Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust**
**Treated as a Private Foundation**

**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2009**

**For calendar year 2009, or tax year beginning** , 2009, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE DAVID GEFFEN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
12011 SAN VICENTE BLVD, SUITE 606

City or town, state, and ZIP code
LOS ANGELES, CA 90049-4926

**A Employer identification number** 95-4085811

**B** Telephone number (see page 10 of the instructions) (310) 581-5900

**C** If exemption application is pending, check here ▶ ☐

**D** 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 86,617,976.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ____________________
*(Part I, column (d) must be on cash basis )*

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## Part I Analysis of Revenue and Expenses

| | *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )* | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| **Revenue** | | | | | |
| 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | 7,488. | 7,488. | | |
| 4 | Dividends and interest from securities | 1,048,894. | 1,101,952. | | |
| 5 a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6 a | Net gain or (loss) from sale of assets not on line 10 | 1,660,559. | | | |
| b | Gross sales price for all assets on line 6a 41,738,921. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 20,596,789. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10 a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | |
| 11 | Other income (attach schedule) | 27,000. | | | ATCH 1 |
| 12 | **Total.** Add lines 1 through 11 | 2,743,941. | 21,706,229. | | |
| **Operating and Administrative Expenses** | | | | | |
| 13 | Compensation of officers, directors, trustees, etc | 0. | | | |
| 14 | Other employee salaries and wages | 101,882. | 0. | 0. | 101,882. |
| 15 | Pension plans, employee benefits | 8,028. | 0. | 0. | 8,028. |
| 16 a | Legal fees (attach schedule) ATCH 2 | 5,191. | 0. | 0. | 5,191. |
| b | Accounting fees (attach schedule) ATCH 3 | 34,105. | 0. | 0. | 34,105. |
| c | Other professional fees (attach schedule) | | | | |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule) (see page 14 of the instructions)** | 55,000. | 0. | 0. | 0. |
| 19 | Depreciation (attach schedule) and depletion | 372. | 0. | 0. | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | 3,620. | 0. | 0. | 3,620. |
| 22 | Printing and publications | | | | |
| 23 | Other expenses (attach schedule) ATCH 5 | 4,048. | 0. | 0. | 4,048. |
| 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 212,246. | 0. | 0. | 156,874. |
| 25 | Contributions, gifts, grants paid | 4,036,351. | | | 4,035,351. |
| 26 | **Total expenses and disbursements** Add lines 24 and 25 | 4,248,597. | 0. | 0. | 4,192,225. |
| 27 | Subtract line 26 from line 12 | | | | |
| a | **Excess of revenue over expenses and disbursements** | -1,504,656. | | | |
| b | **Net investment income** (if negative, enter -0-) | | 21,706,229. | | |
| c | **Adjusted net income** (if negative, enter -0-) | | | -0- | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 2,612,068. | 909,206. | 909,206. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) ** | 28,575,986. | 32,533,962. | 32,510,461. |
| | b | Investments - corporate stock (attach schedule) ATCH 7 | 48,829,700. | 29,884,332. | 37,844,635. |
| | c | Investments - corporate bonds (attach schedule) ATCH 8 | 0. | 15,185,970. | 15,353,456. |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ 24,638. | | | |
| | | Less accumulated depreciation (attach schedule) ▶ 24,420. | 590. | 218. | 218. |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 80,018,344. | 78,513,688. | 86,617,976. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 80,018,344. | 78,513,688. | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 80,018,344. | 78,513,688. | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 80,018,344. | 78,513,688. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 80,018,344. |
| 2 | Enter amount from Part I, line 27a | 2 | -1,504,656. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 78,513,688. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 78,513,688. |

**ATCH 6

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 41,738,921. | | 40,078,362. | 1,660,559. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 1,660,559. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)<br>If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 1,878,581. | 57,951,984. | 0.032416 |
| 2007 | 2,006,718. | 4,389,232. | 0.457191 |
| 2006 | 1,338,439. | 729,110. | 1.835716 |
| 2005 | 1,236,946. | 998,638. | 1.238633 |
| 2004 | 1,347,776. | 1,281,260. | 1.051915 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 2 | 4.615871 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . . . . . . . | 3 | 0.923174 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . . . . . . . | 4 | 65,523,141. |
| 5 | Multiply line 4 by line 3 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 5 | 60,489,260. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) . . . . . . . . . . . . . . . . . | 6 | 217,062. |
| 7 | Add lines 5 and 6 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 7 | 60,706,322. |
| 8 | Enter qualifying distributions from Part XII, line 4 . . . . . . . . . . . . . . . . . . . . . | 8 | 4,192,225. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . .<br>Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions) | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b . . . | | | 1 | 434,125. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | | | 2 | |
| 3 | Add lines 1 and 2 . . . | | | 3 | 434,125. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- . . . | | | 5 | 434,125. |
| 6 | Credits/Payments | | | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 . . . | 6a | 75,409. | | |
| b | Exempt foreign organizations-tax withheld at source . . . | 6b | 0. | | |
| c | Tax paid with application for extension of time to file (Form 8868) . . . | 6c | 415,000. | | |
| d | Backup withholding erroneously withheld . . . | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d . . . | | | 7 | 490,409. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached . . . | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ▶ | | | 10 | 56,284. |
| 11 | Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 56,284. **Refunded** ▶ | | | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? . . . | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . .<br>*If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? . . . | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . .<br>*If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* . . | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CA, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* . . . | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* . . . | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* . . . | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ RICHARD SHERMAN Telephone no ▶ (310)581-5900

