the exhibition will take place during the meeting of Environmental Ministers next June, in Washington Simultaneously, the exhibition will be opened at the Museo de las Culturas del Norte, in Casas Grandes.

Participants

Rurik List will be the coordinator of the exhibition.

The Laboratory of Ecology and Conservation of Wildlife of the Institute of Ecology UNAM, will be responsible for the technical content of the exhibition's texts
The members of the Lab that participate in the Janos research project have 66 years of joint experience in grassland and prairie dog ecosystem work, being the strongest research group on the ecology of this ecosystem in Mexico

Agrupación Pradera A C., will be responsible to establish the contact with the different places where the exhibition will be displayed.

Agrupación Pradera was formed by the researchers working in Janos to contribute to the conservation of grasslands and associated species in Mexico

Agrupación Dodo A C will be responsible of the printing of the photographs, the language and designs of the accompanying texts

The members of Agrupación Dodo include graphic designers, plastic artists, ecologists and environmental educators, whom put their capabilities together for the production of a wide array of materials for the conservation and appreciation of Nature and culture, and include comic books, magazines, activity workbooks, theatre plays, television programs, workshops, courses and festivals, all with a specific emphasis on environmental education.

Other organisations whose activities or trajectory are relevant to the exhibition and that could be invited to participate in the project, include: Agrupación Sierra Madre, Film Core Natural History Unit, Naturalia y PROFAUNA.

The funds for the exhibition will come from the J. M. Kaplan Fund and the Commission for Environmental Cooperation.

The *Museo de las Culturas del Norte*, from Casas Grandes, Chihuahua, will be proposed as the museum responsible for the coordination of the exhibition movements.

A list of possible places to display the exhibition follows:

- Arizona-Sonora Desert Museum. Tucson, Arizona.
- Calgary Zoo Calgary, Alberta.
- Casa de la Cultura Chihuahua, Chihuahua
- Centro Ecológico de Sonora. Hermosillo, Sonora.
- Museo de Historia Natural Ciudad de México
- Museo de las Culturas del Norte Casas Grandes, Chihuahua.
- Museo del Desierto Saltillo, Coahuila
- Phoenix Zoo Phoenix, Arizona.
- The Wildlife Experience Denver, Colorado.
- One of the exhibition places of the Metro system in Mexico City.

Budget (USD)

- Printing of 60 photographs 20x24" on Ilfochrome Poliéster	\$4,000
- Multi-format slide scanner	\$3,000
- Design	\$ 300
- Preparation and printing of texts	\$ 350
- Translation	\$ 150
- Framing of 30 pictures (one set for Mexico)	\$1,600
- General expenses	\$ 550
Total	\$9,950

Amount requested to the J. M. Kaplan Fund = \$5,000 USD

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

THE J.M. KAPLAN FUND, INC.

13-6090286

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
PARTNERSHIP INCOME	900001	2,364.	18	-64,583.	
MISCELLANEOUS			14	10,033.	
REFUND INTEREST			14	5,873.	
PRI INTEREST			14	7,800.	
RETURN OF GRANT					58,500.

2002 Grant List

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Alliance for Justice	2002210	\$5,000.00	Senior Trustee/B Group - JKD	General Support
America the Beautiful Fund	2002544	\$1,000 00	Senior Trustee/B Group - JKD	General Support
American Academy in Rome	2002557	\$4,000 00	Senior Trustee/B Group - JKD	Special Project
American Civil Liberties Union Foundation, Inc.	2002499	\$10,000 00	C Group - TIGS	General Support
American Civil Liberties Union Foundation, Inc.	2002543	\$5,000 00	Senior Trustee/B Group - JKD	General Support
American Craft Museum	2002053	\$10,000.00	Senior Trustee/B Group - RDK	General Support
American Farmland Trust	2002285	\$5,000 00	Senior Trustee/B Group - JKD	General Support for National Office
American Farmland Trust	2002211	\$5,000 00	Senior Trustee/B Group - JKD	General Support for Northeast Regional Office
American Friends of Blerancourt, Inc.	2002247	\$2,000 00	Senior Trustee/B Group - RDK	General Support
American Littoral Society	2002509	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Going Coastal! New York City"
ANAI Inc.	2002178	\$35,000 00	Operating Trustees- Conservation	Development of a Participatory, Community-Based Stream Biomonitoring Program in Amustad/Talamanca region of Costa Rica and Panama.
Ann Norton Sculpture Gardens Inc.	2002296	\$10,000 00	Senior Trustee/B Group - RDK	General Support
Annapolis Area Ministries, Inc	2002469	\$2,000 00	C Group - TIGS	General support for the Light House, an emergency shelter for homeless men, women, and children.
Annapolis Symphony Orchestra	2002366	\$2,500 00	C Group - TIGS	General Support for 2002/03 season.
Art Oru Inc	2002477	\$5,000 00	Senior Trustee/B Group - RDK	General support for the Art Oru International Arts Center
Association of Collegiate Schools of Architecture (ACSA)	2002190	\$5,000 00	Operating Trustees-Historic Preservation	For ACSA Conference in Havana, June 2002.

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Atlas Economic Research Foundation	2002440	\$20,000 00	Senior Trustee/B Group - EKF	Project on Emerging Orders
Bard College	2002318	\$1,000.00	Senior Trustee/B Group - JKD	General support for the Bard Music Festival
Bard College	2002248	\$1,000 00	Senior Trustee/B Group - RDK	General Support on behalf of Smolny College
Barnard College	2002506	\$3,000 00	Executive Director Initiated Grants	General Support for Center for Toddler Development
Basilica Historic Trust, Inc.	2002130	\$5,000.00	C Group - TIGS	General Support
Bay Street Theatre	2002244	\$10,000 00	C Group - TIGS	General support for the 2002 season
Berkshire Taconic Community Foundation	2002322	\$1,000 00	Senior Trustee/B Group - JKD	For the pamphlet, "Harlem Valley Rail Trail Botanical Guide"
Beth Israel Medical Center	2002374	\$10,000 00	Senior Trustee/B Group - RDK	General Support for the Beth Israel Second Century
Better World Fund	2002184	\$40,000 00	Operating Trustees- Conservation	For Energy Future Campaign, a multi-year campaign on global energy policy
Blue Earth Alliance	2002323	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Arctic National Wildlife Refuge - Biodiversity and Indigenous Cultures"
Broadway Mall Maintenance Fund, Inc.	2002169	\$20,000.00	Operating Trustees - City Life/Parks & Open Space	General Operating Support for the Broadway Mall Association.
Brooklyn Botanic Garden Corporation	2002313	\$2,500.00	Senior Trustee/B Group - JKD	General Support
Brooklyn Bridge Park Coalition, Inc.	2002492	\$1,000.00	C Group - TIGS	General Support
Brooklyn Bridge Park Coalition, Inc.	2002165	\$30,000 00	Operating Trustees - City Life/Parks & Open Space	General Support
Brooklyn Historic Railway Association	2002306	\$4,000 00	Senior Trustee/B Group - JKD	General Support
Cape Cod Commercial Hook Fishermen's Association Inc.	2002179	\$25,000 00	Operating Trustees- Conservation	For capacity building and programming at the Shanty Community Fisheries Action Center
Center for a Sustainable Economy	2002004	\$35,000 00	Operating Trustees- Conservation	Support for its core programs involving research and education on the use of tax policy to reduce U.S dependence on oil.

