## Form 990-PF

SCANNED NOV 9.4 2010

1

# **Return of Private Foundation**

OMB No 1545-0052

2009

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Check all th	nat apply: Initial return	n	Initial return of a fo	rmer public charity	📃 Final retur	n
	Amended r	eturn	Address chang	ge	Name change	
se the IRS	Name of foundation		~		A Employer identificatio	n number
	CHARIS FOUNDATI				CO 1007402	<b>&gt;</b>
Otherwise, K print	C/O STEINER & E Number and street (or P O box number			Room/suite	62–1867423 B Telephone number	
· · · ·	5516 LONAS DRIV			260		-3800
ee Specific	City or town, state, and ZIP code			200	C If exemption application is	
	KNOXVILLE, TN	37909			D 1. Foreign organization	s, check here
		n 501(c)(3) ex	empt private foundation		2. Foreign organizations m check here and attach c	eeting the 85% test,
Section	4947(a)(1) nonexempt charitable	e trust 🔲	Other taxable private founda	tion	E If private foundation st	
Fair market	value of all assets at end of year	J Accountin	-	Accrual	under section 507(b)(1	
· .	II, col. (c), line 16)		her (specify)	····· 1	F If the foundation is in a	
▶\$ Dort I An	14,159,042.	(Part I, colul	mn (d) must be on cash b		under section 507(b)(1	
	alysis of Revenue and Expenses e total of amounts in columns (b), (c), ar essarily equal the amounts in column (a	id (d) may not	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	tributions, gifts, grants, etc., rece		50,000.		N/A	(Gash Dasis Gilly)
2 Chec						
-	est on savings and temporary investments				1	1
	dends and interest from securities	5	135,323.	135,323	•	STATEMENT 1
5a Gros	ss rents					
D Netr	rental income or (loss)					
Ga Net g	gain or (loss) from sale of assets not on is sales price for all <b>1 A 7 0</b>		<476,688.	>		
	tson line 6a <u> </u>	,393.		0	<u> </u>	
8 Net	tal gain net income (from Part IV, line 2)			U	•	
9 Inco	short-term capital-gain EIVE					
10a and a	s sales less returns allowances	SS	FXIEN	INCH		
b Less	Cost of goods left V 1 8 20					
	ss profit of (loss)	Ľ.				
	er income OGDEN	lĩ	.201 265	125 222		
	al. Add-lines-Ethrough 11	· ·	<291,365. 0.	> <u>135,323</u> 0	•	(
	ipensation of officers, directors, trustee er employee salaries and wages	s, etc			•	·
	sion plans, employee benefits				-	
2 16a Lega						
b Acc		MT 2	7,445.	7,445	•	(
🔏 c Othe	er professional fees					-
17 Inte			98,953.	7		18:
		:MT3	2,463.	2,443	•	20
19 Dep	reciation and depletion					
E 20 000 V 21 Trav	upancy vel, conferences, and meetings				1	
	iting and publications					
23 Oth		MT 4	15,421.	15,421	•	
	al operating and administrative			·		
<u> </u>	enses. Add lines 13 through 23		124,282.	25,316	•	20:
25 600	tributions, gifts, grants paid		781,500.			781,50
	al expenses and disbursements.		005 700	25,316		701 70
	l lines 24 and 25		905,782.		•	781,70
	ss of revenue over expenses and disbu	ursements	<1,197,147.	>		
	investment income (if negative, en			110,007	•	
c Adji	usted net income (if negative, enter				N/A	
	A For Privacy Act and Paperw		Act Notice, see the instruc	tions.		Form 990-PF (20