Located at ▶12011 SAN VICENTE BLVD, STE 606, LA, CA ZIP + 4 ▶ 90049-4926

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year N/A ▶ 15

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? ( **Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ________ , ________ , ________ , ________ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions ) | 2b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here | | | |
| | ▶ ________ , ________ , ________ , ________ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009 )* | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

**5a** During the year did the foundation pay or incur any amount to

- **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No
- **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** Yes: X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

*If "Yes" to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** No: X

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| DAVID GEFFEN<br>12011 SAN VICENTE BLVD, SUITE 606 | TRUSTEE<br><1HR/WK | 0. | 0. | 0. |
| RICHARD SHERMAN<br>12011 SAN VICENTE BLVD, SUITE 606 | TRUSTEE<br><1HR/WK | 0. | 0. | 0. |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATTACHMENT 9 | | 101,882. | 8,028. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

Form **990-PF** (2009)

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## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ NONE

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See page 24 of the instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

Form **990-PF** (2009)

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | | | |
| a | Average monthly fair market value of securities | | | 1a | 61,646,082. |
| b | Average of monthly cash balances | | | 1b | 4,874,470. |
| c | Fair market value of all other assets (see page 24 of the instructions) | | | 1c | 403. |
| d | **Total** (add lines 1a, b, and c) | | | 1d | 66,520,955. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | | 3 | 66,520,955. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) | | | 4 | 997,814. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | | | 5 | 65,523,141. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | | 6 | 3,276,157. |

## Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 3,276,157. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 434,125. | | |
| b | Income tax for 2009 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 434,125. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 2,842,032. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 2,842,032. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 2,842,032. |

## Part XII Qualifying Distributions(see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 4,192,225. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | 0. |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | 0. |
| b | Cash distribution test (attach the required schedule) | 3b | 0. |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 4,192,225. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 4,192,225. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see page 26 of the instructions)

| | | | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|---|---|
| 1 | Distributable amount for 2009 from Part XI, line 7 | | | | | 2,842,032. |
| 2 | Undistributed income, if any, as of the end of 2009 | | | | | |
| a | Enter amount for 2008 only | | | | 0. | |
| b | Total for prior years 20 07 ,20 06 ,20 05 | | | 0. | | |
| 3 | Excess distributions carryover, if any, to 2009 | | | | | |
| a | From 2004 | 1,284,181. | | | | |
| b | From 2005 | 1,187,608. | | | | |
| c | From 2006 | 1,302,635. | | | | |
| d | From 2007 | 2,006,718. | | | | |
| e | From 2008 | | | | | |
| f | Total of lines 3a through e | | 5,781,142. | | | |
| 4 | Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 4,192,225. | | | | | |
| a | Applied to 2008, but not more than line 2a | | | | 0. | |
| b | Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | | | | |
| c | Treated as distributions out of corpus (Election required - see page 26 of the instructions) | | | | | |
| d | Applied to 2009 distributable amount | | | | | 2,842,032. |
| e | Remaining amount distributed out of corpus | | 1,350,193. | | | |
| 5 | Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | | |
| 6 | **Enter the net total of each column as indicated below:** | | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | 7,131,335. | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | | 0. | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | | |
| d | Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions | | | 0. | | |
| e | Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions | | | | 0. | |
| f | Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 | | | | | 0. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | | | | | |
| 8 | Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | | 1,284,181. | | | |
| 9 | **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | | 5,847,154. | | | |
| 10 | Analysis of line 9 | | | | | |
| a | Excess from 2005 | 1,187,608. | | | | |
| b | Excess from 2006 | 1,302,635. | | | | |
| c | Excess from 2007 | 2,006,718. | | | | |
| d | Excess from 2008 | | | | | |
| e | Excess from 2009 | 1,350,193. | | | | |

## Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . | | | | | |
| b 85% of line 2a . . . . . | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed . | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . . . | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon<br>a "Assets" alternative test - enter<br>(1) Value of all assets . . . | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . . | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . | | | | | |
| c "Support" alternative test - enter<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . . | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . . | | | | | |
| (3) Largest amount of support from an exempt organization . . . . . | | | | | |
| (4) Gross investment income . | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

DAVID GEFFEN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE ATTACHMENT A | NONE | PUBLIC | GENERAL SUPPORT | 4,035,351. |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 4,035,351. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

Form **990-PF** (2009)

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 7,488. | |
| 4 Dividends and interest from securities | | | 14 | 1,048,894. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 1,660,559. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b REFUND OF CONTRIBUTIONS | | | 01 | 27,000. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 2,743,941. | |

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 2,743,941.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII . Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
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| | | | |
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee [redacted]

**Paid Preparer's Use Only**

Preparer's signature

Firm's name (or yours if self-employed), address, and ZIP code

PRICEWATERHOUSECOOPE
350 SOUTH GRAND AVEN
LOS ANGELES, CA

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

# Depreciation and Amortization
## (Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

**2009**

Attachment Sequence No 67

Name(s) shown on return: THE DAVID GEFFEN FOUNDATION

Identifying number: 95-4085811

Business or activity to which this form relates: GENERAL DEPRECIATION

## Part I Election To Expense Certain Property Under Section 179

**Note:** *If you have any listed property, complete Part V before you complete Part I.*

| | | | |
|---|---|---|---|
| 1 | Maximum amount See the instructions for a higher limit for certain businesses | 1 | |
| 2 | Total cost of section 179 property placed in service (see instructions) | 2 | |
| 3 | Threshold cost of section 179 property before reduction in limitation (see instructions) | 3 | |
| 4 | Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0- | 4 | |
| 5 | Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions | 5 | |

| 6 (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
|---|---|---|
| | | |
| | | |

| | | | |
|---|---|---|---|
| 7 | Listed property Enter the amount from line 29 | 7 | |
| 8 | Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7 | 8 | |
| 9 | Tentative deduction Enter the **smaller** of line 5 or line 8 | 9 | |
| 10 | Carryover of disallowed deduction from line 13 of your 2008 Form 4562 | 10 | |
| 11 | Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions) | 11 | |
| 12 | Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11 | 12 | |
| 13 | Carryover of disallowed deduction to 2010 Add lines 9 and 10, less line 12 ▶ | 13 | |