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Center for American Places, Inc.	2002510	\$3,000 00	Senior Trustee/B Group - JKD	For the book, "DeVoto's West: Essays on History, Conservation, and the Public Good"
Center for Reproductive Rights	2002270	\$10,000 00	C Group - TIGS	General Support
Century Association Archives Foundation	2002324	\$10,000 00	Senior Trustee/B Group - JKD	General Support
Christ the King Roman Catholic Church	2002168	\$7,500 00	Operating Trustees - City Life/Parks & Open Space	To produce a brochure and install community bulletins for Springfield Park.
City Parks Foundation, Inc.	2002163	\$20,000.00	Operating Trustees - City Life/Parks & Open Space	To maintain and restore Owl's Head Park
City Parks Foundation, Inc.	2002151	\$115,000 00	Operating Trustees - City Life/Parks & Open Space	\$50,000 for Highbridge Project \$25,000 for Parks in Print \$40,000 General Operating Support
City University of New York	2002298	\$7,500 00	Senior Trustee/B Group - JKD	General support for the Center for the Humanities
City University of New York	2002325	\$7,500.00	Senior Trustee/B Group - JKD	For the film, "Seeing New York"
Colorado College	2002500	\$5,000 00	C Group - TIGS	General Support (Matching)
Columbia County Historical Society, Inc.	2002545	\$2,500 00	Senior Trustee/B Group - JKD	General Support
Columbia County Historical Society, Inc.	2002214	\$2,500 00	Senior Trustee/B Group - JKD	General Support
Columbia Land Conservancy, Inc.	2002216	\$12,000 00	Senior Trustee/B Group - JKD	General Support
Columbia Land Conservancy, Inc.	2002121	\$5,000.00	Senior Trustee/B Group - RDK	Challenge Grant for the Operating Endowment Campaign
Columbia Land Conservancy, Inc.	2002546	\$2,500 00	Senior Trustee/B Group - JKD	General Support

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Columbia University Press	2002326	\$7,500.00	Senior Trustee/B Group - JKD	For the book, "Empire City- New York through the Centunes"
Columbia University Press	2002125	\$10,000.00	Senior Trustee/B Group - RDK	For "Picturing Power The New York Chamber of Commerce, Portraiture, and Its Uses"
Columbia-Greene Hospital Foundation	2002305	\$5,000.00	Senior Trustee/B Group - RDK	General Support
Columbia-Greene Hospital Foundation	2002215	\$5,000 00	Senior Trustee/B Group - JKD	General Support
Committee to Improve Carroll Park Inc.	2002162	\$10,000.00	Operating Trustees - City Life/Parks & Open Space	For "The Rock Garden" at Carroll Garden Park
Concord Academy	2002286	\$10,000.00	C Group - TIGS	Wm. Bailey History Department Head Chair
Conservation Law Foundation	2002564	\$250,000 00	Operating Trustees- Conservation	For the Marine Protected Areas Initiative in the Gulf of Maine.
Constitutional Education Foundation, Inc.	2002054	\$5,000 00	Executive Director Initiated Grants	Constitution Works Project
Consultative Group on Biological Diversity	2002011	\$3,500 00	Operating Trustees - Other	2002/2003 Membership
Corcoran Gallery of Art	2002530	\$5,000.00	Senior Trustee/B Group - JKD	For the book, "Whistler and His Circle in Veruce"
Corcoran Gallery of Art	2002529	\$10,000 00	Senior Trustee/B Group - JKD	For the American Art Catalogue Project
Cornell University	2002511	\$6,000 00	Senior Trustee/B Group - JKD	For the book, "Silent Voices. The Rise of New York's Insane Asylums"
Cornerstones Community Partnerships	2002273	\$5,000.00	Executive Director Initiated Grants	For community workshops on earthen architecture conservation in the southwest United States and Mexico
Cotidiano Mujer	2002320	\$20,000 00	Senior Trustee/B Group - EKF	Continued support for "Nunca en Domingo" (Never on Sunday) radio program.
Coudert Institute, Villa Dei Fiori	2002245	\$15,000 00	Senior Trustee/B Group - RDK	General Support
Council of Senior Centers and Services of New York City, Inc.	2002069	\$5,000 00	Senior Trustee/B Group - RDK	Support for its program to change the image of the elderly
Council on the Environment, Inc.	2002406	\$2,500 00	Honorary Trustees	General Support

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Creation Production Company, Inc.	2002300	\$10,000.00	C Group - TIGS	To support playwriting of Susan Mosakowski and General Support
Critical Review Foundation, Inc.	2002451	\$75,000 00	Senior Trustee/B Group - EKF	Support for the publication, Critical Review and for the 2003 summer seminars
Cunningham Dance Foundation, Inc.	2002547	\$2,500.00	Senior Trustee/B Group - JKD	General Support
Dance Theater Workshop, Inc.	2002487	\$10,000.00	C Group - TIGS	General Support
Dartmouth College	2002342	\$5,000.00	Senior Trustee/B Group - JKD	For the book, "Resort Hotels of the Adirondacks"
Design Trust for Public Space, Inc.	2001078	\$20,000.00	Operating Trustees - City Life/Parks & Open Space	For Public Space Makers, a discussion series that brings city builders and architectural thinkers together in exceptional venues to discuss NY's built environment with invited guests
Dickinson College	2002505	\$5,000 00	C Group - TIGS	General Support (Matching)
DOCOMOMO International	2002311	\$10,000 00	Executive Director Initiated Grants	For two representative members of the Cuba Program to attend the Paris Conference in September 2002.
Donors Trust	2002474	\$105,000 00	Senior Trustee/B Group - EKF	Continued support for "The Fund for New Philanthropy Studies"
EarSay Inc.	2002512	\$7,500.00	Senior Trustee/B Group - JKD	For the book, "Crossing the BLVD strangers, neighbors, aliens in a new America"
East Harlem Employment Service, Inc	2002283	\$10,000.00	Honorary Trustees	General Operating Support
Ecology Action Centre	2002180	\$20,000 00	Operating Trustees- Conservation	"Defining Marine Conservation. Making the Ecosystem Approach Happen" - to coordinate the ocean zoning workshop and the ocean zoning discussion document.
Egremont Fire Company Inc.	2002567	\$150.00	Staff Initiated Grants (Matching)	3 1 matching grant for general support
Environmental Advocates	2002315	\$5,000 00	Senior Trustee/B Group - JKD	General Support
Environmental Defense	2002481	\$10,000 00	Operating Trustees-City Life/Transportation	Environmental Defense in partnership with East of Hudson Rail Freight Operations Task Force - to prepare a report to advocate within the environmental and political spheres on behalf of rail freight east of the Hudson.