•	CHARIS	FOUNDATION,	INC

Part II.       Default of Single Singl	Form 9	CHARIS FOUNDATION, INC. 90-PF (2009) C/O STEINER & ELLIS, PL			1867423 Page 2
I Cash - non-interest-bearm       (b) soor Value       (c) soor Value	Part		Beginning of year		<u> </u>
2       Sevenge and temporary cash investments       10,610,309.       647,377.       647,         3       Acquirts terevable       10,610,309.       647,377.       647,         4       Piedges recorable       10,610,309.       647,377.       647,         4       Piedges recorable       10,610,309.       647,377.       647,         4       Piedges recorable       1,625,000.       1,62					(c) Fair Market Value
3       Accounts receivable ▶         Less: diverance for doubtil accounts ▶         4       Piedges receivable ▶         1       Some for doubtil accounts ▶         6       Reevexibles due from officers, directors, trustees, and other disqualified persons         7       Menemestates meanint tess: allowance for doubtil accounts ▶         1       1, 625, 000.         1       1, 625, 000.         1       1, 625, 000.         1       1, 625, 000.         1       1, 625, 000.         1       Immersions for sale or use         9       Prepaid expenses and delerned charges         1       Immersions - corporate bonds         11       Immersions - corporate bonds         11       Immersions - corporate bonds         12       Investments - corporate bonds         13       Investments - corporate bonds         14       accounties question         15       Other asset (questions         16       Total assets (to be completed by all filters)         17       Accounts payable and decrued expanses         18       Gotts payable         19       Deforred revenue         20       Total tabilities (describ ▶         21       Accounts pa		-			
I Less: allowance for doubtil accounts       Image: State Stat	2	Savings and temporary cash investments	10,610,309.	647,377.	<u>647,377.</u>
Image: Program consuble	3				
ess: allowance for doubtul accounts		Less: allowance for doubtful accounts			
s Grants recensible	4	Pledges receivable 🕨			
		Less: allowance for doubtful accounts			
gequalified persons       1,625,000.       1,625,000.       1,625,000.         gequalified persons       1,960,108.       1       1,960,108.         gequalified persons       1,960,108.       1       1         gequalified persons       1       1,960,108.       1       1         gequalified persons       1       1,960,108.       1       1         gequalified persons       1       1,960,108.       1       1       1,7736,1         gequalified persons       5       5       632,602.       11,278,211.       11,736,1         gequalified persons       1       14,898,122.       13,700,975.       14,159.         gequalified persons       1,625,000.       1,625,000.       1,625,000.       1,625,000.         gequalifies (def line	5	Grants receivable			
<pre></pre>	6	Receivables due from officers, directors, trustees, and other			
get       1,625,000.       1,625,000.       1,625,000.         9       Prepad expenses and defared charges       1       1         9       Investments - corporate bonds       1       1         11       Investments - corporate bonds       1       1         12       Investments - other       STMT 5       632,602.       11,278,211.       11,736,1         13       Investments - other       STMT 5       632,602.       14,159,1       14,159,1         14       Land, buildings, and equipment basis		disqualified persons			
geg       1,625,000.       1,625,000.       1,625,000.         9       Prepad expenses and defered charges       1       1         9       Prepad expenses and defered charges       1       1         9       Prepad expenses and defered charges       1       1         10       Investments - corporate bonds       1       1         11       Immediate depretate       1       1         12       Investments - corporate bonds       1       1         13       Investments - outparts tock       1       1       1         14       Investments - outparts tock       1       1       1       1         15       Other assets (describe >       1	7	Other notes and loans receivable • 1,625,000.			
geg       Inventions for sale or use         geg       Investments - corporate stock         toal investments - corporate bonds         11 breatments - corporate bonds         12 Investments - mortgage loans         13 Investments - other         15 Other assets (describe)         16 Total assets (ob completed by all filers)         17 Accounts payable and accrued expenses         18 Grants payable         20 totar store offerse that flows FAS 117, check here         12 Unrestructed         23 Total assets (describe)         24 Unrestructed         25 Tempony restructed         26 Permanently restructed         27 Total atsets principal, or current tunds         28 Pelan or corpaties stored balances         29 Total assets of tund balances         20 tunes two notice intage and ear eassets/fund balances         21 Mortgages and onter funds         26 Permanently restructed         27 Total atsacks or fund balances				1,625,000.	1,625,000.
88       9 Prepad expenses and detrred charges         10       Investments - U.S. and state government obligations         b       Investments - corporate bonds         11       investments - corporate stock       1,960,108.         11       investments - other asset stock       1,960,108.         12       Investments - other ontigape loans       11,278,211.         13       Investments - other       STMT 5         14       Land, buildings, and equipment basis	v 8	Inventories for sale or use			
104       Investments - corporate bonds       1,960,108.         11       investments - corporate bonds       1,960,108.         11       investments - corporate bonds       1         12       investments - ontrage loans       1         13       investments - ontrage loans       1         14       investments - ontrage loans       1         15       Other assets (describe ▶       1         16       Total assets (no be completed by all filers)       14,898,122.       13,700,975.       14,159.         17       Accounts payable and accrued expenses       1,625,000.       1,625,000.       1,625,000.         19       Deferred revenue       1,625,000.       1,625,000.       1,625,000.         20       Uncers thordises, and other disqualified pensors       1       1,625,000.       1,625,000.         21       Mortgages and other notes payable       1,625,000.       1,625,000.       1         21       Interasted (de lanes 17 through 22)       1,625,000.       1,625,000.       1         22       Other kanopitet lines 24 through 31.       0.       0.       0.       0.         22       Total int assets of fund balances       13,273,122.       12,075,975.       13,273,122.       12,075,975. <t< td=""><td>les g</td><td></td><td></td><td></td><td></td></t<>	les g				
b Investments - corporate stock c Investments - corporate bonds investments - corporate bonds investments - comporate bonds investments - comporate bonds it is comporate bonds it investments - comporate bonds it is comp	۳   10;				
c Investments - corporate bonds   11 investments - und, adapt, are querent tast   12 Investments - und, adapt, are querent tast   13 investments - other   14 Land, buildings, and querent basts   15 Other assets (does rule   16 Total assets (to be completed by all filers)   17 Accounts payable and accrued expenses   18 Grants payable   19 Deferred revenue   20 Leans ton other assets (does rule as a doed disqualified persons   21 Mortgages and other notes payable   22 Other labilities (describe ►   23 Total field (likes 17 through 22)   1, 625, 000. 1, 625, 000.   24 Unrestructed   25 Templete lines 24 through 26 and lines 30 and 31.   24 Unrestructed   25 Permanetty restructed   26 Permanetty restructed   27 Capital Surplus, or land, bidg, and equipment fund   28 Permanetty restructed   29 Batanee ammigs, accumulated income, endowment, or other funds   29 Part III   Analysis of Changes in Net Assets or Fund Balances   31 Total reasets or fund balances   31 Total reasets or fund balances   32 Total net assets or fund balances   33 Total net assets or fund balances   34 14, 898, 122.   30 Total net assets or fund balances   31 Total net assets or fund balances   33		· · ·	1 960 108.		
11       Investments - buildings, and equipment basis			1,500,1200.		
tes accameted deprecation       >         12       Investments - notrogage loans         13       Investments - notrogage loans         14       Land, buildings, and equipment basis         15       Other assets (descrube >         16       Total assets (descrube >         17       Accounts payable and accrued expenses         18       Grants payable         19       Deferred revenue         20       test action offlows, directors, trustees, and other disqualified persons         11       Account offlows, directors, trustees, and other disqualified persons         21       Mortgages and other notes payable         22       Other tabilities (descrube >         23       Total insbitties (descrube >         24       Uncestricted         25       Tendal toollow SFAS 117, check here and complete lines 21 through 23         26       Permanetly restricted         27       Capital stock, trust principal, or current funds         28       Paid-in or capital surplus, or land, bldg, and equipment fund         29       Paid-in or capital surplus, or land, bldg, and equipment funds         29       Paid-in or capital surplus, or land, bldg, and equipment funds         20       Paid-in or capital surplus, or land, bldg, and equipment funds		• •			
12       Investments - mortgage loans         13       Investments - other         14       Land, buildings, and equipment basis ►         Les accumated depression	'''				
13       Investments - other       STMT 5       632,602.       11,278,211.       11,736,         14       Land, buildings, and equipment basis ►					
14       Land, buildings, and equipment basis         15       Other assets (describe ►         16       Total assets (to be completed by all filers)         17       Accounts payable and accrued expenses         18       Grants payable         19       Deferred revenue         20       Uther assets (describe ►         21       Mortgages and other notes payable         22       Other liabilities (add lines 17 through 22)         23       Total fiabilities (add lines 17 through 22)         23       Total fiabilities (add lines 30 and 31.         24       Unrestricted         25       Temporantly restricted         26       Permaently restricted         27       Capital stock, rust principal, or current funds         28       Pad-in or capital surplus, or land, bidd, and equipment fund         29       Pad-in or capital surplus, or land, bidd, and equipment fund         28       Pad-in or capital surplus, or land, bidd, and equipment fund         29       Pati-In et assets or fund balances         31       Total institutes and net assets/fund balances         32       Total institutes and net assets/fund balances         31       Total institutes and net assets/fund balances         14       A989, 122.			(22,02)	11 070 011	11 726 270
Less accumulated depresation         15       Other assets (describe ►         16       Total assets (describe ►         17       Accounts payable and accrued expenses         18       Grants payable and accrued expenses         19       Deferred revenue         20       Less atom officers, directors, trustees, and other disqualified persons         21       Mortgages and other notes payable         22       Other labilities (describe ►         23       Total liabilities (add lines 17 through 22)         1       1, 625, 000.         1       Accounts payable and concluster and ther disqualified persons         21       Where labilities (add lines 17 through 22)         1       1, 625, 000.         1       625, 000.         15       Capital follow SFAS 117, check here         and complete lines 27 through 31.         27       Capital stock, trust principal, or current funds         28       Pai-in or capital surplus, or land, bldg., and equipment fund         29       13, 273, 122.       12, 075, 975.         31       Total net assets or fund balances       13, 273, 122.       13, 700, 975.         Part III       Analysis of Changes in Net Assets or Fund Balances       14, 898, 122.       13, 700, 975.			632,602.	11,2/8,211.	11,736,278.
15       Other assets (describe ▶       14,898,122.       13,700,975.       14,159.         16       Total assets (describe ▶       14,898,122.       13,700,975.       14,159.         17       Accounts payable and accrued expenses       13,700,975.       14,159.         18       Grants payable       13,700,975.       14,159.         19       Deferred revenue	14				
16       Total assets (to be completed by all filers)       14,898,122.       13,700,975.       14,159.         17       Accounts payable and accrued expenses		· · · · · · · · · · · · · · · · · · ·			
17 Accounts payable and accrued expenses         18 Grants payable         19 Deferred revenue         20 Const from officers, directors, trustees, and other disqualified persons         21 Mortgages and other notes payable         22 Other liabilities (describe ▶         23 Total liabilities (add lines 17 through 22)         1, 625, 000.         1, 625, 000.         1, 625, 000.         1, 625, 000.         1, 625, 000.         23 Total liabilities (add lines 17 through 22)         1, 625, 000.         1, 625, 000.         1, 625, 000.         23 Total liabilities (add lines 17, check here ▶         and complete lines 24 through 26 and lines 30 and 31.         24 Unrestricted         25 Permoarnity restricted         Foundations that do not follow SFAS 117, check here ▶         26 Zi Capital stock, trust principal, or current funds         28 Paid-in or capital surplus, or land, bidg., and equipment fund         29 Retained earnings, accumulated income, endowment, or other funds         30 Total net assets or fund balances         13, 273, 122.         14, 898, 122.         13, 700, 975.         Part III         Analysis of Changes in Net Assets or Fund Balances         1       1	15	Other assets (describe ►)			
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18       Grants payable         19       Deferred revenue         10       Coans from officers, directors, tustees, and other disqualified persons         21       Mortgages and other notes payable         22       Other labilities (describe ▶         23       Total liabilities (add lines 17 through 22)         1       625,000.         1       625,000.         1       625,000.         23       Total liabilities (add lines 17 through 22)         1       1,625,000.         23       Total liabilities (add lines 30 and 31.         24       Unrestricted         25       Temporarily restricted         26       Permanently restricted         27       Capital stock, trust principal, or current funds         28       Paid-in or capital surplus, or land, bldg, and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         13       273,122       12,075,975         31       Total liabilities and net assets/fund balances       14,898,122       13,700,975         Part III       Analysis of Changes in Net Assets or Fund Balances       1       1       13,273         2       Itel arou	16	Total assets (to be completed by all filers)	14,898,122.	<u>13,700,975.</u>	14,159,042.
19       Deferred revenue         20       Leans from officers, directors, trustees, and other disqualified persons         11       Mortgages and other notes payable         22       Other liabilities (describe ▶         23       Total liabilities (add lines 17 through 22)         14       625,000.         15       Foundations that follow SFAS 117, check here         24       Unrestructed         25       Temporarily restructed         26       Temporarily restructed         27       Capital stock, trust principal, or current funds         28       Pad-in or capital surplus, or land, bldg, and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         29       Retained earnings, accumulated income, endowment, or other funds         30       Total liabilities and net assets/fund balances         31       Total net assets or fund balances in Net Assets or Fund Balances         11       Analysis of Changes in Net Assets or Fund Balances         12       Clarent from Part I, Ine 27a         2       Enter amount from Part I, Ine 27a         30       Changes in not eapres on four year's return)         2       Intal net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on pr	17	Accounts payable and accrued expenses			
20       Loans from officers, directors, frustees, and other disqualified persons         21       Mortgages and other notes payable         22       Other liabilities (describe ►         23       Total liabilities (add lines 17 through 22)       1,625,000.         23       Total liabilities (add lines 17 through 22)       1,625,000.         24       Unrestricted       1,625,000.         25       Temporarity restricted       25         26       Permanently restricted       25         27       Capital stock, trust principal, or current funds       0.         28       Pard-in or capital surplus, or land, bldg., and equipment fund       0.         29       Retained earnings, accumulated income, endowment, or other funds       13, 273, 1222.       12,075,975.         30       Total net assets or fund balances       14,898,122.       13,700,975.         31       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)       1       13,273, 2       2         2       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)       1       13,273, 2         2       Enter amount from Part I, line 27a       2       2       1,197 <td>18</td> <td>Grants payable</td> <td></td> <td></td> <td></td>	18	Grants payable			
22       Unter itabilities (describe ▶         23       Total liabilities (add lines 17 through 22)       1,625,000.         Foundations that follow SFAS 117, check here       and complete lines 24 through 26 and lines 30 and 31.         24       Unrestricted         25       Temporarily restricted         26       Permanently restricted         27       Capital stock, trust principal, or current funds         28       Pad-in or capital surplus, or land, bldg, and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize) ▶         4       Add lines 1, 2, and 3	ഴ്ല 19	Deferred revenue			
22       Unter itabilities (describe ▶         23       Total liabilities (add lines 17 through 22)       1,625,000.         Foundations that follow SFAS 117, check here       and complete lines 24 through 26 and lines 30 and 31.         24       Unrestricted         25       Temporarily restricted         26       Permanently restricted         27       Capital stock, trust principal, or current funds         28       Pad-in or capital surplus, or land, bldg, and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize) ▶         4       Add lines 1, 2, and 3	ž 20	Loans from officers, directors, trustees, and other disqualified persons			
22       Unter itabilities (describe ▶         23       Total liabilities (add lines 17 through 22)       1,625,000.         Foundations that follow SFAS 117, check here       and complete lines 24 through 26 and lines 30 and 31.         24       Unrestricted         25       Temporarily restricted         26       Permanently restricted         27       Capital stock, trust principal, or current funds         28       Paid-in or capital surplus, or land, bldg, and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize) ▶         4       Add lines 1, 2, and 3	<u>ä</u> 21	Mortgages and other notes payable	1,625,000.	1,625,000.	
Foundations that follow SFAS 117, check here <ul> <li>and complete lines 24 through 26 and lines 30 and 31.</li> <li>24 Unrestricted</li> <li>25 Temporarily restricted</li> <li>26 Permanently restricted</li> <li>27 Capital stock, trust principal, or current funds</li> <li>28 Paid-in or capital surplus, or land, bldg., and equipment fund</li> <li>29 Retained earnings, accumulated income, endowment, or other funds</li> <li>30 Total net assets or fund balances</li> <li>31 Total liabilities and net assets/fund balances</li> <li>31 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)</li> <li>28 Enter amount from Part I, line 27a</li> <li>30 Other increases not included in line 2 (itemize) &gt;</li> </ul>	22	Other liabilities (describe )			
Foundations that follow SFAS 117, check here <ul> <li>and complete lines 24 through 26 and lines 30 and 31.</li> <li>24 Unrestricted</li> <li>25 Temporarily restricted</li> <li>26 Permanently restricted</li> <li>27 Capital stock, trust principal, or current funds</li> <li>28 Paid-in or capital surplus, or land, bldg., and equipment fund</li> <li>29 Retained earnings, accumulated income, endowment, or other funds</li> <li>30 Total net assets or fund balances</li> <li>31 Total liabilities and net assets/fund balances</li> <li>31 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)</li> <li>28 Enter amount from Part I, line 27a</li> <li>30 Other increases not included in line 2 (itemize) &gt;</li> </ul>					
and complete lines 24 through 26 and lines 30 and 31.         24 Unrestricted         25 Temporarily restricted         26 Permanently restricted         27 Capital stock, trust principal, or current funds         28 Paid-in or capital surplus, or land, bldg., and equipment fund         29 Retained earnings, accumulated income, endowment, or other funds         30 Total net assets or fund balances         31 Total liabilities and net assets/fund balances         11 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2 Enter amount from Part I, line 27a         3 Other increases not included in line 2 (itemize)         4 Add lines 1, 2, and 3	23	Total liabilities (add lines 17 through 22)	1,625,000.	1,625,000.	
24       Unrestricted         25       Temporarily restricted         26       Permanently restricted         27       Capital stock, trust principal, or current funds         28       Paid-in or capital stoplus, or land, bldg., and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize)         4       Add lines 1, 2, and 3		Foundations that follow SFAS 117, check here			
24       Unrestricted         25       Temporarily restricted         26       Permanently restricted         27       Capital stock, trust principal, or current funds         28       Paid-in or capital surplus, or land, bldg., and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         11       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         30       Other increases not included in line 2 (itemize)         4       Add lines 1, 2, and 3		and complete lines 24 through 26 and lines 30 and 31.			
g       27       Capital stock, tidst principal, or current funds         28       Paid-in or capital surplus, or land, bldg., and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         14,898,122.       13,700,975.         Part III       Analysis of Changes in Net Assets or Fund Balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize)         4       Add lines 1, 2, and 3	8 24	•			
g       27       Capital stock, tidst principal, or current funds         28       Paid-in or capital surplus, or land, bldg., and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         14,898,122.       13,700,975.         Part III       Analysis of Changes in Net Assets or Fund Balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize)         4       Add lines 1, 2, and 3	Ğ 25	Temporarily restricted			
g       27       Capital stock, tidst principal, or current funds         28       Paid-in or capital surplus, or land, bldg., and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         14,898,122.       13,700,975.         Part III       Analysis of Changes in Net Assets or Fund Balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize)         4       Add lines 1, 2, and 3			· · · · · · ·		
g       27       Capital stock, tidst principal, or current funds         28       Paid-in or capital surplus, or land, bldg., and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         14,898,122.       13,700,975.         Part III       Analysis of Changes in Net Assets or Fund Balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize)         4       Add lines 1, 2, and 3	2				
27       Capital stock, bust principal, or current funds         28       Paid-in or capital surplus, or land, bldg., and equipment fund         29       Retained earnings, accumulated income, endowment, or other funds         30       Total net assets or fund balances         31       Total liabilities and net assets/fund balances         14,898,122.       13,700,975.         Part III       Analysis of Changes in Net Assets or Fund Balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)         2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize)         4       Add lines 1, 2, and 3	<u>n</u>				
28       Paid-in or capital surplus, or land, bldg., and equipment fund       0.       0.         29       Retained earnings, accumulated income, endowment, or other funds       13,273,122.       12,075,975.         30       Total net assets or fund balances       14,898,122.       13,700,975.         31       Total net assets/fund balances       14,898,122.       13,700,975.         Part III         Analysis of Changes in Net Assets or Fund Balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)       1       13,273         2       Enter amount from Part I, line 27a       3       2       <1,197	b 27		0.	0.1	
<sup>5</sup> / <sub>2</sub> <sup>30</sup> Total net assets or fund balances <sup>13</sup> , 273, 122. 12, 075, 975. <sup>31</sup> Total liabilities and net assets/fund balances <sup>14</sup> , 898, 122. 13, 700, 975.             Part III         Analysis of Changes in Net Assets or Fund Balances <sup>14</sup> , 898, 122. 13, 700, 975.             Part III         Analysis of Changes in Net Assets or Fund Balances <sup>14</sup> , 898, 122. 13, 700, 975.             Part III         Analysis of Changes in Net Assets or Fund Balances <sup>14</sup> , 898, 122. 13, 700, 975.             Part III         Analysis of Changes in Net Assets or Fund Balances <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup>	ets 2				
<sup>5</sup> / <sub>2</sub> <sup>30</sup> Total net assets or fund balances <sup>13</sup> , 273, 122. 12, 075, 975. <sup>31</sup> Total liabilities and net assets/fund balances <sup>14</sup> , 898, 122. 13, 700, 975.             Part III         Analysis of Changes in Net Assets or Fund Balances <sup>14</sup> , 898, 122. 13, 700, 975.             Part III         Analysis of Changes in Net Assets or Fund Balances <sup>14</sup> , 898, 122. 13, 700, 975.             Part III         Analysis of Changes in Net Assets or Fund Balances <sup>14</sup> , 898, 122. 13, 700, 975.             Part III         Analysis of Changes in Net Assets or Fund Balances <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>3</sup> <sup>2</sup> <sup>2</sup> <sup>1</sup> <sup>1</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup> <sup>3</sup> <sup>3</sup> <sup>4</sup> <sup>1</sup> <sup>2</sup> <sup>3</sup>	SS 20				
31 Total liabilities and net assets/fund balances       14,898,122.       13,700,975.         Part III       Analysis of Changes in Net Assets or Fund Balances         1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)       1       13,273         2 Enter amount from Part I, line 27a       2       <1,197					
Part III       Analysis of Changes in Net Assets or Fund Balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)       1       13,273         2       Enter amount from Part I, line 27a       2       <1,197	z   30		13,273,1220	14,015,915.	
Part III       Analysis of Changes in Net Assets or Fund Balances         1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)       1       13,273         2       Enter amount from Part I, line 27a       2       <1,197	21	Total liabilities and not accest/jund balanage	14 898 122	13 700 975	
1       Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)       1       13,273         2       Enter amount from Part I, line 27a       2       <1,197				15,100,5154	
(must agree with end-of-year figure reported on prior year's return)       1       13,273         2       Enter amount from Part I, line 27a       2       <1,197	Part		balances		
2       Enter amount from Part I, line 27a         3       Other increases not included in line 2 (itemize)         4       Add lines 1, 2, and 3	1 Tota	al net assets or fund balances at beginning of year - Part II, column (a), line	30		
2       Enter amount from Part I, line 27a       2       <1,197	(mu	st agree with end-of-year figure reported on prior year's return)		[1]	13,273,122.
4 Add lines 1, 2, and 3	2 Ente	er amount from Part I, line 27a		. 2	<1,197,147.
4 Add lines 1, 2, and 3	3 Oth	er increases not included in line 2 (itemize) 🕨			0.
			_		12,075,975.
			-		0.
			olumn (b), line 30		12,075,975.
					Form 990-PF (2009)

