

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation F.M. KIRBY FOUNDATION, INC.		A Employer identification number 51-6017929
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (973) 538-4800
17 DEHART STREET		
City or town, state or province, country, and ZIP or foreign postal code MORRISTOWN, NJ 07963-0151		
G Check all that apply:		C If exemption application is pending, check here. <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	D 1 Foreign organizations, check here. <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 365,829,290.		
J Accounting method. <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments.				
4	Dividends and interest from securities	8,390,735.	8,390,735.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	7,018,431.			
b	Gross sales price for all assets on line 6a 204,963,169.				
7	Capital gain net income (from Part IV, line 2)		7,018,431.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH 2	-164,119.	-164,119.		
12	Total. Add lines 1 through 11	15,245,047.	15,245,047.		
13	Compensation of officers, directors, trustees, etc.	559,432.	279,716.		279,716.
14	Other employee salaries and wages	59,285.	29,643.		29,642.
15	Pension plans, employee benefits	149,041.	74,521.		74,520.
16a	Legal fees (attach schedule) ATCH 3	1,163.	582.		581.
b	Accounting fees (attach schedule) ATCH 4	45,000.	22,500.		22,500.
c	Other professional fees (attach schedule) [5]	1,604,406.	1,604,406.		
17	Interest				
18	Taxes (attach schedule) (see instructions) [6]	182,032.	22,268.		22,268.
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	20,622.	10,311.		10,311.
22	Printing and publications	6,252.	3,126.		3,126.
23	Other expenses (attach schedule) ATCH 7	89,032.	44,518.		44,514.
24	Total operating and administrative expenses. Add lines 13 through 23.	2,716,265.	2,091,591.		487,178.
25	Contributions, gifts, grants paid	66,308,500.			42,271,500.
26	Total expenses and disbursements. Add lines 24 and 25	69,024,765.	2,091,591.	0.	42,758,678.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-53,779,718.			
b	Net investment income (if negative, enter -0-)		13,153,456.		
c	Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		3,077,604.	75,462.	75,462.
	2	Savings and temporary cash investments		1,735,748.	29,270,828.	29,270,828.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable.				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use.				
	9	Prepaid expenses and deferred charges ATCH 8 .		179,072.	41,576.	41,576.
	10a	Investments - U S and state government obligations (attach schedule) . .				
	b	Investments - corporate stock (attach schedule) ATCH 9		327,623,205.	273,594,254.	311,170,045.
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶ (attach schedule)					
12	Investments - mortgage loans.					
13	Investments - other (attach schedule) ATCH 10 . .		25,048,372.	24,549,669.	24,401,738.	
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
15	Other assets (describe ▶ ATCH 11)		420,437.	869,641.	869,641.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		358,084,438.	328,401,430.	365,829,290.	
Liabilities	17	Accounts payable and accrued expenses		468,025.	378,247.	
	18	Grants payable.				
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons. . .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ ATCH 12)		3,866,000.	27,903,000.	
23	Total liabilities (add lines 17 through 22)		4,334,025.	28,281,247.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here. ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund.				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
	30	Total net assets or fund balances (see instructions)		353,750,413.	300,120,182.	
31	Total liabilities and net assets/fund balances (see instructions)		358,084,438.	328,401,429.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	353,750,413.
2	Enter amount from Part I, line 27a	2	-53,779,718.
3	Other increases not included in line 2 (itemize) ▶ ATCH 13	3	530,924.
4	Add lines 1, 2, and 3	4	300,501,619.
5	Decreases not included in line 2 (itemize) ▶ ATCH 14	5	381,437.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	300,120,182.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	7,018,431.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	19,101,247.	406,397,590.	0.047001
2014	21,402,533.	434,439,925.	0.049265
2013	20,606,901.	419,382,335.	0.049136
2012	19,796,013.	394,114,587.	0.050229
2011	20,451,843.	417,894,545.	0.048940
2 Total of line 1, column (d)			2 0.244571
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 0.048914
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 379,655,373.
5 Multiply line 4 by line 3.			5 18,570,463.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 131,535.
7 Add lines 5 and 6.			7 18,701,998.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 42,758,678.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	131,535.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	131,535.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	131,535.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	182,232.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	182,232.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	50,697.	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 50,697. Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE, <input type="checkbox"/> _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>HTTP://FDNWEB.ORG/KIRBY/</u>	13	X	
14	The books are in care of <u>F.M. KIRBY FOUNDATION, INC.</u> Telephone no <u>973-538-4800</u> Located at <u>17 DEHART STREET, MORRISTOWN, NJ</u> ZIP+4 <u>07960</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. <u>15</u>			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years <u></u>		Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ ☒c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 88707a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 15		559,432.	55,943.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 16		59,285.	5,929.	0.

Total number of other employees paid over \$50,000. ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	378,830,207.
b	Average of monthly cash balances	1b	6,606,720.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	385,436,927.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	385,436,927.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	5,781,554.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	379,655,373.
6	Minimum investment return. Enter 5% of line 5	6	18,982,769.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	18,982,769.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	131,535.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	131,535.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	18,851,234.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4.	5	18,851,234.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	18,851,234.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	42,758,678.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	42,758,678.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	131,535.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	42,627,143.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				18,851,234.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			974,232.	
b Total for prior years 20 <u>14</u> , 20 <u>13</u> , 20 <u>12</u>				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>42,758,678.</u>				
a Applied to 2015, but not more than line 2a			974,232.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount.				18,851,234.
e Remaining amount distributed out of corpus.	22,933,212.			
5 Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	22,933,212.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	22,933,212.			
10 Analysis of line 9.				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016	22,933,212.			

Form **990-PF** (2016)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 17

b The form in which applications should be submitted and information and materials they should include:

ATCH 18

c Any submission deadlines.

ATCH 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ATCH 20

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 22 VARIOUS MORRISTOWN, NJ 07963	NONE	PC	SEE STATEMENT 22	42,271,500.
Total			3a	42,271,500.
b Approved for future payment SEE STATEMENT 23 VARIOUS MORRISTOWN, NJ 07963	NONE	PC	SEE STATEMENT 23	27,903,000.
Total			3b	27,903,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savngs and temporary cash investments .					
4 Dividends and interest from securities			14	8,390,735.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property. .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	7,018,431.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. . .					
11 Other revenue a _____					
b ATCH 21 _____				-164,119.	
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e)				15,245,047.	
13 Total. Add line 12, columns (b), (d), and (e)				15,245,047.	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS				842.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS				-192,541.	
6,649,902.		82,929 SHS VANGUARD SHORT-TERM BOND INDE PROPERTY TYPE: SECURITIES 6,615,703.				VAR 34,199.	VAR
4,500,000.		508,578.71 SHS EATON VANCE INST'L SENIOR PROPERTY TYPE: SECURITIES 4,630,192.				VAR -130,192.	VAR
26972302.		SEE ATTACHMENT A PROPERTY TYPE: SECURITIES 21259083.				VAR 5,713,219.	VAR
68126945.		SEE ATTACHMENT B PROPERTY TYPE: SECURITIES 66894584.				VAR 1,232,361.	VAR
98714020.		SEE ATTACHMENT C PROPERTY TYPE: SECURITIES 98353477.				VAR 360,543.	VAR
TOTAL GAIN(LOSS)						<u>7,018,431.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDEND AND INTEREST INCOME	8,390,735.	8,390,735.
TOTAL	<u>8,390,735.</u>	<u>8,390,735.</u>

F.M. KIRBY FOUNDATION, INC.

2016 FORM 990-PF

51-6017929

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	REVENUE AND EXPENSES <u>PER BOOKS</u>	NET INVESTMENT <u>INCOME</u>
INCOME FROM LIMITED PARTNERSHIPS	-164,119.	-164,119.
TOTALS	<u>-164,119.</u>	<u>-164,119.</u>

F.M. KIRBY FOUNDATION, INC.

2016 FORM 990-PF

51-6017929

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	1,163.	582.		581.
TOTALS	<u>1,163.</u>	<u>582.</u>		<u>581.</u>

F.M. KIRBY FOUNDATION, INC.

2016 FORM 990-PF

51-6017929

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	45,000.	22,500.		22,500.
TOTALS	<u>45,000.</u>	<u>22,500.</u>		<u>22,500.</u>

F.M. KIRBY FOUNDATION, INC.

2016 FORM 990-PF

51-6017929

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES <u>PER BOOKS</u>	NET INVESTMENT <u>INCOME</u>
INVESTMENT MANAGEMENT FEES	1,604,406.	1,604,406.
TOTALS	<u>1,604,406.</u>	<u>1,604,406.</u>

F.M. KIRBY FOUNDATION, INC.

2016 FORM 990-PF

51-6017929

ATTACHMENT 6

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL TAXES	44,536.	22,268.	22,268.
FEDERAL EXCISE TAXES	137,496.		
TOTALS	<u>182,032.</u>	<u>22,268.</u>	<u>22,268.</u>

ATTACHMENT 7FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INSURANCE	13,924.	6,962.	6,962.
SOFTWARE MAINTENANCE & SUPPORT	35,368.	17,684.	17,684.
ONLINE SERVICES/INTERNET FEES	13,696.	6,848.	6,848.
REPAIRS & MAINTENANCE	7,872.	3,936.	3,936.
TELEPHONE	3,722.	1,861.	1,861.
OFFICE SUPPLIES	4,574.	2,287.	2,287.
SERVICE CONTRACTS	1,015.	508.	507.
POSTAGE	954.	477.	477.
COMPUTER SUPPLIES	2,591.	1,296.	1,295.
NJ FILING FEES	25.	13.	12.
403(B) ADMINISTRATION FEES	5,291.	2,646.	2,645.
TOTALS	<u>89,032.</u>	<u>44,518.</u>	<u>44,514.</u>

ATTACHMENT 8FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FEDERAL EXCISE TAX	179,072.	41,576.	41,576.
TOTALS	<u>179,072.</u>	<u>41,576.</u>	<u>41,576.</u>

ATTACHMENT 9FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY INVESTMENTS SEE STATEMENT 9A	327,623,205.	273,594,254.	311,170,045.
TOTALS	<u>327,623,205.</u>	<u>273,594,254.</u>	<u>311,170,045.</u>

STATEMENT 9A

PORTFOLIO APPRAISAL
F M KIRBY FOUNDATION, INC
CONSOLIDATED INVESTMENT PORTFOLIO
31-Dec-16

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct Assets	Cur Yield
CASH AND EQUIVALENTS							
	J P Morgan Money Market Fund / Income		280,148		280,148	0.1	0
	J P Morgan Money Market Fund / Principal		4,813,623		4,813,623	1.4	0
			5,093,771		5,093,771	1.5	0
COMMON STOCK							
12360	Accenture Ltd	111.17	1,374,025	117.13	1,447,727	0.4	0
16500	Adobe Systems Inc	37.22	614,176	102.95	1,698,675	0.5	0
3080	Alphabet Inc - Class A	451.97	1,392,067	792.45	2,440,746	0.7	0
3222	Alphabet Inc - Class C	358.13	1,153,805	771.82	2,486,607	0.7	0
28700	Amphenol Corp	18.71	537,059	67.2	1,928,640	0.6	0.6
17588	Apple Inc	83.74	1,472,756	115.82	2,037,042	0.6	2
39130	Applied Materials Inc	21.47	840,162	32.27	1,262,725	0.4	0
1305	AutoZone Inc	427.68	558,117	789.79	1,030,676	0.3	0
18900	Automatic Data Processing, Inc	41.73	788,620	102.78	1,942,542	0.6	1.7
49550	Bank of America Corp	21.11	1,045,763	22.1	1,095,055	0.3	1.4
7900	C R Bard, Inc	79.23	625,917	224.66	1,774,814	0.5	0.4
7300	CVS Health Corporation	73.18	534,201	78.91	576,043	0.2	2.5
17000	Cerner Corp	49.64	843,832	47.37	805,290	0.2	0
27625	Charles Schwab Corp	24.89	687,537	39.47	1,090,359	0.3	0
95335	Cisco Systems, Inc	26.68	2,543,217	30.22	2,881,024	0.9	1.9
25415	Coca-Cola Company	42.12	1,070,485	41.46	1,053,706	0.3	4.5
29300	Cognizant Technology Solutions Corp Class A	61.74	1,809,035	56.03	1,641,679	0.5	0
23300	Colgate-Palmolive Co	43.59	1,015,695	65.44	1,524,752	0.5	3.8
10955	Comerica Inc	65.15	713,674	68.11	746,145	0.2	2.9
12625	EBay Inc	28.99	365,970	29.69	374,836	0.1	0
30135	EOG Resources Inc	56.89	1,714,257	101.1	3,046,649	0.9	0.7
11765	Eaton Corporation	54.7	643,537	67.09	789,314	0.2	2.9
34800	Fastenal Co	17.06	593,642	46.98	1,634,904	0.5	1.8
12490	Fidelity National Information Services	65.48	817,816	75.64	944,744	0.3	0
18400	Gilead Sciences Inc	101.19	1,861,875	71.61	1,317,624	0.4	0
3700	Grainger, W W Inc	243.31	900,240	232.25	859,325	0.3	0
10740	Honeywell International Inc	80.29	862,313	115.85	1,244,229	0.4	2.3
22560	Intercontinental Exchange, Inc	37.77	852,002	56.42	1,272,835	0.4	0
2763	Intuitive Surgical, Inc	411.74	1,137,645	634.17	1,752,212	0.5	0
15600	Johnson & Johnson	61.01	951,718	115.21	1,797,276	0.5	2.1
25007	Johnson Controls International PLC	43.38	1,084,783	41.19	1,030,038	0.3	0.1
7505	Kellogg Co	68.55	514,474	73.71	553,194	0.2	0
35590	Manitowoc Foodservice Inc	16.41	584,020	19.33	687,955	0.2	0
16700	Mastercard Inc	23.23	387,911	103.25	1,724,275	0.5	1.2
7110	Mead Johnson Nutrition Company	73	519,027	70.76	503,104	0.1	0
59975	Microsoft Corporation	40.85	2,449,740	62.14	3,726,847	1.1	1.5
11420	Molson Coors Brewing Co	65.46	747,522	97.31	1,111,280	0.3	0
7285	Monsanto Company	107.36	782,106	105.21	766,455	0.2	0
7110	NXP Semiconductors NV	76.16	541,483	98.01	696,851	0.2	0
31200	Nike Inc Class B	13.91	433,901	50.83	1,585,896	0.5	0.8
44800	Oracle Corporation	21.26	952,537	38.45	1,722,560	0.5	0.6
13400	Praxair Inc	108.6	1,455,306	117.19	1,570,346	0.5	1.9
12705	Procter & Gamble Company	69.44	882,184	84.08	1,068,236	0.3	2.5
13125	Quintiles IMS Holdings Inc	59.2	776,989	76.05	998,156	0.3	0
13150	Reynolds American Inc	38.12	501,279	56.04	736,926	0.2	0
9255	Rockwell Automation Inc	114.91	1,063,470	134.4	1,243,872	0.4	0.7
8850	S&P Global Inc	107.75	953,595	107.54	951,729	0.3	0
44430	Schlumberger Ltd	82.25	3,654,482	83.95	3,729,899	1.1	1.3
4555	Snap-On Inc	155.06	706,283	171.27	780,135	0.2	0
20100	Starbucks Corporation	50.16	1,008,292	55.52	1,115,952	0.3	1.9
130587	State Street SPDR Energy Select Sector ETF	76.58	9,999,941	75.32	9,835,813	2.9	2.3
14100	Stryker Corporation	82.01	1,156,380	119.81	1,689,321	0.5	0.1
20500	TJX Companies, Inc.	30.04	615,777	75.13	1,540,165	0.5	0.6
18000	The Walt Disney Company	94.06	1,693,165	104.22	1,875,960	0.6	0.3
7070	Thermo Fisher Scientific Inc	127.8	903,542	141.1	997,577	0.3	0
13880	United Technologies Corp.	101.29	1,405,850	109.62	1,521,526	0.5	1.3
18640	Vantiv Inc - Class A	53.24	992,381	59.62	1,111,317	0.3	0
17039	Visa Inc Class A	47.32	806,318	78.02	1,329,383	0.4	0
33050	Zoetis Inc	35.62	1,177,245	53.53	1,769,167	0.5	0

		69,071,142		92,472,126	27 6	1
MUTUAL FUNDS						
	2179327 522 J P Morgan Global Allocation Fund	16 06	35,000,000	16 48	35,915,318	10 7 0
			35,000,000		35,915,318	10 7 0
BOND FUNDS						
	382074 Vanguard Short-Term Bond Index Fund	79 78	30,480,145	79 45	30,355,779	9 13
			30,480,145		30,355,779	9 13
PREFERRED STOCK						
	5500 American Tower Corp CV 5 5% PFD	101 8	559,889	104.5	574,750	0 2 5 3
	4400 Belden Inc CV PFD	100 93	444,110	105 67	464,948	0 1 0
	7014 Bunge Limited 4 875% CV PFD	92 2	646,701	102 11	716,200	0 2 4 8
	1100 Mandatory Exch Trust CV PFD	110 16	121,173	109 25	120,175	0 0
	16700 Southwestern Energy Co	29 52	492,967	25 47	425,349	0 1 0
	1522 WPX Energy Inc CV PFD	42 06	64,010	65 67	99,950	0 0
			2,328,850		2,401,371	0 7 2 7
ADR'S (TAIWAN)						
	91030 Taiwan Semiconductor Mfg Co Spon (ADR)	18 35	1,670,127	28 75	2,617,113	0 8 1 7
			1,670,127		2,617,113	0 8 1 7
ADR'S (INDIA)						
	36658 Indiabulls Housing Finance LTD (ADR)	10 35	379,546	9.55	350,084	0 1 0
			379,546		350,084	0 1 0
INT'L EQUITIES (AUSTRALIA)						
	22300 CSL Limited ORD	33 18	739,963	72.71	1,621,363	0 5 0
			739,963		1,621,363	0 5 0
INT'L EQUITIES (CANADA)						
	17600 Canadian National Railway Co ORD	58 05	1,021,684	67 38	1,185,889	0 4 0
	64639 Suncor Energy Inc ORD	36 84	2,381,614	32.74	2,116,281	0 6 0
			3,403,298		3,302,170	1 0
INT'L EQUITIES (SWITZERLAND)						
	3523 Actelion LTD ORD	132 65	467,329	216 95	764,325	0 2 0
	24200 Nestle SA ORD	38 56	933,151	71.87	1,739,371	0 5 0
	21200 Novartis AG ORD	84 83	1,798,321	72 91	1,545,649	0 5 0
	6500 Roche Holdings AG ORD	244 72	1,590,697	228 86	1,487,578	0 4 0
	1988 Syngenta AG ORD	349 05	693,902	396.02	787,298	0 2 0
			5,483,400		6,324,220	1 9 0
INT'L EQUITIES (EUROPE)						
	15423 Anheuser-Busch InBev SA/NV ORD	90 16	1,390,585	106 06	1,635,688	0 5 0
	5279 Continental AG ORD	189 43	999,988	193 76	1,022,846	0 3 0
	11526 Essilor International SA ORD	61 83	712,611	113 23	1,305,059	0 4 0
	48500 Inditex ORD	11 14	540,516	34 21	1,658,969	0 5 0
	9000 LVMH Moet-Hennessy ORD	171 01	1,539,094	191 33	1,721,985	0 5 0
	9800 L'oreal ORD	96 12	941,988	182 89	1,792,358	0 5 0
	85770 Relx NV ORD	16 22	1,391,486	16 87	1,446,550	0 4 0
	30902 Sampo Insurance Co ORD	43 94	1,357,733	44 92	1,388,173	0 4 0
	17429 Symrise AG ORD	52 24	910,420	61	1,063,103	0 3 0
	34283 Wolters Kluwer ORD	33 21	1,138,398	36.3	1,244,627	0 4 0
			10,922,818		14,279,357	4 3 0
INT'L EQUITIES (DENMARK)						
	9346 Carlsberg AS-B ORD	87 74	820,061	86 46	808,050	0 2 0
	49173 Novo Nordisk A/S-B ORD	19 25	946,542	36 13	1,776,619	0 5 0

		1,766,603		2,584,669	0 8	0
INT'L EQUITIES (GREAT BRITAIN)						
45032 Ashtead Group PLC ORD	17 64	794,329	19 52	879,172	0 3	0
12928 British American Tobacco PLC ORD	54 21	700,778	57 11	738,260	0 2	0
142516 Compass Group PLC ORD	17 04	2,429,005	18 55	2,643,258	0 8	0
120064 Convatec Group PLC ORD	2 75	330,348	2 89	347,007	0 1	0
40903 Diageo ORD	26 83	1,097,402	26 07	1,066,431	0 3	0
43931 Prudential Corp PLC ORD	24 98	1,097,340	20 11	883,461	0 3	0
16700 Reckitt Benckiser PLC ORD	52 96	884,389	85 09	1,420,950	0 4	0
26149 Shire PLC ORD	62 8	1,642,151	57 88	1,513,447	0 5	0
15554 Unilever PLC ORD	40 37	627,856	40 68	632,795	0 2	0
		9,603,597		10,124,782	3	0
INT'L EQUITIES (HONG KONG)						
439800 AIA Group LTD ORD	4 73	2,080,151	5 64	2,481,733	0 7	0
160000 China Mobile LTD ORD	10 51	1,681,040	10 6	1,696,343	0 5	0
1597000 Cnooc Ltd ORD	1 2	1,919,343	1 25	1,998,014	0 6	0
787402 Hong Kong & China Gas Ltd ORD	1 08	846,766	1 77	1,395,420	0 4	0
40500 Tencent Holdings LTD ORD	24 37	987,149	24 47	990,933	0 3	0
		7,514,450		8,562,443	2 6	0
INT'L EQUITIES (JAPAN)						
46000 Aeon Financial Service Co LTD ORD	24 52	1,127,891	17 81	819,154	0 2	0
47400 Daiwa House Industry Co Ltd ORD	18 95	898,073	27 4	1,298,842	0 4	0
40200 Denso Corporation ORD	39 54	1,589,584	43 41	1,745,039	0 5	0
11000 Fanuc Ltd ORD	96 79	1,064,674	169 89	1,868,779	0 6	0
2654 Keyence Corporation ORD	172 74	458,444	687 62	1,824,931	0 5	0
7500 Komatsu Ltd ORD	22 86	171,487	22 7	170,243	0 1	0
23500 Recruit Holding Co LTD ORD	31 67	744,310	40 21	944,956	0 3	0
5100 Ryohin Keikaku Co LTD ORD	217 99	1,111,738	196 42	1,001,766	0 3	0
20300 Shin Etsu Chemical Co ORD	62 61	1,270,946	77 74	1,578,086	0 5	0
		8,437,146		11,251,795	3 4	0
INT'L EQUITIES (SWEDEN)						
73001 Assa Abloy AB ORD	14 69	1,072,260	18 61	1,358,835	0 4	0
48400 Hennes & Mauritz AB ORD	29 44	1,424,980	27 89	1,350,039	0 4	0
		2,497,240		2,708,873	0 8	0
INT'L EQUITIES (SOUTH AFRICA)						
109455 Sanlam LTD ORD	6 39	699,757	4 6	503,453	0 2	0
		699,757		503,453	0 2	0
ADR'S						
10105 AON PLC (ADR)	98 97	1,000,098	111 53	1,127,011	0 3	0
		1,000,098		1,127,011	0 3	0
CONVERTIBLE BONDS (U S)						
327000 Starwood Property Trust 4 550% Due 03-01-18	100 24	327,788	108 94	356,226	0 1	4 2
420000 Medidata Solutions Inc 1 000% Due 08-01-18	105 81	444,386	108 37	455,175	0 1	0 9
620000 Biomarin Pharmaceutical 0 750% Due 10-15-18	115 63	716,890	111 81	693,238	0 2	0 7
475000 Blackstone Mortgage Trust 5 250% Due 12-01-18	103 03	489,413	111 5	529,625	0 2	4 7
569000 Apollo Commercial Real Estate Finance 5 500% Due 03-15-19	102 86	585,299	104 62	595,316	0 2	5 3
425000 Vipshop Holding LTD 1 500% Due 03-15-19	108 92	462,896	99 62	423,406	0 1	1 5
345000 Emerald Oil Inc 144A 2 000% Due 04-01-19	100 54	346,873	100 31	346,078	0 1	2
785000 Ctrix Systems Inc 144A 0 500% Due 04-15-19	107 16	841,173	115 75	908,638	0 3	0 4
334000 51Job Inc	106 87	356,940	105 19	351,326	0 1	3 1

3 250% Due 04-15-19						
415000 Akamai Technologies Inc	105 61	438,300	103 81	430,822	0 1	0
0 000% Due 05-15-19						
585000 Live Nation Entertainment	107 62	629,550	106 56	623,391	0 2	2 3
2 500% Due 05-15-19						
330000 Sprint Realty Capital Inc	95 97	316,707	104 06	343,406	0 1	2 8
2 875% Due 05-15-19						
440000 Ezcorp Inc	91 81	403,975	96 37	424,050	0 1	2 2
2 125% Due 06-15-19						
405000 Scorpio Tankers Inc 144A	90 39	366,091	80 75	327,038	0 1	2 9
2 375% Due 07-01-19						
0 Colony Starwood Homes	0	-	109	-	0	2 8
3 000% Due 07-01-19						
140000 Empire State Realty Trust 144A	99 91	139,872	113 19	158,463	0	2 3
2 625% Due 08-15-19						
800000 Herbalife LTD 144A	94 41	755,262	91 31	730,500	0 2	2 2
2 000% Due 08-15-19						
609000 Synchronoss Technologies	106 3	647,348	105	639,450	0 2	0 7
0 750% Due 08-15-19						
821000 Electronics for Imaging	102 89	844,692	106 06	870,773	0 3	0 7
0 750% Due 09-01-19						
545000 Huron Consulting Group I 144A	101 12	551,097	97 69	532,397	0 2	1 3
1 250% Due 10-01-19						
338000 Envestnet Inc	98 51	332,969	95 25	321,945	0 1	1 8
1 750% Due 12-15-19						
805000 Wright Medical Group Inc	104 32	839,740	104 19	838,709	0 2	1 9
2 000% Due 02-15-20						
780000 InterDigital Inc	104 94	818,507	135 44	1,056,413	0 3	1 1
1 500% Due 03-01-20						
1113000 Rovi Corp	94 71	1,054,166	101 87	1,133,869	0 3	0 5
0 500% Due 03-01-20						
400000 Cemex SAB DE	104 73	418,932	106 94	427,750	0 1	3 5
3 720% Due 03-15-20						
500000 Cemex SAB DE SUB	109 57	547,832	107	535,000	0 2	3 5
3 720% Due 03-15-20						
605000 Vector Group LTD	111 9	677,024	114 69	693,859	0 2	1 5
1 750% Due 04-15-20						
220000 Array Bio Inc	108 69	239,107	139 81	307,588	0 1	2 1
3 000% Due 06-01-20						
307000 NRG Yield Inc 144A	98 29	301,756	96	294,720	0 1	3 4
3 250% Due 06-01-20						
230000 Priceline com Inc	107 82	247,982	127 31	292,819	0 1	0 3
0 350% Due 06-15-20						
505000 Proofpoint Inc	96 03	484,948	112 94	570,334	0 2	0 1
0 075% Due 06-15-20						
140000 Allscripts Healthcare	105 86	148,200	93 62	131,075	0	1 3
1 250% Due 07-01-20						
820000 CTrip com International LTD	103 08	845,248	102 62	841,525	0 3	1
1 000% Due 07-01-20						
532000 Encore Capital Group Inc	101 7	541,033	93 37	496,755	0 1	3 2
3 000% Due 07-01-20						
495000 Pattern Energy Group Inc	98 78	488,956	96 44	477,366	0 1	4 1
4 000% Due 07-15-20						
506000 Portfolio Recovery Associates	99 03	501,098	94 19	476,589	0 1	3 2
3 000% Due 08-01-20						
831000 Cardtronics Inc	100 66	836,447	117 5	976,425	0 3	0 9
1 000% Due 12-01-20						
895000 On Semiconductor Corp	100 85	902,568	102 44	916,816	0 3	1
1 000% Due 12-01-20						
870000 Nuvasive Inc 144A	105 39	916,862	126 94	1,104,356	0 3	1 8
2 250% Due 03-15-21						
310000 Nevro Corp	103 41	320,561	106 25	329,375	0 1	1 6
1 750% Due 06-01-21						
490000 Tutor Perini Corp 144A	104 55	512,290	113 94	558,294	0 2	2 5
2 875% Due 06-15-21						
465000 SM Energy Co	101 49	471,927	115 69	537,947	0 2	1 3
1 500% Due 07-01-21						
745000 Weatherford Bermuda	103 26	769,281	108 5	808,325	0 2	5 4
5 875% Due 07-01-21						
334000 Depomed Inc	115 5	385,775	113 87	380,343	0 1	2 2
2 500% Due 09-01-21						
815000 Inphi Corp 144A	100 65	820,259	106 5	867,975	0 3	0 7
0 750% Due 09-01-21						
305000 Clovis Oncology Inc	94 79	289,099	102 75	313,388	0 1	2 4
2 500% Due 09-15-21						
307000 Dycom Industries Inc	104 81	321,778	109 12	335,014	0 1	0 7
0 750% Due 09-15-21						
740000 Insulet Corporation 144A	100 51	743,783	92 62	685,425	0 2	1 3
1 250% Due 09-15-21						

566000 PDC Energy Inc	102.72	581,394	111.62	631,798	0.2	1
1 125% Due 09-15-21						
1065000 Pnceline Group Inc	107.75	1,147,569	105.62	1,124,906	0.3	0.9
0 900% Due 09-15-21						
515000 Knowles Corp 144A	101.33	521,854	116	597,400	0.2	2.8
3 250% Due 11-01-21						
255000 Ionis Pharmaceuticals Inc	106.3	271,070	100.81	257,072	0.1	1
1 000% Due 11-15-21						
330000 Evolent Health Inc 144A	100.5	331,663	95.94	316,594	0.1	2.1
2 000% Due 12-01-21						
290000 Zillow Inc 144A	100.86	292,500	102.69	297,794	0.1	1.9
2 000% Due 12-01-21						
295000 Aegean Marine Petroleum 144A	100.03	295,075	97.31	287,072	0.1	4.4
4 250% Due 12-15-21						
340000 Sucampo Pharmaceuticals 144A	100	340,000	106.5	362,100	0.1	3.1
3 250% Due 12-15-21						
995000 Blackhawk Network Hold 144A	101.78	1,012,687	102.5	1,019,875	0.3	1.5
1 500% Due 01-15-22						
940000 Cypress Semiconductor Co 144A	106.59	1,001,956	112.44	1,056,913	0.3	4
4 500% Due 01-15-22						
749000 Horizon Pharma INV LTD	96.72	724,396	95.12	712,486	0.2	2.6
2 500% Due 03-15-22						
405000 Helix Energy Solutions	103.8	420,374	103.31	418,416	0.1	4.1
4 250% Due 05-01-22						
579000 Ironwood Pharmaceuticals	98.09	567,921	114.44	662,593	0.2	2
2 250% Due 06-15-22						
350000 Green Plains Inc 144A	102.28	357,978	118.37	414,313	0.1	3.5
4 125% Due 09-01-22						
280000 Ctrip com Intl LTD 144A	100	280,000	96.25	269,500	0.1	1.3
1 250% Due 09-15-22						
694000 Integrated Device Tech	104.54	725,495	102.94	714,386	0.2	0.9
0 875% Due 11-15-22						
506000 Colony Financial Inc	104.26	527,554	102.25	517,385	0.2	4.9
5 000% Due 04-15-23						
45000 Oasis Petroleum Inc	118.25	53,214	139	62,550	0	1.9
2 625% Due 09-15-23						
695000 Macquarie Infrastructure	100.75	700,188	100.69	699,778	0.2	2
2 000% Due 10-01-23						
834000 Liberty Media Corporation	101.01	842,449	107.37	895,508	0.3	1.3
1 375% Due 10-15-23						
740000 Wells Fargo & Co Mtn	100	740,000	102.01	754,874	0.2	0
0 000% Due 11-06-23						
465000 Aerojet Rocketdyne Holding 144A	101.41	471,550	97.37	452,794	0.1	2.3
2 250% Due 12-15-23						
560000 Teradyne Inc 144A	101.8	570,081	105.62	591,500	0.2	1.2
1 250% Due 12-15-23						
295000 World Wrestling Entertainment 144A	100.13	295,372	97.62	287,994	0.1	3.5
3 375% Due 12-15-23						
725000 Ensco Jersey Finance LTD 144A	102.07	740,039	102	739,500	0.2	2.9
3 000% Due 01-31-24						
570000 Microchip Technology Inc	102.54	584,497	129.5	738,150	0.2	1.3
1 625% Due 02-15-25						
1006000 Dish Network Corp 144A	104.31	1,049,310	113.81	1,144,954	0.3	3
3 375% Due 08-15-26						
803000 Chesapeake Energy Corp 144A	101.88	818,065	108.25	869,248	0.3	5.1
5 500% Due 09-15-26						
545000 Seacor Holdings Inc	78.3	426,758	88.06	479,941	0.1	3.4
3 000% Due 11-15-28						
760000 J2 Global Communications	106.37	808,379	132.12	1,004,150	0.3	2.5
3 250% Due 06-15-29						
665000 General Cable Corporation	75.99	505,310	78.19	519,947	0.2	5.8
4 500% Due 11-15-29						
285000 Silver Standard Resource	104.34	297,362	92.31	263,091	0.1	3.1
2 875% Due 02-01-33						
760000 Finisar Corporation	115.34	876,565	118.31	899,175	0.3	0.4
0 500% Due 12-15-33						
569000 Spectranetics Corp	89.24	507,792	103.12	586,781	0.2	2.5
2 625% Due 06-01-34						
215000 Nuance Communications	100.86	216,857	96.94	208,416	0.1	1.5
1 500% Due 11-01-35						
490000 Nuance Communications	93.79	459,586	90.31	442,531	0.1	1.1
1 000% Due 12-15-35						
690000 CSG Systems Int'l Inc 144A	104.34	719,943	111.56	769,781	0.2	3.8
4 250% Due 03-15-36						
305000 Finisar Corporation 144A	101.15	308,500	100.81	307,478	0.1	0.5
0 500% Due 12-15-36						
2140000 Micron Technology Inc	83.75	1,792,224	99.31	2,125,288	0.6	3
3 000% Due 11-15-43						
654000 Healthsouth Corp	102.89	672,888	118.37	774,173	0.2	1.7

2 000% Due 12-01-43						
520000 Euronet Worldwide Inc	108 65	564,987	115 75	601,900	0 2	1 3
1 500% Due 10-01-44						
657000 Amtrust Financial Services	89 64	588,958	84 81	557,218	0 2	3 2
2 750% Due 12-15-44						
540000 Cheniere Energy Inc	76 22	411,574	60 56	327,038	0 1	7
4 250% Due 03-15-45						
1075000 Liberty Interactive LLC 144A	103 33	1,110,833	107 5	1,155,625	0 3	1 6
1 750% Due 09-30-46						
550000 Liberty Media Corporation 144A	101 98	560,912	105 37	579,563	0 2	2 1
2 250% Due 09-30-46						
268000 MGIC Investment Corp 144A	126 14	338,053	124 62	333,995	0 1	7 2
9 000% Due 04-01-63						
		52,874,411		55,282,851	16 5	2 2

LIMITED PARTNERSHIPS

1000 03 Blackstone GSO Private Investors Fund I	4138 34	4,138,467	2865 71	2,865,800	0 9	0
1000 009 Blackstone GSO Private Investors Fund II	6298 99	6,299,052	6985 54	6,985,605	2 1	0
3254182 519 Eaton Vance Inst'l Senior Loan Trust	9 13	29,721,663	9 03	29,385,268	8 8	4
2000 Lazard Emerging Markets Disc Assets L P	4509 19	9,018,379	4728 28	9,456,562	2 8	0
		49,177,561		48,693,235	14 5	2 4

TOTAL PORTFOLIO

298,143,922	335,571,783	100	1 1
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PART II BALANCE SHEET

LINE 10B	273,594,254	311,170,045
LINE 13	24,549,669 *	24,401,738 **
	298,143,922	335,571,783

* Book Value of Investment In Partnership = 19,455,898
 ** FMV of Investment In Partnership = 19,307,967

F.M. KIRBY FOUNDATION, INC.

2016 FORM 990-PF

51-6017929

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MONEY MARKET FUNDS	7,220,751.	5,093,771.	5,093,771.
INVESTMENT IN LIMITED PTRSHP	17,827,621.	19,455,898.	19,307,967.
TOTALS	<u>25,048,372.</u>	<u>24,549,669.</u>	<u>24,401,738.</u>

ATTACHMENT 11FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ACCRUED INVESTMENT INCOME	399,732.	848,936.	848,936.
ART & OTHER COLLECTIBLE ITEMS	20,705.	20,705.	20,705.
TOTALS	<u>420,437.</u>	<u>869,641.</u>	<u>869,641.</u>

ATTACHMENT 12FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
PROMISES TO GIVE	3,866,000.	27,903,000.
TOTALS	<u>3,866,000.</u>	<u>27,903,000.</u>

ATTACHMENT 13FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
LAZARD ACTUAL LOSS ON RETURN	259,552.
EATON STATEMENT 13A	271,372.
TOTAL	<u>530,924.</u>

FORM 990PF, PART III
OTHER INCREASES IN NET WORTH OR FUND BALANCES
F.M. KIRBY FOUNDATION, INC.
FOROM 01-01-16 THROUGH 12-31-16

NET INVESTMENT INCOME INCLUDES ALL ORDINARY INCOME
AND CAPITAL GAIN INCOME PER 2016 ANNUAL PFIC
STATEMENT RECEIVED IN APRIL OF 2017 FROM EATON
VANCE INSTITUTIONAL SENIOR LOAN FUND. THIS AMOUNT
IS NEEDED TO REPORT ADDITIONAL ORDINARY LOSS TO
BE INCLUDED FOR TAXABLE YEAR ENDED DECEMBER 31, 2016.

ATTACHMENT 14FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
EATON ESTIMATED LOSS PER BOOKS	257,549.
LAZARD ESTIMATED LOSS PER BOOKS	123,888.
TOTAL	<u>381,437.</u>

F.M. KIRBY FOUNDATION, INC.

2016 FORM 990-PF

51-6017929

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
S. DILLARD KIRBY 17 DEHART STREET MORRISTOWN, NJ 07960	PRESIDENT 26.00	168,221.	16,822.	0.
WILLIAM H. BYRNES, JR. 17 DEHART STREET MORRISTOWN, NJ 07960	VICE PRESIDENT/GRANTS 35.00	165,841.	16,584.	0.
JEFFERSON W. KIRBY 604 VAN BEUREN ROAD MORRISTOWN, NJ 07960	VICE PRESIDENT	0.	0.	0.
ALICE K. HORTON 4900 W. CORNWALLIS ROAD DURHAM, NC 27705	ASSISTANT SECRETARY	0.	0.	0.
WALKER D. KIRBY LONG HILL ROAD NEW VERNON, NJ 07976	DIRECTOR	0.	0.	0.
SANDRA BROWN SHERMAN C/O SHERMAN WELLS SYLVESTER & FLORHAM PARK, NJ 07932	DIRECTOR	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 15 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
WILSON M. COMPTON, M.D. M.P.E. NATIONAL INSTITUTE ON DRUG ABUSE BETHESDA, MD 20892-9589	DIRECTOR	0.	0.	0.
FRANK N. BARRA 17 DEHART STREET MORRISTOWN, NJ 07963-0151	TREASURER/SECRETARY 35.00	115,061.	11,506.	0.
JOANN F. TIEFAU 17 DEHART STREET MORRISTOWN, NJ 07963-0151	PROGRAM OFFICER 35.00	110,309.	11,031.	0.
WARD K. HORTON 2821 CONGRESS STREET FAIRFIELD, CT 06824	DIRECTOR	0.	0.	0.
LEIGH C. KIRBY 1606 CRAIG STREET RALEIGH, NC 27608	DIRECTOR	0.	0.	0.
	GRAND TOTALS	<u>559,432.</u>	<u>55,943.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 16

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
LINDA M. D'ADDARIO 17 DEHART STREET MORRISTOWN, NJ 07960	EXECUTIVE ASSISTANT 23.00	59,285.	5,929.	0.
	TOTAL COMPENSATION	<u>59,285.</u>	<u>5,929.</u>	<u>0.</u>

ATTACHMENT 17FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

WILLIAM H. BYRNES, JR., VP GRANTS
17 DEHART STREET, PO BOX 151
MORRISTOWN, NJ 07963-0151
973-538-4800

ATTACHMENT 18990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

SOLICITATIONS MUST BE IN WRITING. SUGGESTED SOLICITATION GUIDELINES ARE AVAILABLE IN WRITING FROM THE FOUNDATION AND CAN BE FOUND ON THE INTERNET AT [HTTP://FDNWEB.ORG/KIRBY/](http://FDNWEB.ORG/KIRBY/). A VALID INTERNAL REVENUE SERVICE TAX-EXEMPTION LETTER MUST ACCOMPANY EACH SOLICITATION.

ATTACHMENT 19990PF, PART XV - SUBMISSION DEADLINES

SUBMISSION DEADLINE IS OCTOBER 31ST OF EACH YEAR. SOLICITATIONS RECEIVED AFTER OCTOBER 31ST ARE HELD FOR CONSIDERATION IN THE FOLLOWING YEAR.

ATTACHMENT 20990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

NO GRANTS ARE MADE TO INDIVIDUALS. SUCCESSFUL APPLICANTS TEND TO BE LIMITED TO ORGANIZATIONS ALREADY WELL KNOWN TO ONE OR MORE OF OUR DIRECTORS.

F.M. KIRBY FOUNDATION, INC.

2016 FORM 990-PF

51-6017929

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 21

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
INCOME FROM LIMITED PARTNERSHIPS	525990		14	-164,119.	
TOTALS				<u>-164,119.</u>	

STATEMENT 22

F.M. KIRBY FOUNDATION, INC.