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Environmental Leadership Program Inc.	2002434	\$7,500 00	Honorary Trustees	General support for this organization that seeks to transform public understanding of environmental issues by training and supporting a network of visionary, action-oriented emerging leaders
Environmental Simulation Center	2002076	\$10,000 00	Senior Trustee/B Group - RDK	Lower Manhattan Database & Model Project
Essential Information, Inc.	2002310	\$160,000 00	Senior Trustee/B Group-MEK	General Support
Essential Information, Inc.	2002482	\$100,000 00	MEK/Lifetime Fund	General Support
ETV Endowment of South Carolina	2002013	\$33,000 00	Senior Trustee/B Group - RDK	For documentary series entitled " Life Well Lived" featuring the life and work of Jane Goodall.
Food First	2002292	\$50,000 00	Senior Trustee/B Group-MEK	"Land Reform, the Environment, and the World Bank"
Foundation for Self-Sufficiency in Central America	2002293	\$15,000 00	Senior Trustee/B Group-MEK	Mesoamerican Culture of Peace Project
Four Nations, Inc	2002218	\$2,500.00	Senior Trustee/B Group - JKD	Support for its innovative concerts in upstate New York.
Freedom Institute, Inc	2002398	\$5,000 00	Senior Trustee/B Group - EKF	General Support
Friends of Cunningham Park	2002191	\$38,500 00	Operating Trustees - City Life/Parks & Open Space	Three-year trail restoration project
Friends of Fort Tryon Park	2002159	\$20,000 00	Operating Trustees - City Life/Parks & Open Space	Support to maintain and improve Fort Tryon Park.
Friends of Hillside Dog Park Inc	2002177	\$8,000 00	Operating Trustees - City Life/Parks & Open Space	For a major tree planting project and for the design and pre-press costs for a calendar
Friends of Hudson	2002219	\$2,500.00	Senior Trustee/B Group - JKD	For this grassroots organization that aims to protect and promote Hudson - the city, the river and the valley
Friends of Hudson	2002443	\$10,000 00	Senior Trustee/B Group - RDK	For this grassroots organization that aims to protect and promote Hudson - the city, the river and the valley

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Friends of Morningside Park	2002170	\$40,000 00	Operating Trustees - City Life/Parks & Open Space	To promote outreach and community-based fundraising for Friends of Morningside Park
Friends of Pier 84	2002172	\$24,000 00	Operating Trustees - City Life/Parks & Open Space	For the rehabilitation and landscaping at DeWitt Clinton Park
Friends of the High Line	2002157	\$25,000 00	Operating Trustees - City Life/Parks & Open Space	For feasibility study on the preservation of the High Line
Friends of WFUNA	2002498	\$1,000.00	Senior Trustee/B Group - RDK	General support
Fundacion Amustad	2002188	\$35,000 00	Operating Trustees-Historic Preservation	For initial stages of a Cuban/Cuban-American/International consortium for the restoration and revitalization of valuable buildings and districts of 20th Century Havana.
Fundacion Amustad	2002383	\$75,000 00	Operating Trustees-Historic Preservation	\$165,000 for two forums entitled "Cuba. Creating its Future/Retaining its Past"- to preserve & enhance the architectural legacy of Cuba, \$25,000 for a NYC/Boston exhibition featuring the results of the Casa de las Americas' national competition for a new library
Fundacion Vida Silvestre Argentina	2002183	\$25,000 00	Operating Trustees-Conservation	To perform a tri-national diagnosis of the wild grasslands in the South America southern cone (Argentina, Uruguay and Brazil)
Gateway High School	2002467	\$5,000 00	C Group - TIGS	Gateway Scholar Program
Gateway High School	2002370	\$25,000 00	Senior Trustee/B Group - EKF	General support for this public charter high school in San Francisco
Gilman School	2002051	\$10,000 00	C Group - TIGS	The Gilman Fund 2001-2002
Good Old Lower East Side	2002473	\$1,000.00	Senior Trustee/B Group - RDK	General support for this neighborhood housing and preservation organization serving the lower east side of Manhattan.
Greater Jamaica Development Corporation	2002167	\$7,500 00	Operating Trustees - City Life/Parks & Open Space	To produce two program series in Rufus King Park. Arts in the Park and Out to Lunch, to expand bi-monthly calendar newsletter

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Grupo Ecologico Sierra Gorda I.A.P	2002087	\$5,000 00	Executive Director Initiated Grants	General support for this grassroots organization that focuses the general population in the regeneration and protection of the environment and its natural resurces
Hammond-Harwood House Association	2002317	\$2,500 00	C Group - TIGS	General Support
Harlem Tourist Institute	2002173	\$7,000.00	Operating Trustees - City Life/Parks & Open Space	For The Adam Clayton Powell Jr Boulevard Mall Preservation Committee - to print, distribute and mail 10,000 brochures to expand community outreach.
Harvard Unversity	2002251	\$20,000 00	Senior Trustee/B Group - RDK	For Graduate School of Design's Urban Design Studio, Fall 2002. "The La Rampa 20th Century Modern Preservation District" [Havana,Cuba]
Hebrew Union College-Jewish Institute of Religion	2002517	\$2,500 00	Senior Trustee/B Group - JKD	For the book, "Thurty Pieces/Thurty Years"
Herbert G Birch Services, Inc.	2002026	\$5,000 00	C Group - TIGS	Support for the Birch Family Camp
Historic Annapolis, Inc.	2002049	\$20,000 00	C Group - TIGS	General Support
Historic Annapolis, Inc.	2002432	\$25,000.00	BD/Lifetime Fund	Charles Carroll House Project - to assist with the short-term operational funding associated with the preservation and management of the Charles Carroll House.
Historic Districts Council, Inc.	2002220	\$5,000 00	Senior Trustee/B Group - JKD	General support for this organization dedicated to advocacy on behalf of historic neighborhoods, protecting the integrity of the Landmarks Law and furthering the preservation ethic
Historic Eastfield Foundation	2002328	\$3,000 00	Senior Trustee/B Group - JKD	For the periodical, "The Eastfield Record"
Historic House Trust of New York City, Inc.	2002516	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Historic Houses in New York City Parks" (thurd edition)
Historic House Trust of New York City, Inc.	2002290	\$7,500 00	Senior Trustee/B Group - JKD	General Support
Historic Hudson, Inc.	2002221	\$2,500 00	Senior Trustee/B Group - JKD	For its programs to protect, restore and revitalize the architectural and cultural herntage of Hudson, New York.
Historic Hudson, Inc.	2002329	\$3,000 00	Senior Trustee/B Group - JKD	For the reproduction and publication of photographs from Historic Hudson's Collection