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· CHA	RIS FOUNDATION,	INC.					1	'	
Form 990-PF (2009) C/O	STEINER & ELLI	S, PLLC				6	2-186	57423	Page <b>3</b>
	and Losses for Tax on I		t Income	1					
(a) List and desc 2-story brick wa	ribe the kind(s) of property sold (e. arehouse; or common stock, 200 s	.g., real estate, hs. MLC Co.)		P -	low acquired · Purchase · Donation		acquired lay, yr.)	(d) Dat (mo., da	
<u>1a FROM K-1 - HAS</u>		PARTNE	RSHIP	<u> </u>	P				
<b>b SEE STATEMENT</b>	8			<u> </u>	P	VARI	OUS	VARI	OUS
<u> </u>									_
					· · · -				
e (e) Gross sales price	(f) Depreciation allowed		st or other basis	1			ain or (loss		
	(or allowable)	pius e	xpense of sale			(e) piu:	s (f) minus		0.07
<u>8</u> <u>1 470 202</u>			1 060 10					<u>13</u> <489	<u>,027.</u> 715
<u>b</u> 1,470,393.		<u></u>	1,960,10	<u>, , , , , , , , , , , , , , , , , , , </u>				<489	,/15.
<u>c</u> d		-							
e						•			
	ig gain in column (h) and owned by	v the foundation	on 12/31/69			(I) Gains (C	Col. (h) nau	ם שוחוות ה	
	(j) Adjusted basis		cess of col. (I)		c	ol. (k), but i	not less that	an -0-) or	
(i) F.M.V. as of 12/31/69	as of 12/31/69		col. (j), if any			Losses	(from col.	(h))	
3								13	,027.
b								13 <489	,715.
C									
d									
e									
2 Capital gain net income or (net ca		ter in Part I, line -0- in Part I, line		}	2			<476	,688.
3 Net short-term capital gain or (los	C C			1					
If gain, also enter in Part I, line 8,		unu (0).		J					
If (loss), enter -0- in Part I, line 8			·	<u> </u>	3		N/A	1	
Part V Qualification U	nder Section 4940(e) fo	or Reduced	Tax on Net	: Inve	estment Ir	ncome			
(For optional use by domestic private	e foundations subject to the section	n 4940(a) tax on	net investment in	ncome.	.)				
If section 4940(d)(2) applies, leave the	his part blank.								
								<b>—</b>	<b>.</b>
Was the foundation liable for the sec			•	riody				Yes	X No
If "Yes," the foundation does not qual 1. Enter the appropriate amount in the	each column for each year, see ins			96					· · ·
	<u> </u>		making any chuk					(d)	
<b>(a)</b> Base period years Calendar year (or tax year beginni	(b) Adjusted qualifying d	Istributions	Net value of no	(c) Inchari	rtable-use asse	ts	Distri (col. (b) di	(d) bution ratio vided by col.	(c))
2008	* /	17,084.		13	,970,09		(001. (0) 01		58 <b>4</b> 88
2007		93,825.			803,22				56560
2006		05,193.			,079,15				57190
2005		12,146.			,808,75				43370
2004	5	08,401.		10	486,08	0.		.0	48483
2 Total of line 1, column (d)						2		2	<u>64091</u>
3 Average distribution ratio for the		al on line 2 by 5,	or by the number	of yea	ars				
the foundation has been in existe	nce if less than 5 years					3		0	<u>52818</u>
4 Enter the net value of noncharitat	ble-use assets for 2009 from Part X	ς line 5				4	ļ]	12,395	<u>,710.</u>
5 Multiply line 4 by line 3						5		654	<u>,717.</u>
6 Enter 1% of net investment incor	ne (1% of Part I, line 27h)					6			,100.
7 Add lines 5 and 6	·		•••••••			7		655	<u>,817.</u>
8 Enter qualifying distributions from		<i>.</i>			••	8		781	<u>,703.</u>
If line 8 is equal to or greater than See the Part VI instructions.	n line 7, check the box in Part VI, lir	ne 10, and comp	nete that part usin	ig a 19	% tax rate.				