Cumulative List of Grants

January 1, 2016 through December 31, 2016

Organization Project Description	Grant Amount Paid
A.P. Kirby, Jr. Family Fund Mendham, NJ <i>For: A donor advised fund at the Community Foundation of New Jersey for the benefit of the A P Kirby, Jr Family Fund</i>	\$750,000
A.P. Kirby, Jr. Family Fund Mendham, NJ <i>For: A donor advised fund at the Community Foundation of New Jersey for the benefit of the A P Kirby, Jr Family Fund; like amount for like use pledged for January 2017</i>	\$9,000,000
Academy Center of the Arts (formerly known as Academy of Fine Arts) Lynchburg, VA <i>Toward the Capital Campaign to complete the restoration of Academy Theatre and the Ella Woodruff Dillard Grand Lobby</i>	\$50,000
The Acorn Hill Fund New York, NY <i>For: A donor advised fund at the BNY Mellon Charitable Gift Fund for the benefit of The Acorn Hill Fund</i>	\$750,000
The Acorn Hill Fund New York, NY <i>For: A donor advised fund at the BNY Mellon Charitable Gift Fund for the benefit of The Acorn Hill Fund, like amount for like use pledged for January 2017</i>	\$9,000,000
Action On Smoking And Health Washington, DC	\$70,000
The Adirondack Council, Inc. Elizabethtown, NY	\$50,000
The Adirondack Museum Blue Mountain Lake, NY	\$25,000
Alfre, Inc. Morristown, NJ	\$25,000
Alzheimer's Association/National Office Chicago, IL <i>For: New Investigator Research Grants, specifically the</i>	\$175,000

*Molecular Pathogenesis and Physiology of Alzheimer's
(Discovery Science or Basic Disease Understanding)
category*

American Ballet Theatre New York, NY	\$20,000
American Cancer Society, Inc./Eastern Division Cedar Knolls, NJ <i>For: Programs in the Northwest New Jersey Region- \$60,000, National Home Office-Extramural Research support in immunotherapy-\$225,000</i>	\$285,000
American Council on Science and Health New York, NY	\$30,000
American Diabetes Association Research Foundation, Inc. Alexandria, VA <i>Toward a one-year, Junior Faculty Development Award for Dr. Diana E. Stanescu's research to improve the function of stem cell-derived beta cells</i>	\$80,000
American Enterprise Institute for Public Policy Research Washington, DC	\$50,000
The American Museum of Natural History New York, NY	\$15,000
American Red Cross/Eastern Pennsylvania Region Philadelphia, PA <i>Toward disaster relief operations in Luzerne County, specifically Wilkes-Barre and Wyoming Valley, PA</i>	\$35,000
American Red Cross/National Headquarters Washington, DC <i>For: National Disaster Relief Fund</i>	\$250,000
American Red Cross/Northern New Jersey Region Summit, NJ <i>Toward disaster relief in Morris County, NJ-\$67,500; toward blood donor programs in Morris County, NJ- \$7,500</i>	\$75,000
America's Future Foundation Washington, DC	\$30,000
Ann K. Kirby Foundation Richmond, VA <i>For The Community Foundation Serving Richmond and Central Virginia for the benefit of the Ann K. Kirby Foundation</i>	\$750,000
Ann K. Kirby Foundation Richmond, VA <i>For: The Community Foundation Serving Richmond and Central Virginia for the benefit of the Ann K. Kirby</i>	\$9,000,000

Foundation; like amount for like use pledged for January 2017

Atlantic Legal Foundation Larchmont, NY	\$37,500
The Bald Head Island Conservancy, Inc. Bald Head Island, NC	\$50,000
Be The Match Foundation Minneapolis, MN <i>Toward the Scholars Program for the Study of Post-Transplant Complications</i>	\$40,000
Bill of Rights Institute Arlington, VA	\$25,000
Bonnie Brae Liberty Corner, NJ	\$20,000
Cancer Hope Network, Inc. Chester, NJ	\$10,000
Cancer Research Institute, Inc. New York, NY <i>For: The Irvington Postdoctoral Fellowship Program</i>	\$75,000
Caring House, Inc. Durham, NC	\$15,000
Carolina Ballet, Inc. Raleigh, NC	\$20,000
Carolina Theatre of Durham, Inc. Durham, NC	\$30,000
Cary Institute of Ecosystem Studies, Inc. Millbrook, NY <i>For Support to develop legislative and policy remedies to prevent future forest pest introduction in the U S</i>	\$30,000
Center for Child & Family Health Durham, NC <i>For Healthy Futures Fund</i>	\$27,500
Center for Immigration Studies, Inc. Washington, DC	\$30,000
Center For Individual Rights Washington, DC	\$100,000
Center for Non-Profit Corporations, Inc. Mercerville, NJ	\$10,000
The Center for Security Policy, Inc. Washington, DC <i>Toward support of the Infrastructure Security and</i>	\$15,000

National Security Initiatives

Central Park Conservancy New York, NY	\$20,000
Children's Hospital Corporation Boston, MA <i>Toward the F M Kirby Neurobiology Center-for the Innovation and Education Fund-\$100,000, for the Gene Manipulation Innovation Grants-\$100,000</i>	\$200,000
Christopher and Dana Reeve Foundation Short Hills, NJ <i>For Continued support of the work of Drs. Sam Pfaff and Chet Moritz to identify, locate, and characterize the role of interneurons in the human spinal cord</i>	\$150,000
Cold Spring Harbor Laboratory Cold Spring Harbor, NY <i>Toward the continued start-up and operation of the Preclinical Experimental Therapeutics (PET) facility</i>	\$100,000
Community Hope, Inc. Parsippany, NJ	\$45,000
Conservation Trust for North Carolina Raleigh, NC	\$25,000
Cooper Hewitt, Smithsonian Design Museum New York, NY	\$25,000
Cornerstone Family Programs Morristown, NJ <i>Toward the Morristown Neighborhood House Preschool Program and After School Program for Kindergarten through 8th Grade-\$70,000</i>	\$150,000
Council of New Jersey Grantmakers, Inc. Trenton, NJ <i>Toward specific programs for family philanthropies- \$2,000</i>	\$25,000
Covenant House New Jersey, Inc. Newark, NJ <i>Toward the Crisis/Community Service Center in Newark</i>	\$45,000
Criminal Justice Legal Foundation Sacramento, CA	\$7,500
Crohn's & Colitis Foundation of America, Inc. New York, NY <i>Toward two CCFA Research Fellowship Awards</i>	\$85,000
Daytop Village of New Jersey, Inc. Mendham, NJ	\$30,000

The Discovery Orchestra, Inc. Warren, NJ <i>In honor of Sandra Brown Sherman; Toward the TASC initiative-\$5,000</i>	\$10,000
Dolgeville Central School Dolgeville, NY <i>For: Jonathan A Vedder Commencement Award (this grant shall comprise our total support through 2017)</i>	\$4,000
Durham Academy, Inc. Durham, NC	\$20,000
Durham Symphony Incorporated Durham, NC <i>For Young Artists Competition and concert-\$6,000; free classical concert with KidZnotes at Emily Krzyzewski Center-\$2,500; Hillsborough Pops Concert-\$6,500</i>	\$15,000
Eastern Environmental Law Center, Inc. Newark, NJ	\$10,000
Emily K Center, Inc. Durham, NC	\$30,000
Employment Horizons, Inc. Cedar Knolls, NJ	\$50,000
EngenderHealth, Inc. New York, NY	\$75,000
Eno River Association Durham, NC <i>Toward the Kids-in-Nature program</i>	\$15,000
Environmental Defense Fund, Inc. New York, NY <i>Toward science-based policy solutions to protect the Adirondacks from acid deposition</i>	\$100,000
Episcopal Preaching Foundation, Inc. Springfield, NJ	\$25,000
F.M. Kirby Center for the Performing Arts Wilkes-Barre, PA	\$150,000
Fairfield University Fairfield, CT <i>Toward the Adrienne Kirby Family Literacy Project</i>	\$12,000
The Federalist Society for Law & Public Policy Studies Washington, DC <i>For Travel expense assistance for students attending national conferences</i>	\$25,000

Federation For American Immigration Reform Washington, DC <i>For: Research, analysis, and educational activities (non-lobbying) that provide statistical and practical impacts of legislative remedies</i>	\$70,000
First Night Morris, Inc. Morristown, NJ	\$10,000
Foundation for Individual Rights in Education, Inc. Philadelphia, PA	\$75,000
Foundation for Morristown Medical Center, Inc. Morristown, NJ <i>For: The expansion and facilities upgrade of the Inpatient Psychiatric Unit-\$200,000 in 2014, 2015, and 2016; toward a fund or project that shall honor Denny Baird-\$50,000 in 2014</i>	\$200,000
Fred M. & Jessie A. Kirby Episcopal House, Inc. Wilkes-Barre, PA <i>Toward the Fred M and Jessie A. Kirby Episcopal House restoration and systems integration-\$250,000, conditional commitment of up to \$750,000 in 2017 and up to \$750,000 in 2018 for like use</i>	\$250,000
Freedom Foundation Olympia, WA	\$10,000
The Fresh Air Fund New York, NY <i>Toward the New Jersey-based element of the Friendly Town program</i>	\$10,000
The Frick Collection New York, NY	\$15,000
The Fund for American Studies Washington, DC	\$35,000
The Fuqua School of Business Durham, NC <i>To establish the Rex D. and Ellen Adams Loan Assistance Endowment-\$300,000, along with all funds in the Reserved for Future Decision Fund (approximately \$1.9 million), for the Annual Fund-\$30,000; \$330,000 pledged for like purposes in 2017</i>	\$330,000
Gettysburg Foundation Gettysburg, PA <i>Toward completion of the Spangler Trail design project</i>	\$15,000
Gilder Lehrman Institute of American History New York, NY <i>For: Teaching Literacy through History program in the Dover and Madison school districts during the 2016-2017</i>	\$40,000

academic year

Gill St. Bernard's School Gladstone, NJ <i>For Fulfillment of challenge grant toward the proposed performing arts and community center-\$250,000 in 2015 and 2016</i>	\$250,000
Girl Scouts of Northern New Jersey, Inc. Riverdale, NJ <i>For: Morris Area programs</i>	\$40,000
Good Grief, Inc. Morristown, NJ <i>For Support of the Morristown location only</i>	\$22,500
Grace Counseling Center Madison, NJ	\$5,000
Great Swamp Watershed Association New Vernon, NJ	\$20,000
The Greater Morristown YMCA, Inc. Cedar Knolls, NJ <i>For: Direct financial aid for Morris County residents only, through the Strong Kids Campaign</i>	\$37,500
Greater Newark Conservancy, Inc. Newark, NJ <i>For: Community Greening Program-\$40,000; toward the Clean and Green Job Training Initiative-\$10,000</i>	\$50,000
Greens Farms Academy, Inc. Greens Farms, CT <i>Toward endowment support of Phase II of the Lead with Excellence capital campaign-\$50,000; for the Annual Giving Fund-\$20,000, \$70,000 pledged for like purposes in 2017 and 2018</i>	\$70,000
Greensboro Day School Greensboro, NC	\$20,000
Grow It Green Morristown, Inc. Morristown, NJ	\$10,000
The Growing Stage, The Children's Theatre of New Jersey Netcong, NJ	\$25,000
GuideStar USA, Inc. Williamsburg, VA	\$10,000
Guttmacher Institute, Inc. New York, NY	\$35,000
Habitat for Humanity Orange County, N.C., Inc. Chapel Hill, NC	\$50,000

Harvard College Fund Cambridge, MA <i>For. The Harvard College Fund</i>	\$20,000
Historic Hillsborough Commission Hillsborough, NC	\$7,500
Homeless Solutions, Inc. Morristown, NJ	\$55,000
Hope House Dover, NJ <i>For. The Chore Program for Morris County residents only</i>	\$27,500
Hudson Institute, Inc. Washington, DC <i>To support the Center for American Common Culture</i>	\$22,500
Hugh Moore Historical Park and Museums, Inc. Easton, PA <i>For: Support of activities related to the operation of the National Canal Museum</i>	\$40,000
The Injured Marine Semper Fi Fund Camp Pendleton, CA <i>Toward the Veterans to Veterans program in New Jersey and North Carolina-\$25,000</i>	\$40,000
Institute for American Values New York, NY	\$25,000
Institute for Humane Studies Arlington, VA	\$30,000
Institute For Justice Arlington, VA	\$55,000
Interfaith Food Pantry, Inc. Morris Plains, NJ	\$25,000
International Swimming Hall of Fame, Inc. Ft. Lauderdale, FL	\$30,000
JDRF International Newport, VA <i>Toward Beta Cell Restoration and Beta Cell Replacement research</i>	\$175,000
Jersey Battered Women's Service, Inc. Morristown, NJ	\$85,000
JerseyCAN Cranford, NJ	\$30,000
Jersey Cares, Inc.	\$7,500

Livingston, NJ

Kennedy Krieger Institute Baltimore, MD <i>Toward the establishment of the Informatics Center</i>	\$150,000
Lafayette College / Alumni Endowment Easton, PA <i>For Alumni Association Endowment Fund</i>	\$25,000
Lafayette College / Alumni (Annual) Fund Easton, PA <i>For Alumni Fund</i> <i>Class of '68 - \$ 7,500 in honor of William J. Raver</i> <i>Class of '80 - \$ 5,000</i> <i>Class of '81 - \$ 5,000</i> <i>Class of '84 - \$ 10,000</i> <i>Class of '87 - \$ 5,000</i> <i>Class of '13 - \$ 1,000</i>	\$33,500
Lafayette College / Bailey Endowment Easton, PA <i>For Carolyn Huntington Bailey Health Center Endowment Fund</i>	\$10,000
Lafayette College / Kirby Athletics Enhancement Endowment Fund Easton, PA <i>For Kirby Athletics Enhancement Endowment Fund</i>	\$150,000
Lafayette College / Kirby Athletics Enhancement Gift Funds Easton, PA <i>For Kirby Athletics Enhancement Gift Fund</i>	\$225,000
Lafayette College / Maroon Club Easton, PA <i>For: Maroon Club-\$5,000, Friends of Lafayette Football Program-\$12,000, Maroon Club-Lacrosse Program - \$23,000 (Men's-\$9,000 and Women's-\$14,000), Maroon Club-Swimming Program-\$5,000</i>	\$45,000
Lafayette College / Morris Williams Center for the Arts Easton, PA <i>For Williams Arts Campus</i>	\$180,000
The Land Conservancy of New Jersey Boonton, NJ	\$30,000
The Lawrenceville School Lawrenceville, NJ <i>For: The F M. Kirby Math and Science Center Maintenance Endowment Fund-\$500,000; for the Kirby House Endowment Fund-\$100,000; toward Kirby House furnishing-\$25,000</i>	\$625,000

The Leader in Me Lehigh Valley Allentown, PA <i>Toward The Leader in Me Program in Forks Elementary and Palmer Elementary Schools in Easton, PA</i>	\$15,000
Leadership Wilkes-Barre Wilkes-Barre, PA <i>Toward the installation of a new entrance sign to Kirby Park</i>	\$20,000
Learning Ally, Inc. Princeton, NJ	\$15,000
The Leukemia & Lymphoma Society, Inc./New Jersey Chapter Cranford, NJ <i>Toward Acute Myeloid Leukemia research</i>	\$125,000
The Lexington Institute Arlington, VA	\$40,000
Liberty Science Center, Inc. Jersey City, NJ	\$35,000
Literacy Volunteers of Morris County, Inc. Morristown, NJ	\$17,500
Little Falls Hospital Little Falls, NY <i>Toward the upgrade and replacement of outdated emergency department monitoring equipment</i>	\$25,000
Macculloch Hall Historical Museum Morristown, NJ	\$27,500
Madison Area YMCA Madison, NJ <i>Toward the F.M Kirby Children's Center Annual Scholarship Fund-\$85,000, for Reserved for Future Decision-\$165,000</i>	\$250,000
Manhattan Institute for Policy Research, Inc. New York, NY	\$75,000
Market Street Mission, Inc. Morristown, NJ <i>For. Support of the Morristown operation only</i>	\$45,000
Mayo Performing Arts Center Morristown, NJ	\$100,000
Mental Health Association of Morris County, Inc. Mountain Lakes, NJ	\$25,000
The Metropolitan Museum of Art New York, NY	\$10,000

The Morgan Library & Museum New York, NY	\$10,000
Morris Arts Morristown, NJ	\$45,000
Morris County Organization for Hispanic Affairs Dover, NJ <i>For: The Center for Citizenship and Legal Immigration</i>	\$20,000
Morris County Prevention Is Key, Inc. Rockaway, NJ <i>For Morris County programs</i>	\$20,000
Morris County Tourism Bureau, Inc. Morristown, NJ <i>For: The Revolutionary Times Weekend of 2016</i>	\$7,500
Morris Habitat for Humanity, Inc. Randolph, NJ <i>For: Projects in Morris County only</i>	\$40,000
The Morris Museum, Inc. Morristown, NJ	\$45,000
Moving Picture Institute New York, NY	\$25,000
Museum of Arts and Design New York, NY	\$30,000
The Museum of Modern Art New York, NY	\$15,000
National Association of Scholars, Inc. New York, NY	\$45,000
National Constitution Center Philadelphia, PA <i>Toward support of the Freedom Rising program</i>	\$67,500
National Fatherhood Initiative Germantown, MD	\$40,000
The National Football Foundation and College Hall of Fame, Inc. Irving, TX	\$90,000
The Nature Conservancy in New Jersey Chester, NJ <i>Toward the continued formation of the land protection blueprint to be shared by New Jersey conservation organizations-\$35,000</i>	\$135,000
The Nature Conservancy/Adirondack Chapter & Adirondack Land Trust Keene Valley, NY	\$500,000

*To establish The Fred M and Walker D. Kirby Land
Stewardship Endowment at the Adirondack Land Trust-
\$1,000,000 in 2014 and \$500,000 in 2015 and 2016*

Network for Teaching Entrepreneurship New York, NY <i>Toward domestic programs</i>	\$75,000
New Jersey AIDS Services, Inc. Morristown, NJ	\$15,000
New Jersey Audubon Society Bernardsville, NJ	\$40,000
New Jersey Conservation Foundation Far Hills, NJ	\$100,000
New Jersey Performing Arts Center Corporation Newark, NJ	\$20,000
New Jersey SEEDS, Inc. Newark, NJ	\$15,000
New York City Ballet, Inc. New York, NY	\$15,000
Newark Celebration 350 Newark, NJ <i>Toward Newark's 350th Birthday Celebration</i>	\$7,500
The Newark Museum Association Newark, NJ	\$60,000
Newark Public Radio, Inc./WBGO Newark, NJ	\$7,500
North Carolina Arts in Action, Inc. Durham, NC	\$12,500
North Carolina Coastal Land Trust Wilmington, NC	\$45,000
North Carolina State University Foundation, Inc./NCSU Libraries Raleigh, NC	\$25,000
Orange Congregations in Mission Hillsborough, NC	\$35,000
Overlook Foundation Summit, NJ <i>For. Support of the Neuroscience Institute at Overlook Medical Center</i>	\$40,000
P.G. Chambers School, Inc. Cedar Knolls, NJ <i>For: Early Intervention Program</i>	\$70,000

Pacific Legal Foundation Sacramento, CA	\$10,000
PAI (Formerly known as Population Action International) Washington, DC	\$75,000
Paper Mill Playhouse Millburn, NJ	\$70,000
Partnership for Drug-Free Kids New York, NY	\$45,000
The Peck School Morristown, NJ <i>For The Annual Giving Fund</i>	\$20,000
The Philanthropy Roundtable Washington, DC <i>For General operating support, other than lobbying, for The Alliance for Charitable Reform-\$10,000, for the Veterans Initiative-\$10,000; for the Culture of Freedom Project-\$25,000</i>	\$50,000
Phillips Exeter Academy Exeter, NH <i>Toward The Exeter Fund in honor of Dr Wilson M. Compton, Class of '77</i>	\$10,000
Plaid House, Inc. Morristown, NJ	\$25,000
Planned Parenthood Federation of America, Inc. New York, NY <i>For The Affiliate Services Division</i>	\$100,000
Planned Parenthood Keystone Trexlerstown, PA <i>For General operating support, particularly Luzerne and Northampton Counties</i>	\$35,000
Planned Parenthood of Northern, Central, and Southern New Jersey, Inc. Morristown, NJ <i>For General operating support in Morris County only</i>	\$100,000
Planned Parenthood South Atlantic Raleigh, NC <i>Toward education programs and support services in Durham and Orange counties</i>	\$40,000
Population Council, Inc. New York, NY <i>Toward direct costs only in Postdoctoral Training: Reproductive Biomedicine and Biomedical HIV Research</i>	\$50,000

Preschool Advantage, Inc. Morris Plains, NJ <i>Toward preschool scholarship assistance for Morris County residents</i>	\$20,000
ProEnglish Washington, DC	\$5,000
Public Media NJ, Inc. Englewood, NJ	\$20,000
Re-Creation USA, Inc. Port Trevorton, PA	\$25,000
Regional Plan Association, Inc. Princeton, NJ <i>For New Jersey programs</i>	\$20,000
Rising Tide Capital, Inc. Jersey City, NJ	\$20,000
The Rockefeller University New York, NY <i>Toward the purchase of a supercomputer for the proposed research computing facility</i>	\$125,000
Roundabout Theatre Company, Inc. New York, NY	\$40,000
Rutgers University Foundation/Answer New Brunswick, NJ <i>Toward comprehensive sexuality education</i>	\$30,000
Rutgers University Foundation/Rutgers New Jersey Medical School New Brunswick, NJ <i>For Continued research for Synaptic Interactions: Formation and Plasticity (Stipend support for students and post-docs)</i>	\$50,000
Rutgers University Foundation/Rutgers School of Nursing-Newark and New Brunswick Newark, NJ <i>To support the Nursing Science Research and Faculty Career Development Program</i>	\$100,000
The Salvation Army/Morristown Area Services Morristown, NJ <i>For: Emergency Assistance for Morris County residents-\$37,500</i>	\$42,500
The Sarah P. Duke Gardens Durham, NC <i>Toward the expansion of the Doris Duke Center at Sarah</i>	\$333,000

*P. Duke Gardens, specifically Kirby-Horton Hall
additions and renovations-\$334,000 in 2015, \$333,000 in
2016 and \$333,000 pledged for 2017 for like use*

Scheie Eye Institute Philadelphia, PA <i>For: Capital equipment for the F.M. Kirby Center for Molecular Ophthalmology-\$100,000; like amount for like use pledged for 2017</i>	\$100,000
The Seeing Eye, Inc. Morristown, NJ	\$75,000
Sexuality Information and Education Council of the United States, Inc. Washington, DC <i>For. Sexual and reproductive health education programs</i>	\$37,500
The Shakespeare Theatre of New Jersey, Inc. Florham Park, NJ	\$115,000
Southern Environmental Law Center Chapel Hill, NC <i>For: Continued efforts in North Carolina</i>	\$30,000
St. Peter's Episcopal Church Morristown, NJ	\$35,000
St. Stephen's Episcopal Church/Wilkes-Barre Wilkes-Barre, PA <i>Toward the purchase of a new furnace</i>	\$10,000
St. Stephen's Episcopal Church/Durham Durham, NC <i>In support of the field education program for seminarians</i>	\$18,000
St. Vincent College Latrobe, PA <i>For: Support of the 2017 Civitas Forum on Principles and Policies for Public Life</i>	\$25,000
State Theatre Center for the Arts, Inc. Easton, PA	\$90,000
Student U Durham, NC	\$37,500
Summit Speech School New Providence, NJ	\$70,000
Talent Market Mount Pleasant, SC	\$10,000

Teach For America, Inc. Newark, NJ <i>For: Greater Newark-\$40,000, Eastern North Carolina-\$30,000</i>	\$70,000
TeenPride, Inc. Morristown, NJ	\$20,000
Triangle Land Conservancy, Inc. Durham, NC	\$40,000
Trout Unlimited, Inc. Arlington, VA <i>Toward the Upper Delaware Watershed Home Rivers Initiative in New Jersey-\$25,000</i>	\$32,500
The Trust for Public Land Morristown, NJ <i>For: New Jersey programs only</i>	\$125,000
United Way of Northern New Jersey Morristown, NJ <i>For: General allocation to partner agencies in Morris County only</i>	\$250,000
United Way of the Greater Triangle, Inc. Morrisville, NC <i>For: General allocation to partner agencies only, of which \$100,000 is restricted to Orange County</i>	\$200,000
United Way of Wyoming Valley Wilkes-Barre, PA <i>For: Annual allocations to community-based social service agencies and programs</i>	\$90,000
The Violet Festival Dolgeville, NY <i>For: The Violet Festival in Dolgeville, NY</i>	\$3,500
Wake Forest University Winston-Salem, NC <i>For: The Wake Forest Fund</i>	\$25,000
Washington Association of New Jersey Morristown, NJ	\$25,000
Weatherspoon Art Museum Greensboro, NC <i>To support the Dillard Collection of Art on Paper exhibition</i>	\$7,500
Wyoming Seminary Kingston, PA <i>Toward the Allan P. and Marian Sutherland Kirby Center for Creative Arts Endowment Fund</i>	\$100,000

Yale University/School of Medicine New Haven, CT <i>Toward operational costs of the CNNR Imaging Facility</i>	\$150,000
Young America's Foundation Reston, VA	\$80,000
Zeta Psi Educational Foundation Pearl River, NY <i>For The Kirby Presidents Leadership Conference at Lafayette College held in January, 2016</i>	\$25,000
Zufall Health Center, Inc. Dover, NJ <i>For. The Morristown Health Clinic</i>	\$20,000
Grand Total	<u>\$42,271,500</u>

STATEMENT 23

F.M. KIRBY FOUNDATION, INC.

Outstanding Pledges

As of December 31, 2016

Organization Project Description	Due Date	Payment Amount
<u>January, 2017</u>		
A P. Kirby, Jr. Family Fund <i>For A donor advised fund at the Community Foundation of New Jersey for the benefit of the A P Kirby Jr Family Fund like amount for like use pledged for January 2017</i>	1/4/2017	\$9,000,000 00
The Acorn Hill Fund <i>For A donor advised fund at the BNY Mellon Charitable Gift Fund for the benefit of The Acorn Hill Fund like amount for like use pledged for January 2017</i>	1/4/2017	\$9,000,000 00
Ann K. Kirby Foundation <i>For The Community Foundation Serving Richmond and Central Virginia for the benefit of the Ann K. Kirby Foundation, like amount for like use pledged for January 2017</i>	4/2017	\$9,000,000 00
Total for January, 2017		<u>\$27,000,000 00</u>
<u>April, 2017</u>		
Schere Eye Institute <i>For Capital equipment for the F M Kirby Center for Molecular Ophthalmology-\$100,000, like amount for like use pledged for 2017</i>	4/4/2017	\$100,000 00
Total for April, 2017		<u>\$100,000 00</u>
<u>September, 2017</u>		
The Sarah P. Duke Gardens <i>Toward the expansion of the Doris Duke Center at Sarah P. Duke Gardens specifically Kirby-Horton Hall additions and renovations-\$334,000 in 2015, \$333,000 in 2016 and \$333,000 pledged for 2017 for like use</i>	9/14/2017	\$333,000 00
Total for September, 2017		<u>\$333,000 00</u>
<u>December, 2017</u>		
The Fuqua School of Business <i>To establish the Rex D. and Ellen Adams Loan Assistance Endowment-\$300,000 along with all funds in the Reserved for Future Decision Fund (approximately \$1.9 million) for the Annual Fund-\$30,000, \$330,000 pledged for like purposes in 2017</i>	12/8/2017	\$330,000 00
Greens Farms Academy, Inc. <i>Toward endowment support of Phase II of the Lead with Excellence capital campaign-\$50,000 for the Annual Giving Fund-\$20,000, \$70,000 pledged for like purposes in 2017 and 2018</i>	12/8/2017	\$70,000 00
Total for December, 2017		<u>\$400,000 00</u>
<u>December, 2018</u>		
Greens Farms Academy, Inc. <i>Toward endowment support of Phase II of the Lead with Excellence capital campaign-\$50,000 for the Annual Giving Fund-\$20,000, \$70,000 pledged for like purposes in 2017 and 2018</i>	12/7/2018	\$70,000 00
Total for December, 2018		<u>\$70,000 00</u>
Grand Total		<u>\$27,903,000 00</u>

ATTACHMENT - A

REALIZED GAINS AND LOSSES
F.M. KIRBY FOUNDATION, INC.
PORTION OF THE ACCOUNT MANAGED BY
WALTER SCOTT & PARTNERS
From 01-01-16 Through 12-31-16

Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss
1/14/2016	124	Alphabet Inc. - Class A	30,124.73	90,940.92	60,816.19
1/15/2016	124	Alphabet Inc. - Class A	30,124.73	87,798.12	57,673.39
1/19/2016	152	Alphabet Inc. - Class A	36,927.09	109,212.21	72,285.12
1/22/2016	4900	DBS Bank Ltd ORD	53,664.96	49,106.72	(4,558.24)
1/22/2016		Singapore Dollar (SGD)	49,106.72	49,197.25	90.53
1/25/2016	2200	DBS Bank Ltd ORD	24,094.47	21,634.88	(2,459.59)
1/26/2016	3100	DBS Bank Ltd ORD	33,951.30	29,951.52	(3,999.78)
1/27/2016	5100	DBS Bank Ltd ORD	55,855.37	49,612.78	(6,242.59)
1/28/2016	4000	DBS Bank Ltd ORD	43,808.13	39,756.46	(4,051.67)
1/29/2016	2500	DBS Bank Ltd ORD	27,380.08	24,189.23	(3,190.85)
2/1/2016	2400	DBS Bank Ltd ORD	26,284.88	23,096.90	(3,187.98)
2/1/2016		Singapore Dollar (SGD)	23,096.90	23,096.90	-
2/2/2016	3300	DBS Bank Ltd ORD	36,141.71	31,228.54	(4,913.17)
2/4/2016	3300	DBS Bank Ltd ORD	36,141.71	32,199.49	(3,942.22)
2/5/2016	3300	DBS Bank Ltd ORD	36,141.71	31,258.93	(4,882.78)
2/5/2016	282	Syngenta AG ORD	98,430.78	114,600.49	16,169.71
2/5/2016		Swiss Francs (CHF)	114,600.49	117,364.12	2,763.63
2/8/2016	204	Syngenta AG ORD	71,205.24	80,083.76	8,878.51
2/8/2016		Swiss Francs (CHF)	80,083.76	81,046.21	962.45
2/9/2016	446	Syngenta AG ORD	155,674.21	178,441.78	22,767.57
2/9/2016		Swiss Francs (CHF)	178,441.78	178,865.28	423.50
2/10/2016	3300	DBS Bank Ltd ORD	36,141.71	30,591.36	(5,550.35)
2/11/2016	3300	DBS Bank Ltd ORD	36,141.71	31,548.58	(4,593.13)
2/12/2016	4100	DBS Bank Ltd ORD	44,903.34	39,481.36	(5,421.97)
2/12/2016		Singapore Dollar (SGD)	39,481.36	39,481.36	-
2/15/2016		Japanese Yen (JPY)	97,093.68	96,583.74	(509.94)
2/16/2016	2200	DBS Bank Ltd ORD	24,094.47	20,884.59	(3,209.88)
2/17/2016	2800	DBS Bank Ltd ORD	30,665.69	26,225.96	(4,439.73)
2/17/2016		Singapore Dollar (SGD)	26,225.96	26,225.96	-
2/18/2016	4500	DBS Bank Ltd ORD	49,284.15	42,872.00	(6,412.15)
2/18/2016		Singapore Dollar (SGD)	42,872.00	42,872.00	-
2/19/2016	4500	DBS Bank Ltd ORD	49,284.15	43,764.81	(5,519.33)
2/19/2016		Singapore Dollar (SGD)	43,764.81	43,764.81	-
2/19/2016	6000	DBS Bank Ltd ORD	65,712.20	58,967.21	(6,744.99)
2/19/2016		Singapore Dollar (SGD)	58,967.21	58,967.21	-
2/22/2016	2400	DBS Bank Ltd ORD	26,284.88	23,240.94	(3,043.93)
2/22/2016		Singapore Dollar (SGD)	23,240.94	23,240.94	-
2/23/2016	5400	DBS Bank Ltd ORD	59,140.98	53,447.03	(5,693.95)
2/24/2016	1600	DBS Bank Ltd ORD	17,523.25	15,541.27	(1,981.98)
2/25/2016	5100	DBS Bank Ltd ORD	55,855.37	49,814.75	(6,040.62)
2/25/2016	11100	DBS Bank Ltd ORD	121,567.57	106,470.47	(15,097.10)

2/25/2016	Singapore Dollar (SGD)	156,285.22	156,831.26	546.04
2/26/2016	5700 DBS Bank Ltd ORD	62,426.59	109,739.60	47,313.01
2/26/2016	Singapore Dollar (SGD)	109,739.60	55,421.18	(54,318.42)
2/26/2016	4500 DBS Bank Ltd ORD	49,284.15	86,630.04	37,345.89
2/26/2016	Singapore Dollar (SGD)	86,630.04	43,750.29	(42,879.75)
2/29/2016	5300 DBS Bank Ltd ORD	58,045.78	50,768.73	(7,277.04)
2/29/2016	Singapore Dollar (SGD)	50,768.73	50,768.73	-
3/1/2016	Swiss Francs (CHF)	118,894.59	120,466.19	1,571.60
3/2/2016	2200 DBS Bank Ltd ORD	24,094.47	21,329.60	(2,764.87)
3/2/2016	Swiss Francs (CHF)	48,995.04	49,037.98	42.94
3/3/2016	3400 DBS Bank Ltd ORD	37,236.91	32,725.94	(4,510.97)
3/3/2016	Singapore Dollar (SGD)	32,725.94	32,725.94	-
3/3/2016	Swiss Francs (CHF)	26,422.46	26,483.34	60.88
3/4/2016	14354 DBS Bank Ltd ORD	157,205.48	140,920.29	(16,285.19)
3/4/2016	Singapore Dollar (SGD)	140,920.29	140,920.29	-
3/4/2016	8300 DBS Bank Ltd ORD	90,901.87	81,416.35	(9,485.52)
3/4/2016	Singapore Dollar (SGD)	81,416.35	81,416.35	-
3/7/2016	Pound Sterling (GBP)	25,692.31	25,529.86	(162.45)
3/8/2016	Pound Sterling (GBP)	50,217.31	50,241.05	23.74
3/9/2016	Pound Sterling (GBP)	100,038.08	100,096.46	58.38
6/20/2016	Canadian Dollar (CAD)	40,323.95	40,323.95	-
6/21/2016	Canadian Dollar (CAD)	31,416.35	31,416.35	-
6/22/2016	Canadian Dollar (CAD)	228,111.75	227,792.20	(319.55)
6/22/2016	1800 Honda Motor Ltd ORD	63,602.79	46,807.68	(16,795.11)
6/22/2016	Japanese Yen (JPY)	46,807.68	46,807.68	-
6/23/2016	1800 Honda Motor Ltd ORD	63,602.79	47,455.72	(16,147.07)
6/23/2016	Japanese Yen (JPY)	47,455.72	47,455.72	-
6/23/2016	2200 Adobe Systems Inc.	81,890.14	210,448.34	128,558.20
6/23/2016	1900 Amphenol Corp.	35,554.46	112,142.16	76,587.70
6/23/2016	1900 Automatic Data Processing, Inc.	79,279.24	169,958.33	90,679.09
6/23/2016	1100 C R. Bard, Inc.	87,153.06	252,740.78	165,587.72
6/23/2016	1900 Cognizant Technology Solutions	117,309.46	118,123.12	813.66
6/23/2016	2000 Colgate-Palmolive Co.	87,184.15	144,901.26	57,717.11
6/23/2016	300 Intuitive Surgical, Inc.	123,522.82	197,582.06	74,059.24
6/23/2016	1400 Johnson & Johnson	85,410.55	163,658.28	78,247.73
6/23/2016	2200 Mastercard Inc.	51,102.09	209,446.66	158,344.57
6/23/2016	4500 Microsoft Corporation	131,667.94	232,854.14	101,186.20
6/23/2016	4100 Nike Inc. Class B	57,019.01	222,657.40	165,638.39
6/23/2016	1200 Stryker Corporation	98,415.30	141,606.72	43,191.42
6/23/2016	2600 TJX Companies, Inc.	78,098.60	200,355.43	122,256.83
6/23/2016	10600 Taiwan Semiconductor Mfg Co S	189,500.96	282,461.97	92,961.01
6/24/2016	1800 Honda Motor Ltd ORD	63,602.79	47,001.46	(16,601.33)
6/24/2016	Japanese Yen (JPY)	47,001.46	47,001.46	-
6/27/2016	2700 Honda Motor Ltd ORD	95,404.19	70,076.65	(25,327.54)
6/27/2016	Japanese Yen (JPY)	70,076.65	70,076.65	-
6/27/2016	2600 Novartis AG ORD	220,548.76	208,361.70	(12,187.06)
6/27/2016	Swiss Francs (CHF)	208,361.70	208,361.70	-
6/27/2016	10700 Compass Group PLC ORD	174,058.95	205,182.80	31,123.86
6/27/2016	Pound Sterling (GBP)	205,182.80	205,182.80	-
6/27/2016	5200 Reckitt Benckiser PLC ORD	275,378.62	524,911.98	249,533.36
6/27/2016	1600 Adobe Systems Inc.	59,556.46	145,478.61	85,922.15

6/27/2016	2300 Amphenol Corp.	43,039.61	127,929.52	84,889.91
6/27/2016	2000 Automatic Data Processing, Inc.	83,451.83	171,173.77	87,721.94
6/27/2016	1300 C.R. Bard, Inc.	102,999.07	291,803.31	188,804.24
6/27/2016	2300 Colgate-Palmolive Co.	100,261.77	160,841.74	60,579.97
6/27/2016	300 Intuitive Surgical, Inc.	123,522.82	188,912.57	65,389.75
6/27/2016	1800 Johnson & Johnson	109,813.56	208,986.31	99,172.75
6/27/2016	1800 Mastercard Inc	41,810.80	160,597.17	118,786.37
6/27/2016	3100 Microsoft Corporation	90,704.58	150,028.77	59,324.19
6/27/2016	3900 Nike Inc. Class B	54,237.59	201,995.21	147,757.62
6/27/2016	1700 Stryker Corporation	139,421.68	195,106.90	55,685.22
6/27/2016	3800 TJX Companies, Inc.	114,144.11	278,005.45	163,861.34
6/27/2016	7400 Taiwan Semiconductor Mfg Co	132,293.12	183,015.05	50,721.93
6/28/2016	9100 Inditex ORD	101,416.34	319,579.28	218,162.94
6/28/2016	1800 Honda Motor Ltd ORD	63,602.79	46,690.11	(16,912.68)
6/28/2016	Japanese Yen (JPY)	46,690.11	46,690.11	-
6/29/2016	700 Honda Motor Ltd ORD	24,734.42	18,648.57	(6,085.85)
6/29/2016	Japanese Yen (JPY)	18,648.57	18,648.57	-
6/30/2016	500 Keyence Corporation ORD	86,368.50	328,871.66	242,503.15
6/30/2016	Japanese Yen (JPY)	328,871.66	328,871.66	-
6/30/2016	3300 Shin Etsu Chemical Co. ORD	206,606.96	187,540.73	(19,066.22)
6/30/2016	Japanese Yen (JPY)	187,540.73	187,540.73	-
6/30/2016	1600 Fanuc Ltd ORD	154,861.60	248,613.25	93,751.65
6/30/2016	Japanese Yen (JPY)	248,613.25	248,613.25	-
6/30/2016	6500 CSL Limited ORD	215,684.26	947,947.49	732,263.23
6/30/2016	Australian Dollars (AUD)	947,947.49	519,460.88	(428,486.61)
6/30/2016	45200 AIA Group LTD ORD	194,800.62	256,959.79	62,159.17
6/30/2016	Hong Kong Dollars (HKD)	256,959.79	256,959.79	-
7/1/2016	1800 Honda Motor Ltd ORD	63,602.79	44,110.50	(19,492.29)
7/1/2016	Japanese Yen (JPY)	44,110.50	44,110.50	-
7/4/2016	1800 Honda Motor Ltd ORD	63,602.79	45,005.37	(18,597.42)
7/4/2016	Japanese Yen (JPY)	45,005.37	45,005.37	-
7/5/2016	1800 Honda Motor Ltd ORD	63,602.79	45,347.82	(18,254.97)
7/6/2016	1800 Honda Motor Ltd ORD	63,602.79	45,010.92	(18,591.87)
7/6/2016	Japanese Yen (JPY)	45,010.92	45,010.92	-
7/8/2016	1000 Honda Motor Ltd ORD	35,334.88	25,363.45	(9,971.43)
7/11/2016	1800 Honda Motor Ltd ORD	63,602.79	43,905.50	(19,697.29)
7/11/2016	Japanese Yen (JPY)	43,905.50	43,905.50	-
7/15/2016	1900 Honda Motor Ltd ORD	67,136.28	48,475.68	(18,660.60)
7/15/2016	Japanese Yen (JPY)	48,475.68	48,475.68	-
7/19/2016	1900 Honda Motor Ltd ORD	67,136.28	49,921.61	(17,214.67)
7/19/2016	Japanese Yen (JPY)	49,921.61	49,962.71	41.10
7/20/2016	2800 Honda Motor Ltd ORD	98,937.67	72,424.69	(26,512.98)
7/20/2016	Japanese Yen (JPY)	72,424.69	71,483.89	(940.80)
7/21/2016	2800 Honda Motor Ltd ORD	98,937.67	73,190.50	(25,747.18)
7/21/2016	Japanese Yen (JPY)	73,190.50	73,190.50	-
7/22/2016	1800 Honda Motor Ltd ORD	63,602.79	47,541.08	(16,061.71)
7/22/2016	Japanese Yen (JPY)	47,541.08	47,541.08	-
7/25/2016	1200 Honda Motor Ltd ORD	42,401.86	31,519.89	(10,881.97)
7/25/2016	Japanese Yen (JPY)	31,519.89	31,477.57	(42.32)
8/24/2016	113 Syngenta AG ORD	39,442.12	49,599.67	10,157.55
8/24/2016	Swiss Francs (CHF)	49,599.67	49,445.88	(153.79)

8/25/2016	453 Syngenta AG ORD	158,117.53	197,088.58	38,971.05
8/25/2016	Swiss Francs (CHF)	197,088.58	196,405.33	(683.25)
8/26/2016	301 Syngenta AG ORD	105,062.64	131,382.67	26,320.03
8/26/2016	Swiss Francs (CHF)	131,382.67	130,782.20	(600.47)
8/26/2016	2800 Adobe Systems Inc.	104,223.81	285,008.77	180,784.96
8/26/2016	5500 Microsoft Corporation	160,927.48	319,480.63	158,553.15
8/26/2016	13700 Taiwan Semiconductor Mfg Co S	244,921.05	392,501.03	147,579.98
8/29/2016	313 Syngenta AG ORD	109,251.18	135,955.70	26,704.52
8/29/2016	Swiss Francs (CHF)	135,955.70	135,938.28	(17.42)
10/28/2016	248 Swatch Group AG-B ORD	140,504.18	77,243.80	(63,260.39)
10/28/2016	Swiss Francs (CHF)	77,243.80	77,535.61	291.81
10/28/2016	76 Swatch Group AG-B ORD	43,057.73	23,721.19	(19,336.54)
10/28/2016	Swiss Francs (CHF)	23,721.19	23,730.75	9.56
10/31/2016	1800 Komatsu Ltd ORD	41,156.89	39,557.93	(1,598.96)
10/31/2016	Japanese Yen (JPY)	39,557.93	39,702.07	144.14
10/31/2016	66 Swatch Group AG-B ORD	37,392.24	20,333.16	(17,059.08)
10/31/2016	Swiss Francs (CHF)	20,333.16	20,333.16	-
11/1/2016	1100 Komatsu Ltd ORD	25,151.43	24,319.13	(832.30)
11/1/2016	Japanese Yen (JPY)	24,319.13	24,250.20	(68.93)
11/1/2016	66 Swatch Group AG-B ORD	37,392.24	20,280.02	(17,112.22)
11/1/2016	Swiss Francs (CHF)	20,280.02	20,365.04	85.02
11/2/2016	1100 Komatsu Ltd ORD	25,151.43	24,374.48	(776.95)
11/2/2016	Japanese Yen (JPY)	24,374.48	24,300.24	(74.24)
11/2/2016	46 Swatch Group AG-B ORD	26,061.26	13,776.72	(12,284.54)
11/2/2016	Swiss Francs (CHF)	13,776.72	13,901.82	125.10
11/3/2016	40 Swatch Group AG-B ORD	22,661.97	12,002.79	(10,659.17)
11/3/2016	Swiss Francs (CHF)	12,002.79	12,225.44	222.65
11/4/2016	2700 Komatsu Ltd ORD	61,735.33	59,884.88	(1,850.46)
11/4/2016	Japanese Yen (JPY)	59,884.88	59,884.88	-
11/7/2016	1800 Komatsu Ltd ORD	41,156.89	39,980.62	(1,176.27)
11/7/2016	Japanese Yen (JPY)	39,980.62	39,980.62	-
11/7/2016	61 Swatch Group AG-B ORD	34,559.50	18,185.23	(16,374.27)
11/7/2016	Swiss Francs (CHF)	18,185.23	18,152.81	(32.42)
11/8/2016	1800 Komatsu Ltd ORD	41,156.89	39,850.14	(1,306.75)
11/8/2016	Japanese Yen (JPY)	39,850.14	39,850.14	-
11/8/2016	101 Swatch Group AG-B ORD	57,221.46	30,687.33	(26,534.13)
11/8/2016	Swiss Francs (CHF)	30,687.33	30,654.21	(33.12)
11/8/2016	28 Swatch Group AG-B ORD	15,863.38	8,530.64	(7,332.73)
11/8/2016	Swiss Francs (CHF)	8,530.64	8,521.44	(9.20)
11/9/2016	2200 Komatsu Ltd ORD	50,302.87	48,023.78	(2,279.09)
11/9/2016	Japanese Yen (JPY)	48,023.78	48,023.78	-
11/9/2016	56 Swatch Group AG-B ORD	31,726.75	17,235.36	(14,491.39)
11/9/2016	Swiss Francs (CHF)	17,235.36	17,087.07	(148.29)
11/9/2016	101 Swatch Group AG-B ORD	57,221.46	31,116.03	(26,105.44)
11/9/2016	Swiss Francs (CHF)	31,116.03	30,848.32	(267.71)
11/10/2016	1800 Komatsu Ltd ORD	41,156.89	38,957.04	(2,199.85)
11/10/2016	51 Swatch Group AG-B ORD	28,894.01	15,482.23	(13,411.78)
11/10/2016	Swiss Francs (CHF)	15,482.23	15,482.23	-
11/10/2016	14 Swatch Group AG-B ORD	7,931.69	4,257.65	(3,674.04)
11/11/2016	2000 Komatsu Ltd ORD	45,729.88	43,098.49	(2,631.38)
11/11/2016	Japanese Yen (JPY)	43,098.49	43,014.02	(84.47)