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Hoops for Hope	2001323	\$10,000 00	C Group - TIGS	General support for this organization that supports youth athletics in Southern Africa
Hotchkiss Library of Sharon	2002569	\$150 00	Staff Initiated Grants (Matching)	3 1 matching grant for general support
HUDSON AREA ASSOCIATION LIBRARY	2002548	\$500.00	Senior Trustee/B Group - JKD	General Support
Hudson Opera House, Inc.	2002222	\$10,000.00	Senior Trustee/B Group - JKD	General support for this historic old City Hall building that serves as a civic and cultural center for the residents of Hudson and surrounding communities
Hudson Opera House, Inc.	2002297	\$10,000 00	Senior Trustee/B Group - RDK	General support for this historic old City Hall building that serves as a civic and cultural center for the residents of Hudson and surrounding communities
Hudson River Heritage, Inc.	2002223	\$5,000 00	Senior Trustee/B Group - JKD	General Support
Hudson River Sloop Clearwater, Inc	2002224	\$2,500 00	Senior Trustee/B Group - JKD	General support for their education and advocacy programs that seek to preserve the ecological and historical integrity of the Hudson River
Hudson Valley Chamber Music Circle	2002213	\$2,500.00	Senior Trustee/B Group - JKD	General Support
Hudsonia Limited	2002225	\$2,500 00	Senior Trustee/B Group - JKD	General Support
Independent Curators International	2002330	\$7,500 00	Senior Trustee/B Group - JKD	For the book, "Artists In Their Studios ICI in New York"
inMotion, Inc.	2002046	\$10,000 00	C Group - TIGS	General Support
Institute for Media Analysis, Inc.	2002291	\$20,000 00	Senior Trustee/B Group-MEK	For a Democracy Now! radio/tv series from East Timor
International Crisis Group (ICG)	2002489	\$3,000 00	C Group - TIGS	General support for this organization whose work as a source for analysis and advice to governments aims to prevent and and contain mass violence.
International Crisis Group (ICG)	2002488	\$5,000 00	C Group - TIGS	General support for this organization whose work as a source for analysis and advice to governments aims to prevent and and contain mass violence.
International Labor Rights Fund	2002108	\$25,000 00	Senior Trustee/B Group-MEK	To support the efforts of the Indonesia Human Rights Network
Irish Georgian Society, Inc.	2002014	\$10,000 00	Senior Trustee/B Group - RDK	Support for IGS's historic and architectural preservation and conservation programs.

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IUCN - The World Conservation Union	2002185	\$25,000 00	Operating Trustees-Conservation	Planning grant to prepare an international action plan for the protection of living marine resources
Johns Hopkins University	2002331	\$10,000.00	Senior Trustee/B Group - JKD	For the book, "Architecture and Town Planning in Colonial North America" 3 Volume Set
Land Institute	2002475	\$30,000.00	Executive Director Initiated Grants	Support for this organization dedicated to the search for principles of ecological agriculture.
Libraries for the Future	2002332	\$5,000 00	Senior Trustee/B Group - JKD	For the pamphlet, "Newcomers Guide to the Public Library"
Maine Writers and Publishers Alliance	2002333	\$5,000.00	Senior Trustee/B Group - JKD	For the book, "Temple Stream"
Make A Wish Foundation of America	2002538	\$225 00	Staff Initiated Grants (Matching)	3 1 matching grant for general support
Manhattan Institute for Policy Research	2002452	\$130,000 00	Senior Trustee/B Group - EKF	\$100,000 Social Entrepreneurship Initiative \$30,000 Social Entrepreneurship Fund
Marine Conservation Biology Institute	2002485	\$10,000.00	Operating Trustees-Conservation	2-day forum of leading conservation and sportfishing advocacy organizations
Martha Graham Center of Contemporary Dance	2002226	\$5,000 00	Senior Trustee/B Group - JKD	General operating support for the Martha Graham School and Company
Maryland Hall for the Creative Arts, Inc.	2002120	\$5,000 00	C Group - TIGS	General Support
Massachusetts Institute of Technology	2002520	\$5,000 00	JKD/ Lifetime Fund	For pamphlets for the Percent for Art Program
McCord Museum	2002343	\$3,500 00	Senior Trustee/B Group - JKD	For the book, "Across Borders: Beadwork in Iroquois Life"
Mexico-North Research Network	2002008	\$30,000 00	Operating Trustees-Historic Preservation	To increase Mexican participation in a transnational consortium
Migration Policy Institute	2002003	\$550,000.00	Operating Trustees-Migration	To develop the Migration Information Source, a web-based resource for high quality and up-to-date migration news, data and analysis
Milkweed Editions	2002521	\$3,500 00	Senior Trustee/B Group - JKD	For the book, "Alligator Crossing"
Millennium Film Workshop Inc.	2002334	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Dove Bradshaw, 1969-2001"

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Minetta Brook	2002559	\$1,000 00	Senior Trustee/B Group - JKD	General Support
Mirror Theater Ltd.	2002242	\$25,000 00	C Group - TIGS	For the Arts in Education Program - The Goodhap Troupe.
Mount Pleasant Public Library	2002539	\$75 00	Staff Initiated Grants (Matching)	3 1 matching grant for general support
Musica Sacra	2002556	\$2,500 00	Senior Trustee/B Group - JKD	General Support
National Academy of Design	2002134	\$10,000 00	Senior Trustee/B Group - RDK	General Operating Support
National Environmental Trust	2002005	\$100,000 00	Operating Trustees- Conservation	For NET's American Oil National Security Public Education Campaign
Natural Resources Defense Council, Inc.	2002394	\$35,000 00	Operating Trustees- Conservation	"Defending and Implementing California's AB 1493 & Similar State Initiatives"
Natural Resources Defense Council, Inc.	2002501	\$10,000 00	C Group - TIGS	General Support
Neighborhood Coalition For Shelter, Inc	2002229	\$2,500 00	Senior Trustee/B Group - JKD	For its broad range of services to homeless single adults on the Upper East Side.
Neighborhood Preservation Center	2002552	\$2,500 00	Senior Trustee/B Group - JKD	General Support
New York City Opera, Inc	2002230	\$7,500.00	Senior Trustee/B Group - JKD	General support for the 2002/2003 season.
New York City Opera, Inc.	2002553	\$2,500 00	Senior Trustee/B Group - JKD	General Support
New York Educational Service Team Inc.	2001123	\$7,500 00	C Group - TIGS	To support the "Earl Manigault Walk Away From Drugs Foundation" Summer Basketball League.
New York Public Interest Research Group	2002154	\$25,000 00	Operating Trustees - City Life/Parks & Open Space	NYPIRG's Community Mapping Assistance Project
New York Regional Association of Grantmakers, Inc.	2002010	\$7,700 00	Operating Trustees - Other	2002 Membership

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
New York Road Runners Foundation Inc.	2002402	\$250.00	Senior Trustee/B Group - EKF	For Running Partners "Team for Kids" Program that links the sport of running to success in school and life.
New York Shakespeare Festival	2002314	\$5,000.00	Senior Trustee/B Group - JKD	General support for The Public Theater's 2001 season.
New York Society Library Trustees	2002231	\$2,500 00	Senior Trustee/B Group - JKD	General Support
New York State Archives Partnership Trust	2002522	\$7,500 00	Senior Trustee/B Group - JKD	For the periodical, "New York Archives"
New York University	2002524	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "The Selected Papers of Margaret Sanger, Volume II. The Politics of Planned Parenthood, 1928-1939"
New Yorkers for Parks	2002153	\$150,000 00	Operating Trustees - City Life/Parks & Open Space	To continue advocacy for improved and equitable delivery of parks and recreation services throughout NYC's five boroughs
Nightingale-Barnford School	2002250	\$300 00	Staff Initiated Grants (Matching)	3 1 matching grant for the Annual Fund
Nimon S Hopkins Conservation Education Center	2002466	\$2,000 00	Executive Director Initiated Grants	For conservation-based materials for education programs
North Country School	2002232	\$2,500 00	Senior Trustee/B Group - JKD	General Support
North Country School	2002367	\$25,000 00	C Group - TIGS	For "The Okin Capital Improvement Fund"
North Shore Country Day School	2002508	\$2,500.00	C Group - TIGS	General Support (Matching)
Northern Manhattan Coalition for Economic Development	2002171	\$21,000 00	Operating Trustees - City Life/Parks & Open Space	3 1 matching grant for Inwood Hill Park.
Northwest Atlantic Marine Alliance Inc	2002181	\$25,000 00	Operating Trustees-Conservation	General Operating Support
Northwestern University	2002444	\$75,000.00	Senior Trustee/B Group - EKF	Continued support for the ABCD Neighborhood Circle Project
Nova Scotia Lighthouse Preservation Society	2002276	\$50,000 00	Operating Trustees-Historic Preservation	General support for this organization that works to preserve and protect Nova Scotia's historic lighthouses
Ocean Arks International	2002274	\$10,000.00	Executive Director Initiated Grants	For an Agriculturally Based Eco-Industrial Park in Burlington, VT
Off the Street Club	2002494	\$1,000 00	C Group - TIGS	General Support