**Note:** *Do not use Part II or Part III below for listed property Instead, use Part V*

## Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property ) (See instructions )

| | | | |
|---|---|---|---|
| 14 | Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions) | 14 | |
| 15 | Property subject to section 168(f)(1) election | 15 | |
| 16 | Other depreciation (including ACRS) | 16 | 372. |

## Part III MACRS Depreciation (Do not include listed property ) (See instructions )

### Section A

| | | | |
|---|---|---|---|
| 17 | MACRS deductions for assets placed in service in tax years beginning before 2009 | 17 | |
| 18 | If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐ | | |

### Section B - Assets Placed in Service During 2009 Tax Year Using the General Depreciation System

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only - see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|---|---|---|---|---|---|---|
| 19a 3-year property | | | | | | |
| b 5-year property | | | | | | |
| c 7-year property | | | | | | |
| d 10-year property | | | | | | |
| e 15-year property | | | | | | |
| f 20-year property | | | | | | |
| g 25-year property | | | 25 yrs | | S/L | |
| h Residential rental property | | | 27 5 yrs | MM | S/L | |
| | | | 27 5 yrs | MM | S/L | |
| i Nonresidential real property | | | 39 yrs | MM | S/L | |
| | | | | MM | S/L | |

### Section C - Assets Placed in Service During 2009 Tax Year Using the Alternative Depreciation System

| | | | | | | |
|---|---|---|---|---|---|---|
| 20a Class life | | | | | S/L | |
| b 12-year | | | 12 yrs | | S/L | |
| c 40-year | | | 40 yrs | MM | S/L | |

## Part IV Summary (See instructions.)

| | | | |
|---|---|---|---|
| 21 | Listed property Enter amount from line 28 | 21 | |
| 22 | **Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instructions | 22 | 372. |
| 23 | For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs | 23 | |

JSA **For Paperwork Reduction Act Notice, see separate instructions.**
9X2300 2 000

Form **4562** (2009)

## Part V Listed Property (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement.)

**Note:** *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable*

### Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles*.)

**24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

| (a) Type of property (list vehicles first) | (b) Date placed in service | (c) Business/ investment use percentage | (d) Cost or other basis | (e) Basis for depreciation (business/investment use only) | (f) Recovery period | (g) Method/ Convention | (h) Depreciation deduction | (i) Elected section 179 cost |
|---|---|---|---|---|---|---|---|---|
| **25** Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) | | | | | | 25 | | |
| **26** Property used more than 50% in a qualified business use | | | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| **27** Property used 50% or less in a qualified business use | | | | | | | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| **28** Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 | | | | | | 28 | | |
| **29** Add amounts in column (i), line 26. Enter here and on line 7, page 1 | | | | | | | 29 | |

### Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

| | (a) Vehicle 1 | | (b) Vehicle 2 | | (c) Vehicle 3 | | (d) Vehicle 4 | | (e) Vehicle 5 | | (f) Vehicle 6 | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **30** Total business/investment miles driven during the year (**do not** include commuting miles) | | | | | | | | | | | | |
| **31** Total commuting miles driven during the year | | | | | | | | | | | | |
| **32** Total other personal (noncommuting) miles driven | | | | | | | | | | | | |
| **33** Total miles driven during the year. Add lines 30 through 32 | | | | | | | | | | | | |
| | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| **34** Was the vehicle available for personal use during off-duty hours? | | | | | | | | | | | | |
| **35** Was the vehicle used primarily by a more than 5% owner or related person? | | | | | | | | | | | | |
| **36** Is another vehicle available for personal use? | | | | | | | | | | | | |

### Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

| | Yes | No |
|---|---|---|
| **37** Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? | | |
| **38** Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners | | |
| **39** Do you treat all use of vehicles by employees as personal use? | | |
| **40** Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? | | |
| **41** Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.) | | |

**Note:** *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles*

## Part VI Amortization

| (a) Description of costs | (b) Date amortization begins | (c) Amortizable amount | (d) Code section | (e) Amortization period or percentage | (f) Amortization for this year |
|---|---|---|---|---|---|
| **42** Amortization of costs that begins during your 2009 tax year (see instructions) | | | | | |
| | | | | | |
| | | | | | |
| **43** Amortization of costs that began before your 2009 tax year | | | | 43 | |
| **44** **Total.** Add amounts in column (f). See the instructions for where to report | | | | 44 | |