11/11/2016	25 Swatch Group AG-B ORD	14,163.73	7,688.41	(6,475.32)
11/11/2016	Swiss Francs (CHF)	7,688.41	7,587.83	(100.58)
11/14/2016	1800 Komatsu Ltd ORD	41,156.89	38,307.92	(2,848.97)
11/14/2016	Japanese Yen (JPY)	38,307.92	38,307.92	-
11/14/2016	39 Swatch Group AG-B ORD	22,095.42	11,899.10	(10,196.31)
11/14/2016	Swiss Francs (CHF)	11,899.10	11,849.12	(49.98)
11/15/2016	2300 Komatsu Ltd ORD	52,589.36	53,020.69	431.33
11/15/2016	Japanese Yen (JPY)	53,020.69	52,096.81	(923.88)
11/15/2016	197 Swatch Group AG-B ORD	111,610.18	59,499.07	(52,111.11)
11/15/2016	Swiss Francs (CHF)	59,499.07	58,965.29	(533.78)
11/15/2016	87 Swatch Group AG-B ORD	49,289.77	26,245.08	(23,044.69)
11/15/2016	Swiss Francs (CHF)	26,245.08	26,009.63	(235.45)
11/16/2016	1800 Komatsu Ltd ORD	41,156.89	42,376.49	1,219.60
11/16/2016	Japanese Yen (JPY)	42,376.49	42,399.39	22.90
11/16/2016	35 Swatch Group AG-B ORD	19,829.22	10,695.66	(9,133.56)
11/16/2016	Swiss Francs (CHF)	10,695.66	10,592.91	(102.75)
11/17/2016	3000 Komatsu Ltd ORD	68,594.82	69,915.68	1,320.87
11/17/2016	Japanese Yen (JPY)	69,915.68	69,915.68	-
11/17/2016	15 Swatch Group AG-B ORD	8,498.24	4,499.83	(3,998.40)
11/17/2016	Swiss Francs (CHF)	4,499.83	4,476.51	(23.32)
11/18/2016	1800 Komatsu Ltd ORD	41,156.89	41,693.59	536.70
11/18/2016	Japanese Yen (JPY)	41,693.59	41,693.59	-
11/18/2016	15 Swatch Group AG-B ORD	8,498.24	4,447.47	(4,050.77)
11/18/2016	Swiss Francs (CHF)	4,447.47	4,436.46	(11.01)
11/21/2016	1800 Komatsu Ltd ORD	41,156.89	41,397.71	240.82
11/21/2016	Japanese Yen (JPY)	41,397.71	41,397.71	-
11/21/2016	41 Swatch Group AG-B ORD	23,228.51	12,309.41	(10,919.10)
11/21/2016	Swiss Francs (CHF)	12,309.41	12,267.26	(42.15)
11/22/2016	2200 Komatsu Ltd ORD	50,302.87	49,707.32	(595.55)
11/22/2016	Japanese Yen (JPY)	49,707.32	49,707.32	-
11/22/2016	20 Swatch Group AG-B ORD	11,330.98	5,953.26	(5,377.72)
11/22/2016	Swiss Francs (CHF)	5,953.26	5,922.64	(30.62)
11/24/2016	1800 Komatsu Ltd ORD	41,156.89	40,977.49	(179.40)
11/24/2016	Japanese Yen (JPY)	40,977.49	40,701.14	(276.35)
11/25/2016	2200 Komatsu Ltd ORD	50,302.87	49,490.15	(812.71)
11/25/2016	Japanese Yen (JPY)	49,490.15	49,490.15	-
11/28/2016	2200 Komatsu Ltd ORD	50,302.87	49,767.74	(535.13)
11/28/2016	Japanese Yen (JPY)	49,767.74	49,767.74	-
11/29/2016	1800 Komatsu Ltd ORD	41,156.89	40,600.48	(556.41)
11/29/2016	Japanese Yen (JPY)	40,600.48	40,600.48	-
11/30/2016	1800 Komatsu Ltd ORD	41,156.89	41,042.02	(114.87)
12/1/2016	1800 Komatsu Ltd ORD	41,156.89	41,275.31	118.42
12/1/2016	Japanese Yen (JPY)	41,275.31	41,275.31	-
12/2/2016	46 Swatch Group AG-B ORD	26,061.26	13,548.15	(12,513.11)
12/2/2016	Swiss Francs (CHF)	13,548.15	13,537.69	(10.46)
12/5/2016	26 Swatch Group AG-B ORD	14,730.28	7,577.38	(7,152.90)
12/5/2016	Swiss Francs (CHF)	7,577.38	7,638.76	61.38
12/8/2016	1100 Komatsu Ltd ORD	25,151.43	25,719.15	567.71
12/8/2016	Japanese Yen (JPY)	25,719.15	25,719.15	-
12/9/2016	1200 Komatsu Ltd ORD	27,437.93	28,213.43	775.50
12/12/2016	1800 Komatsu Ltd ORD	41,156.89	42,562.29	1,405.40

12/13/2016	1800 Komatsu Ltd ORD	41,156.89	43,811.33	2,654.44
12/13/2016	Japanese Yen (JPY)	43,811.33	43,811.33	-
12/14/2016	2700 Komatsu Ltd ORD	61,735.33	64,584.56	2,849.22
12/14/2016	Japanese Yen (JPY)	64,584.56	64,584.56	-
12/15/2016	1800 Komatsu Ltd ORD	41,156.89	42,370.27	1,213.38
12/15/2016	Japanese Yen (JPY)	42,370.27	42,370.27	-
12/16/2016	2100 Komatsu Ltd ORD	48,016.37	48,845.00	828.63
12/19/2016	2200 Komatsu Ltd ORD	50,302.87	51,370.05	1,067.18
12/19/2016	Japanese Yen (JPY)	51,370.05	51,370.05	-
12/20/2016	1700 Komatsu Ltd ORD	38,870.40	38,281.73	(588.67)
12/21/2016	1800 Komatsu Ltd ORD	41,156.89	40,332.37	(824.52)
12/21/2016	Japanese Yen (JPY)	40,332.37	40,332.37	-
12/22/2016	1400 Komatsu Ltd ORD	32,010.91	31,566.11	(444.81)
12/22/2016	Japanese Yen (JPY)	31,566.11	31,566.11	-
12/22/2016	3000 Adobe Systems Inc	111,668.37	313,953.72	202,285.35
12/22/2016	1200 C.R. Bard, Inc.	95,076.07	263,598.85	168,522.78
12/22/2016	9200 Cisco Systems, Inc.	253,527.63	279,272.35	25,744.72
12/22/2016	3400 EOG Resources Inc.	148,873.10	349,014.03	200,140.93
12/22/2016	3500 Mastercard Inc.	81,298.79	363,214.98	281,916.19
12/22/2016	6500 Microsoft Corporation	190,187.02	414,445.81	224,258.79
12/22/2016	2600 Praxair Inc.	282,372.72	303,677.44	21,304.72
12/22/2016	4800 TJX Companies, Inc.	144,182.03	369,376.45	225,194.42
12/22/2016	9600 Taiwan Semiconductor Mfg Co S	171,623.51	276,206.97	104,583.46
12/23/2016	1400 LVMH Moet-Hennessy ORD	239,414.55	260,714.63	21,300.08
12/23/2016	European Currency (EMU)	260,714.63	262,484.72	1,770.09
12/28/2016	700 Keyence Corporation ORD	120,915.91	465,736.59	344,820.68
12/28/2016	9700 Shin Etsu Chemical Co. ORD	607,299.23	760,879.41	153,580.18
12/28/2016	Japanese Yen (JPY)	760,879.41	760,879.41	-
TOTAL GAINS				7,164,463.25
TOTAL LOSSES				(1,451,244.17)
		21,259,082.85	26,972,301.92	5,713,219.08
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ATTACHMENT - B

REALIZED GAINS AND LOSSES
F M KIRBY FOUNDATION, INC
PORTION OF THE ACCOUNT MANAGED BY
LAZARD ASSET MANAGEMENT GLOBAL EQUITY SELECT
From 01-01-16 Through 12-31-16

Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss
1/4/2016	2445	Macdonald Dettwiler and Associates LTD ORD	189,482.01	146,099.12	(43,382.89)
1/4/2016		Canadian Dollar (CAD)	146,099.12	146,762.04	662.92
1/4/2016	12231	Auto Trader Group PLC ORD	46,661.46	79,594.87	32,933.41
1/4/2016		Pound Sterling (GBP)	79,594.87	79,725.61	130.74
1/5/2016	8205	Auto Trader Group PLC ORD	31,302.20	53,926.20	22,623.99
1/5/2016		Pound Sterling (GBP)	53,926.20	53,926.20	-
1/6/2016	30713	Auto Trader Group PLC ORD	117,170.58	196,131.85	78,961.27
1/6/2016		Pound Sterling (GBP)	196,131.85	196,131.85	-
1/7/2016	14260	Auto Trader Group PLC ORD	54,402.13	91,730.41	37,328.28
1/7/2016		Pound Sterling (GBP)	91,730.41	91,593.02	(137.39)
1/8/2016	43199	Auto Trader Group PLC ORD	164,804.87	273,529.82	108,724.95
1/8/2016		Pound Sterling (GBP)	273,529.82	273,182.53	(347.29)
1/8/2016	5394	Auto Trader Group PLC ORD	20,578.20	34,101.79	13,523.60
1/8/2016		Pound Sterling (GBP)	34,101.79	33,908.28	(193.51)
1/11/2016	14110	Auto Trader Group PLC ORD	53,829.87	87,482.72	33,652.85
1/12/2016	21648	Auto Trader Group PLC ORD	82,587.46	137,347.37	54,759.91
1/12/2016		Pound Sterling (GBP)	137,347.37	137,347.37	-
1/14/2016		Pound Sterling (GBP)	397,398.65	395,020.03	(2,378.62)
1/19/2016	12985	Twitter Inc	475,666.52	220,247.50	(255,419.02)
1/20/2016	1600	OneMain Holdings, Inc.	81,458.25	43,901.75	(37,556.50)
1/20/2016	5000	OneMain Holdings, Inc.	254,557.03	136,476.48	(118,080.55)
1/21/2016	800	OneMain Holdings, Inc.	40,729.13	22,879.57	(17,849.56)
1/21/2016	700	OneMain Holdings, Inc.	35,637.98	19,970.63	(15,667.35)
1/21/2016	300	OneMain Holdings, Inc.	15,273.42	8,566.64	(6,706.78)
1/25/2016		Swiss Francs (CHF)	111,696.30	111,696.30	-
1/25/2016		Swiss Francs (CHF)	373,902.29	373,902.29	-
1/25/2016	1900	Continental AG ORD	358,625.57	397,318.50	38,692.93
1/25/2016		European Currency (EMU)	397,318.50	397,318.50	-
1/25/2016	7200	Provident Financial PLC ORD	320,468.44	292,130.17	(28,338.27)
1/25/2016		Pound Sterling (GBP)	292,130.17	292,130.17	-
1/27/2016	700	OneMain Holdings, Inc.	35,637.98	19,746.63	(15,891.35)
1/29/2016	900	OneMain Holdings, Inc.	45,820.27	23,293.64	(22,526.63)
2/1/2016	700	OneMain Holdings, Inc.	35,637.98	18,631.34	(17,006.64)
2/1/2016	713	Alphabet Inc - Class C	359,636.53	536,321.56	176,685.03
2/2/2016	400	OneMain Holdings, Inc.	20,364.56	10,433.28	(9,931.28)
2/3/2016	300	OneMain Holdings, Inc.	15,273.42	7,427.86	(7,845.56)
2/3/2016	300	OneMain Holdings, Inc.	15,273.42	7,508.26	(7,765.16)
2/4/2016	1300	OneMain Holdings, Inc.	66,184.83	31,982.27	(34,202.56)
2/5/2016	500	OneMain Holdings, Inc.	25,455.70	11,626.78	(13,828.92)
2/8/2016	100	OneMain Holdings, Inc.	5,091.14	2,104.42	(2,986.72)
2/9/2016	100	OneMain Holdings, Inc.	5,091.14	2,102.42	(2,988.72)
2/9/2016	400	OneMain Holdings, Inc.	20,364.56	8,115.85	(12,248.71)
2/9/2016	200	OneMain Holdings, Inc.	10,182.28	4,069.92	(6,112.36)
2/9/2016	18010	Hartford Financial Services Group	635,834.96	715,009.04	79,174.08
2/10/2016	100	OneMain Holdings, Inc.	5,091.14	2,068.20	(3,022.94)
2/11/2016	47772	Credit Suisse Group AG ORD	1,111,207.13	645,843.41	(465,363.72)
2/11/2016		Swiss Francs (CHF)	645,843.41	645,843.41	-
2/11/2016	100	OneMain Holdings, Inc.	5,091.14	1,892.20	(3,198.94)
2/12/2016		Danish Kroners (DKK)	818,375.30	819,993.71	1,618.41
2/12/2016	200	OneMain Holdings, Inc.	10,182.28	4,001.91	(6,180.37)

2/12/2016	200 OneMain Holdings, Inc.	10,182.28	4,039.67	(6,142.61)
2/16/2016	25467 Telenor ASA ORD	544,675.16	352,687.01	(191,988.15)
2/16/2016	Norwegian Krone (NOK)	352,687.01	352,687.01	-
2/16/2016	23139 Telenor ASA ORD	494,885.09	320,237.68	(174,647.41)
2/16/2016	200 OneMain Holdings, Inc.	10,182.28	4,367.88	(5,814.40)
2/16/2016	300 OneMain Holdings, Inc.	15,273.42	6,476.67	(8,796.75)
2/17/2016	200 OneMain Holdings, Inc.	10,182.28	4,748.55	(5,433.73)
2/17/2016	300 OneMain Holdings, Inc.	15,273.42	7,007.03	(8,266.39)
2/18/2016	20991 Permanent TSB Group Holdings PLC ORD	111,131.47	65,786.43	(45,345.04)
2/18/2016	European Currency (EMU)	65,786.43	65,183.80	(602.63)
2/18/2016	600 OneMain Holdings, Inc.	30,546.84	14,310.52	(16,236.32)
2/19/2016	16943 Permanent TSB Group Holdings PLC ORD	89,700.37	52,420.38	(37,279.98)
2/19/2016	European Currency (EMU)	52,420.38	52,379.93	(40.45)
2/19/2016	100 OneMain Holdings, Inc.	5,091.14	2,372.09	(2,719.05)
2/22/2016	70925 Permanent TSB Group Holdings PLC ORD	375,494.22	216,889.98	(158,604.24)
2/22/2016	European Currency (EMU)	216,889.98	216,321.15	(568.83)
2/22/2016	200 OneMain Holdings, Inc.	10,182.28	4,952.29	(5,229.99)
2/22/2016	100 OneMain Holdings, Inc.	5,091.14	2,480.71	(2,610.43)
2/24/2016	100 OneMain Holdings, Inc.	5,091.14	2,438.12	(2,653.02)
2/24/2016	100 OneMain Holdings, Inc.	5,091.14	2,286.45	(2,804.69)
2/25/2016	100 OneMain Holdings, Inc.	5,091.14	2,170.20	(2,920.94)
2/25/2016	150 OneMain Holdings, Inc.	7,636.71	3,206.74	(4,429.97)
3/17/2016	23338 Novartis AG ORD	1,719,826.35	1,714,255.42	(5,570.93)
3/17/2016	Swiss Francs (CHF)	1,714,255.42	1,714,255.42	-
3/17/2016	Swiss Francs (CHF)	428,138.23	428,620.33	482.10
3/17/2016	European Currency (EMU)	422,774.63	422,774.62	(0.01)
3/17/2016	European Currency (EMU)	448,250.54	448,250.54	-
3/17/2016	105706 UBM PLC ORD	804,879.13	898,582.76	93,703.63
3/17/2016	Pound Sterling (GBP)	898,582.76	898,582.76	-
3/28/2016	200 Schlumberger Ltd.	15,757.39	14,579.68	(1,177.71)
3/28/2016	300 Tyco International Ltd.	12,325.05	10,847.76	(1,477.29)
3/28/2016	200 Eaton Corporation	10,939.85	12,501.72	1,561.87
3/28/2016	100 NXP Semiconductors NV	6,875.09	8,149.82	1,274.73
3/28/2016	300 Apple Inc.	25,120.91	31,796.30	6,675.39
3/28/2016	300 Applied Materials Inc.	4,924.77	6,062.86	1,138.09
3/28/2016	400 Autohome Inc (ADR)	13,622.84	10,711.76	(2,911.08)
3/28/2016	200 Bristol-Myers Squibb	9,507.81	12,513.72	3,005.91
3/28/2016	200 CVS Health Corporation	14,635.65	20,261.55	5,625.90
3/28/2016	600 Cisco Systems, Inc	15,291.59	16,793.63	1,502.04
3/28/2016	100 EOG Resources Inc.	9,989.99	7,440.83	(2,549.16)
3/28/2016	200 Fidelity National Information Services	13,095.54	12,597.72	(497.82)
3/28/2016	400 Halliburton Co.	20,287.92	14,123.69	(6,164.23)
3/28/2016	200 Honeywell International Inc	16,144.02	22,301.51	6,157.49
3/28/2016	100 Intercontinental Exchange, Inc	18,883.02	23,438.48	4,555.46
3/28/2016	200 Kellogg Co	13,024.08	15,071.67	2,047.59
3/28/2016	200 Mastercard Inc.	12,445.43	18,433.59	5,988.16
3/28/2016	100 S&P Global Inc.	10,313.27	9,662.78	(650.49)
3/28/2016	100 McKesson Corporation	13,569.78	15,727.65	2,157.87
3/28/2016	100 Mead Johnson Nutrition Company	7,299.95	8,175.82	875.87
3/28/2016	200 Microsoft Corporation	10,012.74	10,839.76	827.02
3/28/2016	200 Molson Coors Brewing Co	13,091.45	19,057.58	5,966.13
3/28/2016	100 Monsanto Company	10,735.84	9,011.80	(1,724.04)
3/28/2016	200 Procter & Gamble Company	13,887.19	16,597.63	2,710.44
3/28/2016	400 Quintiles IMS Holdings Inc.	23,679.65	25,459.44	1,779.79
3/28/2016	100 Raytheon Company	12,594.00	12,293.73	(300.27)
3/28/2016	500 Reynolds American Inc.	19,060.04	24,959.45	5,899.41
3/28/2016	100 Rockwell Automation Inc.	11,610.75	11,201.75	(409.00)
3/28/2016	400 Charles Schwab Corp.	9,955.29	11,243.75	1,288.46
3/28/2016	100 J.M. Smucker Co	10,730.92	12,915.71	2,184.79
3/28/2016	500 Taiwan Semiconductor Mfg Co Spon (ADR)	9,623.05	12,854.71	3,231.66
3/28/2016	200 Teva Pharmaceutical Industries (ADR)	12,447.02	10,865.76	(1,581.26)

3/28/2016	100 Thermo Fisher Scientific Inc	12,410.01	13,957.69	1,547.68
3/28/2016	100 Union Pacific Corp.	10,165.39	8,086.82	(2,078.57)
3/28/2016	100 United Technologies Corp.	9,637.62	9,908.78	271.16
3/28/2016	300 Visa Inc Class A	14,196.58	22,238.51	8,041.93
3/28/2016	300 Zoetis Inc.	9,228.57	12,743.72	3,515.15
3/28/2016	100 AON PLC (ADR)	9,897.06	10,149.77	252.71
3/28/2016	100 Accenture Ltd	9,492.46	11,441.75	1,949.29
3/28/2016	100 Check Point Software Technologies Ltd	8,019.46	8,289.81	270.35
3/29/2016	29815 Halliburton Co.	1,512,211.15	1,045,478.93	(466,732.22)
3/29/2016	5765 Kellogg Co	375,419.21	442,202.75	66,783.54
3/29/2016	2705 Procter & Gamble Company	187,824.20	223,901.76	36,077.56
3/29/2016	7515 J.M. Smucker Co.	806,428.49	977,181.19	170,752.70
3/31/2016	158 Actelion LTD ORD	20,958.85	23,161.66	2,202.81
3/31/2016	Swiss Francs (CHF)	23,161.66	23,161.66	-
3/31/2016	236 Novo Nordisk A/S-B ORD	8,238.78	12,407.75	4,168.97
3/31/2016	Danish Kroners (DKK)	12,407.75	12,407.75	-
3/31/2016	116 Carlsberg AS-B ORD	10,012.55	10,673.20	660.65
3/31/2016	1524 Davide Campari Milano SPA ORD	11,248.38	14,807.62	3,559.25
3/31/2016	European Currency (EMU)	14,807.62	14,807.62	-
3/31/2016	259 Symrise AG ORD	13,529.10	17,273.13	3,744.03
3/31/2016	European Currency (EMU)	17,273.13	17,273.13	-
3/31/2016	1270 Relx NV ORD	20,603.79	21,868.43	1,264.64
3/31/2016	56 Continental AG ORD	10,570.02	12,178.20	1,608.19
3/31/2016	European Currency (EMU)	12,178.20	12,178.20	-
3/31/2016	458 Sampo Insurance Co ORD	20,123.02	21,362.35	1,239.33
3/31/2016	European Currency (EMU)	21,362.35	21,362.35	-
3/31/2016	507 Wolters Kluwer ORD	16,835.40	20,097.64	3,262.24
3/31/2016	European Currency (EMU)	20,097.64	20,097.64	-
3/31/2016	626 Compass Group PLC ORD	11,320.68	10,873.63	(447.06)
3/31/2016	Pound Sterling (GBP)	10,873.63	10,873.63	-
3/31/2016	143 Provident Financial PLC ORD	6,364.86	6,064.75	(300.11)
3/31/2016	Pound Sterling (GBP)	6,064.75	6,064.75	-
3/31/2016	329 Unilever PLC ORD	13,280.48	14,748.33	1,467.86
3/31/2016	Pound Sterling (GBP)	14,748.33	14,748.33	-
3/31/2016	388 Shire PLC ORD	24,366.31	21,669.38	(2,696.93)
3/31/2016	Pound Sterling (GBP)	21,669.38	21,669.38	-
3/31/2016	2026 Henderson Group PLC ORD	8,827.10	7,231.94	(1,595.15)
3/31/2016	Pound Sterling (GBP)	7,231.94	7,231.94	-
3/31/2016	192 British American Tobacco PLC ORD	10,407.59	11,021.27	613.69
3/31/2016	Pound Sterling (GBP)	11,021.27	11,021.27	-
3/31/2016	651 Prudential Corp PLC ORD	16,261.14	11,963.48	(4,297.66)
3/31/2016	Pound Sterling (GBP)	11,963.48	11,963.48	-
3/31/2016	942 Europris ASA ORD	5,470.24	4,334.45	(1,135.79)
3/31/2016	1082 Assa Abloy AB ORD	15,892.74	20,267.22	4,374.49
3/31/2016	Swedish Kroners (SEK)	20,267.22	20,267.22	-
3/31/2016	154 Magnit Spon (GDR)	8,515.66	6,145.32	(2,370.34)
4/1/2016	400 Recruit Holding Co LTD ORD	12,669.11	12,342.34	(326.77)
4/1/2016	Japanese Yen (JPY)	12,342.34	12,342.34	-
4/1/2016	700 Aeon Financial Service Co. LTD ORD	17,163.56	16,711.52	(452.03)
4/1/2016	Japanese Yen (JPY)	16,711.52	16,711.52	-
4/1/2016	900 Daiwa House Industry Co Ltd ORD	17,052.02	25,834.27	8,782.26
4/1/2016	Japanese Yen (JPY)	25,834.27	25,834.27	-
4/1/2016	1500 Kasikorbank PCL ORD	9,495.32	7,201.28	(2,294.04)
4/1/2016	Thailand Bahts (THB)	7,201.28	7,220.15	18.87
4/1/2016	7925 Unilever PLC ORD	319,902.03	362,538.88	42,636.85
4/1/2016	Pound Sterling (GBP)	362,538.88	362,538.88	-
4/5/2016	1613 Sanlam LTD ORD	10,312.08	6,784.90	(3,527.17)
4/5/2016	South Africa Rand (ZAR)	6,784.90	6,784.90	-
4/20/2016	7275 Honeywell International Inc.	587,238.73	838,813.95	251,575.22
4/20/2016	7893 Raytheon Company	994,044.24	995,835.72	1,791.48
4/20/2016	10145 Reynolds American Inc.	386,728.23	492,307.85	105,579.62

4/22/2016	Hong Kong Dollars (HKD)	860,283.76	860,283.76	-
4/26/2016	European Currency (EMU)	93,089.40	93,435.65	346.25
4/26/2016	European Currency (EMU)	60,321.28	60,321.28	-
4/27/2016	European Currency (EMU)	9,273.75	9,258.43	(15.32)
4/27/2016	European Currency (EMU)	37,138.36	37,077.00	(61.36)
4/28/2016	European Currency (EMU)	148,744.25	148,744.25	-
4/29/2016	European Currency (EMU)	37,124.39	37,124.39	-
5/2/2016	European Currency (EMU)	36,872.18	36,872.18	-
5/3/2016	European Currency (EMU)	215,177.42	212,618.10	(2,559.32)
5/4/2016	European Currency (EMU)	24,835.58	24,835.58	-
5/5/2016	European Currency (EMU)	31,661.98	31,679.31	17.33
5/5/2016	European Currency (EMU)	59,339.51	59,339.51	-
5/6/2016	European Currency (EMU)	22,884.49	22,929.08	44.59
5/6/2016	European Currency (EMU)	66,345.34	66,345.34	-
6/16/2016	12425 Magnit Spon (GDR)	687,058.81	387,443.01	(299,615.80)
6/16/2016	12110 Mastercard Inc	753,570.65	1,121,792.65	368,222.00
6/16/2016	11340 Union Pacific Corp.	1,152,755.54	978,027.58	(174,727.96)
6/16/2016	4055 Baidu Inc Spon (ADR)	378,166.87	652,764.12	274,597.25
6/20/2016	6632 Provident Financial PLC ORD	295,187.04	241,925.07	(53,261.97)
6/20/2016	Pound Sterling (GBP)	241,925.07	242,259.17	334.10
6/21/2016	Canadian Dollar (CAD)	1,006,920.48	994,955.63	(11,964.85)
6/21/2016	4920 Provident Financial PLC ORD	218,986.77	185,425.98	(33,560.79)
6/21/2016	Pound Sterling (GBP)	185,425.98	185,425.98	-
6/21/2016	Hong Kong Dollars (HKD)	581,219.12	581,219.12	-
6/27/2016	2100 Tyco International Ltd	86,275.38	85,765.69	(509.69)
6/27/2016	1200 Eaton Corporation	65,639.08	68,059.27	2,420.19
6/27/2016	1500 NXP Semiconductors NV	114,236.83	116,359.96	2,123.13
6/27/2016	200 Alphabet Inc. - Class C	100,879.81	134,065.97	33,186.16
6/27/2016	300 Alphabet Inc. - Class A	150,762.36	204,569.65	53,807.29
6/27/2016	2400 Apple Inc.	200,967.32	222,961.13	21,993.81
6/27/2016	2300 Applied Materials Inc.	37,756.57	52,928.74	15,172.17
6/27/2016	2600 Autohome Inc (ADR)	88,548.48	58,052.83	(30,495.65)
6/27/2016	100 AutoZone Inc	42,767.60	76,235.33	33,467.73
6/27/2016	1600 Bristol-Myers Squibb	76,062.51	112,494.66	36,432.15
6/27/2016	1500 CVS Health Corporation	109,767.35	139,646.05	29,878.70
6/27/2016	4200 Cisco Systems, Inc	107,041.10	115,463.46	8,422.36
6/27/2016	700 EOG Resources Inc	69,929.93	56,029.78	(13,900.15)
6/27/2016	1300 Fidelity National Information Services	85,120.98	90,700.06	5,579.08
6/27/2016	1100 Honeywell International Inc	88,792.11	122,814.30	34,022.19
6/27/2016	500 Intercontinental Exchange, Inc.	94,415.10	121,903.34	27,488.24
6/27/2016	600 Kellogg Co	39,072.25	46,003.99	6,931.74
6/27/2016	500 McKesson Corporation	67,848.92	87,373.09	19,524.17
6/27/2016	700 Mead Johnson Nutrition Company	51,099.67	60,520.05	9,420.38
6/27/2016	2900 Microsoft Corporation	144,851.29	142,266.83	(2,584.46)
6/27/2016	1200 Molson Coors Brewing Co	78,548.72	116,489.50	37,940.78
6/27/2016	800 Monsanto Company	85,886.73	81,937.25	(3,949.48)
6/27/2016	1300 Procter & Gamble Company	90,266.71	106,123.70	15,856.99
6/27/2016	2700 Quintiles IMS Holdings Inc.	159,837.65	169,036.83	9,199.18
6/27/2016	2800 Reynolds American Inc	106,736.23	143,440.31	36,704.08
6/27/2016	1000 Rockwell Automation Inc	114,907.65	110,158.49	(4,749.16)
6/27/2016	700 S&P Global Inc.	72,192.89	71,573.43	(619.46)
6/27/2016	2900 Charles Schwab Corp.	72,175.85	73,054.62	878.77
6/27/2016	1600 Teva Pharmaceutical Industries (ADR)	99,576.17	79,343.86	(20,232.31)
6/27/2016	600 Thermo Fisher Scientific Inc	74,460.08	86,275.11	11,815.03
6/27/2016	1400 United Technologies Corp.	141,800.46	136,579.06	(5,221.40)
6/27/2016	1800 Visa Inc Class A	85,179.47	133,563.02	48,383.55
6/27/2016	3400 Zoetis Inc.	121,108.45	157,479.80	36,371.35
6/27/2016	3793 Indiabulls Housing Finance LTD (ADR)	39,271.58	36,789.63	(2,481.95)
6/27/2016	3200 Taiwan Semiconductor Mfg Co Spon (ADR)	61,587.55	78,360.85	16,773.30
6/27/2016	2400 Schlumberger Ltd.	183,074.90	180,919.57	(2,155.33)
6/27/2016	1000 AON PLC (ADR)	98,970.60	102,356.26	3,385.66

6/27/2016	900 Accenture Ltd	97,929.66	99,046.98	1,117.32
6/27/2016	900 Check Point Software Technologies Ltd.	72,175.18	69,574.78	(2,600.40)
6/27/2016	1400 Vantiv Inc - Class A	73,567.15	73,106.26	(460.89)
6/29/2016	1654 Novo Nordisk A/S-B ORD	57,741.27	84,718.14	26,976.87
6/29/2016	816 Carlsberg AS-B ORD	70,433.14	72,450.83	2,017.69
6/29/2016	1815 Symrise AG ORD	94,808.17	112,988.66	18,180.49
6/29/2016	8903 Relx NV ORD	144,437.45	144,948.20	510.74
6/29/2016	European Currency (EMU)	144,948.20	144,948.20	-
6/29/2016	1556 Gea Group AG ORD	73,069.42	68,850.85	(4,218.57)
6/29/2016	European Currency (EMU)	68,850.85	68,850.85	-
6/29/2016	396 Continental AG ORD	74,745.12	75,137.47	392.36
6/29/2016	European Currency (EMU)	75,137.47	75,137.47	-
6/29/2016	3214 Sampo Insurance Co ORD	141,212.62	125,022.24	(16,190.38)
6/29/2016	3557 Wolters Kluwer ORD	118,113.44	133,829.47	15,716.03
6/29/2016	European Currency (EMU)	133,829.47	133,829.47	-
6/29/2016	10684 Davide Campari Milano SPA ORD	78,856.73	98,733.63	19,876.90
6/29/2016	European Currency (EMU)	98,733.63	98,733.63	-
6/29/2016	4388 Compass Group PLC ORD	79,353.29	77,812.37	(1,540.92)
6/29/2016	Pound Sterling (GBP)	77,812.37	77,812.37	-
6/29/2016	1614 Unilever PLC ORD	65,151.03	70,205.71	5,054.68
6/29/2016	Pound Sterling (GBP)	70,205.71	70,205.71	-
6/29/2016	2720 Shire PLC ORD	170,815.36	150,415.71	(20,399.65)
6/29/2016	Pound Sterling (GBP)	150,415.71	150,415.71	-
6/29/2016	1348 British American Tobacco PLC ORD	73,069.94	79,200.47	6,130.53
6/29/2016	Pound Sterling (GBP)	79,200.47	79,200.47	-
6/29/2016	14205 Henderson Group PLC ORD	61,889.88	36,292.48	(25,597.40)
6/29/2016	4567 Prudential Corp PLC ORD	114,077.79	66,853.08	(47,224.71)
6/29/2016	Pound Sterling (GBP)	66,853.08	66,853.08	-
6/29/2016	6602 Europris ASA ORD	38,338.15	26,842.97	(11,495.18)
6/29/2016	Norwegian Krone (NOK)	26,842.97	26,899.89	56.92
6/29/2016	7585 Assa Abloy AB ORD	111,410.72	145,315.83	33,905.12
6/29/2016	Swedish Kroners (SEK)	145,315.83	145,315.83	-
6/29/2016	1110 Actelion LTD ORD	147,242.57	169,990.03	22,747.46
6/29/2016	Swiss Francs (CHF)	169,990.03	169,990.03	-
6/29/2016	1600 Anheuser-Busch InBev NV ORD	144,260.88	193,149.57	48,888.69
6/29/2016	European Currency (EMU)	193,149.57	193,149.57	-
6/29/2016	7465 CVS Health Corporation	546,275.53	703,721.40	157,445.87
6/30/2016	1500 Canadian National Railway Co. ORD	85,772.04	84,067.36	(1,704.68)
6/30/2016	2300 Tencent Holdings LTD ORD	50,636.51	49,641.26	(995.26)
6/30/2016	Hong Kong Dollars (HKD)	49,641.26	49,641.26	-
6/30/2016	12800 AIA Group LTD ORD	74,202.37	71,808.62	(2,393.75)
7/1/2016	6200 Daiwa House Industry Co Ltd ORD	117,469.45	171,549.64	54,080.19
7/1/2016	Japanese Yen (JPY)	171,549.64	171,549.64	-
7/1/2016	300 Ryohin Keikaku Co. LTD ORD	64,895.67	72,384.02	7,488.35
7/1/2016	Japanese Yen (JPY)	72,384.02	72,384.02	-
7/1/2016	2500 Recruit Holding Co LTD ORD	79,181.92	90,540.96	11,359.05
7/1/2016	Japanese Yen (JPY)	90,540.96	90,540.96	-
7/1/2016	4800 Aeon Financial Service Co. LTD ORD	117,692.96	99,797.33	(17,895.62)
7/1/2016	149225 Henderson Group PLC ORD	650,159.62	424,833.92	(225,325.70)
7/1/2016	Pound Sterling (GBP)	424,833.92	424,833.92	-
7/4/2016	11310 Sanlam LTD ORD	72,306.01	41,976.74	(30,329.28)
7/4/2016	South Africa Rand (ZAR)	41,976.74	41,976.74	-
7/4/2016	10500 Kasikorbank PCL ORD	66,467.24	50,494.16	(15,973.09)
7/4/2016	Thailand Bahts (THB)	50,494.16	50,680.27	186.11
7/13/2016	2900 Autohome Inc (ADR)	98,765.61	62,530.75	(36,234.86)
7/14/2016	13700 Daiwa House Industry Co Ltd ORD	259,569.59	382,190.29	122,620.69
7/14/2016	Japanese Yen (JPY)	382,190.29	382,190.29	-
7/14/2016	2600 Autohome Inc (ADR)	88,548.48	55,957.01	(32,591.47)
7/15/2016	5300 Autohome Inc (ADR)	180,502.67	106,739.14	(73,763.53)
7/18/2016	5300 Autohome Inc (ADR)	180,502.67	103,430.95	(77,071.72)
7/19/2016	2600 Autohome Inc (ADR)	88,548.48	51,086.80	(37,461.68)

7/20/2016	800 Autohome Inc (ADR)	27,245.69	15,999 65	(11,246.04)
7/20/2016	3900 Autohome Inc (ADR)	132,822 72	77,486.23	(55,336.49)
7/21/2016	2300 Autohome Inc (ADR)	78,331.35	47,210 61	(31,120 74)
7/21/2016	1335 Autohome Inc (ADR)	45,466.24	26,986 44	(18,479 80)
8/5/2016	174 Actelion LTD ORD	23,081.27	29,756.68	6,675.41
8/5/2016	Swiss Francs (CHF)	29,756 68	29,487.54	(269.14)
8/5/2016	498 Actelion LTD ORD	66,060.18	84,170 86	18,110.68
8/5/2016	Swiss Francs (CHF)	84,170.86	84,170.86	-
8/8/2016	473 Actelion LTD ORD	62,743.91	79,649 80	16,905.89
8/9/2016	198 Actelion LTD ORD	26,264.89	33,334.55	7,069 66
8/10/2016	99 Actelion LTD ORD	13,132.45	16,563 70	3,431 26
8/10/2016	Swiss Francs (CHF)	16,563.70	16,563.70	-
8/11/2016	130 Actelion LTD ORD	17,244.63	21,748.32	4,503 69
8/12/2016	224 Actelion LTD ORD	29,713.82	37,278.39	7,564 58
8/12/2016	Swiss Francs (CHF)	37,278.39	37,278 39	-
8/15/2016	29500 Kasikorbank PCL ORD	186,741.30	168,050 33	(18,690.97)
8/15/2016	Thailand Bahts (THB)	168,050.33	168,728.64	678.31
8/15/2016	81300 Kasikorbank PCL ORD	514,646.37	463,120.62	(51,525.75)
8/15/2016	Thailand Bahts (THB)	463,120.62	464,989.91	1,869 29
8/15/2016	169 Actelion LTD ORD	22,418.01	28,012 05	5,594.03
8/15/2016	Swiss Francs (CHF)	28,012 05	28,068 65	56.60
8/16/2016	134 Actelion LTD ORD	17,775.23	22,143 66	4,368 43
8/16/2016	Swiss Francs (CHF)	22,143.66	22,062.80	(80.86)
8/17/2016	211 Actelion LTD ORD	27,989.35	35,314.47	7,325.12
8/17/2016	Swiss Francs (CHF)	35,314.47	35,314 47	-
8/18/2016	290 Actelion LTD ORD	38,468.78	48,610 70	10,141.92
9/9/2016	6190 Apple Inc.	518,328.21	647,412 22	129,084.01
9/9/2016	9610 Check Point Software Technologies Ltd	770,670.54	742,836 80	(27,833.74)
9/16/2016	Canadian Dollar (CAD)	209,776.24	211,185 55	1,409.31
9/16/2016	Pound Sterling (GBP)	202,685 87	202,813 55	127.68
9/19/2016	Hong Kong Dollars (HKD)	179,644.13	179,641 35	(2.78)
9/23/2016	9200 Valvoline Inc	202,400.00	223,079 48	20,679 48
9/29/2016	Pound Sterling (GBP)	44,374.94	44,374 94	-
9/30/2016	Danish Kroners (DKK)	152,783.22	152,639 25	(143 97)
9/30/2016	Hong Kong Dollars (HKD)	39,112.58	39,112 58	-
10/4/2016	17365 Teva Pharmaceutical Industries (ADR)	1,080,712.64	784,835.72	(295,876 92)
10/4/2016	465 Advansix Inc	5,007.97	7,155 49	2,147 52
10/11/2016	17130 Bristol-Myers Squibb	814,344.26	854,564 50	40,220 24
10/11/2016	1345 McKesson Corporation	182,513.59	221,709 13	39,195 54
10/20/2016	European Currency (EMU)	305,940 24	305,898 50	(41.74)
10/20/2016	Hong Kong Dollars (HKD)	330,453 89	330,434 30	(19 59)
10/24/2016	3370 Gea Group AG ORD	158,254.47	141,590 38	(16,664.09)
10/24/2016	European Currency (EMU)	141,590 38	141,071 40	(518 98)
10/24/2016	627 Gea Group AG ORD	29,443.78	26,628.95	(2,814.84)
10/24/2016	European Currency (EMU)	26,628.95	26,531.34	(97.61)
10/25/2016	1353 Gea Group AG ORD	63,536 59	55,376.49	(8,160.10)
10/25/2016	European Currency (EMU)	55,376 49	55,132 70	(243.79)
10/25/2016	573 Gea Group AG ORD	26,907.96	23,538.64	(3,369.32)
10/25/2016	European Currency (EMU)	23,538 64	23,435.01	(103.63)
10/25/2016	6658 Davide Campari Milano SPA ORD	49,141.53	69,665.08	20,523.55
10/25/2016	European Currency (EMU)	69,665.08	69,418.14	(246 94)
10/25/2016	Pound Sterling (GBP)	1,191,372 17	1,193,907.68	2,535.51
10/26/2016	1395 Gea Group AG ORD	65,508.90	56,580.77	(8,928.13)
10/26/2016	European Currency (EMU)	56,580 77	56,597.43	16.66
10/26/2016	12335 Davide Campari Milano SPA ORD	91,042.48	125,598.52	34,556 04
10/26/2016	European Currency (EMU)	125,598 52	125,748.72	150.20
10/26/2016	8455 NXP Semiconductors NV	643,914.96	836,970.09	193,055.13
10/27/2016	185 Gea Group AG ORD	8,687 56	7,470 17	(1,217.39)
10/27/2016	European Currency (EMU)	7,470.17	7,473 47	3.30
10/27/2016	18110 Davide Campari Milano SPA ORD	133,666 74	184,388.11	50,721.37
10/27/2016	European Currency (EMU)	184,388 11	184,469.42	81.31