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Open Space Institute, Inc.	2002407	\$12,500 00	Honorary Trustees	General Support
openhousenewyork (OHNY)	2002361	\$5,000 00	Senior Trustee/B Group - RDK	General Support for the NYC Program
Peabody Essex Museum Inc.	2002525	\$6,000 00	Senior Trustee/B Group - JKD	For the book, "The Furniture of John and Thomas Seymour"
PEN American Center, Inc.	2002233	\$7,500 00	Senior Trustee/B Group - JKD	General Support for PEN American Center's literary and education programs
Playwrights' Preview Productions	2002304	\$5,000 00	Senior Trustee/B Group - RDK	General Support
Playwrights' Preview Productions	2002044	\$15,000 00	Senior Trustee/B Group - RDK	General Support for Urban Stages
Poets House	2002174	\$30,000 00	Senior Trustee/B Group-MEK	General Support
Pratt Area Community Council (PACC)	2002166	\$10,000 00	Operating Trustees - City Life/Parks & Open Space	To establish the "Friends of Crispus Attucks Park" Group
Preservation Maryland	2002052	\$2,500 00	C Group - TIGS	General Support
Princeton University Press	2002526	\$10,000 00	Senior Trustee/B Group - JKD	For the book, "The Shipcarvers' Art Figure Carving in Nineteenth-Century America"
Princeton University Press	2002336	\$5,000.00	Senior Trustee/B Group - JKD	For the book, "Saracens, Demons, and Jews Making Monsters in Medieval Art"
Prospect Park Alliance, Inc.	2002102	\$15,000 00	Operating Trustees - City Life/Parks & Open Space	To support the work of Brooklyn Parks Advocates, a coalition of park volunteer and advocacy groups seeking to increase funding and restores basic services to all Brooklyn parks
Prospect Park Alliance, Inc.	2002149	\$52,500 00	Operating Trustees - City Life/Parks & Open Space	Website and Geographic Information System (GIS) Development
Prospect Park Alliance, Inc	2002337	\$5,000.00	Senior Trustee/B Group - JKD	For the book, "Guide to Birding in Prospect Park"
Ragdale Foundation	2002503	\$5,000 00	C Group - TIGS	General Support (Matching)
Rainforest Alliance	2002493	\$5,000 00	C Group - TIGS	General Support
Rainforest Alliance	2002001	\$50,000 00	Operating Trustees- Conservation	For an Intergrated Landscape Conservation Initiative in the Selva Maya

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Regional Plan Association, Inc.	2002380	\$50,000 00	Senior Trustee/B Group - RDK	"Re-thinking RPA"
Regional Plan Association, Inc.	2002479	\$25,000 00	Senior Trustee/B Group - RDK	New Amsterdam Waterfront Exchange, a project to demonstrate new ways to revitalize New York's bypassed waterfront communities through a partnership with our counterparts in Amsterdam.
Regional Plan Association, Inc.	2002478	\$25,000.00	Senior Trustee/B Group - RDK	Civic Alliance Planning Workshop, an intensive four-day workshop in December 2002 focusing on the next phase of work of the Civic Alliance to Rebuild Downtown New York.
Regional Plan Association, Inc	2002267	\$110,000 00	Senior Trustee/B Group - RDK	\$100,000 "Re-thinking RPA" \$10,000 Development & Outreach
Regional Plan Association, Inc.	2002387	\$125,000 00	Operating Trustees-City Life/Transportation	"Financing 21st Century New York Mass Transit" Project
Regional Plan Association, Inc.	2002241	\$3,000 00	C Group - TIGS	For the Governors Island Flotilla on June 2, 2002.
Regional Plan Association, Inc.	2002048	\$100,000 00	Senior Trustee/B Group - RDK	\$60,000 for "Rethinking RPA," \$25,000 for film "New York. New City. New York City" and \$15,000 General Support.
Resources for the Future Inc.	2002435	\$2,500 00	Honorary Trustees	General support for this nonpartisan thinktank that conducts independent research, rooted primarily in economics and other social sciences, on natural resource and environmental issues to improve policymaking worldwide.
Rice University	2002542	\$75 00	Staff Initiated Grants (Matching)	3 1 matching grant for Annual Fund
Riverdale Nature Preservancy	2002164	\$25,000 00	Operating Trustees - City Life/Parks & Open Space	To extend the Endor Community Garden trails into a Hudson River Parkway Historical Walk
Riverkeeper	2002235	\$7,500 00	Senior Trustee/B Group - JKD	General support to continue their efforts in the Watershed Protection Program and Hudson River Access Program.
Riverside Park Fund, Inc	2002156	\$75,000.00	Operating Trustees - City Life/Parks & Open Space	\$45,000 North Park Outreach \$30,000 General Operating Support

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Rockefeller Family Fund	2002193	\$1,100 00	Operating Trustees - Other	2002 Environmental Grantmakers Association Membership
Rockefeller Family Fund	2002194	\$1,000 00	Operating Trustees - Other	2002 Grant Managers Network Membership
Rocky Mountain Institute	2002502	\$10,000.00	C Group - TIGS	\$7,000 General Support \$3,000 North Country Treetops Farm & Garden Program
Royal Oak Foundation, Inc.	2002015	\$15,000 00	Senior Trustee/B Group - RDK	\$10,000 for the 2002 Royal Oak Competition in the Architectural Arts/\$5,000 General Operating Support
Sadler's Wells Association Inc.	2002497	\$10,000 00	C Group - TIGS	General Support
Saint Ann's School	2002491	\$7,500 00	C Group - TIGS	General Support
Saint Ann's School	2002490	\$52,000 00	C Group - TIGS	\$50,000 for the Building Fund, \$2,000 General Operating Support
Saint John's College	2002284	\$10,000 00	C Group - TIGS	General Support
Saskatchewan Heritage Foundation	2002007	\$50,000 00	Operating Trustees-Historic Preservation	To preserve and restore Saskatchewan's rural heritage sites and structures
Scenic Hudson, Inc.	2002237	\$5,000 00	Senior Trustee/B Group - JKD	General Support
Schneider Fund for Young Musicians	2002175	\$3,500.00	Senior Trustee/B Group-MEK	2002 New York String Orchestra Seminar
Sharon Fire Department Inc.	2002570	\$150.00	Staff Initiated Grants (Matching)	3.1 matching grant for general support
Sharon Historical Society	2002568	\$150 00	Staff Initiated Grants (Matching)	3 1 matching grant for general support
Sharon Land Trust Inc.	2002550	\$150 00	Staff Initiated Grants (Matching)	3 1 matching grant for General Support
Small Press Center	2002344	\$5,000.00	Senior Trustee/B Group - JKD	For the map, "A Map to Literary New York"
Smithsonian Institution	2002468	\$1,000 00	Senior Trustee/B Group - JKD	Support for the Cooper-Hewitt National Design Award
Smithsonian Institution	2002238	\$7,500.00	Senior Trustee/B Group - JKD	Support for the Cooper-Hewitt National Design Museum
SoHo Partnership, Inc.	2002059	\$15,000 00	Senior Trustee/B Group - RDK	General Support