THE DAVID GEFFEN FOUNDATION **2009** 95-4085811

**Description of Property**

GENERAL DEPRECIATION

## DEPRECIATION

| Asset description | Date placed in service | Unadjusted Cost or basis | Bus. % | 179 exp reduction in basis | Basis Reduction | Basis for depreciation | Beginning Accumulated depreciation | Ending Accumulated depreciation | Me-thod | Conv | Life | ACRS class | MA CRS class | Current-year 179 expense | Current-year depreciation |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| OFFICE FURNITURE | 07/19/1993 | 3,127. | 100.000 | | | 3,127. | 3,127 | 3,127 | 200DB | HY | | | 7 | | |
| OFFICE FURNITURE | 07/01/1995 | 2,544. | 100 000 | | | 2,544 | 2,544. | 2,544 | 200DB | HY | | | 7 | | |
| FAX MACHINE | 09/08/1995 | 690 | 100.000 | | | 690 | 690 | 690 | 200DB | HY | | | 5 | | |
| AUDIO/VISUAL EQUIP | 09/25/1995 | 738. | 100 000 | | | 738. | 738. | 738 | 200DB | HY | | | 5 | | |
| OFFICE FURNITURE | 01/17/1996 | 363. | 100 000 | | | 363 | 361. | 361. | 200DB | HY | | | 7 | | |
| COMPUTERS | 01/17/1996 | 5,629. | 100.000 | | | 5,629. | 5,629 | 5,629 | 200DB | HY | | | 5 | | |
| OFFICE FURNITURE | 01/17/1996 | 8,194 | 100 000 | | | 8,194 | 8,161 | 8,161 | 200DB | HY | | | 7 | | |
| OFFICE FURNITURE | 10/21/1996 | 752. | 100 000 | | | 752. | 752. | 752 | 200DB | HY | | | 7 | | |
| OFFICE FURNITURE | 11/21/2003 | 2,601. | 100 000 | | | 2,601 | 2,046 | 2,418 | SL | | 7 000 | | | | 372 |
| Less Retired Assets . . . . . . . . . . . . | | | | | | | | | | | | | | | |
| **Subtotals** . . . . . . . . . . . . . . . . . . | | 24,638 | | | | 24,638 | 24,048. | 24,420 | | | | | | | 372. |

## Listed Property

| Asset description | Date placed in service | Unadjusted Cost or basis | Bus. % | 179 exp reduction in basis | Basis Reduction | Basis for depreciation | Beginning Accumulated depreciation | Ending Accumulated depreciation | Me-thod | Conv | Life | ACRS class | MA CRS class | Current-year 179 expense | Current-year depreciation |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Less Retired Assets . . . . . . . . . . . . | | | | | | | | | | | | | | | |
| **Subtotals** . . . . . . . . . . . . . . . . . . | | | | | | | | | | | | | | | |
| **TOTALS** . . . . . . . . . . . . . . . . . . | | 24,638 | | | | 24,638. | 24,048. | 24,420. | | | | | | | 372 |

## AMORTIZATION

| Asset description | Date placed in service | Cost or basis | Accumulated amortization | Ending Accumulated amortization | Code | Life | Current-year amortization |
|---|---|---|---|---|---|---|---|
| **TOTALS** . . . . . . . . . . . . . . . . . . | | | | | | | |

*Assets Retired

JSA
9X9024 1 000

## FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS |
|---|---|---|
| REFUND OF CONTRIBUTIONS | | 27,000. |
| | TOTALS | 27,000. |

ATTACHMENT 2

## FORM 990PF, PART I - LEGAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| PAUL, WEISS, RIFKIND & WHARTON LLP | 5,191. | 0. | 0. | 5,191. |
| TOTALS | 5,191. | 0. | 0. | 5,191. |

ATTACHMENT 3

## FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| PRICEWATERHOUSECOOPERS LLP | 25,305. | 0. | 0. | 25,305. |
| PAUL H. GLASS ACCOUNTANCY CORP | 8,800. | 0. | 0. | 8,800. |
| TOTALS | 34,105. | 0. | 0. | 34,105. |

ATTACHMENT 3

ATTACHMENT 4

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| FEDERAL ESTIMATED TAX PAYMENTS | 55,000. | 0. | 0. | 0. |
| TOTALS | 55,000. | 0. | 0. | 0. |

ATTACHMENT 5

## FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| TRADEPAPERS | 71. | 0. | 0. | 71. |
| OFFICE SUPPLIES & EXPENSE | 843. | 0. | 0. | 843. |
| PROMOTION | 1,200. | 0. | 0. | 1,200. |
| TELEPHONE | 1,624. | 0. | 0. | 1,624. |
| FILING FEES | 310. | 0. | 0. | 310. |
| TOTALS | 4,048. | 0. | 0. | 4,048. |

## FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 5 MIL F.H.L.B., CUSIP JR34 | 5,060,800. | 0. | 0. |
| 5 MIL F.H.L.B., CUSIP JUR7 | 5,067,800. | 0. | 0. |
| 4 MIL F.H.L.B., CUSIP RST8 | 4,004,000. | 0. | 0. |
| 3 MIL F.H.L.B., CUSIP RTN0 | 2,997,000. | 0. | 0. |
| 2 MIL F.H.L.B., CUSIP RXQ8 | 1,999,242. | 1,999,242. | 2,079,610. |
| 2 MIL F.H.L.B., CUSIP RY61 | 1,997,394. | 0. | 0. |
| 2 MIL F.H.L.B., CUSIP RRL6 | 2,006,000. | 0. | 0. |
| 5 MIL US TREASURY, CUSIP 8GA2 | 5,443,750. | 5,443,750. | 5,343,012. |
| 5 MIL F.H.L.B., CUSIP V3W9 | 0. | 5,012,500. | 5,012,289. |
| 10 MIL F.F.C.B., CUSIP GG78 | 0. | 10,079,470. | 10,140,883. |
| 10 MIL F.H.L.B., CUSIP VTB7 | 0. | 9,999,000. | 9,934,667. |
| US OBLIGATIONS TOTAL | 28,575,986. | 32,533,962. | 32,510,461. |