10/28/2016		Pound Sterling (GBP)	47,158.73	46,835.19	(323.54)
10/28/2016	1383	Gea Group AG ORD	64,945.38	55,556.44	(9,388.94)
10/28/2016		European Currency (EMU)	55,556.44	55,752.89	196.45
10/28/2016	145	Gea Group AG ORD	6,809.17	5,840.72	(968.45)
10/28/2016		European Currency (EMU)	5,840.72	5,861.37	20.65
10/28/2016	16821	Davide Campari Milano SPA ORD	124,152.86	168,811.27	44,658.41
10/28/2016		European Currency (EMU)	168,811.27	169,408.20	596.93
10/28/2016	3660	McKesson Corporation	496,654.09	453,596.96	(43,057.13)
10/31/2016	1132	Gea Group AG ORD	53,158.47	45,787.73	(7,370.74)
10/31/2016		European Currency (EMU)	45,787.73	45,719.37	(68.36)
10/31/2016	14353	Davide Campari Milano SPA ORD	105,936.98	144,596.44	38,659.46
10/31/2016		European Currency (EMU)	144,596.44	144,380.56	(215.88)
10/31/2016		Pound Sterling (GBP)	309,632.46	311,401.05	1,768.59
11/1/2016	9380	Davide Campari Milano SPA ORD	69,232.14	94,181.92	24,949.78
11/1/2016		European Currency (EMU)	94,181.92	94,403.61	221.69
11/1/2016	3376	Davide Campari Milano SPA ORD	24,917.67	33,906.83	8,989.17
11/1/2016		European Currency (EMU)	33,906.83	33,986.64	79.81
11/2/2016	121	Gea Group AG ORD	5,682.13	4,651.67	(1,030.47)
11/2/2016		European Currency (EMU)	4,651.67	4,672.39	20.72
11/2/2016	125	Gea Group AG ORD	5,869.97	4,853.43	(1,016.55)
11/2/2016		European Currency (EMU)	4,853.43	4,875.05	21.62
11/2/2016	5415	Davide Campari Milano SPA ORD	39,967.17	54,319.04	14,351.87
11/2/2016		European Currency (EMU)	54,319.04	54,561.09	242.05
11/3/2016	384	Gea Group AG ORD	18,032.56	14,663.43	(3,369.13)
11/3/2016		European Currency (EMU)	14,663.43	14,782.75	119.32
11/3/2016	5709	Davide Campari Milano SPA ORD	42,137.13	57,134.29	14,997.16
11/3/2016		European Currency (EMU)	57,134.29	57,599.21	464.92
11/3/2016	462	Gea Group AG ORD	21,695.42	17,623.10	(4,072.32)
11/3/2016		European Currency (EMU)	17,623.10	17,766.51	143.41
11/3/2016	2720	Adient LTD	125,056.98	121,350.15	(3,706.83)
11/4/2016	11428	Davide Campari Milano SPA ORD	84,348.07	114,617.81	30,269.74
11/4/2016		European Currency (EMU)	114,617.81	115,094.20	476.39
11/7/2016	392	Gea Group AG ORD	18,408.23	14,970.17	(3,438.07)
11/7/2016		European Currency (EMU)	14,970.17	14,970.17	-
11/7/2016	8658	Davide Campari Milano SPA ORD	63,903.18	85,100.06	21,196.87
11/7/2016		European Currency (EMU)	85,100.06	85,100.06	-
11/9/2016	57	Gea Group AG ORD	2,676.71	2,152.02	(524.68)
11/9/2016		European Currency (EMU)	2,152.02	2,152.02	-
11/10/2016	158	Gea Group AG ORD	7,419.65	5,846.91	(1,572.73)
11/10/2016		European Currency (EMU)	5,846.91	5,846.91	-
11/10/2016	14925	Reynolds American Inc	568,942.22	786,366.17	217,423.95
11/11/2016	358	Gea Group AG ORD	16,811.60	13,292.73	(3,518.87)
11/11/2016		European Currency (EMU)	13,292.73	13,128.35	(164.38)
11/14/2016		Pound Sterling (GBP)	99,380.12	99,380.12	-
11/15/2016		Pound Sterling (GBP)	271,935.14	271,935.14	-
11/15/2016	237	Gea Group AG ORD	11,129.47	8,624.25	(2,505.22)
11/15/2016		European Currency (EMU)	8,624.25	8,597.77	(26.48)
11/15/2016	176	Gea Group AG ORD	8,264.92	6,440.13	(1,824.79)
11/15/2016		European Currency (EMU)	6,440.13	6,347.35	(92.78)
11/15/2016		Pound Sterling (GBP)	81,090.53	81,090.53	-
11/15/2016	31772	Europris ASA ORD	184,501.63	144,587.39	(39,914.23)
11/15/2016		Norwegian Krone (NOK)	144,587.39	144,587.39	-
11/15/2016	7092	Europris ASA ORD	41,183.61	32,224.93	(8,958.68)
11/16/2016	403	Gea Group AG ORD	18,924.79	14,511.21	(4,413.58)
11/16/2016		European Currency (EMU)	14,511.21	14,349.66	(161.55)
11/16/2016	76	Gea Group AG ORD	3,568.94	2,743.29	(825.66)
11/16/2016		European Currency (EMU)	2,743.29	2,712.75	(30.54)
11/16/2016		Pound Sterling (GBP)	24,584.55	24,584.55	-
11/16/2016	30496	Europris ASA ORD	177,091.83	137,674.79	(39,417.04)
11/16/2016		Norwegian Krone (NOK)	137,674.79	137,674.79	-
11/17/2016	235	Gea Group AG ORD	11,035.55	8,408.94	(2,626.61)

11/17/2016	European Currency (EMU)	8,408.94	8,408.94	-
11/21/2016	134 Gea Group AG ORD	6,292.61	4,823.59	(1,469.02)
11/21/2016	European Currency (EMU)	4,823.59	4,823.59	-
11/21/2016	Pound Sterling (GBP)	56,138.72	56,138.72	-
11/22/2016	48 Gea Group AG ORD	2,254.07	1,717.87	(536.20)
11/22/2016	European Currency (EMU)	1,717.87	1,717.87	-
11/22/2016	Pound Sterling (GBP)	55,504.33	55,504.33	-
11/23/2016	1174 Gea Group AG ORD	55,130.79	42,014.00	(13,116.78)
11/23/2016	European Currency (EMU)	42,014.00	42,014.00	-
11/25/2016	Pound Sterling (GBP)	50,125.29	50,125.29	-
11/25/2016	1000 Johnson Controls International PLC	43,379.17	45,734.00	2,354.83
11/25/2016	500 Eaton Corporation	27,349.62	33,323.27	5,973.65
11/25/2016	350 NXP Semiconductors NV	26,655.26	34,779.23	8,123.97
11/25/2016	65 Alphabet Inc. - Class C	32,785.94	49,567.26	16,781.32
11/25/2016	105 Alphabet Inc. - Class A	52,766.83	81,998.89	29,232.06
11/25/2016	700 Apple Inc.	58,615.47	77,954.85	19,339.38
11/25/2016	1500 Applied Materials Inc	32,206.57	47,685.01	15,478.44
11/25/2016	60 AutoZone Inc.	25,660.56	48,142.35	22,481.79
11/25/2016	300 CVS Health Corporation	21,953.47	22,201.01	247.54
11/25/2016	1700 Cisco Systems, Inc.	43,326.16	50,920.34	7,594.18
11/25/2016	1000 Coca-Cola Company	42,120.22	41,494.59	(625.63)
11/25/2016	300 EOG Resources Inc	29,969.97	28,841.37	(1,128.60)
11/25/2016	400 EBay Inc.	11,595.08	11,562.26	(32.82)
11/25/2016	500 Fidelity National Information Services	32,738.84	39,374.04	6,635.20
11/25/2016	400 Honeywell International Inc.	32,115.94	45,599.00	13,483.06
11/25/2016	900 Intercontinental Exchange, Inc.	33,989.44	49,016.44	15,027.00
11/25/2016	400 Kellogg Co	27,420.31	29,684.35	2,264.04
11/25/2016	1400 Manitowoc Foodservice Inc	22,973.55	24,904.47	1,930.92
11/25/2016	300 Mead Johnson Nutrition Company	21,899.86	21,784.53	(115.33)
11/25/2016	1400 Microsoft Corporation	71,013.04	84,526.65	13,513.61
11/25/2016	500 Molson Coors Brewing Co	32,728.63	50,048.15	17,319.52
11/25/2016	300 Monsanto Company	32,207.52	30,709.34	(1,498.18)
11/25/2016	500 Procter & Gamble Company	34,717.97	41,628.59	6,910.62
11/25/2016	600 Reynolds American Inc.	22,872.05	32,775.78	9,903.73
11/25/2016	400 S&P Global Inc.	43,100.35	47,867.35	4,767.00
11/25/2016	1100 Charles Schwab Corp.	27,377.05	42,735.16	15,358.11
11/25/2016	200 Snap-On Inc.	31,011.32	34,169.27	3,157.95
11/25/2016	1400 Taiwan Semiconductor Mfg Co Spon (ADR)	26,944.55	41,511.05	14,566.50
11/25/2016	300 Thermo Fisher Scientific Inc.	38,339.83	42,997.07	4,657.24
11/25/2016	550 United Technologies Corp.	55,707.32	59,689.70	3,982.38
11/25/2016	800 Vantiv Inc - Class A	42,591.47	47,088.97	4,497.50
11/25/2016	700 Visa Inc Class A	33,125.35	55,947.26	22,821.91
11/25/2016	1400 Zoetis Inc.	49,868.18	70,206.92	20,338.74
11/25/2016	400 Rockwell Automation Inc.	45,963.06	53,050.84	7,087.78
11/25/2016	1100 Quintiles IMS Holdings Inc.	65,119.04	88,401.11	23,282.07
11/25/2016	1000 Schlumberger Ltd	76,281.21	80,914.83	4,633.62
11/25/2016	425 AON PLC (ADR)	42,062.50	48,141.72	6,079.22
11/25/2016	500 Accenture Ltd	55,583.55	60,260.68	4,677.13
11/29/2016	361 Actelion LTD ORD	47,887.00	65,617.64	17,730.64
11/29/2016	Swiss Francs (CHF)	65,617.64	65,617.64	-
11/29/2016	618 Anheuser-Busch InBev SA/NV ORD	55,720.76	63,916.42	8,195.66
11/29/2016	European Currency (EMU)	63,916.42	64,278.73	362.31
11/29/2016	776 Symrise AG ORD	40,535.06	46,805.77	6,270.70
11/29/2016	European Currency (EMU)	46,805.77	47,071.08	265.31
11/29/2016	3513 Relx NV ORD	56,993.01	56,491.29	(501.72)
11/29/2016	European Currency (EMU)	56,491.29	56,811.51	320.22
11/29/2016	215 Continental AG ORD	40,726.94	37,214.19	(3,512.75)
11/29/2016	European Currency (EMU)	37,214.19	37,425.14	210.95
11/29/2016	1332 Sampo Insurance Co ORD	58,523.71	58,759.51	235.80
11/29/2016	European Currency (EMU)	58,759.51	59,092.59	333.08
11/29/2016	1390 Wolters Kluwer ORD	46,156.22	50,184.65	4,028.43

11/29/2016	European Currency (EMU)	50,184.65	50,469.12	284.47
11/29/2016	352 Gea Group AG ORD	16,529.84	13,103.70	(3,426.14)
11/29/2016	European Currency (EMU)	13,103.70	13,179.23	75.53
11/29/2016	51 Gea Group AG ORD	2,394.95	1,892.98	(501.97)
11/29/2016	European Currency (EMU)	1,892.98	1,903.89	10.91
11/29/2016	4194 Convatec Group PLC ORD	11,539.50	12,415.04	875.54
11/29/2016	Pound Sterling (GBP)	12,415.04	12,415.04	-
11/29/2016	2411 Compass Group PLC ORD	44,169.09	41,181.76	(2,987.32)
11/29/2016	Pound Sterling (GBP)	41,181.76	41,181.76	-
11/29/2016	635 Unilever PLC ORD	25,632.53	25,078.19	(554.33)
11/29/2016	Pound Sterling (GBP)	25,078.19	25,078.19	-
11/29/2016	1127 Shire PLC ORD	70,775.33	65,671.42	(5,103.91)
11/29/2016	Pound Sterling (GBP)	65,671.42	65,671.42	-
11/29/2016	1573 Diageo ORD	42,202.62	39,439.47	(2,763.16)
11/29/2016	Pound Sterling (GBP)	39,439.47	39,439.47	-
11/29/2016	592 British American Tobacco PLC ORD	32,090.06	32,509.70	419.64
11/29/2016	Pound Sterling (GBP)	32,509.70	32,509.70	-
11/29/2016	1869 Prudential Corp PLC ORD	46,685.22	36,022.70	(10,662.51)
11/29/2016	Pound Sterling (GBP)	36,022.70	36,022.70	-
11/29/2016	3074 Assa Abloy AB ORD	45,151.82	56,401.02	11,249.20
11/29/2016	1372 Indiabulls Housing Finance LTD (ADR)	14,205.28	14,174.94	(30.34)
11/30/2016	800 Canadian National Railway Co ORD	46,440.17	53,102.71	6,662.54
11/30/2016	Canadian Dollar (CAD)	53,102.71	53,102.71	-
11/30/2016	712 Novo Nordisk A/S-B ORD	24,855.98	22,859.03	(1,996.95)
11/30/2016	Danish Kroners (DKK)	22,859.03	22,859.03	-
11/30/2016	360 Carlsberg AS-B ORD	31,588.06	30,323.03	(1,265.03)
11/30/2016	1800 Tencent Holdings LTD ORD	43,873.31	44,841.04	967.73
11/30/2016	Hong Kong Dollars (HKD)	44,841.04	44,841.04	-
11/30/2016	5200 AIA Group LTD ORD	30,144.71	31,675.06	1,530.35
11/30/2016	Hong Kong Dollars (HKD)	31,675.06	31,675.06	-
12/1/2016	1000 Recruit Holding Co LTD ORD	31,672.77	38,100.85	6,428.08
12/1/2016	2000 Aeon Financial Service Co. LTD ORD	49,038.73	33,539.04	(15,499.69)
12/1/2016	Japanese Yen (JPY)	33,539.04	33,539.04	-
12/1/2016	2100 Daiwa House Industry Co Ltd ORD	39,788.04	59,749.03	19,960.99
12/1/2016	Japanese Yen (JPY)	59,749.03	59,749.03	-
12/1/2016	200 Ryohin Keikaku Co LTD ORD	43,597.56	39,103.74	(4,493.82)
12/1/2016	Japanese Yen (JPY)	39,103.74	39,103.74	-
12/1/2016	3942 Sanlam LTD ORD	25,201.62	17,855.28	(7,346.34)
12/1/2016	South Africa Rand (ZAR)	17,855.28	17,855.28	-
12/1/2016	53 Gea Group AG ORD	2,488.87	1,985.37	(503.50)
12/1/2016	European Currency (EMU)	1,985.37	1,985.37	-
12/1/2016	13085 Quintiles IMS Holdings Inc	774,620.61	972,063.45	197,442.84
12/2/2016	118 Gea Group AG ORD	5,541.25	4,405.49	(1,135.77)
12/2/2016	European Currency (EMU)	4,405.49	4,405.49	-
12/6/2016	360 Gea Group AG ORD	16,905.52	13,296.37	(3,609.15)
12/6/2016	European Currency (EMU)	13,296.37	13,296.37	-
12/7/2016	435 Gea Group AG ORD	20,427.51	16,524.04	(3,903.46)
12/7/2016	European Currency (EMU)	16,524.04	16,524.04	-
12/7/2016	54 Gea Group AG ORD	2,535.83	2,062.23	(473.59)
12/7/2016	European Currency (EMU)	2,062.23	2,062.23	-
12/8/2016	218 Gea Group AG ORD	10,237.23	8,287.70	(1,949.53)
12/8/2016	European Currency (EMU)	8,287.70	8,287.70	-
12/16/2016	5000 Actelion LTD ORD	663,254.82	932,985.16	269,730.34
12/22/2016	1200 Johnson Controls International PLC	52,055.01	51,346.88	(708.13)
12/22/2016	600 Eaton Corporation	32,819.54	40,883.10	8,063.56
12/22/2016	400 NXP Semiconductors NV	30,463.16	39,035.14	8,571.98
12/22/2016	100 Alphabet Inc. - Class C	50,439.91	79,233.27	28,793.36
12/22/2016	100 Alphabet Inc. - Class A	50,254.12	80,907.23	30,653.11
12/22/2016	900 Apple Inc.	75,362.74	104,703.71	29,340.97
12/22/2016	1900 Applied Materials Inc	40,794.99	62,584.63	21,789.64
12/22/2016	100 AutoZone Inc	42,767.60	80,137.25	37,369.65

12/22/2016	2500 Bank of America Corp.	52,763.00	56,473.76	3,710.76
12/22/2016	400 CVS Health Corporation	29,271.29	31,811.30	2,540.01
12/22/2016	2000 Cisco Systems, Inc.	50,971.95	60,798.67	9,826.72
12/22/2016	1300 Coca-Cola Company	54,756.29	54,026.82	(729.47)
12/22/2016	500 Comerica Inc.	32,573.00	34,579.24	2,006.24
12/22/2016	300 EOG Resources Inc.	29,969.97	30,797.32	827.35
12/22/2016	600 EBay Inc.	17,392.62	17,561.61	168.99
12/22/2016	600 Fidelity National Information Services	39,286.61	45,904.99	6,618.38
12/22/2016	500 Honeywell International Inc.	40,144.93	58,743.71	18,598.78
12/22/2016	1100 Intercontinental Exchange, Inc.	41,542.64	62,995.62	21,452.98
12/22/2016	400 Kellogg Co.	27,420.31	29,315.36	1,895.05
12/22/2016	1800 Manitowoc Foodservice Inc.	29,537.42	32,199.49	2,662.07
12/22/2016	300 Mead Johnson Nutrition Company	21,899.86	21,428.53	(471.33)
12/22/2016	1600 Microsoft Corporation	81,157.76	102,125.77	20,968.01
12/22/2016	600 Molson Coors Brewing Co.	39,274.36	58,150.73	18,876.37
12/22/2016	400 Monsanto Company	42,943.37	42,095.08	(848.29)
12/22/2016	600 Procter & Gamble Company	41,661.56	50,416.90	8,755.34
12/22/2016	600 Quintiles IMS Holdings Inc.	35,519.48	45,371.01	9,851.53
12/22/2016	600 Reynolds American Inc.	22,872.05	33,497.26	10,625.21
12/22/2016	500 Rockwell Automation Inc.	57,453.82	67,788.52	10,334.70
12/22/2016	400 S&P Global Inc.	43,100.35	44,639.02	1,538.67
12/22/2016	1400 Charles Schwab Corp.	34,843.51	55,886.78	21,043.27
12/22/2016	200 Snap-On Inc.	31,011.32	34,695.24	3,683.92
12/22/2016	1500 Taiwan Semiconductor Mfg Co Spon (ADR)	28,869.16	43,484.05	14,614.89
12/22/2016	400 Thermo Fisher Scientific Inc.	51,119.78	56,374.77	5,254.99
12/22/2016	700 United Technologies Corp.	70,900.23	77,397.31	6,497.08
12/22/2016	900 Vantiv Inc - Class A	47,915.41	52,855.84	4,940.43
12/22/2016	800 Visa Inc Class A	37,857.54	62,390.63	24,533.09
12/22/2016	1600 Zoetis Inc.	56,992.21	84,910.14	27,917.93
12/22/2016	1100 Schlumberger Ltd	83,909.33	94,322.94	10,413.61
12/22/2016	500 AON PLC (ADR)	49,485.30	56,023.77	6,538.47
12/22/2016	600 Accenture Ltd	66,700.26	70,792.45	4,092.19
12/27/2016	174 Actelion LTD ORD	23,081.27	37,760.36	14,679.09
12/27/2016	Swiss Francs (CHF)	37,760.36	37,760.36	-
12/27/2016	786 Novo Nordisk A/S-B ORD	27,439.32	28,036.50	597.18
12/27/2016	462 Carlsberg AS-B ORD	40,538.01	38,967.34	(1,570.67)
12/27/2016	261 Continental AG ORD	49,440.61	50,574.36	1,133.75
12/27/2016	763 Anheuser-Busch InBev SA/NV ORD	68,794.41	78,731.93	9,937.52
12/27/2016	European Currency (EMU)	78,731.93	78,731.93	-
12/27/2016	862 Symrise AG ORD	45,027.35	52,093.44	7,066.09
12/27/2016	4244 Relx NV ORD	68,852.36	70,386.41	1,534.05
12/27/2016	European Currency (EMU)	70,386.41	70,386.41	-
12/27/2016	1529 Sampo Insurance Co ORD	67,179.25	67,900.67	721.43
12/27/2016	European Currency (EMU)	67,900.67	67,900.67	-
12/27/2016	1697 Wolters Kluwer ORD	56,350.44	60,549.41	4,198.97
12/27/2016	European Currency (EMU)	60,549.41	60,549.41	-
12/27/2016	3613 Assa Abloy AB ORD	53,068.81	67,057.04	13,988.23
12/27/2016	Swedish Kroners (SEK)	67,057.04	67,057.04	-
12/27/2016	1814 Indiabulls Housing Finance LTD (ADR)	18,781.61	17,075.89	(1,705.72)
12/28/2016	5942 Convatec Group PLC ORD	16,349.00	16,889.44	540.44
12/28/2016	Pound Sterling (GBP)	16,889.44	16,889.44	-
12/28/2016	2229 Ashtead Group PLC ORD	39,317.79	43,262.75	3,944.96
12/28/2016	Pound Sterling (GBP)	43,262.75	43,262.75	-
12/28/2016	2024 Diageo ORD	54,302.68	51,566.19	(2,736.48)
12/28/2016	Pound Sterling (GBP)	51,566.19	51,566.19	-
12/28/2016	2668 Compass Group PLC ORD	48,877.28	47,556.91	(1,320.37)
12/28/2016	Pound Sterling (GBP)	47,556.91	47,556.91	-
12/28/2016	770 Unilever PLC ORD	31,081.96	30,835.49	(246.47)
12/28/2016	Pound Sterling (GBP)	30,835.49	30,835.49	-
12/28/2016	1294 Shire PLC ORD	81,262.89	71,985.44	(9,277.45)
12/28/2016	Pound Sterling (GBP)	71,985.44	71,985.44	-

12/28/2016	640 British American Tobacco PLC ORD	34,691 96	35,771 57	1,079.61
12/28/2016	Pound Sterling (GBP)	35,771 57	35,771 57	-
12/28/2016	2174 Prudential Corp PLC ORD	54,303 73	42,840.48	(11,463 25)
12/28/2016	Pound Sterling (GBP)	42,840 48	42,840.48	-
12/28/2016	5417 Sanlam LTD ORD	34,631 45	23,824 03	(10,807.41)
12/28/2016	South Africa Rand (ZAR)	23,824 03	23,824 03	-
12/29/2016	900 Canadian National Railway Co ORD	52,245.19	60,810.45	8,565 26
12/29/2016	2000 Tencent Holdings LTD ORD	48,748.12	46,577.84	(2,170 28)
12/29/2016	6200 AIA Group LTD ORD	35,941.77	34,901.12	(1,040 66)
12/29/2016	Hong Kong Dollars (HKD)	34,901 12	34,901 12	-
12/29/2016	1200 Recruit Holding Co LTD ORD	38,007 32	47,205 59	9,198 27
12/29/2016	Japanese Yen (JPY)	47,205.59	47,205 59	-
12/29/2016	2300 Aeon Financial Service Co. LTD ORD	56,394.54	41,459 01	(14,935 53)
12/29/2016	2300 Daiwa House Industry Co Ltd ORD	43,577.38	63,882 51	20,305 14
12/29/2016	Japanese Yen (JPY)	63,882.51	63,882 51	-
12/29/2016	200 Ryohin Keikaku Co. LTD ORD	43,597.56	38,827 11	(4,770.46)
12/29/2016	Japanese Yen (JPY)	38,827 11	38,827.11	-

TOTAL GAINS				5,823,239 78
TOTAL LOSSES				(4,590,878.91)

		66,894,583 64	68,126,944 51	1,232,360 87
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ATTACHMENT - C

REALIZED GAINS AND LOSSES
F.M. KIRBY FOUNDATION, INC.
PORTION OF THE ACCOUNT MANAGED BY
OAKTREE CAPITAL MANAGEMENT
From 01-01-16 Through 12-31-16

Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss
1/4/2016	35000	Micron Technology Inc. 3.000% Due 11-15-43	31,417.12	28,958.23	(2,458.89)
1/4/2016	25000	Micron Technology Inc. 3.000% Due 11-15-43	22,440.80	20,744.95	(1,695.85)
1/4/2016	200000	Electronics for Imaging 0.750% Due 09-01-19	203,332.86	209,748.60	6,415.74
1/5/2016	30000	Huron Consulting Group I 144A 1.250% Due 10-01-19	30,518.12	29,196.51	(1,321.61)
1/6/2016	59000	Nuance Communications 1.500% Due 11-01-35	63,046.95	62,292.44	(754.51)
1/6/2016	1499	Southwestern Energy Co	49,126.13	27,256.12	(21,870.01)
1/6/2016	2498	Southwestern Energy Co	81,865.95	45,708.06	(36,157.89)
1/6/2016	40000	Micron Technology Inc. 3.000% Due 11-15-43	35,905.28	33,260.48	(2,644.80)
1/6/2016	95000	Nuvasive Inc. 2.750% Due 07-01-17	104,486.39	129,347.44	24,861.05
1/6/2016	100000	Salesforce.com Inc. 0.250% Due 04-01-18	103,562.77	126,444.60	22,881.83
1/6/2016	35000	Web.com Group Inc. 1.000% Due 08-15-18	34,143.95	32,406.01	(1,737.94)
1/7/2016	445000	Cobalt Intl Energy Inc. 3.125% Due 05-15-24	448,848.25	193,842.00	(255,006.25)
1/7/2016	105000	Iconix Brand Group, Inc. 1.500% Due 03-15-18	118,101.09	47,512.50	(70,588.59)
1/7/2016	95000	Salesforce.com Inc. 0.250% Due 04-01-18	98,384.63	118,759.50	20,374.87
1/7/2016	45000	Nuvasive Inc. 2.750% Due 07-01-17	49,493.55	60,159.42	10,665.87
1/8/2016	55000	Micron Technology Inc. 3.000% Due 11-15-43	49,369.76	44,765.11	(4,604.65)
1/8/2016	65000	Red Hat Inc. 0.250% Due 10-01-19	68,128.45	82,899.90	14,771.45
1/8/2016	105000	Red Hat Inc. 0.250% Due 10-01-19	110,053.65	132,940.92	22,887.27
1/8/2016	220000	Iconix Brand Group, Inc. 1.500% Due 03-15-18	247,449.90	97,900.00	(149,549.90)
1/8/2016	120000	J2 Global Communications 3.250% Due 06-15-29	126,559.56	151,109.52	24,549.96
1/8/2016	95000	Nuvasive Inc.	104,486.39	127,878.36	23,391.97

		2.750% Due 07-01-17			
1/11/2016	430000	Cobalt Intl Energy Inc.	433,718.53	184,272.20	(249,446.33)
		3.125% Due 05-15-24			
1/11/2016	50000	Micron Technology Inc.	44,881.60	39,724.40	(5,157.20)
		3.000% Due 11-15-43			
1/11/2016	95000	Cornerstone Ondemand Inc.	98,236.32	91,081.25	(7,155.07)
		1.500% Due 07-01-18			
1/11/2016	50000	Micron Technology Inc.	44,881.60	39,832.20	(5,049.40)
		3.000% Due 11-15-43			
1/12/2016	60000	Cornerstone Ondemand Inc.	62,043.99	57,975.00	(4,068.99)
		1.500% Due 07-01-18			
1/12/2016	90000	Portfolio Recovery Associates	95,663.07	74,406.51	(21,256.56)
		3.000% Due 08-01-20			
1/12/2016	30000	Red Hat Inc.	31,443.90	37,861.11	6,417.21
		0.250% Due 10-01-19			
1/12/2016	120000	RTI International Metals	123,653.59	112,200.00	(11,453.59)
		1.625% Due 10-15-19			
1/12/2016	60000	RTI International Metals	61,826.80	56,124.00	(5,702.80)
		1.625% Due 10-15-19			
1/12/2016	140000	RTI International Metals	144,262.52	131,205.20	(13,057.32)
		1.625% Due 10-15-19			
1/12/2016	250000	Heartware International 144A	257,388.44	162,064.50	(95,323.94)
		1.750% Due 12-15-21			
1/12/2016	70000	Salesforce.com Inc.	72,493.94	86,561.79	14,067.85
		0.250% Due 04-01-18			
1/12/2016	75000	Micron Technology Inc.	67,322.39	59,290.43	(8,031.96)
		3.000% Due 11-15-43			
1/12/2016	2496	Sanchez Energy Corp 5.0% CV PFD	96,966.84	18,020.79	(78,946.05)
1/12/2016	10000	Iconix Brand Group, Inc.	11,247.72	4,380.00	(6,867.72)
		1.500% Due 03-15-18			
1/13/2016	125000	Portfolio Recovery Associates	132,865.38	101,152.63	(31,712.75)
		3.000% Due 08-01-20			
1/13/2016	100000	Red Hat Inc.	104,813.00	126,664.20	21,851.20
		0.250% Due 10-01-19			
1/13/2016	50000	Salesforce.com Inc.	51,781.39	61,162.60	9,381.21
		0.250% Due 04-01-18			
1/13/2016	401000	Iconix Brand Group, Inc.	451,033.68	172,430.00	(278,603.68)
		1.500% Due 03-15-18			
1/13/2016	30000	Scorpio Tankers Inc 144A	30,356.63	23,660.01	(6,696.62)
		2.375% Due 07-01-19			
1/13/2016	50000	Nuvasive Inc.	54,992.84	64,669.30	9,676.46
		2.750% Due 07-01-17			
1/13/2016	95000	Cornerstone Ondemand Inc.	98,236.32	91,817.50	(6,418.82)
		1.500% Due 07-01-18			
1/13/2016	285000	Scorpio Tankers Inc 144A	288,388.00	223,613.85	(64,774.15)
		2.375% Due 07-01-19			
1/13/2016	50000	Red Hat Inc.	52,406.50	61,998.00	9,591.50
		0.250% Due 10-01-19			
1/13/2016	25000	Heartware International 144A	25,738.84	16,185.18	(9,553.66)
		1.750% Due 12-15-21			

1/14/2016	40000	Portfolio Recovery Associates 3.000% Due 08-01-20	42,516.92	31,127.84	(11,389.08)
1/14/2016	145000	Portfolio Recovery Associates 3.000% Due 08-01-20	154,123.84	112,773.03	(41,350.81)
1/14/2016	55000	Red Hat Inc. 0.250% Due 10-01-19	57,647.15	67,559.86	9,912.71
1/14/2016	40000	Red Hat Inc. 0.250% Due 10-01-19	41,925.20	49,390.32	7,465.12
1/14/2016	170000	Scorpio Tankers Inc 144A 2.375% Due 07-01-19	172,020.92	134,005.90	(38,015.02)
1/14/2016	55000	Nuvasive Inc. 2.750% Due 07-01-17	60,492.12	71,223.96	10,731.84
1/15/2016	45000	Salesforce.com Inc. 0.250% Due 04-01-18	46,603.25	53,487.09	6,883.84
1/15/2016	100000	Intel Corporation 2.950% Due 12-15-35	118,354.67	120,564.00	2,209.33
1/15/2016	95000	Red Hat Inc. 0.250% Due 10-01-19	99,572.35	115,415.98	15,843.63
1/15/2016	185000	NXP Semiconductor NV 1.000% Due 12-01-19	187,843.60	186,980.80	(862.80)
1/15/2016	50000	Nuvasive Inc. 2.750% Due 07-01-17	54,992.84	62,660.25	7,667.41
1/19/2016	105000	J2 Global Communications 3.250% Due 06-15-29	110,739.61	126,729.75	15,990.14
1/19/2016	50000	Salesforce.com Inc. 0.250% Due 04-01-18	51,781.39	60,624.15	8,842.76
1/19/2016	50000	Red Hat Inc. 0.250% Due 10-01-19	52,406.50	59,892.60	7,486.10
1/20/2016	70000	J2 Global Communications 3.250% Due 06-15-29	73,826.41	83,459.39	9,632.98
1/20/2016	40000	Nuvasive Inc. 2.750% Due 07-01-17	43,994.27	48,251.68	4,257.41
1/20/2016	60000	Intel Corporation 2.950% Due 12-15-35	71,012.80	71,700.00	687.20
1/20/2016	15000	Scorpio Tankers Inc 144A 2.375% Due 07-01-19	15,178.32	11,520.00	(3,658.32)
1/21/2016	24000	Nuvasive Inc. 2.750% Due 07-01-17	26,396.56	29,505.50	3,108.94
1/21/2016	30000	Scorpio Tankers Inc 144A 2.375% Due 07-01-19	30,356.63	23,206.80	(7,149.83)
1/21/2016	50000	Salesforce.com Inc. 0.250% Due 04-01-18	51,781.39	60,042.65	8,261.26
1/22/2016	35000	Scorpio Tankers Inc 144A 2.375% Due 07-01-19	35,416.07	27,372.49	(8,043.58)
1/22/2016	90000	Salesforce.com Inc. 0.250% Due 04-01-18	93,206.50	109,170.54	15,964.04
1/26/2016	155000	Scorpio Tankers Inc 144A 2.375% Due 07-01-19	156,842.60	117,633.38	(39,209.22)
1/28/2016	140000	Hologic Inc 2.000% Due 03-01-42	150,502.77	169,796.76	19,293.99

1/28/2016	45000 Hologic Inc 2.000% Due 03-01-42	48,375.89	54,418.10	6,042.21
1/28/2016	55000 Intel Corporation 2.950% Due 12-15-35	65,095.07	66,237.33	1,142.26
1/29/2016	115000 Proofpoint Inc. 144A 0.750% Due 06-15-20	119,982.32	109,176.29	(10,806.03)
1/29/2016	115000 Proofpoint Inc. 144A 0.750% Due 06-15-20	119,982.32	108,556.90	(11,425.42)
2/1/2016	49 Alere Inc. 3.0% CV PFD	14,026.71	19,207.65	5,180.94
2/1/2016	55000 Proofpoint Inc. 144A 0.750% Due 06-15-20	57,382.85	51,430.50	(5,952.35)
2/1/2016	283 Alere Inc. 3.0% CV PFD	81,011.40	111,577.18	30,565.78
2/1/2016	57 Alere Inc. 3.0% CV PFD	16,316.78	22,343.59	6,026.81
2/1/2016	44000 Alere Inc. 3.000% Due 05-15-16	47,655.84	54,159.60	6,503.76
2/1/2016	50000 Alere Inc. 3.000% Due 05-15-16	54,154.37	61,520.00	7,365.63
2/2/2016	45000 Integrated Device Tech 144A 0.875% Due 11-15-22	46,121.91	39,659.40	(6,462.51)
2/2/2016	110000 Integrated Device Tech 144A 0.875% Due 11-15-22	112,742.44	95,686.03	(17,056.41)
2/2/2016	60000 J2 Global Communications 3.250% Due 06-15-29	63,279.78	71,677.62	8,397.84
2/2/2016	135000 Microchip Technology Inc. 144A 1.625% Due 02-15-25	136,967.28	127,366.70	(9,600.58)
2/2/2016	80000 Microchip Technology Inc. 144A 1.625% Due 02-15-25	81,165.79	75,543.84	(5,621.95)
2/2/2016	100000 Proofpoint Inc. 144A 0.750% Due 06-15-20	104,332.45	93,833.00	(10,499.45)
2/2/2016	101000 Cepheid Inc. 1.250% Due 02-01-21	104,198.98	82,995.74	(21,203.24)
2/2/2016	45000 Cornerstone Ondemand Inc. 1.500% Due 07-01-18	46,532.99	43,368.75	(3,164.24)
2/2/2016	165000 Integrated Device Tech 144A 0.875% Due 11-15-22	169,113.66	145,091.60	(24,022.06)
2/3/2016	95000 Integrated Device Tech 144A 0.875% Due 11-15-22	97,368.47	81,930.28	(15,438.19)
2/3/2016	20000 Integrated Device Tech 144A 0.875% Due 11-15-22	20,498.63	17,228.86	(3,269.77)
2/3/2016	20000 Integrated Device Tech 144A 0.875% Due 11-15-22	20,498.63	17,226.78	(3,271.85)
2/3/2016	60000 J2 Global Communications 3.250% Due 06-15-29	63,279.78	71,664.60	8,384.82
2/3/2016	100000 Microchip Technology Inc. 144A 1.625% Due 02-15-25	101,457.24	92,363.30	(9,093.94)
2/3/2016	100 Alere Inc. 3.0% CV PFD	28,625.94	39,006.39	10,380.45
2/3/2016	195000 Microchip Technology Inc. 144A 1.625% Due 02-15-25	197,841.62	180,098.10	(17,743.52)
2/3/2016	500 Alere Inc. 3.0% CV PFD	143,129.68	195,308.91	52,179.23
2/3/2016	95000 Integrated Device Tech 144A	97,368.47	82,395.97	(14,972.50)

	0.875% Due 11-15-22			
2/3/2016	30000 Illumina Inc.	30,032.10	31,657.05	1,624.95
	0.500% Due 06-15-21			
2/4/2016	35000 Emergent Biosolutions Inc. 144A	41,278.18	45,795.86	4,517.68
	2.875% Due 01-15-21			
2/4/2016	40000 J2 Global Communications	42,186.52	47,325.28	5,138.76
	3.250% Due 06-15-29			
2/4/2016	200 Alere Inc. 3.0% CV PFD	57,251.87	77,233.96	19,982.09
2/4/2016	200 Alere Inc. 3.0% CV PFD	57,251.87	77,315.20	20,063.33
2/4/2016	15000 Emergent Biosolutions Inc. 144A	17,690.65	19,878.15	2,187.50
	2.875% Due 01-15-21			
2/4/2016	85000 Illumina Inc.	85,090.95	90,164.01	5,073.06
	0.500% Due 06-15-21			
2/4/2016	200000 LinkedIn Corp	212,617.64	187,388.00	(25,229.64)
	0.500% Due 11-01-19			
2/5/2016	40000 LinkedIn Corp	42,523.53	36,512.40	(6,011.13)
	0.500% Due 11-01-19			
2/5/2016	50000 Cheniere Energy Inc.	40,532.18	23,075.30	(17,456.88)
	4.250% Due 03-15-45			
2/5/2016	50000 Emergent Biosolutions Inc. 144A	58,968.82	64,353.40	5,384.58
	2.875% Due 01-15-21			
2/5/2016	40000 Proofpoint Inc. 144A	41,732.98	35,284.84	(6,448.14)
	0.750% Due 06-15-20			
2/5/2016	25000 J2 Global Communications	26,366.57	28,968.75	2,602.18
	3.250% Due 06-15-29			
2/5/2016	540000 LinkedIn Corp	574,067.63	491,501.52	(82,566.11)
	0.500% Due 11-01-19			
2/5/2016	50000 Cornerstone Ondemand Inc.	51,703.32	47,497.50	(4,205.82)
	1.500% Due 07-01-18			
2/5/2016	50000 Cornerstone Ondemand Inc.	51,703.32	47,375.00	(4,328.32)
	1.500% Due 07-01-18			
2/5/2016	30000 Cornerstone Ondemand Inc.	31,021.99	28,674.63	(2,347.36)
	1.500% Due 07-01-18			
2/5/2016	45000 Proofpoint Inc. 144A	46,949.60	40,242.42	(6,707.18)
	0.750% Due 06-15-20			
2/5/2016	50000 Illumina Inc.	50,053.50	51,898.85	1,845.35
	0.500% Due 06-15-21			
2/5/2016	195000 LinkedIn Corp	207,302.20	178,610.45	(28,691.75)
	0.500% Due 11-01-19			
2/5/2016	295000 LinkedIn Corp	313,611.02	268,326.99	(45,284.03)
	0.500% Due 11-01-19			
2/8/2016	30000 Proofpoint Inc. 144A	31,299.74	25,818.27	(5,481.47)
	0.750% Due 06-15-20			
2/8/2016	70000 Proofpoint Inc. 144A	73,032.72	59,937.50	(13,095.22)
	0.750% Due 06-15-20			
2/8/2016	85000 On Semiconductor Corp 144A	87,090.77	73,291.08	(13,799.69)
	1.000% Due 12-01-20			
2/8/2016	95000 Scorpio Tankers Inc 144A	96,129.33	72,200.00	(23,929.33)
	2.375% Due 07-01-19			
2/8/2016	50000 Emergent Biosolutions Inc. 144A	58,968.82	61,230.85	2,262.03

		2.875% Due 01-15-21			
2/8/2016	25000	Cheniere Energy Inc. 4.250% Due 03-15-45	20,266.09	11,222.50	(9,043.59)
2/8/2016	110000	Cornerstone Ondemand Inc. 1.500% Due 07-01-18	113,747.31	101,612.50	(12,134.81)
2/9/2016	50000	Cornerstone Ondemand Inc. 1.500% Due 07-01-18	51,703.32	46,312.50	(5,390.82)
2/9/2016	45000	Cheniere Energy Inc. 4.250% Due 03-15-45	36,478.96	20,025.00	(16,453.96)
2/9/2016	115000	Scorpio Tankers Inc 144A 2.375% Due 07-01-19	116,367.09	87,400.00	(28,967.09)
2/9/2016	105000	On Semiconductor Corp 144A 1.000% Due 12-01-20	107,582.72	89,612.25	(17,970.47)
2/9/2016	200	Alere Inc. 3.0% CV PFD	57,251.87	76,528.20	19,276.33
2/9/2016	30000	Cornerstone Ondemand Inc. 1.500% Due 07-01-18	31,021.99	28,012.50	(3,009.49)
2/9/2016	20000	Cornerstone Ondemand Inc. 1.500% Due 07-01-18	20,681.33	18,525.00	(2,156.33)
2/9/2016	55000	LinkedIn Corp 0.500% Due 11-01-19	58,469.85	49,585.25	(8,884.60)
2/9/2016	45000	Proofpoint Inc. 144A 0.750% Due 06-15-20	46,949.60	38,333.84	(8,615.76)
2/9/2016	140000	Brookdale Senior Living 2.750% Due 06-15-18	140,213.77	127,648.08	(12,565.69)
2/10/2016	60000	Scorpio Tankers Inc 144A 2.375% Due 07-01-19	60,713.26	45,000.00	(15,713.26)
2/10/2016	250000	Euronet Worldwide Inc. 1.500% Due 10-01-44	269,543.08	257,697.75	(11,845.33)
2/10/2016	215000	Solarcity Corp 2.750% Due 11-01-18	235,861.50	135,987.50	(99,874.00)
2/10/2016	20000	Depomed Inc. 2.500% Due 09-01-21	23,577.89	20,439.72	(3,138.17)
2/10/2016	5000	Depomed Inc. 2.500% Due 09-01-21	5,894.47	5,192.50	(701.97)
2/11/2016	110000	LinkedIn Corp 0.500% Due 11-01-19	116,939.70	97,524.68	(19,415.02)
2/11/2016	70000	Cheniere Energy Inc. 4.250% Due 03-15-45	56,745.05	30,548.70	(26,196.35)
2/11/2016	155000	Solarcity Corp 2.750% Due 11-01-18	170,039.69	94,550.00	(75,489.69)
2/11/2016	180000	Solarcity Corp 2.750% Due 11-01-18	197,465.45	111,375.00	(86,090.45)
2/11/2016	110000	Cornerstone Ondemand Inc. 1.500% Due 07-01-18	113,747.31	101,035.00	(12,712.31)
2/11/2016	200000	Proofpoint Inc. 144A 0.750% Due 06-15-20	208,664.90	172,000.00	(36,664.90)
2/11/2016	115000	Scorpio Tankers Inc 144A 2.375% Due 07-01-19	116,367.09	85,818.75	(30,548.34)
2/16/2016	95000	Cornerstone Ondemand Inc. 1.500% Due 07-01-18	98,236.32	88,112.50	(10,123.82)