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Southern Poverty Law Center	2002496	\$10,000.00	C Group - TIGS	General support for this organization that combats hate, intolerance and discrimination through education and litigation.
Spinner Publications Inc.	2002340	\$7,500.00	Senior Trustee/B Group - JKD	For the book, "Drifting Toward the Southeast: The Story of Five Chinese Castaways"
St. Gabriel's Episcopal Church	2002381	\$500 00	C Group - TIGS	For historical preservation work on St. Gabriel's Church
St. Luke's Chamber Ensemble, Inc.	2002236	\$5,000.00	Senior Trustee/B Group - JKD	General support for the Orchestra of St. Luke's 2002 season.
SUNY New Paltz Foundation Inc.	2002528	\$3,500 00	Senior Trustee/B Group - JKD	For the book, "Bolton Coit Brown. A Selected Retrospective, 1890-1935"
Support Center for Nonprofit Management	2002536	\$450 00	Staff Initiated Grants (Matching)	3 1 matching grant for general support
Susan Smith Blackburn Prize	2002064	\$1,000 00	Senior Trustee/B Group - RDK	General Support
Sustainable Development Institute	2002341	\$5,000.00	Senior Trustee/B Group - JKD	For the book, "Growing Smarter: A Rural County's Struggle for Open Space, and Its Soul"
Take the Field, Inc.	2002266	\$10,000 00	C Group - TIGS	General support for this public-private partnership that rebuilds the athletic facilities of New York City public schools
Take the Field, Inc	2002155	\$50,000 00	Operating Trustees - City Life/Parks & Open Space	General support for this public-private partnership that rebuilds the athletic facilities of New York City public schools
The Adirondack Council, Inc.	2002405	\$15,000 00	Honorary Trustees	Campaign for the Forever Wild Fund
The Association for the Protection of the Adirondacks	2002212	\$5,000 00	Senior Trustee/B Group - JKD	General Support
The Bard Graduate Center for Studies in the Decorative Arts	2002436	\$1,000 00	Senior Trustee/B Group - RDK	BGC Scholarship Fund
The Bard Graduate Center for Studies in the Decorative Arts	2002371	\$5,000 00	Senior Trustee/B Group - RDK	General Support
The Bay Institute	2002321	\$10,000 00	Senior Trustee/B Group - JKD	For the book, "San Francisco Bay: The Estuary and Its People"
The Brearley School	2002535	\$300 00	Staff Initiated Grants (Matching)	3 1 matching grant for the Annual Fund

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
The City College 21st Century Foundation	2002390	\$135,000.00	Operating Trustees-City Life/Transportation	"Liberate the Streets - A New Approach to New York City Congestion" Project
The Cornucopia Society	2002160	\$3,000 00	Operating Trustees - City Life/Parks & Open Space	For Queens Coalition for Parks' Queens Speakout Event, Tool Loan Program and Website.
The Cornucopia Society	2002158	\$6,000 00	Operating Trustees - City Life/Parks & Open Space	To offer 4 events in the parks, for plantings in both parks
The Culture Project	2002312	\$10,000 00	Senior Trustee/B Group - RDK	General Support
The Drawing Center	2002132	\$5,000 00	C Group - TIGS	General Support
The Edna St. Vincent Millay Society	2002554	\$1,000.00	Senior Trustee/B Group - JKD	For the programs of the Friends of the Millay Society at Steepletop
The Foundation Center	2002395	\$5,500 00	Operating Trustees - Other	Membership
The Franklin and Eleanor Roosevelt Institute	2002404	\$1,000 00	Senior Trustee/B Group - RDK	General Support
The Geography and Mapping Institute, Inc.	2002514	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Manhattan Line by Line. A Subway and Bus Atlas"
The George Balanchine Foundation	2002495	\$5,000 00	C Group - TIGS	General support for this organization that maintains the legacy of Balanchine's life and work.
The George Mason University Foundation	2002472	\$50,000 00	Senior Trustee/B Group - EKF	Project for New Inquiry into Social Research
The Green-Wood Historic Fund	2002327	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Saved in Time"
The Grolier Club of the City of New York	2002515	\$8,500 00	Senior Trustee/B Group - JKD	For the book, "The Grolier Club Collects Books, Manuscripts & Works on Paper from the Collections of Grolier Club Members"
The Horticultural Society of New York, Inc.	2002192	\$20,000 00	Operating Trustees - City Life/Parks & Open Space	For GreenBranches, a project that designs, installs and maintains gardens around selected branch libraries
The Institute for Urban Design	2002269	\$10,000.00	Senior Trustee/B Group - RDK	General Support

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The Jacob A. Rus Neighborhood Settlement House	2002161	\$5,000 00	Operating Trustees - City Life/Parks & Open Space	To fund a series of summer events at Queensbridge Park.
The Key School	2002050	\$10,000.00	C Group - TIGS	Annual Fund
The Lake Forest Preservation Foundation	2002504	\$2,500.00	C Group - TIGS	General Support
The Leukemia & Lymphoma Society	2002396	\$975.00	Staff Initiated Grants (Matching)	3 1 matching grant for general support.
The Literary Classics of the United States, Inc.	2002518	\$8,000.00	Senior Trustee/B Group - JKD	For the book, "Reporting Civil Rights American Journalism 1941-1973"
The Mariners' Museum	2002519	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "New York's Waterfront and Harbor Life in the 20th Century"
The Municipal Art Society of New York	2002551	\$2,500 00	Senior Trustee/B Group - JKD	General Operating Support
The Municipal Art Society of New York	2002227	\$20,000 00	Senior Trustee/B Group - JKD	Urban Center Bookstore
The Municipal Art Society of New York	2002403	\$5,000.00	Senior Trustee/B Group - RDK	General Support
The Nation Institute	2002507	\$2,500.00	Senior Trustee/B Group - JKD	General Support
The National Security Archive	2002129	\$25,000 00	Senior Trustee/B Group-MEK	Indonesia/East Timor Documentation Project
The Nature Conservancy	2002067	\$250,000 00		1.3 matching grant for the operational/maintenance fees for the South Fork-Shelter Island Chapter headquarters (formerly J.M. and Alice M. Kaplan Estate)
The Nature Conservancy	2002228	\$5,000 00	Senior Trustee/B Group - JKD	General support for the New York State Chapter
The Nature Conservancy	2002566	\$400,000 00	Operating Trustees-Conservation	Challenge grant to The Nature Conservancy of New Mexico for protection of grasslands in NW Chihuahua
The Nature Conservancy	2002187	\$50,000 00	Operating Trustees-Conservation	Legal services for Mexican land conservation strategies of local enviro coalitions
The New York Botanical Garden	2002265	\$20,000.00	Senior Trustee/B Group - RDK	Support for the Institute of Economic Botany
The New York Botanical Garden	2002249	\$2,000 00	Senior Trustee/B Group - RDK	General Support