THE DAVID GEFFEN FOUNDATION 95-4085811

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 7

| DESCRIPTION | | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|---|
| 947,300 SH DREAMWORKS ANIMATION (DWA) STOCK | | 48,829,700. | 29,884,332. | 37,844,635. |
| | TOTALS | 48,829,700. | 29,884,332. | 37,844,635. |

ATTACHMENT 7

## FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 8

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 1 MIL JPM SR UNS, CUSIP 7AD6 | 0. | 1,023,519. | 1,021,848. |
| 1 MIL M.S. SR UNS, CUSIP UAA8 | 0. | 1,027,573. | 1,024,547. |
| 3 MIL M.S. ST UNS, CUSIP UAF7 | 0. | 3,009,866. | 3,062,670. |
| 1 MIL JPM SR UNS, CUSIP 7AA2 | 0. | 1,031,113. | 1,037,584. |
| 1 MIL HSBC SR UNS, CUSIP PAA5 | 0. | 1,029,325. | 1,036,152. |
| 2 MIL AMEXP BJ FSB, CUSIP HAC0 | 0. | 2,061,853. | 2,070,790. |
| 4 MIL GS GP SR, CUSIP FAF8 | 0. | 3,994,181. | 4,065,732. |
| 2 MIL JPM SR UNS, CUSIP 7AG9 | 0. | 2,008,540. | 2,034,133. |
| TOTALS | 0. | 15,185,970. | 15,353,456. |

## 990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 9

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| J. DALLAS DISHMAN<br>12011 SAN VICENTE BLVD STE 606<br>LOS ANGELES, CA 90049-4926 | EXECUTIVE DIRECTOR<br>40.00 | 101,882. | 8,028. | 0. |
| | TOTAL COMPENSATION | 101,882. | 8,028. | 0. |

# The David Geffen Foundation - 2009 Charitable Contributions

**95-4085811**

| Beneficiary | Date | Amount | Address1 | Address2 | City | State | Zip |
|---|---|---|---|---|---|---|---|
| 1in6 | 9/30/2009 | $5,000 00 | P.O. Box 222033 | | Santa Clarita | CA | 91322 |
| 92nd Street Y | 2/19/2009 | $5,000.00 | 1395 Lexington Avenue | | New York | NY | 10128 |
| ACLU Lesbian/Gay Rights Project | 4/8/2009 | $15,000 00 | 125 Broad Street | | New York | NY | 10004 |
| Actors' Fund of America | 9/29/2009 | $10,000 00 | 729 Seventh Ave | 10th Floor | New York | NY | 10019 |
| AIDS Project Los Angeles | 7/30/2009 | $50,000 00 | 3550 Wilshire Boulevard | Suite 300 | Los Angeles | CA | 90010 |
| AIDS Project Los Angeles | 10/14/2009 | $500 00 | 3550 Wilshire Boulevard | Suite 300 | Los Angeles | CA | 90010 |
| AIDS Service Center - Pasadena | 2/19/2009 | $5,000 00 | 909 S. Fair Oaks | | Pasadena | CA | 91105 |
| ALS Association, The | 7/8/2009 | $2,500 00 | P O. Box 565 | | Agora Hills | CA | 91376-0565 |
| Alternative Living for the Aging | 5/14/2009 | $2,000 00 | c/o Blue Room Events | 5777 West Century Boulevard, Suite 880 | Los Angeles | CA | 90045 |
| American Film Institute | 4/15/2009 | $10,000 00 | 2021 North Western Ave | | Los Angeles | CA | 90027 |
| American Foundation for Equal Rights | 11/4/2009 | $318,960 00 | 1010 Wilshire Blvd | Suite 1505 | Los Angeles | CA | 90017 |
| American Foundation for Equal Rights | 12/9/2009 | $318,960 00 | 1010 Wilshire Blvd | Suite 1505 | Los Angeles | CA | 90017 |
| American Jewish Committee | 2/12/2009 | $10,000 00 | The Jacob Blaustein Building | 165 East 56th Street, Ste 627 | New York | NY | 10022-2746 |
| American Jewish Committee | 9/29/2009 | $25,000 00 | 9911 West Pico Boulevard | Suite 1602 | Los Angeles | CA | 90035 |
| American Jewish Historical Society | 11/5/2009 | $5,000 00 | 15 West 16th Street | | New York | NY | 10011 |
| American Museum of Natural History | 3/3/2009 | $1,500 00 | Central Park West at 79th Street | | New York | NY | 10024-5192 |
| American Society for Yad Vashem | 3/19/2009 | $25,000 00 | Yad Vashem/Jewish Life Foundation | 16501 Ventura Blvd, Suite 504 | Encino | CA | 91436 |
| AmFAR | 4/22/2009 | $1,000 00 | 120 Wall St. | 13th Floor | New York | NY | 10005 |
| amfAR | 12/17/2009 | $10,000 00 | 120 Wall St | 13th Floor | New York | NY | 10005 |
| Angels at Risk | 10/22/2009 | $5,000 00 | At Visions | 115 Barrington Walk | Los Angeles | CA | 90049 |
| Anti-Defamation League | 3/3/2009 | $10,000.00 | 10495 Santa Monica Blvd | | Los Angeles | CA | 90025 |
| Anti-Defamation League | 7/23/2009 | $25,000 00 | 10495 Santa Monica Blvd | | Los Angeles | CA | 90025 |
| Apollo Theater Foundation, Inc | 5/14/2009 | $7,500 00 | Development Department | 253 West 125th Street | New York | NY | 10027 |
| Autism Speaks | 4/22/2009 | $1,000 00 | c/o Sarah Belcher @ Walk Now for Autism | 5455 Wilshire Blvd , #2250 | Los Angeles | CA | 90036 |
| Aviva Center | 3/26/2009 | $5,000 00 | c/o Grant Associates | 5670 Wilshire Boulevard, Suite 1590 | Los Angeles | CA | 90036 |
| Being Alive | 1/28/2009 | $1,000 00 | 621 N San Vicente Boulevard | | West Hollywood | CA | 90069 |
| Beit T' Shuvah | 5/21/2009 | $10,000 00 | 8831 Venice Blvd | | Los Angeles | CA | 90034 |
| Bet Tzedek | 11/24/2009 | $5,000 00 | Attn Kathy English | 145 South Fairfax Ave , Suite 200 | Los Angeles | CA | 90036 |
| Beth Chayim Chadashim | 4/22/2009 | $5,500 00 | 6000 West Pico Boulevard | | Los Angeles | CA | 90035 |