2/16/2016	45000 Cornerstone Ondemand Inc. 1.500% Due 07-01-18	46,532.99	41,872.50	(4,660.49)
2/16/2016	20000 Cornerstone Ondemand Inc. 1.500% Due 07-01-18	20,681.33	18,468.78	(2,212.55)
2/17/2016	45000 LinkedIn Corp 0.500% Due 11-01-19	47,838.97	40,174.83	(7,664.14)
2/17/2016	40000 Cornerstone Ondemand Inc. 1.500% Due 07-01-18	41,362.66	37,365.28	(3,997.38)
2/17/2016	20000 Proofpoint Inc. 144A 0.750% Due 06-15-20	20,866.49	17,584.78	(3,281.71)
2/17/2016	20000 Proofpoint Inc. 144A 0.750% Due 06-15-20	20,866.49	17,424.44	(3,442.05)
2/17/2016	45000 LinkedIn Corp 0.500% Due 11-01-19	47,838.97	40,162.50	(7,676.47)
2/18/2016	40000 LinkedIn Corp 0.500% Due 11-01-19	42,523.53	35,900.00	(6,623.53)
2/18/2016	55000 LinkedIn Corp 0.500% Due 11-01-19	58,469.85	49,101.20	(9,368.65)
2/18/2016	20000 Euronet Worldwide Inc. 1.500% Due 10-01-44	21,563.45	22,073.16	509.71
2/19/2016	55000 LinkedIn Corp 0.500% Due 11-01-19	58,469.85	49,202.89	(9,266.96)
2/19/2016	55000 LinkedIn Corp 0.500% Due 11-01-19	58,469.85	49,250.19	(9,219.66)
2/22/2016	95000 Euronet Worldwide Inc. 1.500% Due 10-01-44	102,426.37	105,112.18	2,685.81
2/22/2016	40000 LinkedIn Corp 0.500% Due 11-01-19	42,523.53	35,840.00	(6,683.53)
2/22/2016	24000 Hologic Inc 2.000% Due 03-01-42	25,800.47	31,320.00	5,519.53
2/23/2016	60000 Euronet Worldwide Inc. 1.500% Due 10-01-44	64,690.34	66,312.96	1,622.62
2/23/2016	40000 LinkedIn Corp 0.500% Due 11-01-19	42,523.53	35,879.64	(6,643.89)
2/23/2016	55000 Depomed Inc. 2.500% Due 09-01-21	64,839.21	57,924.63	(6,914.58)
2/23/2016	25000 Emergent Biosolutions Inc. 144A 2.875% Due 01-15-21	29,484.41	33,168.28	3,683.87
2/24/2016	95000 Dycom Industries Inc. 144A 0.750% Due 09-15-21	98,996.06	88,706.25	(10,289.81)
2/24/2016	45000 Euronet Worldwide Inc. 1.500% Due 10-01-44	48,517.75	49,710.78	1,193.03
2/24/2016	45000 LinkedIn Corp 0.500% Due 11-01-19	47,838.97	40,275.00	(7,563.97)
2/24/2016	95000 Dycom Industries Inc. 144A 0.750% Due 09-15-21	98,996.06	88,444.91	(10,551.15)
2/24/2016	15000 Emergent Biosolutions Inc. 144A 2.875% Due 01-15-21	17,690.65	19,645.22	1,954.57
2/25/2016	50000 LinkedIn Corp 0.500% Due 11-01-19	53,154.41	44,769.40	(8,385.01)

2/25/2016	95000 Restoration Hardware 144A 0.000% Due 07-15-20	94,188.16	64,600.00	(29,588.16)
2/25/2016	50000 Restoration Hardware 144A 0.000% Due 07-15-20	49,572.72	34,272.55	(15,300.17)
2/25/2016	60000 Euronet Worldwide Inc. 1.500% Due 10-01-44	64,690.34	66,608.82	1,918.48
2/25/2016	60000 Restoration Hardware 144A 0.000% Due 07-15-20	59,487.26	41,343.90	(18,143.36)
2/25/2016	25000 Emergent Biosolutions Inc. 144A 2.875% Due 01-15-21	29,484.41	33,051.43	3,567.02
2/25/2016	50000 Restoration Hardware 144A 0.000% Due 07-15-20	49,572.72	34,145.70	(15,427.02)
2/26/2016	18000 Emergent Biosolutions Inc. 144A 2.875% Due 01-15-21	21,228.78	23,242.50	2,013.72
2/26/2016	29000 Emergent Biosolutions Inc. 144A 2.875% Due 01-15-21	34,201.92	37,363.60	3,161.68
2/26/2016	15000 Illumina Inc. 0.500% Due 06-15-21	15,016.05	15,843.75	827.70
2/29/2016	45000 Restoration Hardware 144A 0.000% Due 07-15-20	44,615.45	31,507.43	(13,108.02)
3/1/2016	20000 Restoration Hardware 144A 0.000% Due 07-15-20	19,829.09	13,992.00	(5,837.09)
3/3/2016	100000 Yahoo Inc. 0.000% Due 12-01-18	96,343.83	97,541.70	1,197.87
3/3/2016	175000 Starwood Property Trust 4.550% Due 03-01-18	175,421.87	173,411.88	(2,009.99)
3/3/2016	90000 Starwood Property Trust 4.550% Due 03-01-18	90,216.96	89,437.50	(779.46)
3/3/2016	45000 Spirit Realty Capital Inc. 2.875% Due 05-15-19	43,187.35	44,268.75	1,081.40
3/3/2016	95000 Spirit Realty Capital Inc. 3.750% Due 05-15-21	90,286.16	93,668.39	3,382.23
3/4/2016	40000 Yahoo Inc. 0.000% Due 12-01-18	38,537.53	39,079.60	542.07
3/4/2016	50000 Spirit Realty Capital Inc. 2.875% Due 05-15-19	47,985.94	49,059.55	1,073.61
3/4/2016	60000 Starwood Property Trust 4.550% Due 03-01-18	60,144.64	59,775.00	(369.64)
3/7/2016	55000 Yahoo Inc. 0.000% Due 12-01-18	52,989.10	53,704.04	714.94
3/7/2016	30000 Yahoo Inc. 0.000% Due 12-01-18	28,903.15	29,337.60	434.45
3/7/2016	385000 Starwood Property Trust 4.000% Due 01-15-19	389,221.50	379,983.07	(9,238.43)
3/8/2016	70000 Akamai Technologies Inc. 0.000% Due 05-15-19	65,880.38	68,259.17	2,378.79
3/8/2016	45000 Spirit Realty Capital Inc. 2.875% Due 05-15-19	43,187.35	44,167.01	979.66
3/8/2016	50000 Spirit Realty Capital Inc. 3.750% Due 05-15-21	47,519.03	49,098.35	1,579.32

3/8/2016	70000 Akamai Technologies Inc. 0.000% Due 05-15-19	65,880.38	68,169.50	2,289.12
3/8/2016	55000 Yahoo Inc. 0.000% Due 12-01-18	52,989.10	53,575.83	586.73
3/8/2016	55000 Yahoo Inc. 0.000% Due 12-01-18	52,989.10	53,571.54	582.44
3/8/2016	125000 Starwood Property Trust 4.000% Due 01-15-19	126,370.62	122,486.88	(3,883.74)
3/8/2016	200 Alere Inc. 3.0% CV PFD	57,251.87	80,000.26	22,748.39
3/9/2016	130000 Akamai Technologies Inc. 0.000% Due 05-15-19	122,349.28	126,587.50	4,238.22
3/9/2016	175000 Yahoo Inc. 0.000% Due 12-01-18	168,601.70	170,091.08	1,489.38
3/9/2016	100 Alere Inc. 3.0% CV PFD	28,625.94	40,011.63	11,385.69
3/9/2016	200 Alere Inc. 3.0% CV PFD	57,251.87	80,037.26	22,785.39
3/9/2016	95000 Akamai Technologies Inc. 0.000% Due 05-15-19	89,409.09	92,543.02	3,133.93
3/10/2016	120000 Yahoo Inc. 0.000% Due 12-01-18	115,612.59	116,217.12	604.53
3/10/2016	200 Alere Inc. 3.0% CV PFD	57,251.87	80,027.18	22,775.31
3/10/2016	65000 Spirit Realty Capital Inc. 2.875% Due 05-15-19	62,381.73	63,675.95	1,294.22
3/11/2016	80000 Yahoo Inc. 0.000% Due 12-01-18	77,075.06	77,686.88	611.82
3/11/2016	80000 Yahoo Inc. 0.000% Due 12-01-18	77,075.06	77,600.00	524.94
3/11/2016	35000 Sandisk Corporation 0.500% Due 10-15-20	35,847.42	36,429.47	582.05
3/11/2016	35000 Sandisk Corporation 0.500% Due 10-15-20	35,847.42	36,449.95	602.53
3/11/2016	35000 Sandisk Corporation 0.500% Due 10-15-20	35,847.42	36,430.59	583.17
3/11/2016	115000 Extra Space Storage LP 144A 3.125% Due 10-01-35	117,482.70	127,995.00	10,512.30
3/11/2016	35000 Illumina Inc. 0.500% Due 06-15-21	35,037.45	36,873.73	1,836.28
3/14/2016	50000 Yahoo Inc. 0.000% Due 12-01-18	48,171.91	48,475.00	303.09
3/14/2016	130000 Sandisk Corporation 0.500% Due 10-15-20	133,147.57	135,276.70	2,129.13
3/14/2016	35000 Spirit Realty Capital Inc. 3.750% Due 05-15-21	33,263.32	34,673.66	1,410.34
3/14/2016	215000 Sandisk Corporation 0.500% Due 10-15-20	220,205.60	223,550.34	3,344.74
3/14/2016	215000 Sandisk Corporation 0.500% Due 10-15-20	220,205.60	223,528.19	3,322.59
3/14/2016	115000 Apollo Commercial Real Estate Fir 5.500% Due 03-15-19	115,183.20	115,376.74	193.54
3/14/2016	45000 Heartware International 144A 1.750% Due 12-15-21	46,329.92	30,812.94	(15,516.98)

3/14/2016	45000 Spirit Realty Capital Inc. 3.750% Due 05-15-21	42,767.13	44,506.35	1,739.22
3/14/2016	50000 Yahoo Inc. 0.000% Due 12-01-18	48,171.91	48,440.90	268.99
3/15/2016	30000 Colony Financial Inc. 5.000% Due 04-15-23	31,357.22	26,512.50	(4,844.72)
3/15/2016	105000 Sandisk Corporation 0.500% Due 10-15-20	107,542.27	109,107.71	1,565.44
3/15/2016	200 Alere Inc. 3.0% CV PFD	57,251.87	73,138.17	15,886.30
3/15/2016	70000 Acorda Therapeutics Inc. 1.750% Due 06-15-21	76,336.51	63,994.00	(12,342.51)
3/15/2016	30000 RWT Holdings Inc. 5.625% Due 11-15-19	30,371.57	27,181.11	(3,190.46)
3/16/2016	350000 Acorda Therapeutics Inc. 1.750% Due 06-15-21	381,682.53	310,982.70	(70,699.83)
3/17/2016	75000 RWT Holdings Inc. 5.625% Due 11-15-19	75,928.92	68,156.25	(7,772.67)
3/17/2016	110000 Sandisk Corporation 0.500% Due 10-15-20	112,663.33	114,294.07	1,630.74
3/17/2016	205000 Acorda Therapeutics Inc. 1.750% Due 06-15-21	223,556.91	177,518.73	(46,038.18)
3/17/2016	95000 CTrip.com International LTD. 144/ 1.990% Due 07-01-25	108,894.15	102,568.94	(6,325.21)
3/17/2016	40000 Illumina Inc. 0.500% Due 06-15-21	40,042.80	41,649.12	1,606.32
3/17/2016	80000 Yahoo Inc. 0.000% Due 12-01-18	77,075.06	77,720.56	645.50
3/17/2016	20000 Yahoo Inc. 0.000% Due 12-01-18	19,268.77	19,435.40	166.63
3/18/2016	40000 Sandisk Corporation 0.500% Due 10-15-20	40,968.48	41,615.72	647.24
3/18/2016	40000 Sandisk Corporation 0.500% Due 10-15-20	40,968.48	41,620.40	651.92
3/18/2016	800 McDermott International 6.25% C	18,388.24	12,589.49	(5,798.75)
3/18/2016	25000 Seacor Holdings Inc. 2.500% Due 12-15-27	25,241.28	23,437.50	(1,803.78)
3/18/2016	45000 Apollo Commercial Real Estate Fir 5.500% Due 03-15-19	45,071.69	45,377.55	305.86
3/18/2016	40000 RWT Holdings Inc. 5.625% Due 11-15-19	40,495.43	36,433.96	(4,061.47)
3/18/2016	79000 Acorda Therapeutics Inc. 1.750% Due 06-15-21	86,151.20	69,678.40	(16,472.80)
3/21/2016	30000 Seacor Holdings Inc. 2.500% Due 12-15-27	30,289.54	28,079.70	(2,209.84)
3/21/2016	55000 Yahoo Inc. 0.000% Due 12-01-18	52,989.10	53,556.25	567.15
3/21/2016	76000 Depomed Inc. 2.500% Due 09-01-21	89,595.99	68,245.87	(21,350.12)
3/21/2016	35000 Spirit Realty Capital Inc. 2.875% Due 05-15-19	33,590.16	34,752.94	1,162.78

3/22/2016	115000	Yahoo Inc. 0.000% Due 12-01-18	110,795.40	111,860.16	1,064.76
3/22/2016	25000	Calamp Corp 144A 1.625% Due 05-15-20	25,074.77	23,561.05	(1,513.72)
3/22/2016	95000	Spirit Realty Capital Inc. 3.750% Due 05-15-21	90,286.16	95,000.00	4,713.84
3/22/2016	110000	Sandisk Corporation 0.500% Due 10-15-20	112,663.33	114,842.31	2,178.98
3/22/2016	30000	Apollo Commercial Real Estate Fir 5.500% Due 03-15-19	30,047.79	30,380.79	333.00
3/22/2016	20000	Depomed Inc. 2.500% Due 09-01-21	23,577.89	17,885.74	(5,692.15)
3/22/2016	75000	Depomed Inc. 2.500% Due 09-01-21	88,417.10	69,249.38	(19,167.72)
3/22/2016	30000	RWT Holdings Inc. 5.625% Due 11-15-19	30,371.57	27,262.50	(3,109.07)
3/22/2016	70000	Yahoo Inc. 0.000% Due 12-01-18	67,440.68	68,102.79	662.11
3/23/2016	75000	Red Hat Inc. 0.250% Due 10-01-19	80,256.62	90,826.95	10,570.33
3/23/2016	35000	Red Hat Inc. 0.250% Due 10-01-19	37,453.09	42,794.99	5,341.90
3/23/2016	65000	Red Hat Inc. 0.250% Due 10-01-19	69,555.74	78,894.99	9,339.25
3/23/2016	30000	WebMD Health Corporation 1.500% Due 12-01-20	32,144.27	38,152.62	6,008.35
3/23/2016	50000	Apollo Commercial Real Estate Fir 5.500% Due 03-15-19	50,079.65	50,495.05	415.40
3/23/2016	40000	Calamp Corp 144A 1.625% Due 05-15-20	40,119.63	37,796.36	(2,323.27)
3/23/2016	25000	Colony Financial Inc. 5.000% Due 04-15-23	26,131.01	22,149.28	(3,981.73)
3/24/2016	20000	Seacor Holdings Inc. 2.500% Due 12-15-27	20,193.03	18,725.00	(1,468.03)
3/24/2016	55000	Seacor Holdings Inc. 2.500% Due 12-15-27	55,530.82	51,356.25	(4,174.57)
3/24/2016	220000	Starwood Property Trust 4.550% Due 03-01-18	220,530.35	218,925.30	(1,605.05)
3/24/2016	90000	Yahoo Inc. 0.000% Due 12-01-18	86,709.44	87,299.37	589.93
3/24/2016	35000	Spirit Realty Capital Inc. 2.875% Due 05-15-19	33,590.16	34,781.25	1,191.09
3/28/2016	75000	Yahoo Inc. 0.000% Due 12-01-18	72,257.87	73,116.00	858.13
3/28/2016	15000	Dycom Industries Inc. 144A 0.750% Due 09-15-21	15,630.96	14,449.65	(1,181.31)
3/28/2016	160000	Hologic Inc. 2.000% Due 12-15-43	203,821.70	199,372.80	(4,448.90)
3/28/2016	20000	Micron Technology Inc. 3.000% Due 11-15-43	17,952.64	13,978.62	(3,974.02)

3/29/2016	155000 Spirit Realty Capital Inc. 3.750% Due 05-15-21	147,309.00	155,451.21	8,142.21
3/29/2016	60000 WebMD Health Corporation 1.500% Due 12-01-20	64,288.55	77,763.66	13,475.11
3/29/2016	50000 Micron Technology Inc. 3.000% Due 11-15-43	44,881.60	34,566.55	(10,315.05)
3/29/2016	30000 Micron Technology Inc. 3.000% Due 11-15-43	26,928.96	20,799.60	(6,129.36)
3/29/2016	20000 Spirit Realty Capital Inc. 2.875% Due 05-15-19	19,194.38	19,868.40	674.02
3/30/2016	35000 Micron Technology Inc. 3.000% Due 11-15-43	31,417.12	24,193.51	(7,223.61)
3/30/2016	270000 Sandisk Corporation 0.500% Due 10-15-20	276,537.27	280,029.69	3,492.42
3/30/2016	150000 Medidata Solutions Inc. 1.000% Due 08-01-18	158,171.44	150,741.00	(7,430.44)
3/30/2016	135000 Sandisk Corporation 0.500% Due 10-15-20	138,268.63	140,368.41	2,099.78
3/30/2016	80000 Micron Technology Inc. 3.000% Due 11-15-43	71,810.55	55,186.24	(16,624.31)
3/30/2016	35000 RWT Holdings Inc. 5.625% Due 11-15-19	35,433.50	31,806.25	(3,627.25)
3/30/2016	100000 Illumina Inc. 0.500% Due 06-15-21	100,107.00	106,338.70	6,231.70
3/31/2016	75000 Micron Technology Inc. 3.000% Due 11-15-43	67,322.39	51,216.45	(16,105.94)
3/31/2016	110000 Sandisk Corporation 0.500% Due 10-15-20	112,663.33	114,462.92	1,799.59
3/31/2016	50000 Seacor Holdings Inc. 2.500% Due 12-15-27	50,482.57	47,375.00	(3,107.57)
3/31/2016	110000 Sandisk Corporation 0.500% Due 10-15-20	112,663.33	114,358.42	1,695.09
3/31/2016	60000 Micron Technology Inc. 3.000% Due 11-15-43	53,857.91	40,832.16	(13,025.75)
4/1/2016	45000 Heartware International 144A 1.750% Due 12-15-21	46,329.92	30,847.50	(15,482.42)
4/1/2016	50000 Sandisk Corporation 0.500% Due 10-15-20	51,210.60	52,009.75	799.15
4/1/2016	120000 Micron Technology Inc. 3.000% Due 11-15-43	107,715.83	81,274.92	(26,440.91)
4/4/2016	65000 Seacor Holdings Inc. 2.500% Due 12-15-27	65,627.34	61,262.50	(4,364.84)
4/4/2016	225000 RWT Holdings Inc. 5.625% Due 11-15-19	227,786.77	204,750.00	(23,036.77)
4/4/2016	260000 Apollo Commercial Real Estate Fir 5.500% Due 03-15-19	260,414.20	261,389.18	974.98
4/5/2016	50000 Micron Technology Inc. 3.000% Due 11-15-43	44,881.60	33,785.95	(11,095.65)
4/6/2016	57000 Yahoo Inc.	54,915.98	56,430.00	1,514.02

		0.000% Due 12-01-18			
4/6/2016	185000	Micron Technology Inc.	166,061.90	124,253.96	(41,807.94)
		3.000% Due 11-15-43			
4/6/2016	115000	RWT Holdings Inc.	116,424.35	105,225.00	(11,199.35)
		5.625% Due 11-15-19			
4/6/2016	230000	Sandisk Corporation	235,568.78	238,351.07	2,782.29
		0.500% Due 10-15-20			
4/6/2016	55000	Brookdale Senior Living	55,083.98	53,006.25	(2,077.73)
		2.750% Due 06-15-18			
4/6/2016	57000	Yahoo Inc.	54,915.98	56,401.73	1,485.75
		0.000% Due 12-01-18			
4/7/2016	5000	Brocade Communications	5,077.47	4,889.63	(187.84)
		1.375% Due 01-01-20			
4/7/2016	175000	Jarden Corp	186,026.78	221,548.25	35,521.47
		1.125% Due 03-15-34			
4/7/2016	65000	WebMD Health Corporation	69,645.93	85,761.00	16,115.07
		1.500% Due 12-01-20			
4/7/2016	70000	RWT Holdings Inc.	70,867.00	64,050.00	(6,817.00)
		5.625% Due 11-15-19			
4/7/2016	215000	Sandisk Corporation	220,205.60	223,498.09	3,292.49
		0.500% Due 10-15-20			
4/7/2016	20000	Brookdale Senior Living	20,030.54	19,275.00	(755.54)
		2.750% Due 06-15-18			
4/8/2016	55000	WebMD Health Corporation	58,931.17	72,668.75	13,737.58
		1.500% Due 12-01-20			
4/8/2016	156000	Yahoo Inc.	150,296.37	155,213.92	4,917.55
		0.000% Due 12-01-18			
4/8/2016	210000	Jarden Corp	223,232.13	266,421.75	43,189.62
		1.125% Due 03-15-34			
4/8/2016	115000	Sandisk Corporation	117,784.39	119,732.60	1,948.21
		0.500% Due 10-15-20			
4/11/2016	205000	Jarden Corp	217,917.08	260,623.47	42,706.39
		1.125% Due 03-15-34			
4/12/2016	210000	Jarden Corp	223,232.13	264,893.16	41,661.03
		1.125% Due 03-15-34			
4/13/2016	185000	Jarden Corp	196,656.88	235,680.57	39,023.69
		1.125% Due 03-15-34			
4/13/2016	30000	Sandisk Corporation	30,726.36	31,269.00	542.64
		0.500% Due 10-15-20			
4/14/2016	50000	WebMD Health Corporation	53,573.79	65,750.00	12,176.21
		1.500% Due 12-01-20			
4/14/2016	285000	Jarden Corp	302,957.89	363,602.15	60,644.26
		1.125% Due 03-15-34			
4/15/2016	112000	Jarden Corp	119,057.14	142,510.37	23,453.23
		1.125% Due 03-15-34			
4/15/2016	158000	Jarden Corp	167,955.60	200,755.12	32,799.52
		1.125% Due 03-15-34			
4/15/2016	55000	WebMD Health Corporation	58,931.17	71,940.00	13,008.83

		1.500% Due 12-01-20			
4/18/2016	55000	WebMD Health Corporation	58,931.17	72,393.75	13,462.58
		1.500% Due 12-01-20			
4/19/2016	105000	Illumina Inc.	105,112.35	109,545.98	4,433.63
		0.500% Due 06-15-21			
4/19/2016	55000	Illumina Inc.	55,058.85	57,502.61	2,443.76
		0.500% Due 06-15-21			
4/20/2016	172	Alere Inc. 3.0% CV PFD	49,236.61	53,318.84	4,082.23
4/20/2016	130000	Calamp Corp 144A	130,388.79	117,480.35	(12,908.44)
		1.625% Due 05-15-20			
4/21/2016	230000	Sandisk Corporation	235,568.78	238,739.54	3,170.76
		0.500% Due 10-15-20			
4/21/2016	10000	WebMD Health Corporation	10,714.76	13,250.00	2,535.24
		1.500% Due 12-01-20			
4/21/2016	400	Crown Castle Int'l Corp. 4.5% CV F	40,338.21	42,294.92	1,956.71
4/21/2016	400	Crown Castle Int'l Corp. 4.5% CV F	40,338.21	42,098.81	1,760.60
4/21/2016	25000	WebMD Health Corporation	26,786.89	33,204.80	6,417.91
		1.500% Due 12-01-20			
4/21/2016	95000	Sandisk Corporation	97,300.15	98,648.57	1,348.42
		0.500% Due 10-15-20			
4/22/2016	75000	WebMD Health Corporation	80,360.68	99,723.00	19,362.32
		1.500% Due 12-01-20			
4/22/2016	600	Crown Castle Int'l Corp. 4.5% CV F	60,507.31	63,784.61	3,277.30
4/22/2016	1200	Kinder Morgan Inc. 9.75% CV PFD	53,081.66	53,295.64	213.98
4/22/2016	135000	Synchronoss Technologies	144,415.34	132,328.76	(12,086.58)
		0.750% Due 08-15-19			
4/22/2016	5000	Synchronoss Technologies	5,348.72	4,912.50	(436.22)
		0.750% Due 08-15-19			
4/25/2016	60000	WebMD Health Corporation	64,288.55	80,785.20	16,496.65
		1.500% Due 12-01-20			
4/25/2016	1100	Kinder Morgan Inc. 9.75% CV PFD	48,658.19	47,496.97	(1,161.22)
4/27/2016	600	Crown Castle Int'l Corp. 4.5% CV F	60,507.31	63,719.04	3,211.73
4/27/2016	55000	WebMD Health Corporation	58,931.17	73,495.07	14,563.90
		1.500% Due 12-01-20			
4/27/2016	1000	Kinder Morgan Inc. 9.75% CV PFD	44,234.72	44,299.04	64.32
4/27/2016	10000	Seacor Holdings Inc.	10,096.51	9,673.69	(422.82)
		2.500% Due 12-15-27			
4/28/2016	1100	Crown Castle Int'l Corp. 4.5% CV F	110,930.07	116,770.16	5,840.09
4/28/2016	50000	Illumina Inc.	50,053.50	51,806.90	1,753.40
		0.500% Due 06-15-21			
4/28/2016	25000	Synchronoss Technologies	26,743.58	24,156.50	(2,587.08)
		0.750% Due 08-15-19			
4/29/2016	35000	Cepheid Inc.	36,108.56	30,033.43	(6,075.13)
		1.250% Due 02-01-21			
4/29/2016	110000	Molina Healthcare Inc.	113,122.40	124,960.00	11,837.60
		1.625% Due 08-15-44			
4/29/2016	55000	Molina Healthcare Inc.	56,561.20	61,180.41	4,619.21
		1.625% Due 08-15-44			

4/29/2016	55000	Molina Healthcare Inc. 1.625% Due 08-15-44	56,561.20	61,961.90	5,400.70
4/29/2016	1100	Kinder Morgan Inc. 9.75% CV PFD	48,658.19	48,366.50	(291.69)
4/29/2016	234000	Jazz Investments I LTD 1.875% Due 08-15-21	238,816.52	260,989.09	22,172.57
4/29/2016	20000	Cepheid Inc. 1.250% Due 02-01-21	20,633.46	17,183.50	(3,449.96)
4/29/2016	1100	Crown Castle Int'l Corp. 4.5% CV F	110,930.07	116,220.06	5,289.99
4/29/2016	55000	Illumina Inc. 0.500% Due 06-15-21	55,058.85	56,513.44	1,454.59
5/2/2016	1000	Kinder Morgan Inc. 9.75% CV PFD	44,234.72	43,719.05	(515.67)
5/2/2016	55000	Calamp Corp 144A 1.625% Due 05-15-20	55,164.49	49,679.47	(5,485.02)
5/2/2016	25000	Illumina Inc. 0.500% Due 06-15-21	25,026.75	25,742.30	715.55
5/2/2016	150000	Molina Healthcare Inc. 1.625% Due 08-15-44	154,257.82	163,561.50	9,303.68
5/2/2016	90000	Brocade Communications 1.375% Due 01-01-20	91,394.52	85,255.20	(6,139.32)
5/3/2016	100000	Brocade Communications 1.375% Due 01-01-20	101,549.47	94,280.30	(7,269.17)
5/3/2016	1000	Hess Corp CV PFD	63,017.85	72,431.03	9,413.18
5/3/2016	2100	WPX Energy Inc. CV PFD	70,604.15	92,151.88	21,547.73
5/3/2016	50000	Seacor Holdings Inc. 2.500% Due 12-15-27	50,482.57	48,312.50	(2,170.07)
5/3/2016	2100	WPX Energy Inc. CV PFD	70,604.15	89,892.75	19,288.60
5/3/2016	35000	Illumina Inc. 0.500% Due 06-15-21	35,037.45	35,881.34	843.89
5/3/2016	65000	Brocade Communications 1.375% Due 01-01-20	66,007.15	61,197.50	(4,809.65)
5/3/2016	55000	Brocade Communications 1.375% Due 01-01-20	55,852.21	51,814.29	(4,037.92)
5/3/2016	55000	Brocade Communications 1.375% Due 01-01-20	55,852.21	51,720.35	(4,131.86)
5/3/2016	1000	Hess Corp CV PFD	63,017.85	72,694.72	9,676.87
5/4/2016	100000	Cepheid Inc. 1.250% Due 02-01-21	103,167.31	82,825.60	(20,341.71)
5/4/2016	2900	WPX Energy Inc. CV PFD	97,500.98	123,740.02	26,239.04
5/4/2016	40000	WebMD Health Corporation 1.500% Due 12-01-20	42,859.03	53,194.16	10,335.13
5/4/2016	77000	Heartware International 144A 1.750% Due 12-15-21	79,275.64	52,416.90	(26,858.74)
5/5/2016	70000	Synchronoss Technologies 0.750% Due 08-15-19	74,882.03	66,752.42	(8,129.61)
5/5/2016	45000	WebMD Health Corporation 1.500% Due 12-01-20	48,216.41	59,243.40	11,026.99
5/5/2016	55000	Synchronoss Technologies 0.750% Due 08-15-19	58,835.88	52,525.00	(6,310.88)

5/5/2016	45000 Cepheid Inc. 1.250% Due 02-01-21	46,425.29	37,532.70	(8,892.59)
5/6/2016	180000 Web.com Group Inc. 1.000% Due 08-15-18	175,597.44	171,023.40	(4,574.04)
5/6/2016	65000 Red Hat Inc. 0.250% Due 10-01-19	69,555.74	77,923.30	8,367.56
5/6/2016	100 Teva Pharmaceutical CV PFD	98,262.29	85,506.06	(12,756.23)
5/6/2016	200 Teva Pharmaceutical CV PFD	196,524.58	173,061.85	(23,462.73)
5/6/2016	100 Teva Pharmaceutical CV PFD	98,262.29	86,627.55	(11,634.74)
5/6/2016	100 Teva Pharmaceutical CV PFD	98,262.29	84,958.10	(13,304.19)
5/6/2016	300 Allergan PLC 5.5% CV PFD	296,019.35	229,395.64	(66,623.71)
5/9/2016	50000 On Semiconductor Corp 144A 1.000% Due 12-01-20	51,229.87	44,930.35	(6,299.52)
5/9/2016	50000 Web.com Group Inc. 1.000% Due 08-15-18	48,777.07	47,353.10	(1,423.97)
5/9/2016	15000 Cepheid Inc. 1.250% Due 02-01-21	15,475.10	12,566.40	(2,908.70)
5/10/2016	69000 Extra Space Storage LP 144A 3.125% Due 10-01-35	70,489.62	79,689.89	9,200.27
5/10/2016	40000 Portfolio Recovery Associates 3.000% Due 08-01-20	39,978.89	30,550.00	(9,428.89)
5/10/2016	19000 Web.com Group Inc. 1.000% Due 08-15-18	18,535.29	18,038.60	(496.69)
5/10/2016	55000 WebMD Health Corporation 1.500% Due 12-01-20	58,931.17	74,140.55	15,209.38
5/10/2016	30000 Empire State Realty Trust 144A 2.625% Due 08-15-19	29,972.49	33,327.09	3,354.60
5/10/2016	5000 Portfolio Recovery Associates 3.000% Due 08-01-20	4,997.36	3,837.50	(1,159.86)
5/10/2016	10000 Portfolio Recovery Associates 3.000% Due 08-01-20	9,994.72	7,675.00	(2,319.72)
5/11/2016	115000 Hologic Inc. 2.000% Due 12-15-43	146,496.85	142,220.62	(4,276.23)
5/11/2016	20000 Web.com Group Inc. 1.000% Due 08-15-18	19,510.83	18,995.66	(515.17)
5/11/2016	40000 Extra Space Storage LP 144A 3.125% Due 10-01-35	40,863.55	45,791.20	4,927.65
5/11/2016	40000 Web.com Group Inc. 1.000% Due 08-15-18	39,021.65	37,679.80	(1,341.85)
5/11/2016	18000 Cepheid Inc. 1.250% Due 02-01-21	18,570.12	15,177.78	(3,392.34)
5/11/2016	18000 Cepheid Inc. 1.250% Due 02-01-21	18,570.12	15,191.46	(3,378.66)
5/11/2016	40000 Empire State Realty Trust 144A 2.625% Due 08-15-19	39,963.32	44,501.52	4,538.20
5/12/2016	40000 Empire State Realty Trust 144A 2.625% Due 08-15-19	39,963.32	44,491.12	4,527.80
5/12/2016	20000 Extra Space Storage LP 144A	20,431.77	22,824.00	2,392.23

	3.125% Due 10-01-35			
5/12/2016	50000 WebMD Health Corporation	53,573.79	67,229.20	13,655.41
	1.500% Due 12-01-20			
5/12/2016	800 Anthem Inc. 5.25% CV PFD	37,996.81	36,224.58	(1,772.23)
5/12/2016	105000 Red Hat Inc.	112,359.27	127,360.91	15,001.64
	0.250% Due 10-01-19			
5/12/2016	35000 Cepheid Inc.	36,108.56	29,374.63	(6,733.93)
	1.250% Due 02-01-21			
5/13/2016	120000 Wright Medical Group Inc.	120,496.30	112,508.88	(7,987.42)
	2.000% Due 02-15-20			
5/13/2016	355000 Wright Medical Group Inc.	356,468.21	327,760.50	(28,707.71)
	2.000% Due 02-15-20			
5/13/2016	120000 Wright Medical Group Inc.	120,496.30	112,304.52	(8,191.78)
	2.000% Due 02-15-20			
5/16/2016	105000 Wright Medical Group Inc.	105,434.26	98,682.47	(6,751.79)
	2.000% Due 02-15-20			
5/16/2016	50000 Wright Medical Group Inc.	50,206.79	46,881.60	(3,325.19)
	2.000% Due 02-15-20			
5/16/2016	105000 Web.com Group Inc.	102,431.84	97,553.51	(4,878.33)
	1.000% Due 08-15-18			
5/16/2016	105000 Web.com Group Inc.	102,431.84	97,650.00	(4,781.84)
	1.000% Due 08-15-18			
5/16/2016	68000 Cepheid Inc.	70,153.77	56,832.36	(13,321.41)
	1.250% Due 02-01-21			
5/17/2016	55000 Wright Medical Group Inc.	55,227.47	51,525.65	(3,701.82)
	2.000% Due 02-15-20			
5/17/2016	35000 Web.com Group Inc.	34,143.95	32,506.60	(1,637.35)
	1.000% Due 08-15-18			
5/18/2016	50000 Wright Medical Group Inc.	50,206.79	46,930.00	(3,276.79)
	2.000% Due 02-15-20			
5/18/2016	1000 Kinder Morgan Inc. 9.75% CV PFD	44,234.72	45,079.02	844.30
5/18/2016	1000 Kinder Morgan Inc. 9.75% CV PFD	44,234.72	44,743.03	508.31
5/18/2016	130000 Web.com Group Inc.	126,820.37	119,685.80	(7,134.57)
	1.000% Due 08-15-18			
5/19/2016	1100 Kinder Morgan Inc. 9.75% CV PFD	48,658.19	48,673.94	15.75
5/19/2016	1100 Kinder Morgan Inc. 9.75% CV PFD	48,658.19	48,629.94	(28.25)
5/20/2016	35000 Wright Medical Group Inc.	35,144.75	33,114.90	(2,029.85)
	2.000% Due 02-15-20			
5/23/2016	60000 Web.com Group Inc.	58,532.48	55,467.00	(3,065.48)
	1.000% Due 08-15-18			
5/23/2016	50000 On Semiconductor Corp 144A	51,229.87	45,175.05	(6,054.82)
	1.000% Due 12-01-20			
5/24/2016	20000 Hologic Inc.	25,477.71	24,474.30	(1,003.41)
	2.000% Due 12-15-43			
5/24/2016	2400 Anthem Inc. 5.25% CV PFD	113,990.42	105,597.70	(8,392.72)
5/24/2016	70000 Web.com Group Inc.	68,287.89	64,672.30	(3,615.59)
	1.000% Due 08-15-18			
5/24/2016	2400 Anthem Inc. 5.25% CV PFD	113,990.42	105,381.36	(8,609.06)

5/24/2016	80000	On Semiconductor Corp 144A 1.000% Due 12-01-20	81,967.79	72,506.00	(9,461.79)
5/25/2016	35000	Hologic Inc. 2.000% Due 12-15-43	44,586.00	42,915.25	(1,670.75)
5/25/2016	70000	On Semiconductor Corp 144A 1.000% Due 12-01-20	71,721.81	63,677.32	(8,044.49)
5/25/2016	35000	Hologic Inc. 2.000% Due 12-15-43	44,586.00	42,899.50	(1,686.50)
5/25/2016	35000	Web.com Group Inc. 1.000% Due 08-15-18	34,143.95	32,299.75	(1,844.20)
5/26/2016	65000	Hologic Inc. 2.000% Due 12-15-43	82,802.57	79,682.92	(3,119.65)
5/26/2016	110000	Wright Medical Group Inc. 2.000% Due 02-15-20	110,454.94	105,050.00	(5,404.94)
5/26/2016	55000	WebMD Health Corporation 2.500% Due 01-31-18	58,221.95	63,101.50	4,879.55
5/26/2016	45000	WebMD Health Corporation 2.500% Due 01-31-18	47,636.14	51,809.99	4,173.85
5/26/2016	110000	WebMD Health Corporation 2.500% Due 01-31-18	116,443.91	126,612.20	10,168.29
5/26/2016	375000	WebMD Health Corporation 2.500% Due 01-31-18	396,967.87	429,397.88	32,430.01
5/26/2016	80000	WebMD Health Corporation 2.500% Due 01-31-18	84,686.48	91,916.00	7,229.52
5/31/2016	45000	Red Hat Inc. 0.250% Due 10-01-19	48,153.97	56,667.33	8,513.36
5/31/2016	45000	WebMD Health Corporation 2.500% Due 01-31-18	47,636.14	52,713.00	5,076.86
5/31/2016	205000	Calamp Corp 1.625% Due 05-15-20	205,613.10	186,976.81	(18,636.29)
6/1/2016	30000	On Semiconductor Corp 144A 1.000% Due 12-01-20	30,737.92	27,414.51	(3,323.41)
6/1/2016	5000	Calamp Corp 1.625% Due 05-15-20	5,014.95	4,551.82	(463.13)
6/1/2016	50000	Red Hat Inc. 0.250% Due 10-01-19	53,504.42	63,065.20	9,560.78
6/1/2016	50000	Hologic Inc. 2.000% Due 12-15-43	63,694.28	61,593.20	(2,101.08)
6/1/2016	85000	Jazz Investments I LTD 1.875% Due 08-15-21	86,749.59	95,454.15	8,704.56
6/2/2016	50000	On Semiconductor Corp 144A 1.000% Due 12-01-20	51,229.87	45,640.90	(5,588.97)
6/2/2016	50000	On Semiconductor Corp 144A 1.000% Due 12-01-20	51,229.87	45,703.35	(5,526.52)
6/2/2016	210000	Brookdale Senior Living 2.750% Due 06-15-18	210,320.65	208,469.10	(1,851.55)
6/2/2016	95000	Web.com Group Inc. 1.000% Due 08-15-18	92,676.43	87,015.25	(5,661.18)

6/2/2016	135000	Calamp Corp 1.625% Due 05-15-20	135,403.75	122,982.71	(12,421.04)
6/3/2016	25000	On Semiconductor Corp 144A 1.000% Due 12-01-20	25,614.93	22,690.18	(2,924.75)
6/6/2016	120000	Seacor Holdings Inc. 2.500% Due 12-15-27	121,158.16	118,800.00	(2,358.16)
6/6/2016	160000	WebMD Health Corporation 2.500% Due 01-31-18	169,372.96	184,661.92	15,288.96
6/6/2016	15000	Medicines Company 2.500% Due 01-15-22	16,647.17	19,211.82	2,564.65
6/6/2016	166000	Web.com Group Inc. 1.000% Due 08-15-18	161,939.86	151,639.34	(10,300.52)
6/6/2016	35000	Medicines Company 2.500% Due 01-15-22	38,843.41	44,296.07	5,452.66
6/6/2016	170000	Medicines Company 2.500% Due 01-15-22	188,667.98	217,212.74	28,544.76
6/6/2016	145000	Brookdale Senior Living 2.750% Due 06-15-18	145,221.40	143,816.37	(1,405.03)
6/6/2016	80000	On Semiconductor Corp 144A 1.000% Due 12-01-20	81,967.79	72,615.12	(9,352.67)
6/7/2016	45000	WebMD Health Corporation 2.500% Due 01-31-18	47,636.14	52,040.70	4,404.56
6/7/2016	49000	Medicines Company 2.500% Due 01-15-22	54,380.77	61,941.54	7,560.77
6/7/2016	97000	Medicines Company 2.500% Due 01-15-22	107,651.73	122,462.50	14,810.77
6/7/2016	49000	Medicines Company 2.500% Due 01-15-22	54,380.77	61,828.45	7,447.68
6/7/2016	40000	Brookdale Senior Living 2.750% Due 06-15-18	40,061.08	39,800.00	(261.08)
6/8/2016	5000	WebMD Health Corporation 2.500% Due 01-31-18	5,292.90	5,800.00	507.10
6/8/2016	370000	Brookdale Senior Living 2.750% Due 06-15-18	370,564.95	367,959.82	(2,605.13)
6/9/2016	64000	Medicines Company 2.500% Due 01-15-22	71,027.94	83,135.62	12,107.68
6/9/2016	1300	Hess Corp CV PFD	81,923.21	99,332.01	17,408.80
6/9/2016	125000	Red Hat Inc. 0.250% Due 10-01-19	133,761.04	158,582.50	24,821.46
6/9/2016	110000	Brookdale Senior Living 2.750% Due 06-15-18	110,167.96	108,900.00	(1,267.96)
6/9/2016	35000	Seacor Holdings Inc. 2.500% Due 12-15-27	35,337.80	34,825.00	(512.80)
6/9/2016	700	Hess Corp CV PFD	44,112.50	53,665.74	9,553.24
6/9/2016	1200	WPX Energy Inc. CV PFD	40,345.23	62,188.65	21,843.42
6/9/2016	80000	Broadsoft Inc. 144A 1.000% Due 09-01-22	84,548.76	100,649.04	16,100.28
6/9/2016	1000	WPX Energy Inc. CV PFD	33,621.03	51,889.00	18,267.97