Form 990-PF (2009)

<sup>923521 02-02-10</sup> 

Forn	n <sup>s</sup>	CHARIS FOUNDATION, INC. 1990-PF (2009) C/O STEINER & ELLIS, PLLC 62-18	67423	I	Page <b>4</b>
Pa	3r	rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - se	e instru	ctio	าร)
1a	1	Exempt operating foundations described in section 4940(d)(2), check here 🕨 🗔 and enter "N/A" on line 1.			
	1	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)			
b	)	Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕨 🗶 and enter 1%		<u>1,1</u>	00.
	(	of Part I, line 27b			
C	; /	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	-	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0.
3	1	Add lines 1 and 2		1,1	
4	;	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			<u>0.</u>
5	•	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-       5		1,1	00.
6	1	Credits/Payments:			
a	1	2009 estimated tax payments and 2008 overpayment credited to 2009 6a 4,555.	,		
		Exempt foreign organizations - tax withheld at source 6b			
C	;	Tax paid with application for extension of time to file (Form 8868)			
		Backup withholding erroneously withheld 6d			
7		Total credits and payments. Add lines 6a through 6d	-	<u>4,5</u>	<u>55.</u>
8		Enter any penalty for underpayment of estimated tax. Check here 🗶 if Form 2220 is attached			
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed         9			
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		3,4	
		Enter the amount of line 10 to be: Credited to 2010 estimated tax > 3, 455. Refunded > 11			0.
<u> </u>		rt VII-A Statements Regarding Activities		Yes	No
18		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in		162	
		any political campaign?	<u>1a</u>		X X
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	16		<u> </u>
		If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or			
		distributed by the foundation in connection with the activities.	4.		v
		Did the foundation file Form 1120-POL for this year?	<u>1c</u>		<u> </u>
0		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation.  (1) On the foundation.  (1) On the foundation.  (1) On the foundation managers.  (1) On the foundation mana			
		(1) On the foundation. ► \$ (2) On foundation managers. ► \$ 0. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation			
e		managers.  \$ 0.			
2		Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		х
2		If "Yes," attach a detailed description of the activities.			
2		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or			
3		bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		x
4:		Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
		If "Yes," has it filed a tax return on Form 990-T for this year?			
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
•		If 'Yes,' attach the statement required by General Instruction T.			
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
		By language in the governing instrument, or			
		• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law			
		remain in the governing instrument?	6	X	
7		Did the foundation have at least \$5,000 in assets at any time during the year?	7	Х	
		If "Yes," complete Part II, col. (c), and Part XV.			
88		Enter the states to which the foundation reports or with which it is registered (see instructions) 🕨	_		
		TN	_		
t	b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)			
		of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar			
		year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		<u>X</u>
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	. 10		X

Form 990-PF (2009)

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CHARIS FOUNDATION, INC.		
Form 990-PF (2009) C/O STEINER & ELLIS, PLLC 62-1867	423	Page 5
Part VII-A Statements Regarding Activities (continued)		
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of		
section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	x
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before		
August 17, 2008?	12	x
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address <b>N</b> /A	<u></u>	
14 The books are in care of ► STEINER & ELLIS, PLLC Telephone no. ► (865)	212-	-3800
Located at ► 5516 LONAS DRIVE, STE. 260, KNOXVILLE, TN ZIP+4 ►37		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		
and enter the amount of tax-exempt interest received or accrued during the year	N	'A
Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required		
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)		
a disqualified person?		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		
(5) Transfer any income or assets to a disqualified person (or make any of either available		
for the benefit or use of a disqualified person)?		
(6) Agree to pay money or property to a government official? (Exception. Check 'No'		
if the foundation agreed to make a grant to or to employ the official for a period after		
termination of government service, if terminating within 90 days.)		
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations		
section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	16	
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected		
before the first day of the tax year beginning in 2009?	10	x
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation		
defined in section 4942(j)(3) or 4942(j)(5)):	1	
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning		
before 2009?		
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect		
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach		
statement - see instructions.) N/A	26	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time		
during the year?	1 1	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after		
May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose		
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,		
Form 4720, to determine if the foundation had excess business holdings in 2009.) $N/A$	36	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that		
had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	x
		-PF (2009)