# The David Geffen Foundation - 2009 Charitable Contributions

**95-4085811**

| Beneficiary | Date | Amount | Address1 | Address2 | City | State | Zip |
|---|---|---|---|---|---|---|---|
| Beverly Hills Fireman's Relief Fund | 3/3/2009 | $1,000 00 | c/o FTA Events 427 N Canon Drive | Suite 108 | Beverly Hills | CA | 90210 |
| Beverly Hills Police Officers Association | 9/9/2009 | $1,000 00 | c/o FTA Events | 427 N Canon Drive, Suite 108 | Beverly Hills | CA | 90210 |
| Beyond Shelter | 3/26/2009 | $1,500 00 | 1200 Wilshire Blvd | Suite 600 | Los Angeles | CA | 90017 |
| Britweek | 3/3/2009 | $1,000 00 | c/o Levy, Pazanti & Associates | 9911 West Pico Blvd , Suite 510 | Los Angeles | CA | 90035 |
| Cedars Sinai Medical Center | 11/13/2009 | $25,000 00 | attn Blessie Bulaon, Division of Cardiology Ste 5 | 8700 Beverly Blvd , 5th Fl South Tower | Los Angeles | CA | 90048 |
| Center for Communications | 8/21/2009 | $15,000.00 | 110 East 23rd Street | Suite 900 | New York | NY | 10010 |
| Center for Democracy in the Americas | 12/7/2009 | $1,000 00 | P O Box 53106 | | Washington D C | | 20009 |
| Center to Prevent Handgun Violence | 6/25/2009 | $1,000 00 | c/o Mann Productions | 81 N Kings Road, Suite 301 | Los Angeles | CA | 90069 |
| Children's Action Network | 12/23/2009 | $5,000 00 | 10951 W Pico Blvd | | Los Angeles | CA | 90064 |
| Children's Defense Fund | 10/22/2009 | $8,333 00 | c/o Plan A | 457 N Canon Drive, Suite C | Beverly Hills | CA | 90210 |
| Chrysalis | 4/8/2009 | $2,500 00 | Chrysalis Butterfly Ball | 1853 Lincoln Blvd. | Santa Monica | CA | 90404 |
| Chrysalis | 5/14/2009 | $10,000 00 | 516 S Main St. | | Los Angeles | CA | 90013 |
| City of Hope | 9/29/2009 | $10,000 00 | 1055 Wilshire Blvd | | Los Angeles | CA | 90017 |
| COLAGE | 11/5/2009 | $5,000 00 | 1550 Bryant Street | 830 | San Francisco | CA | 94103 |
| Colleyville Women's Club | 2/19/2009 | $1,500 00 | P O Box 181 | | Colleyville | TX | 76034 |
| Community Enrichment Center, The | 6/10/2009 | $10,000 00 | 5308 Shadow Glen Drive | | Grapevine | TX | 76051-7350 |
| Congregation Kol Ami | 4/15/2009 | $1,000.00 | 1200 N La Brea Ave. | | West Hollywood | CA | 90038 |
| Conservation International | 3/19/2009 | $10,000 00 | Special Events Programs | 2011 Crystal Drive, Suite 500 | Arlington | VA | 22202 |
| Cystic Fibrosis Foundation | 12/11/2009 | $3,000 00 | The Alfred Hitchcock Legacy Gala | 6420 Wilshire Blvd , First Floor | Los Angeles | CA | 90048 |
| Debbie Allen Dance Academy | 11/3/2009 | $3,000 00 | c/o Poko Events | 10683 Santa Monica Blvd | Los Angeles | CA | 90025 |
| Decade for Human Rights, Inc | 6/10/2009 | $5,000 00 | 526 West 111th Street | Suite 4E | New York | NY | 10025 |
| Doctors Without Borders USA | 3/3/2009 | $15,000 00 | c/o Allison Geffner | 333 Seventh Avenue, 2nd Floor | New York | NY | 10001 |
| Downtown Women's Center | 5/14/2009 | $5,000 00 | 325 S Los Angeles Street | | Los Angeles | CA | 90013 |
| Elton John AIDS Foundation | 8/5/2009 | $2,500 00 | 584 Broadway | Suite 907 | New York | NY | 10012 |
| Entertainment Industry Foundation | 2/4/2009 | $5,000 00 | c/o 5B Events | 10536 Culver Boulevard | Culver City | CA | 90232 |
| Entertainment Industry Foundation | 2/4/2009 | $2,500 00 | Peapod Foundation | 1201 West 5th Street, Suite T-700 | Los Angeles | CA | 90017 |
| Environment for People Foundation | 10/8/2009 | $2,500 00 | 12001 Ventura Place, 5th Floor | | Studio City | CA | 91604 |
| Environmental Media Association | 8/5/2009 | $5,000 00 | c/o Levy, Pazanti & Associates | 9911 W Pico Blvd Suite 510 | Los Angeles | CA | 90035 |