6/10/2016	60000 Red Hat Inc. 0.250% Due 10-01-19	64,205.30	75,286.80	11,081.50
6/10/2016	1500 WPX Energy Inc. CV PFD	50,431.54	74,512.05	24,080.51
6/10/2016	40000 Broadsoft Inc. 144A 1.000% Due 09-01-22	42,274.38	49,938.40	7,664.02
6/10/2016	500 Hess Corp CV PFD	31,508.93	36,630.21	5,121.28
6/13/2016	110000 Rovi Corp. 0.500% Due 03-01-20	101,880.14	103,812.50	1,932.36
6/13/2016	75000 Rovi Corp. 0.500% Due 03-01-20	69,463.73	70,978.05	1,514.32
6/13/2016	1000 Hess Corp CV PFD	63,017.85	73,210.41	10,192.56
6/14/2016	1000 Hess Corp CV PFD	63,017.85	72,735.22	9,717.37
6/14/2016	40000 Rovi Corp. 0.500% Due 03-01-20	37,047.32	38,116.60	1,069.28
6/14/2016	165000 Workday Inc. 1.500% Due 07-15-20	192,311.84	199,709.73	7,397.89
6/15/2016	190000 CTrip.com International LTD. 144/ 1.990% Due 07-01-25	217,788.30	200,987.13	(16,801.17)
6/16/2016	1000 WPX Energy Inc. CV PFD	33,621.03	45,571.11	11,950.08
6/16/2016	1000 Hess Corp CV PFD	63,017.85	70,780.66	7,762.81
6/21/2016	100000 Cardtronics Inc 1.000% Due 12-01-20	100,577.63	100,440.60	(137.03)
6/21/2016	45000 Spectranetics Corp. 2.625% Due 06-01-34	39,339.83	39,768.75	428.92
6/21/2016	85000 Sunpower Corporation 0.875% Due 06-01-21	84,937.35	67,150.00	(17,787.35)
6/22/2016	85000 NXP Semiconductor NV 1.000% Due 12-01-19	86,306.52	96,371.64	10,065.12
6/22/2016	5000 Red Hat Inc. 0.250% Due 10-01-19	5,350.44	6,391.87	1,041.43
6/22/2016	65000 Extra Space Storage LP 144A 3.125% Due 10-01-35	66,403.26	72,681.05	6,277.79
6/22/2016	45000 Integrated Device Tech 144A 0.875% Due 11-15-22	45,262.53	44,550.00	(712.53)
6/22/2016	1100 American Tower Corp CV 5.5% PF	111,882.85	119,230.04	7,347.19
6/22/2016	125000 Jazz Investments I LTD 1.875% Due 08-15-21	127,572.93	134,932.63	7,359.70
6/22/2016	90000 RTI International Metals 1.625% Due 10-15-19	92,740.19	97,887.87	5,147.68
6/22/2016	285000 Seacor Holdings Inc. 2.500% Due 12-15-27	287,750.63	281,437.50	(6,313.13)
6/22/2016	65000 Inphi Corp 144A 1.125% Due 12-01-20	70,637.83	69,679.68	(958.15)
6/22/2016	90000 Huron Consulting Group I 144A 1.250% Due 10-01-19	90,641.14	90,956.70	315.56
6/22/2016	150000 Dycom Industries Inc. 144A 0.750% Due 09-15-21	157,220.64	170,268.00	13,047.36
6/23/2016	195000 Citrix Systems Inc 144A	208,953.72	224,626.94	15,673.22

	0.500% Due 04-15-19			
6/23/2016	55000 Red Hat Inc.	58,854.86	68,638.79	9,783.93
	0.250% Due 10-01-19			
6/23/2016	15000 Intel Corporation	17,753.20	19,380.36	1,627.16
	2.950% Due 12-15-35			
6/24/2016	500 Hess Corp CV PFD	31,508.93	35,909.22	4,400.29
6/24/2016	95000 Red Hat Inc.	101,658.39	117,773.31	16,114.92
	0.250% Due 10-01-19			
6/27/2016	500 Hess Corp CV PFD	31,508.93	34,936.49	3,427.56
6/27/2016	110000 Allscripts Healthcare	116,870.74	107,244.94	(9,625.80)
	1.250% Due 07-01-20			
6/27/2016	65000 Euronet Worldwide Inc.	70,081.20	72,990.45	2,909.25
	1.500% Due 10-01-44			
6/27/2016	50000 Pattern Energy Group Inc. 144A	49,389.52	48,994.55	(394.97)
	4.000% Due 07-15-20			
6/27/2016	55000 Medidata Solutions Inc.	58,193.40	58,212.00	18.60
	1.000% Due 08-01-18			
6/27/2016	100000 Red Hat Inc.	107,008.83	119,762.00	12,753.17
	0.250% Due 10-01-19			
6/27/2016	160000 Microchip Technology Inc.	164,069.35	174,381.12	10,311.77
	1.625% Due 02-15-25			
6/27/2016	85000 Wright Medical Group NV 144A	85,000.00	84,066.45	(933.55)
	2.250% Due 11-15-21			
6/27/2016	70000 Biomarin Pharmaceutical	80,939.16	75,765.34	(5,173.82)
	0.750% Due 10-15-18			
6/27/2016	85000 J2 Global Communications	90,410.86	93,769.28	3,358.42
	3.250% Due 06-15-29			
6/27/2016	125000 Liberty Media Corporation	126,266.28	120,686.25	(5,580.03)
	1.375% Due 10-15-23			
6/27/2016	110000 Nuvasive Inc. 144A	115,925.10	127,051.43	11,126.33
	2.250% Due 03-15-21			
6/27/2016	15000 Proofpoint Inc.	14,404.40	15,585.00	1,180.60
	0.075% Due 06-15-20			
6/27/2016	175000 Herbalife LTD 144A	163,532.62	168,137.90	4,605.28
	2.000% Due 08-15-19			
6/27/2016	100000 Broadsoft Inc. 144A	105,685.95	117,864.90	12,178.95
	1.000% Due 09-01-22			
6/27/2016	30000 Sunpower Corporation 144A	31,379.27	24,990.33	(6,388.94)
	4.000% Due 01-15-23			
6/27/2016	50000 Depomed Inc.	57,750.67	56,827.10	(923.57)
	2.500% Due 09-01-21			
6/27/2016	50000 Jazz Investments I LTD	51,029.17	52,451.50	1,422.33
	1.875% Due 08-15-21			
6/27/2016	150000 Nuance Communications 144A	149,520.37	129,091.35	(20,429.02)
	1.000% Due 12-15-35			
6/27/2016	50000 Shutterfly Inc.	50,002.99	49,250.00	(752.99)
	0.250% Due 05-15-18			
6/27/2016	100000 Red Hat Inc.	107,008.83	119,223.00	12,214.17

	0.250% Due 10-01-19			
6/27/2016	90000 Stillwater Mining Company	99,841.80	96,065.10	(3,776.70)
	1.750% Due 10-15-32			
6/27/2016	15000 CTrip.com International LTD.	15,006.20	15,583.70	577.50
	1.000% Due 07-01-20			
6/27/2016	10000 CTrip.com International LTD.	11,462.54	10,417.19	(1,045.35)
	1.990% Due 07-01-25			
6/27/2016	100000 Ironwood Pharmaceuticals	98,086.44	101,970.00	3,883.56
	2.250% Due 06-15-22			
6/29/2016	50000 Ciena Corporation 144A	58,872.94	57,777.80	(1,095.14)
	3.750% Due 10-15-18			
6/29/2016	40000 Echo Global Logistics	39,868.88	37,461.40	(2,407.48)
	2.500% Due 05-01-20			
6/29/2016	71 Teva Pharmaceutical CV PFD	69,766.23	58,856.30	(10,909.93)
7/1/2016	1315000 Whiting Petroleum Corp	912,657.10	1,183,500.00	270,842.90
	1.250% Due 06-05-20			
7/5/2016	200 Teva Pharmaceutical CV PFD	196,524.58	166,514.37	(30,010.21)
7/5/2016	90000 Healthsouth Corp.	92,599.31	103,694.67	11,095.36
	2.000% Due 12-01-43			
7/5/2016	80000 Microchip Technology Inc.	82,034.68	87,763.12	5,728.44
	1.625% Due 02-15-25			
7/5/2016	80000 Hologic Inc.	101,910.85	96,800.00	(5,110.85)
	2.000% Due 12-15-43			
7/5/2016	90000 CSG Systems Int'l Inc. 144A	91,107.09	94,485.60	3,378.51
	4.250% Due 03-15-36			
7/6/2016	30000 Citrix Systems Inc 144A	32,146.73	33,436.80	1,290.07
	0.500% Due 04-15-19			
7/6/2016	100 Teva Pharmaceutical CV PFD	98,262.29	83,531.88	(14,730.41)
7/7/2016	120 Teva Pharmaceutical CV PFD	117,914.75	100,602.93	(17,311.82)
7/7/2016	60 Teva Pharmaceutical CV PFD	58,957.38	50,523.70	(8,433.68)
7/8/2016	80 Teva Pharmaceutical CV PFD	78,609.83	67,365.90	(11,243.93)
7/11/2016	75000 Hologic Inc.	95,541.42	91,560.08	(3,981.34)
	2.000% Due 12-15-43			
7/11/2016	55000 Jazz Investments I LTD	56,132.09	59,416.83	3,284.74
	1.875% Due 08-15-21			
7/12/2016	100000 Hologic Inc.	127,388.56	121,879.90	(5,508.66)
	2.000% Due 12-15-43			
7/12/2016	55000 Red Hat Inc.	58,854.86	67,588.95	8,734.09
	0.250% Due 10-01-19			
7/13/2016	35000 Nuance Communications 144A	34,888.09	31,500.00	(3,388.09)
	1.000% Due 12-15-35			
7/13/2016	45000 Nuance Communications 144A	44,856.11	40,313.88	(4,542.23)
	1.000% Due 12-15-35			
7/13/2016	1300 Crown Castle Int'l Corp. 4.5% CV F	131,099.17	153,603.36	22,504.19
7/13/2016	25000 Nuance Communications 144A	24,920.06	22,427.25	(2,492.81)
	1.000% Due 12-15-35			
7/13/2016	50000 Red Hat Inc.	53,504.42	61,412.60	7,908.18
	0.250% Due 10-01-19			

7/14/2016	45000 Hologic Inc. 2.000% Due 12-15-43	57,324.85	55,032.62	(2,292.23)
7/14/2016	600 Crown Castle Int'l Corp. 4.5% CV F	60,507.31	70,626.75	10,119.44
7/14/2016	200000 NXP Semiconductor NV 1.000% Due 12-01-19	203,074.16	219,501.60	16,427.44
7/14/2016	85000 Hologic Inc. 2.000% Due 12-15-43	108,280.28	103,933.92	(4,346.36)
7/14/2016	120000 Jazz Investments I LTD 1.875% Due 08-15-21	122,470.01	128,763.24	6,293.23
7/15/2016	10000 Red Hat Inc. 0.250% Due 10-01-19	10,700.88	12,218.00	1,517.12
7/15/2016	20000 Jazz Investments I LTD 1.875% Due 08-15-21	20,411.67	21,521.82	1,110.15
7/15/2016	10000 Hologic Inc. 2.000% Due 12-15-43	12,738.86	12,300.00	(438.86)
7/15/2016	95000 Wright Medical Group NV 144A 2.250% Due 11-15-21	95,000.00	110,763.35	15,763.35
7/19/2016	600 Crown Castle Int'l Corp. 4.5% CV F	60,507.31	70,397.25	9,889.94
7/19/2016	150000 Jazz Investments I LTD 1.875% Due 08-15-21	153,087.51	160,786.50	7,698.99
7/19/2016	110000 Nuance Communications 144A 1.000% Due 12-15-35	109,648.27	98,633.04	(11,015.23)
7/20/2016	40000 CTrip.com International LTD. 1.000% Due 07-01-20	40,016.53	43,380.00	3,363.47
7/20/2016	35000 Broadsoft Inc. 144A 1.000% Due 09-01-22	36,990.08	46,056.96	9,066.88
7/20/2016	90000 Cemex SAB DE SUB 3.720% Due 03-15-20	98,609.80	88,772.58	(9,837.22)
7/20/2016	75000 NXP Semiconductor NV 1.000% Due 12-01-19	76,152.81	84,145.50	7,992.69
7/20/2016	15000 InterDigital Inc. 1.500% Due 03-01-20	15,558.31	15,862.65	304.34
7/20/2016	20000 Liberty Media Corporation 1.375% Due 10-15-23	20,202.61	20,723.16	520.55
7/20/2016	800 Anthem Inc. 5.25% CV PFD	37,996.81	35,956.26	(2,040.55)
7/20/2016	75000 Nuance Communications 144A 1.000% Due 12-15-35	74,760.18	67,713.68	(7,046.50)
7/21/2016	85000 NXP Semiconductor NV 1.000% Due 12-01-19	86,306.52	95,644.81	9,338.29
7/21/2016	25000 Synchronoss Technologies 0.750% Due 08-15-19	26,559.80	25,621.25	(938.55)
7/21/2016	15000 Stillwater Mining Company 1.750% Due 10-15-32	16,640.30	17,801.60	1,161.30
7/21/2016	1559 csus845467109	20,948.56	20,615.92	(332.64)
7/21/2016	200 WPX Energy Inc. CV PFD	7,480.81	9,925.99	2,445.18
7/21/2016	15000 NXP Semiconductor NV 1.000% Due 12-01-19	15,230.56	16,775.40	1,544.84
7/21/2016	100000 Jazz Investments I LTD	102,058.34	107,198.80	5,140.46

		1.875% Due 08-15-21			
7/21/2016	15000	Weatherford Bermuda	15,000.00	17,298.57	2,298.57
		5.875% Due 07-01-21			
7/21/2016	95000	Intel Corporation	112,436.94	124,342.75	11,905.81
		2.950% Due 12-15-35			
7/21/2016	100000	Nuance Communications	106,859.25	99,214.40	(7,644.85)
		1.500% Due 11-01-35			
7/22/2016	10000	Citrix Systems Inc 144A	10,715.58	11,628.36	912.78
		0.500% Due 04-15-19			
7/22/2016	50000	Hologic Inc.	63,694.28	61,025.75	(2,668.53)
		2.000% Due 12-15-43			
7/22/2016	100000	RTI International Metals	103,044.66	110,997.90	7,953.24
		1.625% Due 10-15-19			
7/22/2016	45000	WebMD Health Corporation 144A	45,924.96	45,524.75	(400.21)
		2.625% Due 06-15-23			
7/22/2016	90000	TTM Technologies	92,877.71	92,494.80	(382.91)
		1.750% Due 12-15-20			
7/22/2016	100000	Intel Corporation	118,354.67	131,232.50	12,877.83
		2.950% Due 12-15-35			
7/22/2016	45000	Empire State Realty Trust 144A	44,958.73	51,276.60	6,317.86
		2.625% Due 08-15-19			
7/26/2016	45000	Insulet Corporation	47,523.96	45,000.00	(2,523.96)
		2.000% Due 06-15-19			
7/26/2016	50000	Jazz Investments I LTD	51,029.17	52,974.70	1,945.53
		1.875% Due 08-15-21			
7/26/2016	90000	Scorpio Tankers Inc 144A	81,353.46	69,853.77	(11,499.69)
		2.375% Due 07-01-19			
7/27/2016	200000	Jazz Investments I LTD	204,116.68	211,821.00	7,704.32
		1.875% Due 08-15-21			
7/27/2016	85000	Nuance Communications 144A	84,728.21	76,310.37	(8,417.84)
		1.000% Due 12-15-35			
7/27/2016	50000	Scorpio Tankers Inc 144A	45,196.36	38,341.85	(6,854.51)
		2.375% Due 07-01-19			
7/28/2016	95000	Nuance Communications 144A	94,696.23	85,173.11	(9,523.12)
		1.000% Due 12-15-35			
7/28/2016	130000	Hologic Inc.	165,605.13	160,225.00	(5,380.13)
		2.000% Due 12-15-43			
7/28/2016	40000	Workday Inc.	46,621.05	49,485.20	2,864.15
		1.500% Due 07-15-20			
7/28/2016	150000	Extra Space Storage LP 144A	153,238.30	165,120.00	11,881.70
		3.125% Due 10-01-35			
7/29/2016	55000	Nuance Communications 144A	54,824.13	49,275.22	(5,548.91)
		1.000% Due 12-15-35			
8/1/2016	55000	TTM Technologies	56,758.60	66,751.30	9,992.70
		1.750% Due 12-15-20			
8/1/2016	45000	Nuance Communications 144A	44,856.11	40,342.68	(4,513.43)
		1.000% Due 12-15-35			
8/1/2016	25000	Nuance Communications 144A	24,920.06	22,444.43	(2,475.63)

	1.000% Due 12-15-35			
8/2/2016	1800 WPX Energy Inc. CV PFD	67,327.28	81,422.31	14,095.03
8/2/2016	900 Hess Corp CV PFD	56,716.07	56,866.17	150.10
8/2/2016	45000 Integrated Device Tech 144A 0.875% Due 11-15-22	45,262.53	43,405.97	(1,856.56)
8/2/2016	45000 Integrated Device Tech 144A 0.875% Due 11-15-22	45,262.53	43,538.72	(1,723.81)
8/2/2016	85000 Integrated Device Tech 144A 0.875% Due 11-15-22	85,495.88	82,228.15	(3,267.73)
8/2/2016	215000 Nuance Communications 144A 1.000% Due 12-15-35	214,312.53	191,119.52	(23,193.01)
8/2/2016	80000 Wright Medical Group NV 144A 2.250% Due 11-15-21	80,000.00	95,006.96	15,006.96
8/2/2016	35000 Stillwater Mining Company 1.750% Due 10-15-32	38,827.37	45,304.35	6,476.98
8/2/2016	40000 Broadsoft Inc. 144A 1.000% Due 09-01-22	42,274.38	50,137.68	7,863.30
8/2/2016	280000 MGIC Investment Corp 2.000% Due 04-01-20	330,488.00	344,320.48	13,832.48
8/3/2016	25000 Broadsoft Inc. 144A 1.000% Due 09-01-22	26,421.49	31,096.00	4,674.51
8/3/2016	30000 Biomarin Pharmaceutical 0.750% Due 10-15-18	34,688.21	38,187.45	3,499.24
8/3/2016	116 Anadarko Petroleum Corp PFD 7.5	4,530.20	4,295.39	(234.81)
8/3/2016	100000 Integrated Device Tech 144A 0.875% Due 11-15-22	100,583.39	94,784.10	(5,799.29)
8/3/2016	50000 Integrated Device Tech 144A 0.875% Due 11-15-22	50,291.70	47,012.65	(3,279.05)
8/3/2016	50000 Stillwater Mining Company 1.750% Due 10-15-32	55,467.67	64,546.00	9,078.33
8/3/2016	105000 Wright Medical Group NV 144A 2.250% Due 11-15-21	105,000.00	133,963.31	28,963.31
8/4/2016	202000 Integrated Device Tech 144A 0.875% Due 11-15-22	203,178.45	189,773.95	(13,404.50)
8/4/2016	548000 Integrated Device Tech 144A 0.875% Due 11-15-22	551,196.98	513,725.89	(37,471.09)
8/4/2016	60000 Atlas Air Worldwide Hldg 2.250% Due 06-01-22	60,038.87	55,650.00	(4,388.87)
8/4/2016	45000 TTM Technologies 1.750% Due 12-15-20	46,438.85	54,155.25	7,716.40
8/4/2016	87 Anadarko Petroleum Corp PFD 7.5	3,397.65	3,218.93	(178.72)
8/4/2016	100000 Wright Medical Group NV 144A 2.250% Due 11-15-21	100,000.00	128,261.40	28,261.40
8/5/2016	105000 Atlas Air Worldwide Hldg 2.250% Due 06-01-22	105,068.03	97,341.72	(7,726.31)
8/5/2016	55000 Stillwater Mining Company 1.750% Due 10-15-32	61,014.43	70,514.95	9,500.52
8/5/2016	20000 Atlas Air Worldwide Hldg	20,012.96	18,664.34	(1,348.62)

		2.250% Due 06-01-22			
8/5/2016	305000	Encore Capital Group Inc.	315,715.11	231,932.68	(83,782.43)
		3.000% Due 07-01-20			
8/5/2016	143	Anadarko Petroleum Corp PFD 7.5	5,584.64	5,290.89	(293.75)
8/5/2016	50000	Biomarin Pharmaceutical	57,813.69	63,158.65	5,344.96
		0.750% Due 10-15-18			
8/8/2016	374	Anadarko Petroleum Corp PFD 7.5	14,605.98	13,841.59	(764.39)
8/8/2016	120000	Priceline.com Inc.	129,381.73	150,004.56	20,622.83
		0.350% Due 06-15-20			
8/9/2016	20000	WebMD Health Corporation 144A	20,411.09	19,532.62	(878.47)
		2.625% Due 06-15-23			
8/9/2016	85000	WebMD Health Corporation 144A	86,747.15	83,070.93	(3,676.22)
		2.625% Due 06-15-23			
8/9/2016	85000	WebMD Health Corporation 144A	86,747.15	83,415.43	(3,331.72)
		2.625% Due 06-15-23			
8/9/2016	31	Anadarko Petroleum Corp PFD 7.5	1,210.66	1,146.98	(63.68)
8/9/2016	240000	Nuance Communications	256,462.19	229,879.44	(26,582.75)
		1.500% Due 11-01-35			
8/9/2016	96	Allergan PLC 5.5% CV PFD	88,765.10	85,480.17	(3,284.93)
8/10/2016	100000	Nuance Communications	106,859.25	95,385.10	(11,474.15)
		1.500% Due 11-01-35			
8/10/2016	10000	Sunpower Corporation 144A	10,459.76	7,375.00	(3,084.76)
		4.000% Due 01-15-23			
8/10/2016	20000	WebMD Health Corporation 144A	20,411.09	19,574.46	(836.63)
		2.625% Due 06-15-23			
8/10/2016	1200	Frontier Communications 11.125%	127,162.00	113,189.70	(13,972.30)
8/10/2016	95000	Wright Medical Group NV 144A	95,000.00	122,402.09	27,402.09
		2.250% Due 11-15-21			
8/10/2016	40000	Sunpower Corporation 144A	41,839.03	29,313.00	(12,526.03)
		4.000% Due 01-15-23			
8/10/2016	20000	Nuance Communications	21,371.85	19,100.00	(2,271.85)
		1.500% Due 11-01-35			
8/10/2016	122	Anadarko Petroleum Corp PFD 7.5	4,764.52	4,517.57	(246.95)
8/10/2016	50000	Stillwater Mining Company	55,467.67	64,377.50	8,909.83
		1.750% Due 10-15-32			
8/10/2016	80000	Sunpower Corporation 144A	83,678.06	58,596.80	(25,081.26)
		4.000% Due 01-15-23			
8/11/2016	15000	Euronet Worldwide Inc.	16,297.71	18,487.50	2,189.79
		1.500% Due 10-01-44			
8/11/2016	1600	Anthem Inc. 5.25% CV PFD	75,993.61	69,653.85	(6,339.76)
8/11/2016	64000	Atlas Air Worldwide Hldg	64,041.47	58,632.45	(5,409.02)
		2.250% Due 06-01-22			
8/11/2016	40000	Sunpower Corporation 144A	41,839.03	29,377.84	(12,461.19)
		4.000% Due 01-15-23			
8/11/2016	45	Anadarko Petroleum Corp PFD 7.5	1,757.40	1,666.77	(90.63)
8/11/2016	40000	Stillwater Mining Company	44,374.13	51,516.00	7,141.87
		1.750% Due 10-15-32			
8/11/2016	900	Frontier Communications 11.125%	95,371.50	84,301.17	(11,070.33)

8/11/2016	35000 Vector Group LTD. 1.750% Due 04-15-20	39,166.69	38,932.29	(234.40)
8/12/2016	23000 Atlas Air Worldwide Hldg 2.250% Due 06-01-22	23,014.90	21,082.19	(1,932.71)
8/12/2016	21000 Atlas Air Worldwide Hldg 2.250% Due 06-01-22	21,013.61	19,267.50	(1,746.11)
8/12/2016	2282 Anadarko Petroleum Corp PFD 7.5	89,119.91	84,637.54	(4,482.37)
8/12/2016	65000 Healthways Inc. 1.500% Due 07-01-18	61,424.66	81,655.21	20,230.55
8/12/2016	50000 Stillwater Mining Company 1.750% Due 10-15-32	55,467.67	63,495.00	8,027.33
8/12/2016	35000 Nuance Communications 1.500% Due 11-01-35	37,400.74	33,502.95	(3,897.79)
8/16/2016	20000 Workday Inc. 1.500% Due 07-15-20	23,310.53	24,462.54	1,152.01
8/16/2016	97000 WebMD Health Corporation 144A 2.625% Due 06-15-23	98,993.81	93,483.75	(5,510.06)
8/16/2016	55000 Nuance Communications 1.500% Due 11-01-35	58,772.59	52,655.68	(6,116.91)
8/16/2016	50000 Healthways Inc. 1.500% Due 07-01-18	47,249.74	64,996.60	17,746.86
8/16/2016	50000 Stillwater Mining Company 1.750% Due 10-15-32	55,467.67	63,319.50	7,851.83
8/16/2016	29000 WebMD Health Corporation 144A 2.625% Due 06-15-23	29,596.09	27,985.00	(1,611.09)
8/16/2016	30000 Red Hat Inc. 0.250% Due 10-01-19	32,102.65	36,237.90	4,135.25
8/17/2016	2100 Anthem Inc. 5.25% CV PFD	99,741.62	92,083.00	(7,658.62)
8/17/2016	230000 Red Hat Inc. 0.250% Due 10-01-19	246,120.31	276,658.72	30,538.41
8/17/2016	15000 Broadsoft Inc. 144A 1.000% Due 09-01-22	15,852.89	18,684.60	2,831.71
8/17/2016	65000 WebMD Health Corporation 144A 2.625% Due 06-15-23	66,336.06	62,387.33	(3,948.73)
8/17/2016	25000 Sunpower Corporation 144A 4.000% Due 01-15-23	26,149.39	18,366.05	(7,783.34)
8/18/2016	45000 Liberty Media Corporation 1.375% Due 10-15-23	45,455.86	46,012.50	556.64
8/18/2016	10000 Healthsouth Corp. 2.000% Due 12-01-43	10,288.81	11,775.00	1,486.19
8/18/2016	5000 Dish Network Corp 144A 3.375% Due 08-15-26	5,076.61	5,312.50	235.89
8/18/2016	50000 Liberty Media Corporation 1.375% Due 10-15-23	50,506.51	50,726.00	219.49
8/18/2016	5000 InterDigital Inc. 1.500% Due 03-01-20	5,246.84	5,662.50	415.66
8/18/2016	50000 Healthways Inc. 1.500% Due 07-01-18	47,249.74	68,510.00	21,260.26

8/19/2016	89000 WebMD Health Corporation 144A 2.625% Due 06-15-23	90,829.37	85,946.50	(4,882.87)
8/19/2016	5800 Anthem Inc. 5.25% CV PFD	275,476.85	253,152.31	(22,324.54)
8/22/2016	900 WPX Energy Inc. CV PFD	33,663.64	51,713.33	18,049.69
8/22/2016	40000 Nuance Communications 1.500% Due 11-01-35	42,743.70	37,840.76	(4,902.94)
8/23/2016	55000 Workday Inc. 1.500% Due 07-15-20	64,103.95	66,247.83	2,143.88
8/23/2016	55000 WebMD Health Corporation 144A 2.625% Due 06-15-23	56,130.51	53,230.21	(2,900.30)
8/24/2016	105000 WebMD Health Corporation 144A 2.625% Due 06-15-23	107,158.25	101,456.25	(5,702.00)
8/24/2016	65000 Dycom Industries Inc. 144A 0.750% Due 09-15-21	68,128.94	73,353.87	5,224.93
8/24/2016	90000 Nuance Communications 1.500% Due 11-01-35	96,173.32	85,137.03	(11,036.29)
8/24/2016	20000 Sunpower Corporation 144A 4.000% Due 01-15-23	20,919.52	14,660.00	(6,259.52)
8/25/2016	40000 Healthways Inc. 1.500% Due 07-01-18	37,799.79	53,787.16	15,987.37
8/25/2016	45000 Workday Inc. 1.500% Due 07-15-20	52,448.68	55,380.60	2,931.92
8/26/2016	50000 Dycom Industries Inc. 144A 0.750% Due 09-15-21	52,406.88	55,560.35	3,153.47
8/26/2016	105000 Dycom Industries Inc. 144A 0.750% Due 09-15-21	110,054.45	117,748.89	7,694.44
8/26/2016	20000 Dycom Industries Inc. 144A 0.750% Due 09-15-21	20,962.75	22,253.20	1,290.45
8/26/2016	20000 Sunpower Corporation 144A 4.000% Due 01-15-23	20,919.52	14,593.60	(6,325.92)
8/29/2016	55000 Sunpower Corporation 144A 4.000% Due 01-15-23	57,528.67	40,150.00	(17,378.67)
8/29/2016	22 Frontier Communications 11.125%	2,331.30	2,000.38	(330.92)
8/30/2016	53000 Nuance Communications 1.500% Due 11-01-35	56,635.40	50,002.85	(6,632.55)
8/30/2016	40000 Healthways Inc. 1.500% Due 07-01-18	37,799.79	55,722.00	17,922.21
8/30/2016	100000 Extra Space Storage LP 144A 3.125% Due 10-01-35	102,158.87	107,875.00	5,716.13
8/30/2016	50000 Sunpower Corporation 144A 4.000% Due 01-15-23	52,298.79	36,886.70	(15,412.09)
8/31/2016	110000 Dycom Industries Inc. 144A 0.750% Due 09-15-21	115,295.14	120,826.97	5,531.83
8/31/2016	65000 Extra Space Storage LP 144A 3.125% Due 10-01-35	66,403.26	70,629.78	4,226.52
8/31/2016	60000 Atlas Air Worldwide Hldg 2.250% Due 06-01-22	60,038.87	55,398.72	(4,640.15)
8/31/2016	45000 Healthways Inc.	42,524.77	62,131.82	19,607.05

		1.500% Due 07-01-18			
8/31/2016	70000	Wright Medical Group NV 144A	70,000.00	92,435.84	22,435.84
		2.250% Due 11-15-21			
8/31/2016	310000	Jazz Investments I LTD	316,380.85	312,737.30	(3,643.55)
		1.875% Due 08-15-21			
8/31/2016	55000	Sunpower Corporation 144A	57,528.67	40,425.00	(17,103.67)
		4.000% Due 01-15-23			
8/31/2016	60000	Nuance Communications	64,115.55	56,688.48	(7,427.07)
		1.500% Due 11-01-35			
9/1/2016	50000	Ciena Corporation 144A	58,863.44	65,708.65	6,845.21
		3.750% Due 10-15-18			
9/1/2016	140000	Jazz Investments I LTD	142,881.68	140,437.50	(2,444.18)
		1.875% Due 08-15-21			
9/1/2016	149000	Nuance Communications	159,220.28	140,303.47	(18,916.81)
		1.500% Due 11-01-35			
9/1/2016	85000	Wright Medical Group NV 144A	85,000.00	111,745.85	26,745.85
		2.250% Due 11-15-21			
9/1/2016	700	Frontier Communications 11.125%	74,177.83	62,483.44	(11,694.39)
9/2/2016	101000	Jazz Investments I LTD	103,078.92	100,969.09	(2,109.83)
		1.875% Due 08-15-21			
9/2/2016	41000	Jazz Investments I LTD	41,843.92	41,023.62	(820.30)
		1.875% Due 08-15-21			
9/6/2016	50000	Inphi Corp 144A	54,073.84	61,981.75	7,907.91
		1.125% Due 12-01-20			
9/6/2016	41000	Atlas Air Worldwide Hldg	41,026.56	38,591.25	(2,435.31)
		2.250% Due 06-01-22			
9/6/2016	50000	Inphi Corp 144A	54,073.84	62,258.00	8,184.16
		1.125% Due 12-01-20			
9/7/2016	778	Frontier Communications 11.125%	82,443.36	71,778.28	(10,665.08)
9/7/2016	60000	Jazz Investments I LTD	61,235.00	60,357.42	(877.58)
		1.875% Due 08-15-21			
9/7/2016	100000	Inphi Corp 144A	108,147.68	122,766.80	14,619.12
		1.125% Due 12-01-20			
9/7/2016	100000	Inphi Corp 144A	108,147.68	123,148.80	15,001.12
		1.125% Due 12-01-20			
9/8/2016	422	Allergan PLC 5.5% CV PFD	390,196.59	356,000.51	(34,196.08)
9/8/2016	29000	Healthways Inc.	27,404.85	40,504.18	13,099.33
		1.500% Due 07-01-18			
9/8/2016	30000	Sunpower Corporation 144A	31,379.27	22,012.50	(9,366.77)
		4.000% Due 01-15-23			
9/8/2016	50000	Ciena Corporation 144A	58,863.44	63,987.60	5,124.16
		3.750% Due 10-15-18			
9/8/2016	600000	Insulet Corporation	633,652.84	687,000.00	53,347.16
		2.000% Due 06-15-19			
9/8/2016	41000	Insulet Corporation	43,299.61	46,125.00	2,825.39
		2.000% Due 06-15-19			
9/8/2016	56000	Insulet Corporation	59,140.93	63,070.00	3,929.07
		2.000% Due 06-15-19			

9/9/2016	45000 Microchip Technology Inc. 1.625% Due 02-15-25	46,144.51	55,116.14	8,971.63
9/9/2016	65000 Workday Inc. 1.500% Due 07-15-20	75,759.21	82,499.89	6,740.68
9/9/2016	65000 Workday Inc. 1.500% Due 07-15-20	75,759.21	81,885.12	6,125.91
9/9/2016	55000 Inphi Corp 144A 1.125% Due 12-01-20	59,481.23	65,392.80	5,911.57
9/9/2016	800 Hess Corp CV PFD	50,414.28	50,309.79	(104.49)
9/9/2016	30000 Microchip Technology Inc. 1.625% Due 02-15-25	30,763.00	36,645.00	5,882.00
9/9/2016	30000 Broadsoft Inc. 144A 1.000% Due 09-01-22	31,705.79	39,177.60	7,471.81
9/9/2016	55000 Ciena Corporation 144A 3.750% Due 10-15-18	64,749.78	69,585.62	4,835.84
9/9/2016	5000 Knowles Corp 144A 3.250% Due 11-01-21	5,066.54	5,157.80	91.26
9/9/2016	71000 Healthways Inc. 1.500% Due 07-01-18	67,094.63	96,508.53	29,413.90
9/9/2016	115000 Sunpower Corporation 144A 4.000% Due 01-15-23	120,287.22	83,284.73	(37,002.49)
9/9/2016	65000 Advanced Micro Devices 2.125% Due 09-01-26	65,000.00	66,137.50	1,137.50
9/9/2016	55000 Advanced Micro Devices 2.125% Due 09-01-26	55,000.00	55,005.50	5.50
9/9/2016	110000 Advanced Micro Devices 2.125% Due 09-01-26	110,000.00	109,725.00	(275.00)
9/9/2016	55000 Advanced Micro Devices 2.125% Due 09-01-26	55,000.00	55,068.75	68.75
9/12/2016	60000 Extra Space Storage LP 144A 3.125% Due 10-01-35	61,295.32	63,951.30	2,655.98
9/12/2016	100000 Inphi Corp 144A 1.125% Due 12-01-20	108,147.68	120,405.00	12,257.32
9/12/2016	50000 Advanced Micro Devices 2.125% Due 09-01-26	50,000.00	50,010.30	10.30
9/12/2016	60000 Advanced Micro Devices 2.125% Due 09-01-26	60,000.00	60,225.00	225.00
9/12/2016	60000 Extra Space Storage LP 144A 3.125% Due 10-01-35	61,295.32	64,300.44	3,005.12
9/12/2016	120000 Sunpower Corporation 144A 4.000% Due 01-15-23	125,517.10	86,235.60	(39,281.50)
9/13/2016	115000 Extra Space Storage LP 144A 3.125% Due 10-01-35	117,482.70	121,971.88	4,489.18
9/13/2016	40000 Ciena Corporation 144A 3.750% Due 10-15-18	47,090.75	50,073.56	2,982.81
9/13/2016	41000 Extra Space Storage LP 144A 3.125% Due 10-01-35	41,885.14	43,523.14	1,638.00
9/13/2016	100000 Inphi Corp 144A	108,147.68	118,902.90	10,755.22

		1.125% Due 12-01-20			
9/13/2016	60000	Workday Inc.	69,931.58	75,125.40	5,193.82
		1.500% Due 07-15-20			
9/13/2016	1600	Hess Corp CV PFD	100,828.57	95,154.25	(5,674.32)
9/13/2016	30000	Microchip Technology Inc.	30,763.00	36,953.40	6,190.40
		1.625% Due 02-15-25			
9/14/2016	135000	Inphi Corp 144A	145,999.37	160,015.50	14,016.13
		1.125% Due 12-01-20			
9/14/2016	4700	Southwestern Energy Co	138,739.20	152,429.90	13,690.70
9/14/2016	5000	Echo Global Logistics	4,983.61	4,806.25	(177.36)
		2.500% Due 05-01-20			
9/14/2016	15000	Echo Global Logistics	14,950.83	14,388.45	(562.38)
		2.500% Due 05-01-20			
9/14/2016	100000	Wright Medical Group NV 144A	100,000.00	124,039.50	24,039.50
		2.250% Due 11-15-21			
9/15/2016	40000	Blackhawk Network Hold 144A	40,473.47	38,359.52	(2,113.95)
		1.500% Due 01-15-22			
9/15/2016	90000	Blackhawk Network Hold 144A	91,065.30	86,210.46	(4,854.84)
		1.500% Due 01-15-22			
9/15/2016	105000	Inphi Corp 144A	113,555.07	125,823.71	12,268.64
		1.125% Due 12-01-20			
9/16/2016	125000	Blackhawk Network Hold 144A	126,479.59	119,062.50	(7,417.09)
		1.500% Due 01-15-22			
9/16/2016	105000	Wright Medical Group NV 144A	105,000.00	131,296.62	26,296.62
		2.250% Due 11-15-21			
9/16/2016	35000	Inphi Corp 144A	37,851.69	42,283.50	4,431.81
		1.125% Due 12-01-20			
9/16/2016	1400	Kinder Morgan Inc. 9.75% CV PFD	61,928.60	68,274.70	6,346.10
9/16/2016	60000	Broadsoft Inc.	63,414.00	78,162.18	14,748.18
		1.000% Due 09-01-22			
9/16/2016	100000	Dycom Industries Inc.	104,813.76	106,376.10	1,562.34
		0.750% Due 09-15-21			
9/19/2016	64000	Inphi Corp 144A	69,214.52	78,080.00	8,865.48
		1.125% Due 12-01-20			
9/19/2016	40000	Dycom Industries Inc.	41,925.50	43,099.08	1,173.58
		0.750% Due 09-15-21			
9/19/2016	245000	Blackhawk Network Hold 144A	247,899.99	229,047.81	(18,852.18)
		1.500% Due 01-15-22			
9/20/2016	35000	Depomed Inc.	40,425.47	48,991.60	8,566.13
		2.500% Due 09-01-21			
9/20/2016	73000	Dycom Industries Inc.	76,514.04	78,975.20	2,461.16
		0.750% Due 09-15-21			
9/20/2016	35000	TTM Technologies	36,119.11	45,416.00	9,296.89
		1.750% Due 12-15-20			
9/20/2016	140000	Wright Medical Group NV 144A	140,000.00	174,539.96	34,539.96
		2.250% Due 11-15-21			
9/20/2016	35000	Workday Inc.	40,793.42	44,540.62	3,747.20
		1.500% Due 07-15-20			

9/21/2016	20000 Dycom Industries Inc. 0.750% Due 09-15-21	20,962.75	21,592.00	629.25
9/21/2016	56000 TTM Technologies 1.750% Due 12-15-20	57,790.57	72,189.82	14,399.25
9/22/2016	40000 TTM Technologies 1.750% Due 12-15-20	41,278.98	52,500.40	11,221.42
9/23/2016	40000 TTM Technologies 1.750% Due 12-15-20	41,278.98	52,358.00	11,079.02
9/23/2016	40000 Broadsoft Inc. 1.000% Due 09-01-22	42,274.03	53,865.16	11,591.13
9/26/2016	45000 TTM Technologies 1.750% Due 12-15-20	46,438.85	58,909.91	12,471.06
9/26/2016	50000 Citrix Systems Inc 144A 0.500% Due 04-15-19	53,577.88	56,505.75	2,927.87
9/26/2016	97 Allergan PLC 5.5% CV PFD	89,689.74	81,785.37	(7,904.37)
9/27/2016	25000 J2 Global Communications 3.250% Due 06-15-29	26,591.43	29,055.48	2,464.05
9/27/2016	25000 Echo Global Logistics 2.500% Due 05-01-20	24,918.05	23,659.20	(1,258.85)
9/27/2016	95000 Allscripts Healthcare 1.250% Due 07-01-20	100,564.39	96,985.50	(3,578.89)
9/27/2016	31 Allergan PLC 5.5% CV PFD	28,663.73	26,200.80	(2,462.93)
9/28/2016	95000 Citrix Systems Inc 144A 0.500% Due 04-15-19	101,797.97	107,763.25	5,965.28
9/28/2016	35000 TTM Technologies 1.750% Due 12-15-20	36,119.11	46,554.17	10,435.06
9/28/2016	30000 Echo Global Logistics 2.500% Due 05-01-20	29,901.66	28,383.60	(1,518.06)
9/28/2016	70000 Emerald Oil Inc. 144A 2.000% Due 04-01-19	70,380.00	68,337.50	(2,042.50)
9/28/2016	296 Allergan PLC 5.5% CV PFD	273,692.39	248,611.50	(25,080.89)
9/29/2016	40000 Broadsoft Inc. 1.000% Due 09-01-22	42,274.03	53,211.52	10,937.49
9/29/2016	105000 J2 Global Communications 3.250% Due 06-15-29	111,684.00	121,683.45	9,999.45
9/29/2016	80000 Allscripts Healthcare 1.250% Due 07-01-20	84,685.80	81,833.28	(2,852.52)
9/29/2016	55000 TTM Technologies 1.750% Due 12-15-20	56,758.60	72,437.86	15,679.26
9/29/2016	40000 Blackhawk Network Hold 144A 1.500% Due 01-15-22	40,473.47	38,101.52	(2,371.95)
9/29/2016	2100 Kinder Morgan Inc. 9.75% CV PFD	92,892.91	105,577.09	12,684.18
9/29/2016	526 Allergan PLC 5.5% CV PFD	486,358.78	432,596.90	(53,761.88)
9/30/2016	55000 TTM Technologies 1.750% Due 12-15-20	56,758.60	72,750.10	15,991.50
9/30/2016	311 Kinder Morgan Inc. 9.75% CV PFD	13,757.00	15,625.05	1,868.05
9/30/2016	300 Allergan PLC 5.5% CV PFD	277,390.94	246,234.64	(31,156.30)
10/3/2016	55000 Blackhawk Network Hold 144A	55,651.02	52,384.70	(3,266.32)