923541 02-02-10

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5 13571115 130770 CHARISFOUNDA 2009.04040 CHARIS FOUNDATION, INC. C/O CHARISF1

CHARIS FOUNDATION, INC. Form 990-PF (2009) C/O STEINER & ELLIS, PLL	с		<u>62–18674</u>	23 Page 6
Part VII-B Statements Regarding Activities for Which i	Form 4720 May Be I	Required (contin	ued)	
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	1 4945(e))?	Υε	s 🗶 No 📗	
(2) Influence the outcome of any specific public election (see section 4955); o	r to carry on, directly or indire	ectly,		
any voter registration drive?		🗔 Ye	s X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes	7	Ye	s X No	
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section			
509(a)(1), (2), or (3), or section 4940(d)(2)?		Ye	s X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f	for		
the prevention of cruelty to children or animals?			s X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	fer the exceptions described i	In Regulations		
section 53.4945 or in a current notice regarding disaster assistance (see instru			N/A	5Ь
Organizations relying on a current notice regarding disaster assistance check h	-	-		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr		ined		
expenditure responsibility for the grant?		I/A 🗌 Ye	s No	
If "Yes," attach the statement required by Regulations section 53.494			.5 NO	
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to	• •			
a personal benefit contract?	bay premiums on		s X No	
•••••	araanal hanaft aantraat0			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p (4) Year March, file Form, 2022.	ersonal benefit contract?			<u>6b X</u>
If "Yes" to 6b, file Form 8870.		<b>—</b> .,	<b>TTT.</b> .	
7a At any time during the tax year, was the foundation a party to a prohibited tax s			s X No	
b If yes, did the foundation receive any proceeds or have any net income attribut		<u> </u>	==, ==,	76
Part VIII Information About Officers, Directors, Trusto Paid Employees, and Contractors		inagers, Higniy	/	
1 List all officers, directors, trustees, foundation managers and their	-		(	
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM E. HASLAM	PRESIDENT & D	IRECTOR	-	
7112 SHERWOOD DRIVE				
KNOXVILLE, TN 37919	0.00	0.	ο.	0.
	VICE PRESIDEN	T & DIREC	TOR	
7112 SHERWOOD DRIVE				
KNOXVILLE, TN 37919	0.00	0.	ο.	0.
		EASURER &		
5516 LONAS DRIVE, STE. 260			211	
KNOXVILLE, TN 37909	0.00	0.	ο.	0.
		<b>``</b> `		
····				
				-
2 Compensation of five highest-paid employees (other than those inc	luded on line 1). If none.	enter "NONE."		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deterred	(e) Expense account, other allowances
NO COMPENSATED EMPLOYEES			compensation	anowances
NO COMPENSATED EMPHOTEES	0.00	0	0	0
· · · · · · · · · · · · · · · · · · ·	0.00	0.	0.	0.
		<u> </u>		
			<u> </u>	<u>-</u> -
Total number of other employees paid over \$50,000	<u> </u>	<u> </u>	<u> </u>	0
			Form	990-PF (2009)

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CHARIS FOUNDATION, INC. Form 990-PF (2009) C/O STEINER & ELLIS, PLLC	62-186	, 7423 Page 7
Part VIII · Information About Officers, Directors, Trustees, Foundation Part VIII · Paid Employees, and Contractors (continued)		<u>7423 14907</u>
3 Five highest-paid independent contractors for professional services. If none, enter "NC	NE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
	-	
Total number of others receiving over \$50,000 for professional services           Part IX-A         Summary of Direct Charitable Activities		•0
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical in number of organizations and other beneficiaries served, conferences convened, research papers produced	, etc.	Expenses
1 NO DIRECT CHARITABLE ACTIVITIES IN 2009		
		0.
2		٢
3		
5		
4		
Part IX-B Summary of Program-Related Investments	<u> </u>	······································
Describe the two largest program-related investments made by the foundation during the tax year on lines	1 and 2.	Amount
1 NO PROGRAM RELATED INVESTMENTS IN 2009		
		0.
2		
All other program-related investments. See instructions.		
3		
·		
Total. Add lines 1 through 3	►	0.
	Fo	orm <b>990-PF</b> (2009)

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CHARIS FOUNDATION, INC.

For	n 990-PF (2009) C/O STEINER & ELLIS, PLLC	<u>62-1867</u>	423	Page 8
P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations, see inst	ructions.)	
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	<u>1a</u> <u>12</u>	2, <u>561</u> ,	624.
b	Average of monthly cash balances	1b	22,	853.
C	Fair market value of all other assets	1c		
d	Total (add lines 1a, b, and c)	<u>1d 12</u>	2,584	477.
e	Reduction claimed for blockage or other factors reported on lines 1a and			
	1c (attach detailed explanation)			
2	Acquisition indebtedness applicable to line 1 assets	2		0.
3	Subtract line 2 from line 1d	3 12	2,584	
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	188	767.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 12	2,395	710.
6	Minimum investment return. Enter 5% of line 5	6	<u>619</u>	<u>,786.</u>
Ρ	<b>art XI</b> Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations ar foreign organizations check here $\blacktriangleright$ and do not complete this part.)	d certain		
1	Minimum investment return from Part X, line 6	1	619	786.
2a	Tax on investment income for 2009 from Part VI, line 5 2a 1, 100.			
b	Income tax for 2009. (This does not include the tax from Part VI.) 2b			
C	Add lines 2a and 2b	2c		100.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	618	686.
4	Recoveries of amounts treated as qualifying distributions	4		0.
5	Add lines 3 and 4	5	618	686.
6	Deduction from distributable amount (see instructions)	6		0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	618,	<u>,686.</u>
<b>P</b>	art XII Qualifying Distributions (see instructions)			
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a -	781	<u>,703.</u>
b	Program-related investments - total from Part IX-B	1b		0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2		
3	Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)	3a		
b	Cash distribution test (attach the required schedule)	3b		
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	781	<u>,703.</u>
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		_	
	Income. Enter 1% of Part I, line 27b	5		,100.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	780	,603.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

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### Form 990-PF (2009)

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI,				
line 7 _				618,686
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	_
b Total for prior years:				
,,,		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006 60,805.				
dFrom 2007 114,126.				
e From 2008 121,351.	000 000			
f Total of lines 3a through e	296,282.			= <u></u>
4 Qualifying distributions for 2009 from				
Part XII, line 4: ► \$ 781,703.			•	
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			<u></u>
d Applied to 2009 distributable amount	4.60.04.7			618,686
e Remaining amount distributed out of corpus	163,017.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	459,299.			
b Prior years' undistributed income. Subtract			···· /	
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2008. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2010				0
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010.				
Subtract lines 7 and 8 from line 6a	459,299.			
0 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006 60,805.				
c Excess from 2007 114, 126.				
d Excess from 2008 121,351.				
e Excess from 2009. 163,017.				

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· CHARIS	FOUNDATION,	INC.			
	INER & ELLI			62-18	67423 Page 10
Part XIV Private Operating Fo			I-A, question 9)	_N/A	
1 a If the foundation has received a ruling or	determination letter that	t it is a private operating			
foundation, and the ruling is effective for	2009, enter the date of	the ruling	▶ └		
b Check box to indicate whether the found	ation is a private operati	ng foundation described	in section	4942(j)(3) or 49	42(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter					•
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(III)					
(3) Largest amount of support from					
an exempt organization	<u>_</u>				
(4) Gross investment income					
Part XV Supplementary Info at any time during t			if the foundation	had \$5,000 or mo	ore in assets
	-	instructions.)			
1 Information Regarding Foundation	-		<b></b>	farradahan hafa a Maria	
<ul> <li>List any managers of the foundation who year (but only if they have contributed m</li> </ul>	ore than \$5,000). (See s	section 507(d)(2).)	uidutions received by the	TOURIDATION DEFORE THE CLOS	se of any tax
SEE STATEMENT 6					
b b set and set and set and set af the design of the set of the	100/		7	a state of the sta	

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

#### NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here ▶ \_\_\_\_\_\_ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

## SEE STATEMENT 7

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

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Form 990-PF (2009)

## CHARIS FOUNDATION, INC.

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(2009) C/O STEINER & ELLIS, PLLC

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Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or	
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 9				781,50
		-		
Total			► 3a	781,50
b Approved for future payment				
NONE				
Total	· · ·	· · · ·	<b>&gt;</b> 3b	<u> </u>
923811 02-02-10		11	I	Form <b>990–PF</b> (20