# The David Geffen Foundation - 2009 Charitable Contributions

95-4085811

| Beneficiary | Date | Amount | Address1 | Address2 | City | State | Zip |
|---|---|---|---|---|---|---|---|
| Epilespy Foundation of Greater Los Angeles | 6/10/2009 | $10,000 00 | c/o Blue Room Events | 5777 West Century Blvd. Ste 880 | Los Angeles | CA | 90045 |
| Feminist Majority Foundation | 2/12/2009 | $5,000.00 | 433 S Beverly Dr | | Beverly Hills | CA | 90212 |
| FOD Family Support Group | 12/7/2009 | $5,000.00 | 2041 Tomahawk | | Okemos | MI | 48864 |
| Foundation for Contemporary Performance Arts | 3/19/2009 | $2,500 00 | 820 Greenwhich St | | New York | NY | 10014 |
| Friendly House | 10/8/2009 | $1,500 00 | 347 South Normandie Avenue | | Los Angeles | CA | 90020 |
| Friends in Deed | 4/30/2009 | $20,000 00 | 594 Broadway, Suite 706 | | New York | NY | 10012 |
| Friends of the Israel Defense Forces | 7/8/2009 | $4,000 00 | 4640 Admiralty Way | Suite 406 | Marina del Rey | CA | 90292 |
| Fulfillment Fund | 9/30/2009 | $7,500.00 | c/o Levy, Pazanti and Associates | 9911 W Pico Blvd. Suite 510 | Los Angeles | CA | 90035 |
| Gay and Lesbian Elder Housing | 6/25/2009 | $10,000 00 | 1602 N Ivar Avenue | Suite A | Hollywood | CA | 90028 |
| Gay and Lesbian Victory Fund | 11/5/2009 | $5,000 00 | 1133 15th Street NW | Suite 350 | Washington | DC | 20005 |
| Gay, Lesbian & Straight Education Network | 9/9/2009 | $5,000 00 | Blue Room Events, Attn Traci Hoffberg | 5777 West Century Blvd, Suite 880 | Los Angeles | CA | 90045 |
| GLAAD | 2/19/2009 | $2,500 00 | 5455 Wilshire Blvd | Suite 1500 | Los Angeles | CA | 90036 |
| GLAAD | 11/24/2009 | $25,000 00 | 5455 Wilshire Blvd | Suite 1500 | Los Angeles | CA | 90036 |
| GMHC | 3/19/2009 | $25,000 00 | The Tisch Building | 119 West 24th Street | New York | NY | 10011-1913 |
| God's Love We Deliver | 9/30/2009 | $25,000 00 | 166 Avenue of the Americas | | New York | NY | 10013 |
| Greater Los Angeles Zoo Association | 4/22/2009 | $3,000 00 | 5333 Zoo Drive | | Los Angeles | CA | 90027 |
| Hackley School | 3/3/2009 | $10,000 00 | 293 Benedict Avenue | | Tarrytown | NY | 10591 |
| Hadassah Southern California | 2/25/2009 | $2,500.00 | 455 S. Roberston Blvd | | Beverly Hills | CA | 90211 |
| Hammer Museum | 8/5/2009 | $15,000 00 | 10899 Wilshire Blvd. | | Los Angeles | CA | 90024-4314 |
| Harlem Village Academies | 5/14/2009 | $5,000 00 | 162 West 56th Street | Suite 405 | New York | NY | 10019 |
| Heal the Bay | 5/21/2009 | $3,333 00 | 1444 9th Street | | Santa Monica | CA | 90401 |
| HELP Group, The | 11/12/2009 | $2,500 00 | 13130 Burbank Blvd | | Sherman Oaks | CA | 91401-6037 |
| Hetrick-Martin Institute | 9/30/2009 | $25,000 00 | 2 Astor Place | | New York | NY | 10003-6998 |
| Hollywood Community Housing Corporation | 11/3/2009 | $5,000 00 | 5020 Santa Monica Blvd | | Los Angeles | CA | 90029 |
| Homeboy Industries | 3/12/2009 | $1,000 00 | c/o Plan A | 457 Canon Drive, Suite C | Beverly Hills | CA | 90210 |
| Human Rights Campaign Foundation | 2/12/2009 | $2,500 00 | 9911 W Pico Blvd | Suite 510 | Los Angeles | CA | 90035 |
| Human Rights Watch | 4/30/2009 | $5,000 00 | c/o Caitlin McAdam | 11500 West Olympic Blvd , Suite 445 | Los Angeles | CA | 90064 |
| Human Rights Watch | 6/19/2009 | $250,000 00 | c/o Tiffany Siart | 11500 West Olympic Blvd, Suite 441 | Los Angeles | CA | 90064 |
| International Myeloma Foundation | 10/8/2009 | $15,000 00 | 12650 Riverside Drive | Suite 206 | North Hollywood | CA | 91607 |
| International Women's Media Foundation | 9/30/2009 | $33,333 00 | 1625 K Street, NW | | Washington, D C | | 20006 |
| Iraq and Afghanistan Veterans of America Foundation | 4/22/2009 | $1,500 00 | 770 Broadway | 2nd Floor | New York | NY | 10003-9522 |