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The New York Foundation for the Arts	2002463	\$147,453.25	Senior Trustee/B Group - EKF	The "Neglected Art Fund"
The New York Foundation for the Arts	2002065	\$10,000.00	Senior Trustee/B Group - RDK	For The Richard D Kaplan Donor-Advised Fund
The New York Foundation for the Arts	2002540	\$20,000.00	Senior Trustee/B Group - RDK	The Richard D Kaplan Donor-Advised Fund
The New York Foundation for the Arts	2002430	\$200,000.00	Senior Trustee/B Group-MEK	For the Artists' Fund
The New York Foundation for the Arts	2002470	\$24,500.00	C Group - TIGS	The "Neglected Art Fund"
The New York Foundation for the Arts	2002471	\$52,500.00	C Group - TIGS	The "Neglected Art Fund"
The New York Foundation for the Arts	2002243	\$150,000.00	Senior Trustee/B Group-MEK	For the Artists' Fund
The New York Foundation for the Arts	2002431	\$100,000.00	MEK/Lifetime Fund	For the Artists' Fund
The New York Kunsthalle	2002078	\$5,000.00	C Group - TIGS	General support for this European-style art hall that exhibits contemporary art
The New-York Historical Society	2002523	\$5,000.00	Senior Trustee/B Group - JKD	For "The New-York Historical Society Quarterly"
The New-York Historical Society	2002335	\$5,000.00	Senior Trustee/B Group - JKD	For "New-York Historical Society Quarterly"
The Point Community Development Corporation	2002040	\$25,000.00	C Group - TIGS	To recruit and train new stipended interns for the newspaper and to sustain the position of Web Coordinator, engage a website consultant, and establish three stipended intern positions to help maintain and enhance the website
The Preservation League of New York State	2002294	\$10,000.00	Senior Trustee/B Group - JKD	General Support
The Preservation League of New York State	2002016	\$1,200.00	Senior Trustee/B Group - RDK	For "The Pillar of New York Award"
The San Francisco Art and Film Program	2002176	\$5,000.00	Senior Trustee/B Group-MEK	General Support
The San Francisco Art and Film Program	2002289	\$10,000.00	C Group - TIGS	General support for this cultural enrichment program for teens
The Sequoyah School	2002077	\$3,500.00	Senior Trustee/B Group - EKF	General Support
The Sheep Meadow Press	2002339	\$10,000.00	Senior Trustee/B Group - JKD	For the book, "Piero della Francesca"

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The Sierra Club Foundation	2002009	\$65,000 00	Operating Trustees-Conservation	Fuel Economy Campaign
The Skyscraper Museum	2002268	\$10,000 00	Senior Trustee/B Group - RDK	Towards the challenge grant for the entrance canopy installation by artist James Turrell
The Sonoran Institute	2002182	\$20,000.00	Operating Trustees-Conservation	"Strategic Plan for Protection and Restoration of the Santa Cruz River, A Trans-border Watershed"
The Triangle Arts Association Ltd.	2002316	\$8,000.00	C Group - TIGS	2002 Triangle Artists' Workshop
The Walters Art Museum	2002533	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Italian Paintings. Masterpieces from the Walters Art Museum"
Thirteen WNET	2002537	\$75.00	Staff Initiated Grants (Matching)	3 1 matching grant for general support
Thomas Sill Foundation Inc.	2002275	\$50,000 00	Operating Trustees-Historic Preservation	To establish the Manitoba Prairie Churches Program
Times Community Services	2002055	\$5,000.00	C Group - TIGS	Clay Street Computer Learning Center
Tivoli Free Library	2002397	\$1,000 00	Senior Trustee/B Group - JKD	General Support
Township of East Amwell	2002558	\$3,000 00	Senior Trustee/B Group - JKD	For pamphlet, "Spanning History The Historic Bridges of Hunterdon County"
Transportation Alternatives	2002389	\$40,000.00	Operating Trustees-City Life/Transportation	\$48,000 to conduct a fare policy survey, \$6,800 to expand their advocacy for improved local and express bus service in NYC in partnership with NYPIRG
Transportation Alternatives	2002480	\$140,000.00	Operating Trustees-City Life/Transportation	Transportation Alternatives in partnership with the Straphangers Campaign/NYPIRG - "Finding the Silver Lining for Buses," continued and expanded advocacy for better bus service in NYC.
Tri-State Transportation Campaign	2002483	\$25,000 00	Operating Trustees-City Life/Transportation	Advocacy Campaign to achieve tolls on NYC's East River bridges in partnership with Komanoff Energy Associates

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
Trust for Public Land	2002150	\$100,000 00	Operating Trustees - City Life/Parks & Open Space	To acquire a 2.6 acre parcel (along with 6 acres of underwater land) known as the Bushwick Inlet
Trustees of Columbia University in the City of New York	2002217	\$5,000.00	Senior Trustee/B Group - JKD	General support for the Avery Architectural and Fine Arts Library
U.S PIRG Education Fund	2002006	\$50,000 00	Operating Trustees- Conservation	To expand public education, media and grassroots organizing campaign on global warming and energy policy
University of California, Berkeley	2002338	\$10,000 00	Senior Trustee/B Group - JKD	For the book, "The Papers of Emma Goldman. The American Years"
University of Georgia Foundation	2002531	\$7,500 00	Senior Trustee/B Group - JKD	For the book, "An Outdoor Guide to Bartram's Travels"
University of Miami	2002189	\$30,000 00	Operating Trustees-Historic Preservation	Phase II of a program designed to link preservation and development efforts in Havana with educational opportunities for architecture students and professionals in both the U.S and Cuba.
University of Miami	2002079	\$20,000 00	Executive Director Initiated Grants	Planning grant for Phase I of a program designed to link preservation and development efforts in Havana, Cuba.
University of Miami	2002385	\$100,000 00	Operating Trustees-Historic Preservation	Phase II of collaborative program designed by Andres Duany and Elizabeth Plater-Zybeck to include (1) two urban design workshops - one each in Miami and Havana, (2) initiate outreach programs and cultural exchanges, (3) establish communication programs aimed at strengthening cultural bonds and enhancing professional dialogue in Cuba.
University of Michigan	2002527	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Designing a Healthy America. Bicycle Paths, Parks and Streets"
University of Missouri	2002486	\$7,000 00	Senior Trustee/B Group - RDK	General Support for the Art Department
University of Nevada	2002345	\$3,000 00	Senior Trustee/B Group - JKD	For the book, "Playa Works In Search of Dry Lake Beds in America's Western Deserts"