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## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated	business income	Exclu	ded by section 512, 513, or 514	(0)
1 Program service revenue:	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	(e) Related or exempt function income
-	0000				
a b					· · · · · · · · · · · · · · · · · · ·
C					
d					
e					
1					
g Fees and contracts from government agencies					
2 Membership dues and assessments				·····	·
3 Interest on savings and temporary cash					
investments					
4 Dividends and interest from securities			14	135,323.	· · · · · ·
5 Net rental income or (loss) from real estate:					
a Debt-financed property					·
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income					· · ·
8 Gain or (loss) from sales of assets other					
than inventory			18	<476,688.	>
9 Net income or (loss) from special events				· · · · ·	
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b				_	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		<341,365.	> 0.
13 Total. Add line 12, columns (b), (d), and (e)				13 _	<341,365.
(See worksheet in line 13 instructions to verify calculations.)					
Part XVI-B Relationship of Activities	to the Acco	mplishment of Ex	emp	t Purposes	
Line No. Explain below how each activity for which incl the foundation's exempt purposes (other than			contrit	outed importantly to the accor	nplishment of
NOT APPLICABLE	r by providing fair				· · · · · ·
				· · -	

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Form **990-PF** (2009)

	· CHARI	S FOUNDATION, INC.			
Form	990-PF (2009) C/O S	TEINER & ELLIS, PLLC	62-186742		age <b>13</b>
	t XVII Information Re	egarding Transfers To and Transactions a	nd Relationships With Noncharitab	le	
	Exempt Organ	nizations			
1 [	Did the organization directly or indi	rectly engage in any of the following with any other organizatio	n described in section 501(c) of	Yes	No
t	the Code (other than section 501(c	)(3) organizations) or in section 527, relating to political organi	zations?		
a 7	Transfers from the reporting found	ation to a noncharitable exempt organization of:			
(	(1) Cash		<u>1a(</u>	<u>)</u>	X
(	(2) Other assets		1a(2	2)	X
<b>b</b> (	Other transactions:			1	
(	(1) Sales of assets to a noncharitation	able exempt organization	<u>1b(</u>	0	X
(	(2) Purchases of assets from a no	oncharitable exempt organization	<u>1b(;</u>	2)	X
(	(3) Rental of facilities, equipment,	or other assets	<u>1b(;</u>	3)	X
(	(4) Reimbursement arrangements	3	<u>1b(4</u>	<u>1)</u>	X
(	(5) Loans or loan guarantees		. <u>1b(</u>	5)	X
(	(6) Performance of services or me	embership or fundraising solicitations	<u>1b((</u>	3)	X X
C S	Sharing of facilities, equipment, ma	ailing lists, other assets, or paid employees	_1c		X
		"Yes," complete the following schedule. Column (b) should alw			
		foundation. If the foundation received less than fair market value	e in any mansaction of sharing arrangement, show it	I	
		other assets, or services received. (c) Name of noncharitable exempt organization	(d) Describes of here the set of the set		
(a) Lin			(d) Description of transfers, transactions, and sharing	arrangem	3115
		<u>N/A</u>			
		· · · · · · · · · · · · · · · · · · ·			
		· · · · · · · · · · · · · · · · · · ·			
		·····			
		· · · · · · · · · · · · · · · · · · ·			
	·	·			·
2a	Is the foundation directly or indirec	ctly affiliated with, or related to, one or more tax-exempt organized	rations described		
	-	er than section 501(c)(3)) or in section 527?		s 🛛	No No
	If "Yes " complete the following sch		·		

<u>u</u> ii ica, compi	cic lic following schedule.			
	(a) Name of organization	(b) Type of organization	(c) Description of relationship	
	N/A			
	·			

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (cities than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Sign Here Signature of officer or trustee Preparer's Paid Preparer's Use Only signature SHELBY, JAM R. CPA Firm's name (or yours P.O. BOX 789 d self-employed), address, and ZIP code KNOXVILLE, TN 37901

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Schedule B	Schedule of Contributors	OMB No 1545-0047
(Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Attach to Form 990, 990-EZ, or 990-PF.	2009
Name of the organizatio		Employer identification number
	CHARIS FOUNDATION, INC. C/O STEINER & ELLIS, PLLC	62-1867423
Organization type (check		
Filers of:	Section:	
Form 990 or 990-EZ	501(c)( ) (enter number) organization	
	4947(a)(1) nonexempt charitable trust not treated as a private foundation	
	527 political organization	
Form 990-PF	<b>X</b> 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a private foundation	
	501(c)(3) taxable private foundation	
contributor. Cor	ion filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in r aplete Parts I and II.	noney or property) from any one
Special Rules		
509(a)(1) and 17	1(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the re 0(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the n (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.	•
aggregate contr	1(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one cont ibutions of more than \$1,000 for use <i>exclusively</i> for religious, chantable, scientific, literary f cruelty to children or animals. Complete Parts I, II, and III.	
contnbutions fo If this box is che purpose. Do not	1(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one cont r use <i>exclusively</i> for religious, charitable, etc., purposes, but these contributions did not a tecked, enter here the total contributions that were received during the year for an <i>exclusiv</i> complete any of the parts unless the <b>General Rule</b> applies to this organization because ible, etc., contributions of \$5,000 or more during the year.	ggregate to more than \$1,000. <i>rely</i> religious, chantable, etc.,
but it must answer "No"	n that is not covered by the General Rule and/or the Special Rules does not file Schedule on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).	

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Schedule B	(Form 990,	990-EZ, or	990-PF)	(2009)
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Name of organization CHARIS FOUNDATION, INC. Page <u>1 of 1 of Part I</u> Employer identification number

62-1867423

Part I Contributors (see instructions)

C/O STEINER & ELLIS, PLLC

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	WILLIAM E. & CHRISTEN G. HASLAM 7112 SHERWOOD DRIVE KNOXVILLE, TN 37919	\$50,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II of there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II of there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
923452 02-	<sup>01-10</sup> 15	Schedule B (Form	990, 990-EZ, or 990-PF) (2009)

## CHARIS FOUNDATION, INC. C/O STEINER & EL

62-1867423

FORM 990-PF DIVIDEND	S AND	INTER	EST	FROM	SECUR	RITIES	STAT	EMENT	1
SOURCE		GROSS	AMO	OUNT		TAL GAINS		UMN (A MOUNT	)
BARCLAYS	-	•	3 ,	,584.		0.		3,5	84.
FROM K-1 HASLAM FAMILY PARTNERSHIP MORGAN STANLEY				,486. ,253.		0. 0.		116,4 15,2	
TOTAL TO FM 990-PF, PART I, L	.N 4		135	,323.		0.		135,3	23.
FORM 990-PF	AC	COUNTI	NG I	FEES			STAT	EMENT	2
DESCRIPTION	EXPE	A) NSES BOOKS		(B) F INVI AT IN(		(C) ADJUSTED NET INCOM		(D) HARITA PURPOS	
PROFESSIONAL FEES		7,445.		7	,445.				0.
TO FORM 990-PF, PG 1, LN 16B		7,445.	- <u> </u>	7	,445.				0.
FORM 990-PF		TAX	ES	<u> </u>			STAT	EMENT	3
DESCRIPTION	EXPE	A) NSES BOOKS		(B) F INV NT IN(		(C) ADJUSTED NET INCOM		(D) HARITA PURPOS	
FOREIGN TAXES TENNESSEE ANNUAL REPORT		2,443.	·	2	,443.				0.
FEE _		20.			0.				20.
TO FORM 990-PF, PG 1, LN 18		2,463.		2	,443.				20.

16 16STATEMENT(S) 1, 2, 313571115 130770 CHARISFOUNDA 2009.04040 CHARIS FOUNDATION, INC. C/O CHARISF1

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FORM 990-PF	OTHE	R E	XPENSES			STATEMENT	
DESCRIPTION	(A) EXPENSE PER BOO	-	(B) NET INVE MENT INC		(C) ADJUSTED NET INCOM		
INVESTMENT EXPENSES	15,4	21.	15,	421.			0
TO FORM 990-PF, PG 1, LN 23	15,4	21.	15,	421.			0.
FORM 990-PF	OTHER	INV	ESTMENTS			STATEMENT	<u> </u>
DESCRIPTION			LUATION ETHOD	BOO	OK VALUE	FAIR MARKE VALUE	'n
MORGAN STANLEY LIQUID MARKET: SELECTINVEST ARBITRAGE/RELAT:			COST COST		0.		0
VALUE LTD TORREY INTERNATIONAL FUND MORGAN STANLEY OPPORTUNISTIC BARCIANS WEATHL ADVISOR SERVI		(	COST COST COST		0. 0. 1,712.	4,6	0 0 89
BARCLAYS WEALTH ADVISOR SERI GLOBAL EQUITY LTD INVESTMENT IN HASLAM FOUNDAT			COST COST	1 /	500,000.	534,7	
PARTNERSHIP TOTAL TO FORM 990-PF, PART I	I, LINE 1	3	-		),776, <b>499.</b> L,278,211.		
IOTAL TO FORM 990-FF, FART 1.	I, DING I	5	:				

## NAME OF MANAGER

WILLIAM E. HASLAM CRISTEN G. HASLAM

FORM 990-PF

STATEMENT 7

62-1867423

## NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

J. TODD ELLIS P.O. BOX 52206 KNOXVILLE, TN 379502206

TELEPHONE NUMBER

865-212-3800

FORM AND CONTENT OF APPLICATIONS

WRITTEN REQUEST STATING AMOUNT AND PURPOSE OF REQUEST, MOST RECENT AUDITED FINANCIAL STATEMENTS, AND COPY OF TAX EXEMPT RULING

#### ANY SUBMISSION DEADLINES

JUNE 30, DECEMBER 31

RESTRICTIONS AND LIMITATIONS ON AWARDS

ONLY TO TAX EXEMPT 501(C)(3) ORGANIZATIONS; PREFERENCE GIVEN TO ORGANIZATIONS IN THE EAST TENNESSEE AREA.