		1.500% Due 01-15-22			
10/3/2016	100000	Citrix Systems Inc 144A	107,155.75	112,243.80	5,088.05
		0.500% Due 04-15-19			
10/3/2016	60000	J2 Global Communications	63,819.43	69,198.00	5,378.57
		3.250% Due 06-15-29			
10/3/2016	35000	TTM Technologies	36,119.11	46,191.22	10,072.11
		1.750% Due 12-15-20			
10/3/2016	140	Allergan PLC 5.5% CV PFD	129,449.11	114,582.57	(14,866.54)
10/4/2016	65000	J2 Global Communications	69,137.72	74,652.18	5,514.46
		3.250% Due 06-15-29			
10/4/2016	40000	Shutterfly Inc.	40,002.39	39,539.12	(463.27)
		0.250% Due 05-15-18			
10/4/2016	20000	Microchip Technology Inc.	20,508.67	25,341.80	4,833.13
		1.625% Due 02-15-25			
10/4/2016	40000	Blackhawk Network Hold 144A	40,473.47	37,974.00	(2,499.47)
		1.500% Due 01-15-22			
10/4/2016	55000	Echo Global Logistics	54,819.71	51,964.00	(2,855.71)
		2.500% Due 05-01-20			
10/5/2016	50000	Citrix Systems Inc 144A	53,577.88	56,292.60	2,714.72
		0.500% Due 04-15-19			
10/5/2016	40000	Echo Global Logistics	39,868.88	37,768.00	(2,100.88)
		2.500% Due 05-01-20			
10/5/2016	135000	Spirit Realty Capital Inc.	128,301.39	148,956.44	20,655.05
		3.750% Due 05-15-21			
10/5/2016	50000	Citrix Systems Inc 144A	53,577.88	56,387.15	2,809.27
		0.500% Due 04-15-19			
10/5/2016	4600	McDermott International 6.25% C	105,732.38	85,070.09	(20,662.29)
10/5/2016	85000	Empire State Realty Trust 144A	84,922.05	95,384.71	10,462.65
		2.625% Due 08-15-19			
10/5/2016	50000	Echo Global Logistics	49,836.10	47,287.00	(2,549.10)
		2.500% Due 05-01-20			
10/5/2016	2100	American Tower Corp CV 5.5% PF	213,775.97	221,543.92	7,767.95
10/6/2016	105000	Empire State Realty Trust 144A	104,903.71	117,765.80	12,862.08
		2.625% Due 08-15-19			
10/6/2016	115000	Spirit Realty Capital Inc.	109,293.78	126,531.63	17,237.85
		3.750% Due 05-15-21			
10/6/2016	5000	Colony Starwood Homes	4,658.57	5,367.08	708.50
		3.000% Due 07-01-19			
10/7/2016	55000	Empire State Realty Trust 144A	54,949.56	61,767.75	6,818.18
		2.625% Due 08-15-19			
10/7/2016	4900	McDermott International 6.25% C	112,627.97	90,551.99	(22,075.98)
10/7/2016	15000	Nevro Corp	15,511.03	18,231.21	2,720.18
		1.750% Due 06-01-21			
10/7/2016	15000	Nevro Corp	15,511.03	18,160.92	2,649.89
		1.750% Due 06-01-21			
10/10/2016	35000	Echo Global Logistics	34,885.27	33,097.05	(1,788.22)
		2.500% Due 05-01-20			
10/11/2016	50000	Ironwood Pharmaceuticals	49,043.22	58,179.90	9,136.68

	2.250% Due 06-15-22			
10/11/2016	60000 RTI International Metals 1.625% Due 10-15-19	61,848.14	62,396.94	548.80
10/11/2016	60000 RTI International Metals 1.625% Due 10-15-19	61,848.14	62,159.76	311.62
10/11/2016	25000 Workday Inc. 0.750% Due 07-15-18	28,390.77	29,944.75	1,553.98
10/11/2016	60000 RTI International Metals 1.625% Due 10-15-19	61,848.14	62,839.86	991.72
10/11/2016	95000 RTI International Metals 1.625% Due 10-15-19	97,926.23	98,930.72	1,004.49
10/11/2016	30000 Echo Global Logistics 2.500% Due 05-01-20	29,901.66	28,227.84	(1,673.82)
10/12/2016	25000 Ionis Pharmaceuticals Inc 1.000% Due 11-15-21	23,103.63	21,982.50	(1,121.13)
10/12/2016	30000 Ironwood Pharmaceuticals 2.250% Due 06-15-22	29,425.93	33,974.76	4,548.83
10/12/2016	95000 RTI International Metals 1.625% Due 10-15-19	97,926.23	97,733.63	(192.60)
10/12/2016	145000 Priceline.com Inc. 0.350% Due 06-15-20	156,336.26	184,581.67	28,245.41
10/13/2016	35000 J2 Global Communications 3.250% Due 06-15-29	37,228.00	39,480.98	2,252.98
10/13/2016	30000 Microchip Technology Inc. 1.625% Due 02-15-25	30,763.00	36,240.66	5,477.66
10/13/2016	165000 Priceline.com Inc. 0.350% Due 06-15-20	177,899.88	209,842.38	31,942.50
10/13/2016	50000 Broadsoft Inc. 1.000% Due 09-01-22	52,842.53	64,706.95	11,864.42
10/13/2016	105000 Ionis Pharmaceuticals Inc 1.000% Due 11-15-21	97,035.26	91,093.70	(5,941.56)
10/13/2016	45000 Scorpio Tankers Inc 144A 2.375% Due 07-01-19	40,676.73	35,378.06	(5,298.67)
10/13/2016	60000 Echo Global Logistics 2.500% Due 05-01-20	59,803.32	56,043.36	(3,759.96)
10/13/2016	105000 Ironwood Pharmaceuticals 2.250% Due 06-15-22	102,990.77	116,519.55	13,528.78
10/13/2016	20000 Ionis Pharmaceuticals Inc 1.000% Due 11-15-21	18,482.91	17,587.20	(895.71)
10/13/2016	105000 RTI International Metals 1.625% Due 10-15-19	108,234.25	107,482.62	(751.63)
10/13/2016	55000 TTM Technologies 1.750% Due 12-15-20	56,758.60	70,760.36	14,001.76
10/13/2016	50000 Microchip Technology Inc. 1.625% Due 02-15-25	51,271.67	60,494.95	9,223.28
10/14/2016	105000 Ionis Pharmaceuticals Inc 1.000% Due 11-15-21	97,035.26	89,785.82	(7,249.44)
10/14/2016	35000 Nevro Corp	36,192.40	43,972.04	7,779.64

	1.750% Due 06-01-21			
10/14/2016	60000 Nuvasive Inc. 144A	63,231.87	75,131.22	11,899.35
	2.250% Due 03-15-21			
10/14/2016	45000 Ionis Pharmaceuticals Inc	41,586.54	38,905.88	(2,680.66)
	1.000% Due 11-15-21			
10/14/2016	50000 Microchip Technology Inc.	51,271.67	61,184.60	9,912.93
	1.625% Due 02-15-25			
10/14/2016	50000 Microchip Technology Inc.	51,271.67	61,255.00	9,983.33
	1.625% Due 02-15-25			
10/14/2016	40000 TTM Technologies	41,278.98	51,122.56	9,843.58
	1.750% Due 12-15-20			
10/17/2016	35000 Echo Global Logistics	34,885.27	32,589.20	(2,296.07)
	2.500% Due 05-01-20			
10/17/2016	50000 Ionis Pharmaceuticals Inc	46,207.27	42,747.30	(3,459.97)
	1.000% Due 11-15-21			
10/17/2016	50000 TTM Technologies	51,598.73	64,801.95	13,203.22
	1.750% Due 12-15-20			
10/17/2016	30000 RTI International Metals	30,924.07	30,655.44	(268.63)
	1.625% Due 10-15-19			
10/18/2016	50000 Ionis Pharmaceuticals Inc	46,207.27	42,648.35	(3,558.92)
	1.000% Due 11-15-21			
10/18/2016	10000 Tutor Perini Corp 144A	10,454.90	9,934.00	(520.90)
	2.875% Due 06-15-21			
10/18/2016	127000 Echo Global Logistics	126,583.70	117,633.75	(8,949.95)
	2.500% Due 05-01-20			
10/18/2016	8000 Echo Global Logistics	7,973.78	7,442.53	(531.25)
	2.500% Due 05-01-20			
10/18/2016	298000 RTI International Metals	307,179.11	300,785.70	(6,393.41)
	1.625% Due 10-15-19			
10/18/2016	20000 Tutor Perini Corp 144A	20,909.80	19,751.20	(1,158.60)
	2.875% Due 06-15-21			
10/19/2016	150000 Ionis Pharmaceuticals Inc	138,621.80	125,737.05	(12,884.75)
	1.000% Due 11-15-21			
10/19/2016	20000 Tutor Perini Corp 144A	20,909.80	19,750.00	(1,159.80)
	2.875% Due 06-15-21			
10/20/2016	50000 Tutor Perini Corp 144A	52,274.51	49,562.50	(2,712.01)
	2.875% Due 06-15-21			
10/20/2016	100000 Shutterfly Inc.	100,005.97	97,563.10	(2,442.87)
	0.250% Due 05-15-18			
10/21/2016	100000 Priceline.com Inc.	107,818.11	127,712.90	19,894.79
	0.350% Due 06-15-20			
10/21/2016	65000 Shutterfly Inc.	65,003.88	63,528.40	(1,475.48)
	0.250% Due 05-15-18			
10/24/2016	60000 Intercept Pharms	60,000.00	60,687.66	687.66
	3.250% Due 07-01-23			
10/24/2016	10000 Shutterfly Inc.	10,000.60	9,775.00	(225.60)
	0.250% Due 05-15-18			
10/24/2016	50000 Shutterfly Inc.	50,002.99	48,861.85	(1,141.14)

	0.250% Due 05-15-18			
10/24/2016	300 Southwestern Energy Co	3,791.20	3,361.13	(430.07)
10/25/2016	65000 Shutterfly Inc.	65,003.88	63,566.10	(1,437.78)
	0.250% Due 05-15-18			
10/25/2016	800 Southwestern Energy Co	10,109.87	8,744.37	(1,365.50)
10/26/2016	40000 TTM Technologies	41,278.98	53,126.00	11,847.02
	1.750% Due 12-15-20			
10/26/2016	85000 Weatherford Bermuda	85,000.00	95,453.05	10,453.05
	5.875% Due 07-01-21			
10/26/2016	4400 McDermott International 6.25% C	101,135.32	85,566.26	(15,569.06)
10/26/2016	90000 Pandora Media Inc. 144A	95,577.77	88,488.90	(7,088.87)
	1.750% Due 12-01-20			
10/27/2016	47000 Shutterfly Inc.	47,002.81	46,746.72	(256.09)
	0.250% Due 05-15-18			
10/27/2016	50000 Intercept Pharmas	50,000.00	49,952.60	(47.40)
	3.250% Due 07-01-23			
10/27/2016	3566 McDermott International 6.25% C	81,965.58	68,964.23	(13,001.35)
10/27/2016	55000 NXP Semiconductor NV	56,404.61	63,112.50	6,707.89
	1.000% Due 12-01-19			
10/27/2016	65000 NXP Semiconductor NV	66,659.99	74,490.00	7,830.01
	1.000% Due 12-01-19			
10/28/2016	35000 Depomed Inc.	40,425.47	46,816.53	6,391.06
	2.500% Due 09-01-21			
10/28/2016	110000 Intercept Pharmas	110,000.00	107,910.55	(2,089.45)
	3.250% Due 07-01-23			
10/28/2016	45000 Shutterfly Inc.	45,002.69	44,887.50	(115.19)
	0.250% Due 05-15-18			
10/28/2016	215000 Pandora Media Inc. 144A	228,324.68	212,773.89	(15,550.79)
	1.750% Due 12-01-20			
10/28/2016	2334 McDermott International 6.25% C	53,647.69	44,689.06	(8,958.63)
10/28/2016	105000 NXP Semiconductor NV	107,681.53	120,757.46	13,075.93
	1.000% Due 12-01-19			
10/28/2016	20000 TTM Technologies	20,639.49	30,508.42	9,868.93
	1.750% Due 12-15-20			
10/28/2016	167 Southwestern Energy Co	2,110.43	1,782.64	(327.79)
10/31/2016	38000 Shutterfly Inc.	38,002.27	38,076.00	73.73
	0.250% Due 05-15-18			
10/31/2016	115000 Intercept Pharmas	115,000.00	112,191.01	(2,808.99)
	3.250% Due 07-01-23			
10/31/2016	55000 Broadsoft Inc.	58,126.79	66,779.52	8,652.73
	1.000% Due 09-01-22			
10/31/2016	75000 Priceline.com Inc.	80,863.58	96,160.50	15,296.92
	0.350% Due 06-15-20			
10/31/2016	5000 InterDigital Inc.	5,246.84	5,702.17	455.33
	1.500% Due 03-01-20			
10/31/2016	70000 TTM Technologies	72,238.22	103,439.35	31,201.13
	1.750% Due 12-15-20			
10/31/2016	25000 Vector Group LTD.	27,976.21	27,875.00	(101.21)

		1.750% Due 04-15-20			
11/1/2016	70000	Intercept Pharmas	70,000.00	68,239.01	(1,760.99)
		3.250% Due 07-01-23			
11/1/2016	100000	Priceline.com Inc.	107,818.11	127,704.00	19,885.89
		0.350% Due 06-15-20			
11/1/2016	40000	Broadsoft Inc.	42,274.03	48,176.68	5,902.65
		1.000% Due 09-01-22			
11/1/2016	85000	Euronet Worldwide Inc.	92,353.72	104,601.68	12,247.96
		1.500% Due 10-01-44			
11/1/2016	105000	Pandora Media Inc. 144A	111,507.40	101,654.18	(9,853.22)
		1.750% Due 12-01-20			
11/1/2016	300000	Microchip Technology Inc.	307,630.04	368,549.70	60,919.66
		1.625% Due 02-15-25			
11/1/2016	95000	Medidata Solutions Inc.	100,515.88	103,637.02	3,121.14
		1.000% Due 08-01-18			
11/1/2016	42000	TTM Technologies	43,342.93	62,543.75	19,200.82
		1.750% Due 12-15-20			
11/2/2016	45000	Nevro Corp	46,533.08	50,726.97	4,193.89
		1.750% Due 06-01-21			
11/2/2016	105000	Priceline.com Inc.	113,209.01	132,640.52	19,431.51
		0.350% Due 06-15-20			
11/2/2016	210000	Pandora Media Inc. 144A	223,014.81	196,880.04	(26,134.77)
		1.750% Due 12-01-20			
11/2/2016	40000	Seacor Holdings Inc.	31,321.67	31,000.68	(320.99)
		3.000% Due 11-15-28			
11/2/2016	15000	Weatherford Bermuda	15,000.00	14,068.08	(931.92)
		5.875% Due 07-01-21			
11/2/2016	1000	Kinder Morgan Inc. 9.75% CV PFD	44,234.72	45,399.02	1,164.30
11/2/2016	45000	Nevro Corp	46,533.08	50,753.25	4,220.17
		1.750% Due 06-01-21			
11/3/2016	85000	Horizon Pharma INV LTD	82,207.86	77,710.06	(4,497.80)
		2.500% Due 03-15-22			
11/3/2016	65000	Priceline.com Inc.	70,081.77	81,634.15	11,552.38
		0.350% Due 06-15-20			
11/3/2016	90000	Weatherford Bermuda	90,000.00	84,883.59	(5,116.41)
		5.875% Due 07-01-21			
11/7/2016	105000	Priceline.com Inc.	113,209.01	132,837.18	19,628.17
		0.350% Due 06-15-20			
11/8/2016	170000	Priceline.com Inc.	183,290.78	225,626.89	42,336.11
		0.350% Due 06-15-20			
11/9/2016	1689	Kinder Morgan Inc. 9.75% CV PFD	74,712.44	80,153.13	5,440.69
11/10/2016	800	Mandatory Exch Trust CV PFD	88,125.94	93,156.40	5,030.46
11/14/2016	110000	Citrix Systems Inc 144A	117,871.33	123,185.37	5,314.04
		0.500% Due 04-15-19			
11/14/2016	2100	Mandatory Exch Trust CV PFD	231,330.59	236,491.92	5,161.33
11/15/2016	265000	NXP Semiconductor NV	271,767.66	300,838.34	29,070.68
		1.000% Due 12-01-19			
11/16/2016	35000	Cardtronics Inc	35,229.42	39,841.83	4,612.41

	1.000% Due 12-01-20			
11/16/2016	55000 NXP Semiconductor NV	56,404.61	62,629.44	6,224.83
	1.000% Due 12-01-19			
11/16/2016	100000 Workday Inc.	113,563.09	114,616.40	1,053.31
	0.750% Due 07-15-18			
11/16/2016	55000 Stillwater Mining Company	61,014.43	70,357.10	9,342.67
	1.750% Due 10-15-32			
11/17/2016	55000 Workday Inc.	62,459.70	63,664.48	1,204.78
	0.750% Due 07-15-18			
11/17/2016	50000 Broadsoft Inc.	52,842.53	61,447.60	8,605.07
	1.000% Due 09-01-22			
11/17/2016	177000 NXP Semiconductor NV	181,520.29	200,990.76	19,470.47
	1.000% Due 12-01-19			
11/17/2016	55000 Stillwater Mining Company	61,014.43	70,246.22	9,231.79
	1.750% Due 10-15-32			
11/18/2016	50000 Ciena Corporation 144A	58,863.44	63,440.10	4,576.66
	3.750% Due 10-15-18			
11/18/2016	50000 Medidata Solutions Inc.	52,903.09	57,026.00	4,122.91
	1.000% Due 08-01-18			
11/18/2016	90000 Herbalife LTD 144A	84,967.00	83,468.88	(1,498.12)
	2.000% Due 08-15-19			
11/18/2016	45000 Allscripts Healthcare	47,635.76	43,406.10	(4,229.66)
	1.250% Due 07-01-20			
11/18/2016	110000 Citrix Systems Inc 144A	117,871.33	124,791.48	6,920.15
	0.500% Due 04-15-19			
11/18/2016	65000 Liberty Media Corporation	65,658.47	71,176.30	5,517.83
	1.375% Due 10-15-23			
11/18/2016	55000 Stillwater Mining Company	61,014.43	70,371.24	9,356.81
	1.750% Due 10-15-32			
11/21/2016	50000 Allscripts Healthcare	52,928.62	48,050.85	(4,877.77)
	1.250% Due 07-01-20			
11/21/2016	50000 Huron Consulting Group I 144A	50,559.39	48,641.20	(1,918.19)
	1.250% Due 10-01-19			
11/22/2016	55000 Dycom Industries Inc.	57,647.57	58,194.29	546.72
	0.750% Due 09-15-21			
11/22/2016	30000 Dycom Industries Inc.	31,444.13	31,643.70	199.57
	0.750% Due 09-15-21			
11/22/2016	210000 Dycom Industries Inc.	220,108.90	221,116.98	1,008.08
	0.750% Due 09-15-21			
11/22/2016	55000 Dycom Industries Inc.	57,647.57	58,074.17	426.60
	0.750% Due 09-15-21			
11/22/2016	105000 Herbalife LTD 144A	99,128.16	97,197.45	(1,930.71)
	2.000% Due 08-15-19			
11/22/2016	30000 Dycom Industries Inc.	31,444.13	31,822.20	378.07
	0.750% Due 09-15-21			
11/28/2016	50000 Dycom Industries Inc.	52,406.88	52,319.70	(87.18)
	0.750% Due 09-15-21			
11/28/2016	50000 Dycom Industries Inc.	52,406.88	52,688.25	281.37

		0.750% Due 09-15-21			
11/29/2016	445000	Citrix Systems Inc 144A	476,843.11	506,632.50	29,789.39
		0.500% Due 04-15-19			
11/29/2016	165000	Microchip Technology Inc.	169,196.52	219,015.56	49,819.04
		1.625% Due 02-15-25			
11/29/2016	175000	Liberty Media Corporation 144A	178,472.03	182,546.88	4,074.85
		2.250% Due 09-30-46			
11/29/2016	135000	Colony Financial Inc.	140,750.57	138,037.50	(2,713.07)
		5.000% Due 04-15-23			
11/29/2016	155000	Dycom Industries Inc.	162,461.33	162,654.37	193.04
		0.750% Due 09-15-21			
11/30/2016	200000	J2 Global Communications	212,731.44	250,207.60	37,476.16
		3.250% Due 06-15-29			
11/30/2016	245000	Huron Consulting Group I 144A	247,741.00	241,412.47	(6,328.53)
		1.250% Due 10-01-19			
11/30/2016	85000	Citrix Systems Inc 144A	91,082.39	96,368.75	5,286.36
		0.500% Due 04-15-19			
11/30/2016	75000	Dycom Industries Inc.	78,610.32	78,882.53	272.21
		0.750% Due 09-15-21			
11/30/2016	1000	WPX Energy Inc. CV PFD	42,056.34	70,015.68	27,959.34
11/30/2016	170000	Pattern Energy Group Inc.	167,924.36	166,243.00	(1,681.36)
		4.000% Due 07-15-20			
11/30/2016	1200	WPX Energy Inc. CV PFD	50,467.60	82,894.20	32,426.60
11/30/2016	270000	Cardtronics Inc	271,769.81	298,823.04	27,053.23
		1.000% Due 12-01-20			
11/30/2016	180000	Healthsouth Corp.	185,198.62	215,368.20	30,169.58
		2.000% Due 12-01-43			
12/1/2016	180000	Medidata Solutions Inc.	190,451.14	202,916.16	12,465.02
		1.000% Due 08-01-18			
12/1/2016	45000	Microchip Technology Inc.	46,144.51	56,606.27	10,461.76
		1.625% Due 02-15-25			
12/1/2016	105000	Allscripts Healthcare	111,150.11	100,468.73	(10,681.38)
		1.250% Due 07-01-20			
12/1/2016	185000	Euronet Worldwide Inc.	201,005.15	213,443.75	12,438.60
		1.500% Due 10-01-44			
12/1/2016	125000	Oasis Petroleum Inc.	147,817.59	174,570.13	26,752.54
		2.625% Due 09-15-23			
12/1/2016	15000	Pattern Energy Group Inc.	14,816.86	14,587.50	(229.36)
		4.000% Due 07-15-20			
12/1/2016	85000	Citrix Systems Inc 144A	91,082.39	95,448.12	4,365.73
		0.500% Due 04-15-19			
12/1/2016	3100	American Tower Corp CV 5.5% PF	315,574.06	311,589.71	(3,984.35)
12/1/2016	500	WPX Energy Inc. CV PFD	21,028.17	34,571.25	13,543.08
12/1/2016	20000	Huron Consulting Group I 144A	20,223.76	19,725.00	(498.76)
		1.250% Due 10-01-19			
12/2/2016	25000	Oasis Petroleum Inc.	29,563.52	34,753.25	5,189.73
		2.625% Due 09-15-23			
12/2/2016	70000	Workday Inc.	79,494.16	74,504.99	(4,989.17)

	0.750% Due 07-15-18			
12/2/2016	145000 Stillwater Mining Company	160,856.23	187,154.40	26,298.17
	1.750% Due 10-15-32			
12/2/2016	500 WPX Energy Inc. CV PFD	21,028.17	33,919.87	12,891.70
12/5/2016	20000 Oasis Petroleum Inc.	23,650.81	27,890.46	4,239.65
	2.625% Due 09-15-23			
12/5/2016	30000 Huron Consulting Group I 144A	30,335.63	29,467.89	(867.74)
	1.250% Due 10-01-19			
12/5/2016	40000 Huron Consulting Group I 144A	40,447.51	39,288.88	(1,158.63)
	1.250% Due 10-01-19			
12/5/2016	40000 Stillwater Mining Company	44,374.13	51,754.96	7,380.83
	1.750% Due 10-15-32			
12/6/2016	35000 Oasis Petroleum Inc.	41,388.93	47,995.85	6,606.92
	2.625% Due 09-15-23			
12/6/2016	130000 Array Bio Inc	141,290.51	177,376.55	36,086.04
	3.000% Due 06-01-20			
12/6/2016	25000 Huron Consulting Group I 144A	25,279.69	24,616.45	(663.24)
	1.250% Due 10-01-19			
12/6/2016	600 WPX Energy Inc. CV PFD	25,233.80	39,451.54	14,217.74
12/6/2016	195000 Medicines Company 144A	197,383.29	190,148.79	(7,234.50)
	2.750% Due 07-15-23			
12/6/2016	45000 Stillwater Mining Company	49,920.90	57,246.53	7,325.63
	1.750% Due 10-15-32			
12/6/2016	45000 Citrix Systems Inc 144A	48,220.09	51,408.63	3,188.54
	0.500% Due 04-15-19			
12/6/2016	85000 Citrix Systems Inc 144A	91,082.39	97,081.14	5,998.75
	0.500% Due 04-15-19			
12/7/2016	425000 Herbalife LTD 144A	401,233.05	389,197.58	(12,035.47)
	2.000% Due 08-15-19			
12/7/2016	40000 Allscripts Healthcare	42,342.90	38,286.32	(4,056.58)
	1.250% Due 07-01-20			
12/7/2016	85000 Citrix Systems Inc 144A	91,082.39	96,900.00	5,817.61
	0.500% Due 04-15-19			
12/7/2016	40000 Medicines Company 144A	40,488.88	38,281.32	(2,207.56)
	2.750% Due 07-15-23			
12/7/2016	35000 Oasis Petroleum Inc.	41,388.93	49,620.17	8,231.24
	2.625% Due 09-15-23			
12/7/2016	900 American Tower Corp CV 5.5% PF	91,618.27	90,737.74	(880.53)
12/7/2016	235000 Nuvasive Inc. 144A	247,658.17	297,862.50	50,204.33
	2.250% Due 03-15-21			
12/7/2016	105000 Nevro Corp	108,577.19	115,500.00	6,922.81
	1.750% Due 06-01-21			
12/8/2016	90000 Ciena Corporation 144A	105,954.19	122,119.92	16,165.73
	3.750% Due 10-15-18			
12/8/2016	60000 Advanced Micro Devices	73,690.20	87,711.36	14,021.16
	2.125% Due 09-01-26			
12/8/2016	90000 Herbalife LTD 144A	84,967.00	82,912.50	(2,054.50)
	2.000% Due 08-15-19			

12/8/2016	60000 Medicines Company 144A 2.750% Due 07-15-23	60,733.32	56,562.60	(4,170.72)
12/8/2016	40000 Array Bio Inc 3.000% Due 06-01-20	43,474.00	55,186.76	11,712.76
12/8/2016	70000 Medidata Solutions Inc. 1.000% Due 08-01-18	74,064.33	78,173.76	4,109.43
12/9/2016	15000 Ciena Corporation 144A 3.750% Due 10-15-18	17,659.03	20,429.34	2,770.31
12/9/2016	50000 Stillwater Mining Company 1.750% Due 10-15-32	55,467.67	66,874.15	11,406.48
12/9/2016	143000 Portfolio Recovery Associates 3.000% Due 08-01-20	141,614.63	133,970.55	(7,644.08)
12/9/2016	80000 Herbalife LTD 144A 2.000% Due 08-15-19	75,526.22	73,750.00	(1,776.22)
12/9/2016	45000 Citrix Systems Inc 144A 0.500% Due 04-15-19	48,220.09	51,953.49	3,733.40
12/9/2016	235000 InterDigital Inc. 1.500% Due 03-01-20	246,601.60	298,215.00	51,613.40
12/9/2016	30000 Array Bio Inc 3.000% Due 06-01-20	32,605.50	41,441.01	8,835.51
12/9/2016	10000 Cardtronics Inc 1.000% Due 12-01-20	10,065.55	11,718.27	1,652.72
12/9/2016	35000 Stillwater Mining Company 1.750% Due 10-15-32	38,827.37	46,349.52	7,522.15
12/12/2016	15000 Medidata Solutions Inc. 1.000% Due 08-01-18	15,870.93	16,605.15	734.22
12/12/2016	335000 Liberty Media Corporation 1.375% Due 10-15-23	338,393.64	361,652.60	23,258.96
12/12/2016	80000 Liberty Media Corporation 144A 2.250% Due 09-30-46	81,587.21	84,538.40	2,951.19
12/12/2016	85000 Stillwater Mining Company 1.750% Due 10-15-32	94,295.03	111,670.03	17,375.00
12/12/2016	45000 Allscripts Healthcare 1.250% Due 07-01-20	47,635.76	43,415.78	(4,219.98)
12/12/2016	50000 Medicines Company 144A 2.750% Due 07-15-23	50,611.10	49,184.90	(1,426.20)
12/12/2016	30000 Oasis Petroleum Inc. 2.625% Due 09-15-23	35,476.22	43,472.37	7,996.15
12/12/2016	40000 Ciena Corporation 144A 3.750% Due 10-15-18	47,090.75	53,372.56	6,281.81
12/12/2016	160000 Vector Group LTD. 1.750% Due 04-15-20	179,047.74	182,989.76	3,942.02
12/13/2016	35000 Ciena Corporation 144A 3.750% Due 10-15-18	41,204.41	46,735.75	5,531.34
12/13/2016	105000 Depomed Inc. 2.500% Due 09-01-21	121,276.42	122,082.35	805.93
12/13/2016	45000 Herbalife LTD 144A 2.000% Due 08-15-19	42,483.50	41,213.75	(1,269.75)

12/13/2016	100000	Stillwater Mining Company 1.750% Due 10-15-32	110,935.33	131,188.30	20,252.97
12/13/2016	265000	Dish Network Corp 144A 3.375% Due 08-15-26	276,408.59	302,497.50	26,088.91
12/13/2016	15000	Advanced Micro Devices 2.125% Due 09-01-26	18,422.55	22,355.09	3,932.54
12/13/2016	115000	Synchronoss Technologies 0.750% Due 08-15-19	122,241.35	123,855.00	1,613.65
12/13/2016	185000	Integrated Device Tech 0.875% Due 11-15-22	193,395.53	193,392.71	(2.82)
12/13/2016	15000	J2 Global Communications 3.250% Due 06-15-29	15,954.86	19,586.90	3,632.04
12/13/2016	190000	CSG Systems Int'l Inc. 144A 4.250% Due 03-15-36	198,245.04	214,057.80	15,812.76
12/13/2016	800	WPX Energy Inc. CV PFD	33,645.07	54,595.29	20,950.22
12/13/2016	160000	Apollo Commercial Real Estate Fir 5.500% Due 03-15-19	164,583.08	167,200.00	2,616.92
12/13/2016	205000	Inphi Corp 144A 0.750% Due 09-01-21	206,322.93	216,787.50	10,464.57
12/13/2016	125000	Knowles Corp 144A 3.250% Due 11-01-21	126,663.47	144,268.63	17,605.16
12/13/2016	115000	Synchronoss Technologies 0.750% Due 08-15-19	122,241.35	123,943.78	1,702.43
12/13/2016	15000	Huron Consulting Group I 144A 1.250% Due 10-01-19	15,167.82	15,000.00	(167.82)
12/13/2016	45000	Medicines Company 144A 2.750% Due 07-15-23	45,549.99	44,723.16	(826.83)
12/13/2016	150000	Spectranetics Corp. 2.625% Due 06-01-34	133,864.41	155,215.35	21,350.94
12/14/2016	15000	Advanced Micro Devices 2.125% Due 09-01-26	18,422.55	22,522.50	4,099.95
12/14/2016	210000	Horizon Pharma INV LTD 2.500% Due 03-15-22	203,101.78	192,180.87	(10,920.91)
12/14/2016	40000	Liberty Media Corporation 1.375% Due 10-15-23	40,405.21	43,720.00	3,314.79
12/14/2016	35000	Oasis Petroleum Inc. 2.625% Due 09-15-23	41,388.93	50,226.61	8,837.68
12/14/2016	130000	Vipshop Holding LTD 1.500% Due 03-15-19	141,591.58	130,390.00	(11,201.58)
12/14/2016	175000	Amtrust Financial Services 2.750% Due 12-15-44	156,876.21	151,062.80	(5,813.41)
12/14/2016	75000	Herbalife LTD 144A 2.000% Due 08-15-19	70,805.83	68,531.25	(2,274.58)
12/14/2016	900	WPX Energy Inc. CV PFD	37,850.70	60,077.30	22,226.60
12/14/2016	10000	Chesapeake Energy Corp 144A 5.500% Due 09-15-26	10,187.61	11,178.00	990.39
12/14/2016	130000	PDC Energy Inc. 1.125% Due 09-15-21	133,535.79	152,612.72	19,076.93

12/14/2016	140000 Tutor Perini Corp 144A 2.875% Due 06-15-21	146,368.62	160,601.28	14,232.66
12/14/2016	20000 Ciena Corporation 144A 3.750% Due 10-15-18	23,545.38	26,751.66	3,206.28
12/14/2016	245000 On Semiconductor Corp 1.000% Due 12-01-20	247,071.78	248,563.04	1,491.26
12/15/2016	20000 Medicines Company 144A 2.750% Due 07-15-23	20,244.44	19,946.28	(298.16)
12/15/2016	50000 InterDigital Inc. 1.500% Due 03-01-20	52,468.43	68,562.50	16,094.07
12/15/2016	40000 Ciena Corporation 144A 3.750% Due 10-15-18	47,090.75	53,661.24	6,570.49
12/15/2016	25000 Ciena Corporation 144A 3.750% Due 10-15-18	29,431.72	33,261.03	3,829.31
12/15/2016	200000 Starwood Property Trust 4.550% Due 03-01-18	200,482.13	214,833.00	14,350.87
12/15/2016	20000 Medicines Company 144A 2.750% Due 07-15-23	20,244.44	19,937.00	(307.44)
12/15/2016	30000 InterDigital Inc. 1.500% Due 03-01-20	31,481.06	40,668.84	9,187.78
12/16/2016	20000 Advanced Micro Devices 2.125% Due 09-01-26	24,563.40	30,908.24	6,344.84
12/16/2016	225000 Cemex SAB DE 3.720% Due 03-15-20	235,649.26	240,468.75	4,819.49
12/16/2016	105000 Finisar Corporation 0.500% Due 12-15-33	121,104.44	127,312.50	6,208.06
12/16/2016	5000 Euronet Worldwide Inc. 1.500% Due 10-01-44	5,432.57	5,844.34	411.77
12/16/2016	20000 Synchronoss Technologies 0.750% Due 08-15-19	21,259.37	21,850.00	590.63
12/16/2016	290000 Rovi Corp. 0.500% Due 03-01-20	274,670.29	295,075.00	20,404.71
12/16/2016	175000 Biomarin Pharmaceutical 0.750% Due 10-15-18	202,347.91	199,228.75	(3,119.16)
12/16/2016	110000 Emerald Oil Inc. 144A 2.000% Due 04-01-19	110,597.14	112,806.10	2,208.96
12/16/2016	15000 Citrix Systems Inc 144A 0.500% Due 04-15-19	16,073.36	17,437.50	1,364.14
12/16/2016	255000 Electronics for Imaging 0.750% Due 09-01-19	262,358.71	270,555.00	8,196.29
12/16/2016	15000 Herbalife LTD 144A 2.000% Due 08-15-19	14,161.17	13,663.02	(498.15)
12/16/2016	135000 Blackstone Mortgage Trust 5.250% Due 12-01-18	139,096.44	150,425.91	11,329.47
12/16/2016	15000 Dish Network Corp 144A 3.375% Due 08-15-26	15,645.77	17,109.09	1,463.32
12/16/2016	5000 Spectranetics Corp. 2.625% Due 06-01-34	4,462.15	5,155.00	692.85

12/16/2016	185000 Insulet Corporation 144A 1.250% Due 09-15-21	185,945.78	172,432.95	(13,512.83)
12/16/2016	2400 Bunge Limited 4.875% CV PFD	221,283.45	244,474.52	23,191.07
12/16/2016	15000 Oasis Petroleum Inc. 2.625% Due 09-15-23	17,738.11	21,415.97	3,677.86
12/16/2016	20000 Advanced Micro Devices 2.125% Due 09-01-26	24,563.40	29,967.50	5,404.10
12/16/2016	1200 American Tower Corp CV 5.5% PF	122,157.70	123,981.78	1,824.08
12/19/2016	140000 Encore Capital Group Inc. 3.000% Due 07-01-20	142,377.12	128,975.00	(13,402.12)
12/19/2016	170000 Ironwood Pharmaceuticals 2.250% Due 06-15-22	166,746.95	201,450.00	34,703.05
12/19/2016	20000 Knowles Corp 144A 3.250% Due 11-01-21	20,266.16	23,460.00	3,193.84
12/19/2016	35000 Oasis Petroleum Inc. 2.625% Due 09-15-23	41,388.93	50,639.02	9,250.09
12/19/2016	4700 Southwestern Energy Co	138,739.20	123,067.76	(15,671.44)
12/19/2016	15000 Advanced Micro Devices 2.125% Due 09-01-26	18,422.55	22,747.19	4,324.64
12/19/2016	180000 Macquarie Infrastructure 2.000% Due 10-01-23	181,343.55	181,170.00	(173.55)
12/19/2016	320000 Micron Technology Inc. 3.000% Due 11-15-43	267,996.17	303,052.80	35,056.63
12/19/2016	15000 Liberty Media Corporation 1.375% Due 10-15-23	15,151.95	16,267.37	1,115.42
12/19/2016	15000 Advanced Micro Devices 2.125% Due 09-01-26	18,422.55	22,876.50	4,453.95
12/19/2016	110000 Helix Energy Solutions 4.250% Due 05-01-22	114,175.75	121,000.00	6,824.25
12/20/2016	20000 PDC Energy Inc. 1.125% Due 09-15-21	20,543.97	23,050.00	2,506.03
12/20/2016	125000 Seacor Holdings Inc. 3.000% Due 11-15-28	97,880.21	110,312.50	12,432.29
12/20/2016	240000 Wright Medical Group Inc. 2.000% Due 02-15-20	250,357.19	249,750.96	(606.23)
12/20/2016	250000 Cypress Semiconductor Co. 144A 4.500% Due 01-15-22	266,477.78	283,125.00	16,647.22
12/20/2016	45000 Oasis Petroleum Inc. 2.625% Due 09-15-23	53,214.33	66,768.75	13,554.42
12/20/2016	20000 Colony Starwood Homes 3.000% Due 07-01-19	18,634.30	21,975.00	3,340.70
12/20/2016	60000 Advanced Micro Devices 2.125% Due 09-01-26	73,690.20	94,693.20	21,003.00
12/20/2016	180000 Silver Standard Resource 2.875% Due 02-01-33	187,807.44	162,900.00	(24,907.44)
12/21/2016	40000 Herbalife LTD 144A 2.000% Due 08-15-19	37,763.11	36,617.24	(1,145.87)
12/21/2016	40000 Allscripts Healthcare	42,342.90	37,600.00	(4,742.90)

		1.250% Due 07-01-20			
12/21/2016	85000	Medicines Company 144A	86,038.87	83,875.45	(2,163.42)
		2.750% Due 07-15-23			
12/21/2016	120000	Akamai Technologies Inc.	126,737.25	125,820.00	(917.25)
		0.000% Due 05-15-19			
12/21/2016	5000	Liberty Media Corporation	5,050.65	5,430.00	379.35
		1.375% Due 10-15-23			
12/21/2016	37000	Liberty Media Corporation	37,374.82	40,198.84	2,824.02
		1.375% Due 10-15-23			
12/21/2016	35000	Colony Starwood Homes	32,610.02	38,347.05	5,737.02
		3.000% Due 07-01-19			
12/21/2016	400	American Tower Corp CV 5.5% PF	40,719.23	41,762.29	1,043.06
12/22/2016	5000	Liberty Media Corporation	5,050.65	5,410.00	359.35
		1.375% Due 10-15-23			
12/22/2016	800	Mandatory Exch Trust CV PFD	88,125.94	86,920.00	(1,205.94)
12/22/2016	15000	Allscripts Healthcare	15,878.59	14,192.87	(1,685.72)
		1.250% Due 07-01-20			
12/22/2016	200	American Tower Corp CV 5.5% PF	20,359.62	20,664.97	305.35
12/22/2016	10000	Citrix Systems Inc 144A	10,715.58	11,677.71	962.13
		0.500% Due 04-15-19			
12/22/2016	5000	Euronet Worldwide Inc.	5,432.57	5,818.75	386.18
		1.500% Due 10-01-44			
12/22/2016	45000	Herbalife LTD 144A	42,483.50	41,248.26	(1,235.24)
		2.000% Due 08-15-19			
12/22/2016	5000	InterDigital Inc.	5,246.84	6,781.25	1,534.41
		1.500% Due 03-01-20			
12/22/2016	280000	CTrip.com International LTD.	288,621.36	287,840.00	(781.36)
		1.000% Due 07-01-20			
12/22/2016	30000	Liberty Media Corporation 144A	30,595.21	31,687.50	1,092.29
		2.250% Due 09-30-46			
12/23/2016	200	Mandatory Exch Trust CV PFD	22,031.48	21,680.00	(351.48)
12/28/2016	100000	Green Plains Inc. 144A	102,279.48	120,125.00	17,845.52
		4.125% Due 09-01-22			
12/28/2016	350000	Priceline Group Inc.	377,135.24	371,437.50	(5,697.74)
		0.900% Due 09-15-21			
12/28/2016	150000	Scorpio Tankers Inc 144A	135,589.09	123,750.00	(11,839.09)
		2.375% Due 07-01-19			
12/28/2016	175000	Live Nation Entertainment	188,326.90	187,359.38	(967.52)
		2.500% Due 05-15-19			
12/28/2016	160000	Ezcorp Inc.	146,899.92	154,400.00	7,500.08
		2.125% Due 06-15-19			
12/28/2016	110000	Envestnet Inc.	108,362.61	105,736.62	(2,625.99)
		1.750% Due 12-15-19			
12/28/2016	100000	51Job Inc.	106,868.14	104,829.70	(2,038.44)
		3.250% Due 04-15-19			
12/28/2016	120000	Liberty Interactive LLC 144A	123,300.62	129,750.00	6,449.38
		1.750% Due 09-30-46			
12/28/2016	200000	Spirit Realty Capital Inc.	191,943.78	205,750.00	13,806.22

		2.875% Due 05-15-19			
12/28/2016	150000	Proofpoint Inc.	144,043.99	169,687.50	25,643.51
		0.075% Due 06-15-20			
12/28/2016	100000	NRG Yield Inc. 144A	98,291.84	96,450.00	(1,841.84)
		3.250% Due 06-01-20			
12/29/2016	30000	Herbalife LTD 144A	28,322.33	27,509.67	(812.66)
		2.000% Due 08-15-19			
12/29/2016	25000	Herbalife LTD 144A	23,601.94	22,961.00	(640.94)
		2.000% Due 08-15-19			
12/29/2016	50000	Depomed Inc.	57,750.67	56,443.50	(1,307.17)
		2.500% Due 09-01-21			
12/29/2016	150000	Cheniere Energy Inc.	114,326.04	91,242.90	(23,083.14)
		4.250% Due 03-15-45			
12/29/2016	30000	Colony Starwood Homes	27,951.45	32,613.42	4,661.97
		3.000% Due 07-01-19			
TOTAL GAINS					6,017,250.75
TOTAL LOSSES					(5,656,707.09)
			98,353,476.71	98,714,020.37	360,543.66
			=====	=====	=====

FEDERAL FOOTNOTES

PAGE 4 - PART VII-A: STATEMENTS REGARDING ACTIVITIES

QUESTION 6: "THE FOUNDATION HAS TAKEN NO ACTION TO BE EXCLUDED FROM THE APPLICABLE STATE LEGISLATION RELATING TO IRC SECTION 508(E)".