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
University of Pennsylvania Press	2002346	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Constructing America. American Writing About Architecture, Urbanism, and Place"
University of Virginia	2002347	\$5,000 00	Senior Trustee/B Group - JKD	For the book, "Literature of Place"
University of Wisconsin Foundation	2002532	\$10,000 00	Senior Trustee/B Group - JKD	For the book, "Dictionary of American Regional English"
Urban School of San Francisco	2002319	\$2,500 00	Senior Trustee/B Group - EKF	General Support
Village Preservation Society of East Hampton	2002012	\$3,500.00	Senior Trustee/B Group - EKF	General Support
W.A.M.C.	2002348	\$5,500 00	Senior Trustee/B Group - JKD	General Support
W.A.M.C.	2002239	\$2,000 00	Senior Trustee/B Group - JKD	General Support
West Side Montessori School	2002541	\$10,000 00	Executive Director Initiated Grants	General Support
Westminster College	2002066	\$10,000 00	Senior Trustee/B Group - RDK	For the development of the Winston Churchill Memorial Library's archives and museum collections
Westminster College	2002433	\$5,000 00	Senior Trustee/B Group - RDK	General support for the Winston Churchill Memorial & Library
Whitney Museum of American Art	2002349	\$10,000.00	Senior Trustee/B Group - JKD	For the book, "Elie Nadelman"
Wilderstein Preservation	2002240	\$2,500 00	Senior Trustee/B Group - JKD	General Support
Wildlife Conservation Society	2002350	\$8,000.00	Senior Trustee/B Group - JKD	For the book, "Adirondack Atlas"
Windows of Opportunity	2002534	\$6,000.00	Senior Trustee/B Group - JKD	For the book, "Futures in American Housing: Redevelopment of ALBA Homes"
Women's Commission for Refugee Women and Children	2002299	\$20,000 00	C Group - TIGS	General support for this research, public education and advocacy organization dedicated to understanding and improving the lives of refugee women and children.
World Monuments Fund, Inc.	2002562	\$300,000 00	Operating Trustees-Historic Preservation	To establish a special "J M. Kaplan Fund" at WMF, the disbursement of which will be jointly decided by staff of JMKF and WMF

Grantee Name	Request ID	Amount	Fund Donor	Request Project Description
World Wildlife Fund	2002002	\$200,000.00	Operating Trustees-Conservation	To implement a binational program for prairie restoration and conservation in the Northern High Plains.
World Wildlife Fund Canada	2002565	\$150,000.00	Operating Trustees-Conservation	General support for its marine system work to protect the waters off North America
YADDO	2002124	\$50,000.00	Honorary Trustees	Renewed support for the renovation of the Pigeon Barn Studios
Young Concert Artists, Inc.	2002128	\$5,000.00	Senior Trustee/B Group - JKD	General support for YCA's 2002 season
Scripps College	2001436	\$15,000		For Phase 1 of Palau archives project
The National Trust	2001404	\$6,000		For the book "Miniatures in National Trust Houses"
The New York Foundation for the Arts	2001380	\$1,500		For the Artists Fund.
Sadler's Wells	2001375	\$9,000		General Support
The Nature Conservancy	2001233	\$5,000		Long-term conservation of the Cuatro Esquinas region biodiversity.
Tivoli Free Library	2001042	\$500		General Support
Friends of Westerleigh Park	2001111	\$7,500		To support Staten Island parks Advocacy Group E Endeavor's initial outreach efforts.
Isamu Noguchi Foundation, Inc.		\$600,000		Donated Martha Graham dance sets.
Tctal		<u>\$8,766,428</u>		

The J M Kaplan Fund, Inc
Grants Payable
31-Dec-02

<u>Organization</u>	<u>Amount</u>
Heritage Trails NY Fund	\$ 38,631 69
UNAM-Universidad	\$ 5,000
Egan Foundation	\$ 2,500
Trust for Public Land	\$ 50,000
	<u>\$ 96,131 69</u>

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box
- If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only Part II (on page 2 of this form)

Note Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time — Only submit original (no copies needed)

Note Form 990-T corporations requesting an automatic 6-month extension — check this box and complete Part I only

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization <u>The J M Kaplan Fund, Inc.</u>	Employer identification number <u>13-6090286</u>
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions <u>c/o CONDON O'MEARA MCGINTY & DONNELLY LLP</u>	
	City, town or post office, state, and ZIP code. If a foreign address, see instructions <u>NEW YORK, NY 10004-2442</u>	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until AUG 15, 2003, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- calendar year 2002 or
- tax year beginning _____, 20____, and ending _____, 20____

2 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ 25,000

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ 39,516

c **Balance Due** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions \$ -0-

Signature and Verification

Under penalties of perjury I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete and that I am authorized to prepare this form.

ACCOUNTANTS AUTHORIZED TO

MAY 09 2003

Signature ►

Title ► **PREPARE RETURNS**

Date ►

- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box **Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.**
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time — Must File Original and One Copy

Type or print	Name of Exempt Organization <i>The J.M. Kaplan Fund, Inc</i>	Employer identification number <i>13-6090286</i>
File by the extended due date for filing the return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions CONDON O'MEARA MCGINTY & DONNELLY LLP	For IRS use only
	City, town or post office, state, and ZIP+4® address, see instructions NEW YORK NY 10004-2442	

Check type of return to be filed (File a separate application for each return)

- Form 990 Form 990-EZ Form 990-T (sec. 401(a) or 408(a) trust) Form 1041-A Form 5227 Form 8870
- Form 990-BL Form 990-PF Form 990-T (trust other than above) Form 4720 Form 6069

STOP Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOV 15, 2003

5 For calendar year 2002, or other tax year beginning _____, 20____ and ending _____, 20____

6 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period

7 State in detail why you need the extension THE INFORMATION NECESSARY TO COMPLETE THE RETURN IS NOT AND WILL NOT BE AVAILABLE BY THE DUE DATE. THEREFORE, WE RESPECTFULLY REQUEST ADDITIONAL TIME TO PROPERLY COMPLETE THE RETURN

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ 25,000

b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 \$ 39,516

c Balance Due Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ -0-

Signature and Verification

Under penalties of perjury I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete and that I am authorized to prepare this form.

Signature  Title **ACCOUNTANTS AUTHORIZED TO PREPARE RETURNS** Date **AUG 07 2003**

Notice to Applicant — To Be Completed by the IRS

- We have approved this application. Please attach this form to the organization's return.
- We have not approved this application. However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions). This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization's return.
- We have not approved this application. After considering the reasons stated in Item 7, we cannot grant your request for an extension of time to file. We are not granting a 10-day grace period.
- We cannot consider this application because it was filed after the due date of the return for which an extension was requested.
- Other _____

Director _____ By _____ Date _____

Alternate Mailing Address — Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above

Type or print	Name CONDON O'MEARA MCGINTY & DONNELLY LLP
	Number and street (include suite, room, or apt. no.) If a P.O. box, number 3 NEW YORK PLAZA
	City or town, province or state, and country (including postal or ZIP code) NEW YORK, N Y 10004-2442

EXTENSION APPROVED
AUG 28 2003
LINDA WEISKOPF, FIELD DIRECTOR
SUBMISSION PROCESSING, OGDEN