CHARIS FOUNDATION FEIN 62-1867423 FORM 990-PF, PART IV DECEMBER 31, 2009

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(a) List and describe the kinds of property sold		(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(g) Cost or Other Basis	(h) Gain or (Loss)
Security	No. <u>Shares</u>	Date <u>Purchased</u>	Date <u>Sold</u>	Proceeds	<u>Cost</u>	<u>Gain/(Loss)</u>
3M Company	400.000	8/1/2008	8/12/2009	28,674.26	27,996.00	678.26
ABB Ltd	1,200.000	7/30/2008	8/12/2009	21,840.99	31,769.64	(9,928.65)
Aetna Inc	700.000	7/30/2008	8/12/2009	19,020.50	27,383.93	(8,363.43)
Alcoa Inc	750.000	7/31/2008	8/12/2009	9,547.33	25,574.81	(16,027.48)
Allegheny Technologies Inc	500.000	7/31/2008	8/12/2009	14,477.12	24,062.54	(9,585 42)
American Tower Corp	600.000	7/31/2008	8/12/2009	19,450.29	25,651.92	(6,201 63)
Anadarko Petroleum Corp	550.000	7/31/2008	8/12/2009	28,271.33	32,060 48	(3,789.15)
Apache Corp	200 000	8/8/2008	8/12/2009	17,431.35	21,001.98	(3,570.63)
Bed Bath and Beyond	1,000.000	7/30/2008	8/12/2009	35,989.87	27,696.40	8,293 47
Brookfield Asset Management	700.000	9/15/2008	8/12/2009	14,144.99	19,652.40	(5,507.41)
Burlington Northern Santa Fe Corp	250.000	7/29/2008	8/12/2009	20,581.46	24,404.03	(3,822.57)
Canadian Natural Resources Ltd	300 000	8/29/2008	8/12/2009	17,276.55	25,200.88	(7,924.33)
Caterpillar Inc	350.000	8/29/2008	8/12/2009	16,422 87	24,743 74	(8,320.87)
Charles River Laboratories International	500 000	7/31/2008	8/12/2009	16,564.57	33,244.45	(16,679.88)
Comverse Technology Inc	2,000 000	7/30/2008	8/12/2009	16,019.58	30,340.00	(14,320.42)
Constellation Brands Inc	1,200 000	7/31/2008	8/12/2009	16,736.68	25,702.32	(8,965 64)
Covance Inc	250 000	8/28/2008	8/12/2009	13,571.64	23,962.23	(10,390.59)
Devon Energy Corp	250 000	7/31/2008	8/12/2009	16,152.08	23,868.88	(7,716.80)
Dresser Rand Group Inc	900.000	7/30/2008	8/12/2009	27,536.38	30,589.74	(3,053.36)
Enbridge Inc	600.000	7/29/2008	8/12/2009	22,738.39	25,091.40	(2,353.01)
Encore Acquisition Co	400.000	7/29/2008	8/12/2009	14,278.43	23,377.16	(9,098.73)
Ensco International Inc	150.000	7/31/2008	8/12/2009	5,645.60	10,614.15	(4,968.55)
Ensco International Inc	300.000	7/31/2008	8/12/2009	11,291.19	21,228.30	(9,937.11)
Ensco International Inc	150.000	8/8/2008	8/12/2009	5,645.60	9,571.56	(3,925 96)
Exelon Corp	200.000	7/30/2008	8/12/2009	9,943.54	15,969.16	(6,025 62)
Freeport McMoran Copper & Gold Inc	250.000	7/31/2008	8/12/2009	15,417.60	24,577.55	(9,159 95)
General Elec Co	1,000.000	7/30/2008	8/12/2009	14,146.23	28,810.00	(14,663 77)
Illinois Tool Wks Inc	700.000	7/30/2008	8/12/2009	28,246.34	33,166.70	(4,920.36)
Ingersoll Rand	900.000	7/31/2008	8/12/2009	25,767.56	32,831 10	(7,063.54)
International Business Machines Corp	100.000	7/31/2008	8/12/2009	11,948 70	12,868.86	(920.16)
International Business Machines Corp	100 000	7/31/2008	8/12/2009	11,948.70	12,868.86	(920.16)
International Business Machines Corp	100 000	8/8/2008	8/12/2009	11,948.70	12,884.72	(936.02)
JP Morgan Chase & Co	900 000	8/4/2008	8/12/2009	37,896.46	35,601.84	2,294.62
K12 Inc	800.000	7/30/2008	8/12/2009	15,478.52	19,377.12	(3,898.60)
Kendle International Inc	450.000	7/29/2008	8/12/2009	4,803.50	18,117.27	(13,313.77)
Key Energy Services Inc	1,400.000	8/4/2008	8/12/2009	8,772.91	21,819.70	(13,046.79)
Kraft Foods Inc	1,000.000	8/4/2008	8/12/2009	28,504.76	32,640 00	(4,135.24)
Loews Corp Carolina	1,000.000	7/31/2008	8/12/2009	31,866.97	44,979 61	(13,112.64)
Lorillard Inc	400.000	7/30/2008	8/12/2009	29,747.83	27,586.72	2,161 11
M&T Bank Corp	500.000	7/31/2008	8/12/2009	30,260.52	35,234.44	(4,973.92)
Methanex Corp	1,000.000	8/4/2008	8/12/2009	16,866.56	26,143.50	(9,276.94)
MetLife Inc	650.000	7/31/2008	8/12/2009	23,327.96	33,413.57	(10,085.61)
MetLife Inc	600.000	7/31/2008	8/12/2009	21,533.51	30,815.76	(9,282.25)
Mettler-Toledo International Inc	200 000	8/12/2008	8/12/2009	16,872.58	21,001.18 4,709.45	(4,128.60)
Navios Maritime Holdings Inc	500.000	7/31/2008	8/12/2009	2,304.99	•	(2,404.46)
Navios Maritime Holdings Inc	2,200 000	7/31/2008	8/12/2009	10,141.95	20,721.58	(10,579.63)
Navios Maritime Holdings Inc Netsuite Inc	500.000	8/8/2008 7/20/2008	8/12/2009	2,304.99	4,198.76	(1,893.77)
Netsuite Inc	750.000	7/30/2008	8/12/2009 8/12/2009	9,412.33	13,029.30	(3,616.97)
News Corporation Class A	3,600.000	7/31/2008	8/12/2009 8/12/2009	40,465.83	51,668.39 35 570 60	(11,202.56)
Nokia Corp Nordstrom Inc	1,300.000	7/31/2008	8/12/2009 8/12/2009	17,110.68	35,570.60	(18,459 92)
Nordstrom Inc. Northeast Utilities	900.000 1,000.000	7/31/2008	8/12/2009 8/12/2009	26,124.76	26,229.69 25,289.60	(104 93) (1 821 01)
Northeast Utilities NRG Energy inc	900.000	7/30/2008 7/31/2008	8/12/2009 8/12/2009	23,468.59 25,543.38	32,605.29	(1,821.01) (7,061.91)
אויס בווכוצא ווור	500.000	1/31/2008	0/12/2003	23,343.38	32,003.29	(7,001.91)

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CHARIS FOUNDATION FEIN 62-1867423 FORM 990-PF, PART IV DECEMBER 31, 2009