F.M. KIRBY FOUNDATION, INC.

REPORT OF GRANTEE

Please report on status of the grant as of the end of your annual accounting period by filing this form within 60 days of the end of your annual accounting period each year until the grant is expended and/or upon final expenditure of the grant.

Date of Report: March 21, 2017

1. Name and address of grantee organization: Fred M. and Jessie A. Kirby Episcopal House, Inc.
381 Sunset Road
Mountain Top, PA 18707
2. Date and amount of grant: December 8, 2016 in the amount of \$250,000 00
3. Purpose of grant: Restoration and system integration of Kirby Episcopal House.
4. Detailed explanation of amount spent since last report, purpose for which spent and progress made toward achieving the purpose of the grant (attach extra sheets if required: (If more than one purpose, give amounts for each purpose.)
To date, no funds have been spent. We expect restoration to begin mid April 2017.
5. Have you diverted any portion of the funds (or income therefrom) from the purpose of the grant? No
6. Amount of grant still unexpended: \$250,000 00
7. Where is the amount unexpended being kept? Community Bank, N.A. savings account.
By what date do you expect to complete the expenditure of this grant? June 2017
1. Have there been any changes in the nature of your Federal tax exemption or your activities since this grant was applied for? (If so, please attach statement in detail.)

None No change See attached

Name of reporting organization:

Signed by: Claudia Sharp, Manager

Type or print signer's name: Claudia Sharp

Official capacity of signer: Manager

Date: March 21, 2017

AGREEMENT OF GRANTEE

PRIVATE FOUNDATION NAME: F.M. KIRBY FOUNDATION, INC.

The 2016 grant for \$250,000 by the F.M. Kirby Foundation, Inc. (the "Foundation") to Fred M. & Jessie A. Kirby Episcopal House, Inc. ("Grantee") for the purpose of capital improvements is made subject to the following commitment by the Grantee:

- (1) To repay any portion of the amount granted which is not used for the purposes of the grant;
- (2) To submit full and complete annual reports on:
 - a) the matter in which the funds are spent and the progress made in accomplishing the purposes of the grant, or
 - b) if the grant is made for endowment, for the purchase of capital equipment, or for other capital purposes, on the use of the principal and income annually for three fiscal years;
- (3) To maintain records of receipts and expenditures and to make its books available to the Foundation at reasonable times; and
- (4) Not to use any of the funds (the grant or income from the grant):
 - a) to carry on propaganda or otherwise to attempt to influence legislation;
 - b) to influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive;
 - c) to make any grant which does not comply with the requirements of the Internal Revenue Code (the "Code") for private foundation grants to individuals or organizations; or
 - d) to undertake any activity for any purpose other than a charitable purpose as defined in the Code.

The above requirements are agreed to and accepted this 9th day of

December, 2016.

Fred M. & Jessie A. Kirby Episcopal House, Inc.

By: Claudia Sharp

Title: Manager

F.M KIRBY FOUNDATION, INC.

REPORT OF GRANTEE

Please report on status of the grant as of the end of your annual accounting period by filing this report within 60 days of the end of your annual accounting period each year until the grant is expended and/or upon final expenditure of the grant.

Date of Report: April 20, 2016

Name and address of grantee organization:

**Macculloch Hall Historical Museum
45 Macculloch Avenue
Morristown, NJ 07960**

Date and amount of grant: November 25, 2015: \$30,000 (check dated Dec. 23, 2015)

Purpose of grant: The F.M. Kirby Foundation provides general operating support which enables Macculloch Hall Historical Museum to fulfill its mission to provide educational and public programs, exhibits and outreach, and maintain its substantial collections, facilities and grounds according to professional standards.

Areas of Progress

Administration

Under the leadership of Dr. Patricia C. Pongracz, who began her tenure as Executive Director of Macculloch Hall Historical Museum on September 1, 2015, the Museum has experienced growth and positive change. The priorities Dr. Pongracz identified and has begun to address include: renewed focus on operational best practices; reorganization of collections' storage; continued professionalization of collections management, object interpretation and display; development and implementation of internships for university credit; continued development of children's educational programming; cultivation of partnerships within the community to engage MHHM with as broad a constituency as possible; development of an interpretive strategy and programming to engage the community with MHHM's historic gardens; complete overhaul of the website; and scheduled Facebook and Instagram posts coordinated with the Museum's programming and collections. The status of the implementation of these priorities, partially undertaken during the grant period (December 2015-April 2016), are outlined below.

Operational Best Practices

MHHM's Board and Executive Director recognize that in order for the Museum to continue to engage the community, the institution must comply with operational best practices to keep it fiscally sound and mission-focused. Under the guidance of MHHM's Board of Trustees, the Museum adheres to a board-approved, balanced budget. FY17's budget, currently under Board review, is

based on the average of the last three years' actual income and spending. This year the budget was developed in conjunction with the Museum's newly developed annual operational plan, which it supports. The annual operation plan outlines the upcoming year's administrative, exhibition, collections, educational, cultural programming, gardens, house preservation, and fundraising goals. Updated throughout the year, this plan is a roadmap to the institution's goals and activities in support of achieving these objectives.

Collections/Curatorial/Exhibitions

Preservation and interpretation of the historic house, grounds and collections are the core of MHHM's work. From December through April, staff continued to reorganize collections storage and within it, rehouse many of the Museum's collections and develop and implement a professional collections management plan. This plan included: updating maintenance schedules for both storage areas and objects on display throughout the museum; the purchase of archival supplies to rehouse select collections in storage; the update of our collections management software to the newest version of PastPerfect 5.0; and the purchase of period-style wooden venetian blinds for the upstairs gallery, four period rooms and the library, to fully control ambient light (generously funded by the Morris County Heritage Commission Re-grant program). In addition, MHHM hired contract Collections Assistant Elizabeth Coulter for 18 weeks beginning in January. Ms. Coulter earned her MA in the History of Decorative Arts from The Smithsonian Associates-George Mason University. She had been an intern at the Museum from July 2015 and since September, she worked to care for and rehouse the antique carpet collection. Ms. Coulter's training enabled her to ably and independently participate in the assessment, condition reporting, photography, documentation, cleaning and purchase of the appropriate archival materials to house the Museum's coverlet, silk shawl, antique drapery, and tassel collection.

Ms. Coulter's transition from intern to colleague is an example of MHHM's commitment to training the next generation of historical museum and preservation professionals. The Museum has developed meaningful, hands-on internships and guided volunteer opportunities for students interested in the full range of museum professions including curating, interpreting history through objects, education, collections care and management, development, public relations, digital audience building, and physical plant management as they relate to our historic home, garden and more than 25,000 object collection (please see enclosed Internship examples). Under the direct supervision of MHHM's professional staff, during the grant period students in programs at Rutgers University, Drew University, Kean University, and Bruria High School, Elizabeth, NJ, have been trained according to professional interpretive history and museum standards. This summer, we will welcome students from Seton Hall University, Ramapo College, and Farleigh Dickinson University.

The Museum's exhibition schedule is currently planned two years in advance. From December 2015 through April 2016, F.M. Kirby Curator of Collections, Ryan Hyman, and Dr. Patricia C. Pongracz, Executive Director, presented three exhibitions while conducting research for four exhibitions scheduled for the upcoming summer and fall. During the grant period, three exhibitions were on display: *Antique Carpets Through the Eyes of W. Parsons Todd* (November 2015-April 2016); *Visions of*

Santa Claus: Iconic Christmas Illustrations by Thomas Nast (November 2015-January 2016); and *'Here is the steed that saved the day...'* *Popular Imagery of Sheridan's Ride* (February-June, 2016). These exhibitions and the adult and children's educational programs associated with them drew more than 1,000 visitors to MHHM.

During the grant period, Mr. Hyman and Dr. Pongracz also researched the following exhibitions: *Cultivating Two Centuries of Green Space: The History of Macculloch Hall's Gardens* (May-August 2016); *Fashion for the Far East: Collecting Chinoiserie at Macculloch Hall* (September-December 2016); *Thomas Nast and the Art of the Book* (February-May 2017); *The Creative Process of Thomas Nast* (June-August, 2016); and *The Great Presidential Election Controversy of 1876: Rutherford B. Hayes versus Samuel Tilden as described by Thomas Nast* (September-November, 2016) (please see attached exhibition schedule for full descriptions). These exhibitions demonstrate the ways in which Morristown residents have historically participated in the active cultivation of green space, now a national priority; how W. Parsons Todd developed a collection to rival those at much larger cultural centers with the intention of making it publicly accessible; and how Thomas Nast (1840-1902) presented and some critics argue influenced a presidential race.

Educational Program Development

MHHM's educational programming, which is developed according to New Jersey State Curricular Standards, ties into the Museum's exhibition schedule, but is focused on the engagement of students, scouts, children and families with local, state and, by extension, national history. Of particular importance, GOS funding has helped make it possible for Cynthia Winslow, MHHM's part-time Educator, to continue develop and broaden the reach of significant educational programs for students Pre-K through Grade 11 and start planning children's programs in partnership with other local agencies. From December through April, Ms. Winslow and Dr. Pongracz have been engaging in partnership conversations with Collinsville Child Care Center of the Greater Morris YMCA, Homeless Solutions Inc., St. Peter's Episcopal Bilingual Vacation Bible School program, and PRIDE, an affiliate of ECLC in Chatham, to bring MHHM's array of programming to children in their programs.

From December 2015 through mid-April 1,705 children and their caregivers have engaged with MHHM's educational programming through the following on- and off-site programs:

Growing in the Garden meets twice a month year round and includes a walk through the historic garden, a story, and an art project based on the program's theme. Starting in fall FY17 this program will serve children enrolled in the Collinsville Child Care Center, Morristown.

A Home Away From Home, *Lessons from the Garden*, and *Through the Keyhole* provide children in 1st through 3rd grades with an opportunity to visit the house and garden while learning about family and community in the 19th century.

Meeting Mr. Macculloch, MHHM's off-site classroom program, engaged elementary school students with historic letters and other primary sources. Students copied a letter written by Mr. Macculloch using a dipping pen and bottled ink.

Family Programs engaged children and their caregivers highlighting the Macculloch-Miller Family's role in American history and objects on view in *Antique Carpets Through the Eyes of W. Parson's Todd*. February's Presidents Day family program featured President Abraham Lincoln and the three generations of Macculloch-Miller Family that lived through the Civil War, including two grandsons who witnessed the war first-hand. March's program, "Art Under Foot: A Children's Tour of Antique Carpets," included gallery activities using carpet patterns and designs, a story, a small art project and an opportunity to meet and watch a weaver working on a loom. April's program focuses on the Macculloch's use of the garden and what we can learn from the garden today.

Spring Homeschool Series engaged homeschool families through a four-part series (once a month February through May) focused on *The Secret Garden* written by Frances Hodgson Burnett. Homeschoolers participated in activities in the Museum and gardens related to scenes in the story.

Who Speaks for You? a new Girl Scout Cadette program, debuted in spring 2016 during Women's History Month. Girls ages 11-14 years old learned about the life and work of Alice Duer Miller (1874-1942) who was married to Henry Wise Miller (1877-1955), the great-grandson of George and Louisa Macculloch. Alice's greatest impact was promoting women's rights. Through *Who Speaks for You?* Cadette girl scouts can earn their public speaking badge while visiting the historic home and discussing the changing role of women from the 19th century to the present day.

Architecture: Past and Present serves Boy Scouts and Webelos and meets all the requirements of the Architecture Merit Badge through classroom activities, discussions and a walking tour of the Historic District, starting with the design of Macculloch Hall. *Looking Back, Looking Forward*, covering the technological advancements of time-keeping and transportation, celebrates George Macculloch's role the development of the Morris Canal. In this program, Webelos also learn to create a time capsule using proper curatorial techniques and materials to preserve objects housed in their time-capsules.

Community Events. MHHM participated in offsite community events including The Festival on the Green in December 2015 and SpringFest at the Sussex Fairgrounds in March 2016 in partnership with Garden State Gardens.

Dig it! Plant it! Eat it! MHHM's summer youth garden enrichment program will debut in June 2016. This program, for which all planning was undertaken during the grant period, provides multiple visits to the gardens, special exhibition, and house while providing children access to green space and hands-on gardening and art making with New Jersey artist Linda Howe. Offered in partnership with the Collinsville Child Care Center of Greater Morris YMCA, St. Peter's Episcopal Bilingual Vacation Bible School, Homeless Solutions Inc, and PRIDE, an affiliate of ECLC in Chatham, this program is free of charge thanks, in part, to the generous support of the Astle-Alpaugh Family Foundation.

In addition to onsite learning opportunities for children and their caregivers, MHHM's professional staff engaged local and widespread audiences in lectures given during the grant period. In December F.M. Kirby Curator of Collections Ryan Hyman presented *Visions of Santa Claus: Iconic Christmas Illustrations by Thomas Nast* at the Henry Morrison Flagler Museum in Palm Beach, FL. In February Museum Educator Cynthia Winslow presented *Lincoln's Whistle Stop Tour* at Chilton Medical Center in Pompton Plains, NJ. In March, Mr. Hyman lectured about MHHM's decorative arts collection to the New Jersey Chapter of the National Society of Arts and Letters at the Morristown and Morris Township Public Library. In April Executive Director Patricia Pongracz lectured on *Louis C. Tiffany and the Art of Commemoration* at Lafayette College in Easton, PA. Through these lectures MHHM professional staff reached 250 people.

Community Partners

MHHM believes that the key to building a diversified audience is through partnership with other agencies. Examples of successful partnerships include: the annual "Lunch with the Librarian" in MHHM's garden on Wednesdays in August and September offered in partnership with the Morristown and Morris Township Library; *Dig it! Plant it! Eat it!*, which will bring more than 80 area children to the garden this summer in partnership with St. Peter's Episcopal Church's Bilingual Vacation Bible School, Homeless Solutions Family Services, ECLC's P.R.I.D.E. program, and the Collinsville Child Care Center, managed by the Greater Morris YMCA; *Growing in the Garden*: a preschool program offered twice monthly on Tuesdays year round, which during FY17 will be offered in partnership with the Collinsville Childcare Center to the approximately 40 children aged 2 1/2-5 years old in their care; and for our adult public, Holly Walk offered in partnership with the Morris County Tourism Bureau and other historic sites in Morristown and Parsippany; and beginning in June 2016, a volunteer garden experience offered in partnership with Homeless Solutions Safe Haven program.

MHHM is committed to building a community museum for everyone. In March 2016, Dr. Pongracz participated in the Morris County Community Advisory Board and Morris County Council for Young Children annual *Spring Fling Meeting*, and has submitted her application to become part of the Morris County Community Advisory Board (CAB) with the goal of making MHHM's rich programming more accessible to underserved children in Morris County.

Development/Fundraising/Membership

MHHM has a dedicated and active Development Committee responsible for raising approximately \$60,000 annually. The Development Committee comprises Trustees and the Executive Director and produces operating income primarily through an Annual Giving campaign, special fundraising events in support of MHHM's mission, programs and special projects; and an annual membership campaign. Since July 1, the Development Committee has raised \$62,995 through its Annual Giving, Membership, and annual gala, this year titled *Whisk(e)y and Wardrobes*. For FY16, in addition to the generous support of the F.M. Kirby Foundation, MHHM received general operating support from the W. Parsons Todd Foundation, the New Jersey Historical Commission; a grant from the Morris

County Historic Preservation Trust Fund for construction drawings in accordance with its Preservation Plan and Rehabilitation Update; a special project re-grant from the Morris County Heritage Commission; a grant in support of its upcoming summer youth garden program *Dig it! Plant it! Eat it!* from The Astle-Alpaugh Family Foundation; a donation in support of the exhibition *Antique Carpets Through the Eyes of W. Parsons Todd* from J&S Designer Flooring, Morristown, NJ; gifts from private individuals; and matching gifts from corporations.

Gardens

MHHM's historic two-acre gardens are an outdoor classroom and green space. Through programming initiatives and professional association, Dr. Pongracz has positioned MHHM's gardens among public gardens participating in integrated garden-based learning. In January, MHHM was accepted into membership of Garden State Gardens, a consortium of public gardens in NJ. In March, MHHM participated in SpringFest at the Sussex County Fairgrounds as part of Garden State Gardens' educational outreach and in April, Edith Wallace, Garden State Gardens' representative, made a site visit to MHHM to discuss including the gardens in her formal presentations and to assess the state of our site. In March, Dr. Pongracz met with a member of the Master Gardner Program to discuss the gardens' participation in the Master Gardner Program. MHHM is currently completing its application for inclusion in this program. Dr. Pongracz has created an internship and a Summer Garden Workshop to encourage students and volunteers to participate meaningfully in the gardens' maintenance and planning, and to make the gardens publicly available as an open green space. MHHM will continue work to increase natural awareness, environmental responsibility, and appreciation, in visitors of all ages, while raising the historic gardens' visibility.

Sterling Horticultural Services of Chester, NJ, which has provided significant additional donated materials and labor over the past three seasons, received the FY16 maintenance contract. The Garden Club of Morristown provided valuable and much-appreciated expertise and labor to augment the paid work of the contractors on their annual cleanup days on April 6 and 13, 2016. The gardens are open to the public daily from 9am-5pm.

Digital Initiatives and Marketing

Key to the Museum's mission is engaging visitors both on site and online with the history of the house, its residents and the vision of its founder, W. Parsons Todd (1877-1976). We have spent considerable time refreshing and updating the Museum website, though this is still very much a work in progress; and we have added a blog and an Instagram account while continuing to build our Facebook presence. Digital outreach is essential to establishing visibility and engaging visitors and MHHM is committed to creating a dynamic online platform to engage a broader constituency with our house, grounds, collections, and programs.

Under the guidance of Dr. Pongracz, staff and volunteers actively promoted the Museum and its programs through electronic calendars, print publications, email, and social media. MHHM's programs were well-represented in local and regional media, and attracted frequent coverage in print and online sources. Listings for MHHM's exhibitions and programs regularly appeared in the *New*

York Times, New Jersey Monthly, Vicinity Magazine, The Daily Record, Out and About Weekly, the Hanover Eagle, The Newark Star Ledger, as well as numerous electronic media outlets. MHHM was also featured as one of the top 10 sites to visit at Christmas in December and as one of the top 20 sites to visit in Morristown in April on the website *OnlyInYourState.com*. Eblasts (available to members, visitors who sign in at admission, or request via email), inform constituents of MHHM's upcoming programs and events, and link to MHHM's Facebook page, Instagram account and website.

Conclusion

Macculloch Hall Historical Museum is grateful to the F. M. Kirby Foundation for its continued general operating support, which helps the Museum to carry out its responsibilities to the historic facility and gardens, present high-quality public programs, educational programs, and exhibitions, and generate additional growth and membership as it plans for its future as a community, regional, and national resource.

4. Since the grant was awarded, Macculloch Hall has spent \$6,000 each month from the F.M. Kirby Foundation for general operating support; the monthly amount of grant funds expended was calculated from December 2015 through April 2016.

Have you diverted any portion of the funds (or income therefrom) from the purpose of the grant?
No

Amount of grant still unexpended: \$ **6,000.00**

Where is the amount unexpended being kept?

Peapack-Gladstone Bank, 33 South St., Morristown, NJ 07960-5336

By what date do you expect to complete the expenditure of this grant? **April 30, 2016**

Have there been any changes in the nature of your Federal tax exemption or your activities since this grant was applied for? (If so, please attach statement in detail.) **No**

 X No change see attached

Name of reporting organization: Macculloch Hall Historical Museum

Signed by: 

Type or print signer's name: Patricia C. Pongracz, Ph D.

Official capacity of signer: Executive Director

Date: April 25, 2016

F. M. KIRBY FOUNDATION, INC.

REPORT OF GRANTEE

Please report on status of the grant as of the end of your annual accounting period by filing this report within 60 days of the end of your annual accounting period each year until the grant is expended and/or upon final expenditure of the grant.

Date of Report: April 26, 2017

Name and address of grantee organization:

**Macculloch Hall Historical Museum
45 Macculloch Avenue
Morristown, NJ 07960**

Date and amount of grant: June 30, 2016: \$27,500 (check July 29, 2016)

Purpose of grant: The F. M. Kirby Foundation provides general operating support which enables Macculloch Hall Historical Museum to fulfill its mission to provide educational and public programs, exhibits and outreach, and maintain its substantial collections, facilities and grounds according to professional standards.

Areas of Progress

Administration

During FY17 Macculloch Hall Historical Museum (MHHM) has continued to implement operational best practices, to engage and serve the community through new programming and to maintain fiscal discipline. The Museum has also increased its visibility as a community museum for everyone serving 5,401 children and adults during 2016 and up from 4,526 in 2015.

During the grant period MHHM adhered to a balanced budget and for the second year in a row is on track to end the year on budget. Careful financial management allowed the Museum to balance its budget and grow. In FY17 MHHM added two per-diem contract educators and a university student as a part-time office assistant to its staff. These hires enabled the Museum to increase meaningful contact with constituents through programming, the contract educators allowed MHHM to offer programs 6 days a week, year round, by appointment, and ensured consistent contact with constituents through electronic and print media.

The Museum also refined its accounting practices to more fully comply with professional standards. MHHM completed its three-year preservation plan supported by the Morris County Historic Preservation Trust Fund and is in the process of completing two significant capital improvements to the historic house. The Museum expanded and developed its exhibitions schedule and related educational and public programming; fostered member engagement; increased visitation; and developed programming with community partners. MHHM continued to develop and refine its

integrated digital platform to reach new audiences outside of the museum; partnered with peers and other museums in the research and display of MHHM's collection; and implemented a systematic Collections Management Plan, developed during FY16, for the care and conservation of the collections. The status of the implementation of these priorities, partially undertaken during the grant period (July 2016-April 2017), are outlined below.

Operational Best Practices

MHHM's Board and Executive Director recognize that in order for the Museum to continue to fully engage and serve the community, the institution must comply with operational best practices to keep it fiscally sound and mission-focused. Under the guidance of MHHM's Board of Trustees, the Museum adheres to a board-approved, balanced budget. FY18's budget, currently under Board review, is based on the average of the last three years' actual income and spending and supports MHHM's annual operational plan outlining the upcoming year's administrative, exhibition, collections, educational, cultural programming, gardens, house preservation, and fundraising goals.

During FY17 working extensively with its accounting firm Nisivoccia LLP, MHHM refined its accounting practices to reflect accurately how museum spending directly supports programming. Recognizing the importance of fiscal transparency, MHHM created a GuideStar profile, which includes access to the museum's most recent 990. In keeping with best practices, the Board and professional staff will also sign board-approved "Conflict of Interest" statements at May 2017's Annual Meeting and renew these statements annually going forward.

Preservation Planning and Updates to the Physical Plant

During FY17 with the support of a grant from the Morris County Historic Preservation Trust Fund, MHHM completed construction drawings for the exterior of the building. During the grant period staff has been working to complete two significant capital improvements: the rehabilitation of the house's four chimneys, supported in part by a grant from the 1772 Foundation, and the rehabilitation and repair of the East Porch, the entrance to the Museum's dedicated classroom. Full planning for both was undertaken during the grant period; implementation may stretch into summer 2017. In October 2016 MHHM successfully completed repairs to the existing ramp at the front of the house and in February 2017, installed period appropriate, museum-approved blinds in the Archives, back stairwell, pantry, third floor storage and administrative offices.

Collections/Curatorial/Exhibitions

Exhibitions

During FY17 F.M. Kirby Curator of Collections, Ryan Hyman, and Dr. Patricia C. Pongracz, Executive Director, presented seven exhibitions: *Cultivating Two Centuries of Green Space: The History of Macculloch Hall's Gardens* (May-August 2016); *Fashion for the Far East: Collecting Chinoiserie at Macculloch Hall* (September-December 2016); *The Art of the Book: Thomas Nast Paints The Arabian Nights* (February-May 2017); *The Creative Process of Thomas Nast* (June-August, 2016); *Drawing Out the Vote:*

Thomas Nast and the Contested Election of 1876 (September–November, 2016); *Thomas Nast's Santa Claus is Coming to (Morris)Town* (December 2016–January 2017); and *Women Warriors on the Home Front: Dorothea Miller Post and the Woman's Land Army* (February–May 2017) (Please see enclosed opening invitations). These exhibitions demonstrated how Morristown residents participated historically in the active cultivation of green space, now a national priority; how W. Parsons Todd developed a collection to rival those at much larger cultural centers with the intention of making it publicly accessible; how Thomas Nast (1840–1902) presented and, some critics argue, influenced a presidential race; and, of particular importance, celebrated Morristown women's active service at home in support of World War I.

During the grant period Mr. Hyman and Dr. Pongracz also planned MHHM's schedule for the next five years and researched the following exhibitions: *From Homestead to Historic House: The Architectural History of Macculloch Hall* (May–August, 2017); *Artist, Fireman, Music Maker: Portraits of Thomas Nast* (May–September 2017); *A Presidential Collection: W. Parsons Todd's Collection of Early American History* (September 2017–January 2018); *Thomas Nast Draws President Johnson* (October–November 2017).

Professional Partnerships

With the continued objective of increasing MHHM's visibility MHHM staff worked with colleagues at other cultural institutions. Colleagues from The American Wing, The Metropolitan Museum of Art, came for a guided tour of the house and gardens in July. Three works from MHHM's collection were on view from September–December in *Presidents, Politics, and the Pen: the Influential Art of Thomas Nast* at the The Norman Rockwell Museum, Stockbridge, MA. In December, MHHM partnered with Acorn Hall, The Stickley Museum at Craftsman Farms, The Ford Mansion, The Schuyler-Hamilton House and the Morris County Tourism Bureau for Holly Walk. In April, MHHM, The Morristown Morris Township Public Library and the Morris County Historical Society at Acorn Hall partnered to present "World War One Happened Here" a daylong commemoration of Morristown's support of the war effort. In addition to offering free admission to all three sites, each institution presented a free program tied to the exhibitions on display. Also in April, MHHM hosted tours to colleagues from the Development Office at the Morris Museum and staff from The Stickley Museum at Craftsman Farms.

Introducing colleagues to MHHM and sharing the collection with them both for research and as a source of loans for exhibitions is critical to earning the professional validation that the house and its collections deserve. Such interchange will also help to build MHHM's national reputation.

Internships

During FY17 MHHM welcomed six interns from Seton Hall University, Farleigh Dickinson University, Muhlenberg College, Manhattanville College and Newark Academy. Interns were trained in archival methods, museum marketing, collections management, and in curatorial research and three interns received course credit for their work at MHHM. With our small hands-on staff,

historic site and collections MHHM is ideally positioned to train the next generation of emerging museum professionals.

Collections Management

In addition to regular assessment and maintenance, during FY17 Mr. Hyman successfully improved environmental conditions in lower level storage; purchased and implemented environmental monitoring devices in third floor and lower level storage; upgraded museum lighting systems with LED bulbs; and installed rheostat switches for full light level control in the School Room Gallery.

Educational Program Development

MHHM's educational programming, developed according to New Jersey State Learning Standards, ties into the Museum's exhibition schedule, but is focused on the engagement of students, scouts, children and families with local, state and, by extension, national history. Of particular importance, GOS funding has helped make it possible for Cynthia Winslow, MHHM's part-time Educator, to continue to develop and broaden the reach of significant educational programs for students Pre-K through Grade 11 and continue to cultivate partnerships with other local agencies. During 2016, 3,042 children and their caregivers have engaged with MHHM's educational programming through the following on- and off-site programs:

Childrens Exhibitions tied to and displayed as part of exhibitions in the School Room Gallery have been an integral part of MHHM's exhibition schedule during FY17. Beginning with *How Does Your Garden Grow?*, opened in May 2016 in conjunction with *Two Centuries of Cultivating Green Space: The History of Macculloch Hall's Gardens*, children of all ages have been welcomed into the primary professional gallery to engage with an exhibition produced just for them. In September (please see enclosed text panels for the children's exhibitions) MHHM opened *Made For Trade* exploring how objects like the ones on display in *Fashion for the Far East: Collecting Chinoiserie at Macculloch Hall* ultimately made their way into western collections and in February, *Tell Me A Story* designed to help children explore the watercolors on display in *The Art of the Book: Thomas Nast Paints The Arabian Nights*. Each exhibition has a hands-on learning (and fun!) component and includes an art activity presented on child-sized furniture and all groups coming to the Museum are encouraged to explore the exhibitions.

Growing in the Garden meets twice a month year round and includes a walk through the historic garden, a story, and an art project based on the program's theme.

A Home Away From Home, *Lessons from the Garden*, and *Through the Keyhole* provide children in 1st through 3rd grades with an opportunity to visit the house and garden while learning about family and community in the 19th century.

Meeting Mr. Macculloch, MHHM's off-site classroom program, engaged elementary school students with historic letters and other primary sources. Students copied a letter written by Mr. Macculloch

using a dipping pen and bottled ink. This program reached children in schools that no longer have a budget for fieldtrips.

Family Programs in Fall 2016 ran in conjunction with *Fashion for the Far East: Collecting Chinoiserie at Macculloch Hall* with school vacation programs about Chrysanthemums in the garden in October, *Celebrating Shichi Go San* in November and highlighting origami paper cranes made for the MHHM Drawing Room Christmas tree in December. During *Holly Walk Paper Kids*, a local youth organization, taught visitors how to make origami cranes both to take home and to put on MHHM's tree. In February 2017, MHHM's Presidents Day family program featured President Abraham Lincoln and the three generations of Macculloch-Miller family that lived through the Civil War, including two grandsons who witnessed the war first-hand. Spring 2017 featured family programs focused on works on display in *The Art of the Book: Thomas Nast Paints The Arabian Nights* with a dramatic storytelling of *Aladdin and the Wonderful Lamp* and *Ali Baba and the Forty Thieves*.

Fall/Spring Homeschool Series engaged students with several interactive programs. *Drawing Out the Vote: Thomas Nast and Presidential Elections* in the fall, which prepared children with vocabulary, a review of the Constitution and discussion of the process of electing an American president. *Competition and Commerce: Trade with 19th century China* explored the history between China and the United States to present day and included visits to *Fashion for the Far East: Collecting Chinoiserie at Macculloch Hall*. Spring 2017 began with two homeschool series: one for children ages 10 and older on World War I marking the 100th anniversary of the US entry into the Great War, and a second series for younger children about the history of immigration. *One Life to Another: Immigration in New Jersey* shared the stories of immigration including the Macculloch family's journey to New Jersey from England and Thomas Nast's journey as an immigrant from Germany. Children shared their families' stories of coming to New Jersey through primary sources such as photographs, letters and documents.

Boy Scouts and Girl Scouts continued to be a strong MHHM constituency. Scout partnerships have enabled MHHM to reach children and their families in over 80 New Jersey cities and towns in 10 counties. Many scouts return for additional badge programs or visit the Museum with their families. During FY17 seven new scout programs were developed for Tiger Scouts through Boy Scouts working to become Eagle Scouts. *Treasures Assembled* exploring the life of W. Parsons Todd (1877-1976), founder of MHHM, focuses on his role as a collector and will debut in November 2017, the 140th anniversary of Todd's birth. *We the People* will debut in May 2017 enabling Boy Scouts to earn the *Citizenship in the Nation* Merit Badge, a pre-requisite for Eagle Scout rank, in the home of former U.S. Senator Jacob Miller (1800-1862) who lived at Macculloch Hall for nearly 40 years.

Community Events. MHHM participated in offsite community events including *Morristown Community Family Day* at Cauldwell Playground, which resulted in a new partnership with Neighborhood House, and the *Fall Festival on the Green* in September and *Christmas on the Green* in December. In addition, MHHM participated in Wharton Canal Day in August 2016.

Dig it! Plant it! Eat it! MHHM's summer youth garden enrichment program debuted in June 2016 and ran through August. The Museum kicked off this program in May 2016 during its celebration of

National Public Gardens Day free to children and their caregivers. MHHM partnered with the Collinsville Child Care Center of Greater Morris YMCA, St. Peter's Episcopal Bilingual Vacation Bible School, Homeless Solutions Inc., Children on the Green, Banyan Summer Program and PRIDE, an affiliate of ECLC in Chatham to reach 250 contacts with children and youth, 3 ½ to 19 years old. 45% of the children served through this program were under 6 years old. One-third of the participants came from homes where English is not the primary language. 17% of the participants are growing up with developmental and learning challenges.

In addition to learning opportunities for children and their caregivers, MHHM's professional staff engaged both local and widespread audiences in lectures and publications during the grant period. In September 2016 Museum Educator Cynthia Winslow and per-diem educators for *Dig it! Plant it! Eat it!* shared their curriculum in a professional development workshop for 13 area teachers. In October F. M. Kirby Curator of Collections Ryan Hyman presented on the work of Thomas Nast to Great Horizons at the Morris Museum and the Senior Center of the Chathams. In December Mr. Hyman presented "A Thomas Nast Christmas" to a group at Grace Episcopal Church in Madison. In March he presented the work of Thomas Nast to a group of retirees from Warner Lambert and in April, to P.E.O International, a service organization of women, at United Methodist Church in Chatham.

Professional staff also published some of their research. Dr. Pongracz's article "Louis Comfort Tiffany's Designs for American Synagogues (1889-1926)" appeared this spring in *The Metropolitan Museum of Art Journal*. Mr. Hyman's article "Thomas Nast and the Disputed Tilden-Hayes Election" is currently on press for the *Supreme Court Historical Society Quarterly*, forthcoming May 2017.

Gardens

In addition to developing programming to engage and welcome children as noted above, MHHM developed a guided volunteer opportunity for some of the most vulnerable adults in our community. During FY17 MHHM welcomed residents from Homeless Solutions Safe Haven Program to work in the gardens for 90 minutes, once a week for six weeks with Dr. Pongracz (please see enclosed Summer Garden Workshop description). In addition to helping maintain the vegetable garden used throughout the summer by children in *Dig it! Plant it! Eat it!*, volunteers helped to plant MHHM's pollinator gardens.

MHHM continues to be an active member of Garden State Gardens, a consortium of public gardens in NJ. In March, MHHM participated in the Flower and Garden Show at the New Jersey Convention and Expo Center in Edison as part of Garden State Gardens' educational outreach.

Sterling Horticultural Services of Chester, NJ, provided significant additional donated materials and labor over the past four seasons, again maintained MHHM's gardens during FY17. Sterling prepared a second vegetable bed free of charge to support MHHM's Victory Garden planted by students in conjunction with *Women Warriors on the Home Front: Dorothea Miller Post and the Woman's Land Army* (February-May 2016). The Garden Club of Morristown pruned MHHM's storied roses during their annual cleanup on April 5 and 12, 2017 and supported the planting of MHHM's pollinator gardens last summer. The gardens are open free to the public daily from 9 a.m.-5 p.m.

Community Partners

MHHM continued to enjoy active partnerships with area partners including: “Lunch with the Librarian” in MHHM’s garden on Wednesdays in August and September in partnership with the Morristown and Morris Township Library; *Dig it! Plant it! Eat it!*, which brought more than 170 area children to the garden last summer in partnership with St. Peter’s Episcopal Church’s Bilingual Vacation Bible School, Homeless Solutions Family Services, ECLC’s P.R.I.D.E. program, the Collinsville Child Care Center (sadly no longer in operation), managed by the Greater Morris YMCA, Children On the Green, and the Banyan School. Preschoolers from the Salvation Army Child Care Center enjoyed a free art making program at MHHM in December, which MHHM will expand and offer again in FY18. MHHM also partnered with Neighborhood House to provide free school vacation programs for children first through fifth grade including a visit to MHHM and outreach programs at Neighborhood house on Abraham Lincoln and Honeybees as Pollinators. For our adult public, Holly Walk was offered in partnership with the Morris County Tourism Bureau and other historic sites in Morristown and Parsippany; *World War I Happened Here* in partnership with the Morris County Historical Society at Acorn Hall and the Morristown and Morris Township Public Library; and MHHM’s volunteer garden experience offered in partnership with Homeless Solutions Safe Haven program.

MHHM is dedicated to supporting other area non-profits working to make the greater Morristown area a culturally rich region accessible to everyone. During FY17 MHHM supported the Mayo Performing Arts Center’s *Starlight Ball* and Grow It Green Morristown’s *Diamonds for Kale* with silent auction items. We look forward to supporting more partners in FY18.

Development/Fundraising/Membership

MHHM has a dedicated and active Development Committee responsible for raising approximately \$60,000 annually. The Development Committee comprises Trustees and the Executive Director and produces operating income primarily through the annual fall fundraiser, Annual Giving campaign and an annual membership campaign. From July 1, 2016 to April 26, 2017 the Development Committee has raised \$54,129.82 through its Annual Giving, Membership, and annual fall fundraiser, this year the Historic District House Tour in October (please see enclosed card and Journal). MHHM anticipates another \$7,000 in membership renewals in May and June.

In addition to the generous support of the F. M. Kirby Foundation, in FY17 MHHM received general operating support from the W. Parsons Todd Foundation and the New Jersey Historical Commission; a grant from the Morris County Historic Preservation Trust Fund for construction drawings in accordance with its Preservation Plan and Rehabilitation Update; a special project grant from the 1772 Foundation and the New Jersey Historic Trust for the rehabilitation of the house’s four chimneys; a project donation for MHHM’s newly planted Victory Garden from The Astle-Alpaugh Family Foundation; a grant from the Garden Club of Morristown to decorate the house for Holly Walk; donations from private individuals; and matching gifts from corporations.

Digital Initiatives and Marketing

Key to the Museum's mission is engaging visitors both on site and online with the history of the house, its residents and the vision of its founder, W. Parsons Todd (1877-1976). In addition to continuing to upgrade the Museum's website and cultivating our Instagram and Facebook feeds, MHHM started a Twitter account (tied to Facebook), a Yelp profile, and a Trip Advisor profile during the grant period. These platforms have allowed MHHM to connect not only with interested individuals, but with area businesses, several of which have supported MHHM over the last year.

MHHM staff and volunteers have actively promoted the Museum and its programs through electronic calendars, print publications, email, and social media. Members and interested museum constituents were also kept abreast of MHHM's activities through regular mailings, including two print newsletters (please see the enclosed Fall and Spring/Summer newsletters included with this report). MHHM's programs were well-represented in local and regional media, and attracted frequent coverage in print and online sources. Listings for MHHM's exhibitions and programs regularly appeared in *New Jersey Monthly*, *The Daily Record*, and *The Newark Star Ledger*, as well as numerous electronic media outlets. MHHM updated interested constituents informed through monthly notices distributed through Constant Contact. The Museum also participated in events and publicity opportunities offered by the Morristown Partnership.

Conclusion

Macculloch Hall Historical Museum is grateful to the F. M. Kirby Foundation for its continued general operating support, which helps the Museum inspire visitors to experience American history where it happened in their community.

Since the grant was awarded by the F. M. Kirby Foundation, Macculloch Hall Historical Museum has spent \$2,500 each month for general operating expenses; the monthly amount of grant funds expended was calculated from August 2016 through June 2017.

Have you diverted any portion of the funds (or income therefrom) from the purpose of the grant?
No

Amount of grant still unexpended: **\$5000.00**

Where is the amount unexpended being kept?

Peapack-Gladstone Bank, 33 South St., Morristown, NJ 07960-5336

By what date do you expect to complete the expenditure of this grant? **June 30, 2017**

Have there been any changes in the nature of your Federal tax exemption or your activities since this grant was applied for? (If so, please attach statement in detail.) **No**

 X No change see attached

Name of reporting organization: Macculloch Hall Historical Museum

Signed by: 

Type or print signer's name: Patricia C. Pongracz, Ph.D.

Official capacity of signer: Executive Director

Date: April 26, 2017



*Macculloch Hall
Historical Museum*



Founded in 1950 by W. Parsons Todd

William Byrnes, Vice President Grants
F.M. Kirby Foundation, Inc.
17 DeHart Street
P.O. Box 151
Morristown, NJ 07963-0151

July 18, 2016

Dear ~~Mr. Byrnes~~, Bill

On behalf of the Board of Trustees and staff of Macculloch Hall Historical Museum, I write to thank the F.M. Kirby Foundation for its generous grant of \$27,500 in support of the Museum's FY17 general operating expenses. Enclosed please find the executed Agreement of Grantee.

The F.M. Kirby Foundation's continued support enables Macculloch Hall Historical Museum to develop an array of educational programming and cultural events that engage the more than 4,000 visitors who visit us annually. The F.M. Kirby Foundation's support also permits the Museum to preserve the house, its history, the fine and decorative arts collections, and the garden, which have been part of Morristown for more than 200 years.

Please visit us again in 2017. I will keep you informed of our progress.

Warm regards,

Patricia

Thank you!

Patricia C. Pongracz, Ph.D.
Executive Director

AGREEMENT OF GRANTEE

PRIVATE FOUNDATION NAME: F.M. KIRBY FOUNDATION, INC.

The 2016 grant for \$27,500 by the F.M. Kirby Foundation, Inc. (the "Foundation") to Macculloch Hall Historical Museum ("Grantee") for the purpose of general operating support is made subject to the following commitment by the Grantee:

- (1) To repay any portion of the amount granted which is not used for the purposes of the grant;
- (2) To submit full and complete annual reports on:
 - a) the matter in which the funds are spent and the progress made in accomplishing the purposes of the grant, or
 - b) if the grant is made for endowment, for the purchase of capital equipment, or for other capital purposes, on the use of the principal and income annually for three fiscal years;
- (3) To maintain records of receipts and expenditures and to make its books available to the Foundation at reasonable times; and
- (4) Not to use any of the funds (the grant or income from the grant):
 - a) to carry on propaganda or otherwise to attempt to influence legislation;
 - b) to influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive;
 - c) to make any grant which does not comply with the requirements of the Internal Revenue Code (the "Code") for private foundation grants to individuals or organizations; or
 - d) to undertake any activity for any purpose other than a charitable purpose as defined in the Code.

The above requirements are agreed to and accepted this 18th day of
July, 2016.

Macculloch Hall Historical Museum

By: PC Pongay
Executive Director

Title

Yours sincerely,

William H. Byrnes, Jr.
William H. Byrnes, Jr.
Vice President - Grants

FRED M. and JESSIE A. KIRBY EPISCOPAL HOUSE, INC.
381 Sunset Road
Mountain Top, PA 18707
kirbyhse@gmail.com
570-474-5800

March 22, 2017

William H Byrnes, Jr.
Vice President-Grants
F.M. Kirby Foundation, Inc.
17 DeHart Street
P.O. Box 151
Morristown, NJ 07963-0151

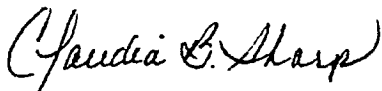
Dear Mr. Byrnes:

Please find attached the Report of Grantee form for your records. As reported, your initial grant of \$250,000.00 has not been expended.

We are hopeful that the project will start mid-April 2017. The majority of the work, the most expensive projects, is schedule to occur in the current year.

Thank you for your continued support of our organization. Should you have any questions, please feel free to call.

Sincerely,



Claudia B. Sharp
Manager

Cc: Jessie K. Lee, President
Wade H.O. Kirby, Vice President
Slater B. Kirby, Chair, Building and Grounds
Katharine C. Prentice
Stephen B. Killian, Treasurer