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(a) Last and describe the kinds of property sold		(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(g) Cost or Other Basis	(h) Gain or (Loss)
Security	No. <u>Shares</u>	Date <u>Purchased</u>	Date <u>Sold</u>	Proceeds	<u>Cost</u>	<u>Gain/(Loss)</u>
Occidental Petroleum Corp	250 000	8/8/2008	8/12/2009	17,362.05	18,784.78	(1,422.73)
Oracle Corp	400 000	8/29/2008	8/12/2009	8,616.54	8,772.65	(156.11)
Oracle Corp	400 000	7/31/2008	8/12/2009	8,616.54	8,617.33	(0.79)
Oracle Corp	1,200.000	7/31/2008	8/12/2009	25,849.61	25,852.00	(2 39)
Pactiv Corp	1,100.000	7/29/2008	8/12/2009	28,601.37	26,070.22	2,531 15
PepsiCo Inc	400.000	8/4/2008	8/12/2009	22,880.45	27,691.56	(4,811 11)
Petrohawk Energy Corp	550.000	7/29/2008	8/12/2009	12,532.43	17,060.67	(4,528.24)
Pharmaceutical Product Development Inc	450.000	9/5/2008	8/12/2009	9,212.81	19,295.19	(10,082.38)
Phillip Morris International	400.000	8/28/2008	8/12/2009	18,712 55	21,549.16	(2,836.61)
Pride International Inc	150.000	7/31/2008	8/12/2009	3,899.63	5,827.57	(1,927.94)
Pride International Inc	700.000	7/31/2008	8/12/2009	18,198.26	27,195 30	(8,997 04)
Pride International Inc	150.000	8/8/2008	8/12/2009	3,899 63	5,847.74	(1,948.11)
Proctor & Gamble Co	400.000	7/31/2008	8/12/2009	20,804 66	26,423.60	(5,618.94)
Prudential Financial Inc	500.000	8/1/2008	8/12/2009	23,469.44	34,205.20	(10,735.76)
Range Resources Corp	350 000	7/29/2008	8/12/2009	16,122 62	17,702.86	(1,580.24)
Rockwell Automation Inc	800.000	7/31/2008	8/12/2009	32,893.43	36,166.24	(3,272.81)
Rowan Companies Inc.	200 000	7/31/2008	8/12/2009	4,118.89	7,989.88	(3,870.99)
Rowan Companies Inc.	600 000	7/31/2008	8/12/2009	12,356.68	23,969 64	(11,612.96)
Rowan Companies Inc.	200 000	8/8/2008	8/12/2009	4,118.89	7,360.80	(3,241.91)
SBA Communications Corp	700.000	7/29/2008	8/12/2009	17,454.78	25,424.00	(7,969.22)
Silgan Holdings Inc	400.000	8/1/2008	8/12/2009	20,258.47	20,851 32	(592.85)
Suncor Energy Inc.	500.000	7/31/2008	8/12/2009	16,250.38	27,594.60	(11,344.22)
Thermo Fisher Scientific Inc	400.000	9/4/2008	8/12/2009	18,239.47	23,311.36	(5,071.89)
Thermo Fisher Scientific Inc	400.000	8/4/2008	8/12/2009	18,239.48	23,971.08	(5,731.60)
Thermo Fisher Scientific Inc	100.000	8/4/2008	8/12/2009	4,559.87	5,992.77	(1,432 90)
Visa Inc CL A	200.000	7/31/2008	8/12/2009	13,680.66	14,569.04	(888 38)
Wabco Hidgs Inc	600.000	8/1/2008	8/12/2009	11,659.01	25,791.78	(14,132 77)
Xerox Corp	2,600.000	7/31/2008	8/12/2009	22,047.69	35,257.14	(13,209 45)
XTO Energy	700.000	7/31/2008	8/12/2009	29,211.93	33,124.92	(3,912.99)
XTO Energy	600.000	7/31/2008	8/12/2009	25,038.80	28,318.20	(3,279.40)
			=	1,470,393.02	1,960,107.86	(489,714.84)

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	Relationship to Foundation Manager or			_	
Name and Address	Substantial Contributor	Status of Recipient	Purpose of Grant or Contribution	IRS BMF	Amount
All Souls Foundation 4 Market Square Knoxville, TN 37902	N/A	Public Charity - an organization described in section 501(c)(3)	To serve the local community in the name of Jesus Christ.	509(а)(3) Туре 1	15,000
Asian Access LIFE Ministries 2220 E Route 68, Suite 201 Glendora, CA 91740	N/A	Public Charity - an organization described in section 501(c)(3)	To identify, develop and release emerging kingdom leaders to unite the church, multiply leaders and congregations, and extend the transforming power of the Gospel of Jesus Christ.	509(a)(1)	2,500
Boys & Gats Clubs of the Tennessee Valley 220 Camck Street, Suits 318 Knoxville, TN 37921	N/A	Public Chanty - an organization described in section 501(c)(3)	To help the youth in Knox County and the surrounding East Tennessee area to reach their full potential as productive, canng, responsible citizens	509(a)(1)	20,000
Clemson University Foundation P O Box 1889 Clemson, SC 29634	N/A	Public Chanty - an organization described in section 501(c)(3)	To promote the welfare and future development of Clemson University education	509(a)(1)	10,000
East Tennessee Children's Hospital Association, Inc 2018 Clinch Avenue Knoxville, TN 37916	N/A	Public Charity - an organization described in section 501(c)(3)	To improve the health of children.	509(a)(1)	20,000
Emerald Youth Foundation 1718 N Central Street Knoxvitle, TN 37917	N⁄A	Public Charity - an organization described in section 501(c)(3)	To develop Chnstan leaders who use their knowledge, skills, and grits to renew their communities	509(a)(1)	76,000
Hospitality Pantnes, Inc FISH Hospitality Pantnes 800 Northshore Drive Knoxville, TN 37919	N/A	Public Chanty - an organization described in section 501(c)(3)	To provide food to the needy and homeless	509(a)(1)	3,000
Fuller Theological Seminary 135 N Caldand Avenue Pasadena, CA 91182	N/A	Public Chanty - an organization described in section 501(c)(3)	To support the international, multi-denominational seminary that prepares students for a wide vanety of Christian vocations	509(a)(1)	2,500
Goodwill Industnes - Knoxville, Inc P O Box 11066 Knoxville, TN 37939	N/A	Public Chanty - an organization described in section 501(c)(3)	To empower individuals to earn a living and strengthen their community	509(a)(1)	5,000
Boy Scouts of Amenca Great Smoky Mountain Council PO Box 51885 Knoxville, TN 37950	N/A	Public Chanty - an organization described in section 501(c)(3)	To prepare young people to make ethucal choices over their lifetime through a youth program of character development and values-based leadership training	509(a)(1)	2,500
Great Smoky Mountain Hentage Center P O Box 268 Townsend, TN 37882	N/A	Public Chanty - an organization described in section 501(c)(3)	To preserve, protect and promote the unique history and nch culture of the East Tennessee mountain communities that were incorporated into the Great Smoky Mountains National Park.	509(a)(1)	5,000
Great Smoky Mountain Institute at Tremont 9275 Tremont Road Townsend, TN 37882	N/A	Public Chanty - an organization described in section 501(c)(3)	To provide environmental education programs	509(a)(1)	2,500
Hope Resource Center 2700 Parnter Avenue Knoxville, TN 37919	N/A	Public Chanty - an organization described in section 501(c)(3)	To provide free care and education to expectant mothers	509(a)(1)	10,000
Habriati for Humanity International Inc. PO Box 27478 Knoxville, TN 37927	N/A	Public Charity - an organization described in section 501(c)(3)	To build simple, decent, affordable homes in partnership with those in need	509(e)(1)	10,000
Maryville College 502 East Lamar Alexandar Parkway Maryville, TN 37804	N/A	Public Chanty - an organization described in section 501(c)(3)	To maintain educational institution properties	State University	40,000
Resourcing Christian Education International NFP P O Box 4528 Wheaton, IL 60189	N/A	Public Chanty - an organization described in section 501(c)(3)	To serve Chustian education ministnes in their mission of providing education opportunities with a Biblical foundation and Christian worldview	509(a)(1)	1,000
Mailbox Club Inc. 404 Eager Road Valdosta, GA 31602	N/A	Public Chanty - an organization described in section 501(c)(3)	To provide Bible correspondence lessons, Christian books, and tracts to be distributed throughout the United States and foreign countries	509(a)(2)	2,000
Tribe One Empowerment & Publishing PO Bax 478 Knaxville, TN 37901	N/A	Public Chanty - an organization described in section 501(c)(3)	To prepare under-served youth for active actizenship in their community through leadership development, educational enrichment, and entrepreneunal training within a supportive environment.	509(a)(1)	16,000
United Way of Greater Knoxville Inc. PO Box 326 Knoxville, TN 37901	N/A	Public Chanty - an organization described in section 501(c)(3)	To bring volunteers and comparises together in order to improve people's lives	509(a)(1)	135,000

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Name and Address	Relationship to Foundation Manager or Substantial Contributor	Status of Recipient	Purpose of Grant or Contribution	IRS BMF	Amount
The University of Tennessee Foundation, Inc Office of the Treasurer Knoxville, TN 37996	N/A	Public Chanty - an organization described in section 501(c)(3)	To maintain educational institution properties	509(a)(1)	312,500
Webb School of Knoxville 8800 Webb School Drive Knoxville, TN 37923	N/A	Public Chanty - an organization described in section 501(c)(3)	To maintain educational institution properties	509(a)(1)	75,000
Wesley House Community Center 923 Dameron Avenue Knoxville, TN 37921	N/A	Public Chanty - an organization described in section 501(c)(3)	To support the after school program that helps enhance children's learning beyond their school environment.	509(a)(1)	5,000
YMCA of East Tennessee, Inc PO Box 2778 Knoxville, TN 37901-2778	N/A	Public Chanty - an organization described in section 501(c)(3)	To provide cultural, educational, recreational and personal development programs	509(a)(2)	11,000
Total Contributions					781,500