# The David Geffen Foundation - 2009 Charitable Contributions

95-4085811

| Beneficiary | Date | Amount | Address1 | Address2 | City | State | Zip |
|---|---|---|---|---|---|---|---|
| Jewish Federation Council | 12/3/2009 | $1,000 00 | 6505 Wilshire Blvd | #1000/NB | Los Angeles | CA | 90048 |
| Jewish Federation Council | 12/3/2009 | $20,000 00 | Shamrock Center | 4444 Lakeside Drive | Burbank | CA | 91505 |
| Jewish Healing and Hospice Center of Los Angeles | 11/20/2009 | $15,000 00 | 10573 W. Pico Blvd #850 | | Los Angeles | CA | 90064 |
| John A Reisenbach Foundation | 11/18/2009 | $2,500 00 | 411 East 53rd Street | Suite 14H | New York | NY | 10022 |
| Joy of Music School, The | 4/15/2009 | $2,000 00 | 1209 Euclid Avenue | | Knoxville | TN | 37921 |
| L A County Museum of Art | 11/12/2009 | $25,000.00 | 5905 Wilshire Blvd | | Los Angeles | CA | 90036 |
| L A Free Clinic | 10/14/2009 | $1,500 00 | 8405 Beverly Blvd | | Los Angeles | CA | 90048-3476 |
| L A Gay & Lesbian Center | 3/3/2009 | $10,000 00 | 1625 N Schrader Blvd | | Los Angeles | CA | 90028-6213 |
| L A Gay & Lesbian Film Festival | 4/22/2009 | $10,000 00 | 3470 Wilshire Blvd | Suite 1022 | Los Angeles | CA | 90010 |
| Labor Day LA Foundation | 7/8/2009 | $10,000 00 | Labor Day LA Foundation | 7257 Beverly Boulevard, Suite 218 | Los Angeles | CA | 90036 |
| Lambda Legal Defense/Educ Fnd | 2/19/2009 | $30,000 00 | 120 Wall Street | Suite 1500 | New York | NY | 10005 |
| LAMP, Inc | 10/8/2009 | $10,000 00 | 527 S Crocker Street | | Los Angeles | CA | 90013 |
| LA's Best | 5/27/2009 | $250 00 | 200 North Spring Street | Suite M-120 | Los Angeles | CA | 90012 |
| Leo Baeck Education Center Foundation | 6/10/2009 | $3,000.00 | 3555 Timmons Lane | Suite 1400 | Houston | Texas | 77027 |
| Lesbian, Gay, Bisexual & Transgender Community Center | 2/12/2009 | $10,000 00 | 208 W 13th Street | | New York | NY | 10011 |
| Liberty Hill Foundation | 4/8/2009 | $2,000 00 | c/o Blue Room Events | 5777 W Century Blvd., Suite 880 | Los Angeles | CA | 90045 |
| Library of American Broadcasting Foundation | 10/8/2009 | $10,000 00 | 401 East 80th Street | Suite 23K | New York | NY | 10075 |
| Lighthouse International | 9/10/2009 | $10,000.00 | 111 East 59th Street | | New York | NY | 10022 |
| Lincoln Center - Film Society | 4/15/2009 | $25,000 00 | Gala Tribute 2009 | 165 West 65th Street | New York | NY | 10023-6595 |
| Los Angeles City College Foundation | 3/12/2009 | $1,000 00 | 855 N Vermont Avenue | | Los Angeles | CA | 90029 |
| Los Angeles City College Foundation | 9/29/2009 | $25,000 00 | 855 N Vermont Avenue | | Los Angeles | CA | 90029 |
| Los Angeles Commission on Assaults Against Women | 6/10/2009 | $1,000.00 | 605 West Olympic Blvd. Suite 400 | | Los Angeles | CA | 90015 |
| Los Angeles County Economic Development Corporation | 11/3/2009 | $4,000 00 | 444 S Flower Street | 34th Floor | Los Angeles | CA | 90071 |
| Los Angeles Jewish Home for the Aging | 10/8/2009 | $5,000.00 | 7150 Tampa Ave | | Reseda | CA | 91335 |
| Los Angeles Museum of the Holocaust | 10/14/2009 | $5,000 00 | 6435 Wilshire Blvd | Suite 303 | Los Angeles | CA | 90048-4907 |
| Los Angeles Police Foundation | 9/10/2009 | $16,666 00 | 515 S Flower Street | Suite 1680 | Los Angeles | CA | 90071 |
| Lupas LA | 5/14/2009 | $5,000 00 | c/o Grant Associates | 5670 Wilshire Boulevard, Suite 1590 | Los Angeles | CA | 90036 |
| Make-A-Wish Foundation | 8/5/2009 | $500 00 | Attn A J Casella | 442 Peninsula Drive | Lake Almanor | CA | 96137 |
| Malaria No More | 11/5/2009 | $5,000 00 | c/o Innovative Philanthropy | 5 Hanover Square, Suite 1801 | New York | NY | 10004 |
| Malibu Foundation for Youth and Families | 5/14/2009 | $10,000 00 | P O. Box 6393 | | Malibu | CA | 90264 |
| Mid-Cities Supporters of the Shelter | 11/13/2009 | $1,500 00 | P O Box 247 | | Collyville | TX | 76034 |

# The David Geffen Foundation - 2009 Charitable Contributions

## 95-4085811

| Beneficiary | Date | Amount | Address1 | Address2 | City | State | Zip |
|---|---|---|---|---|---|---|---|
| Motion Picture & Television Fund | 11/3/2009 | $10,000 00 | 22212 Ventura Blvd | Suite 300 | Woodland Hills | CA | 91364-1530 |
| Museum of Contemporary Art | 10/8/2009 | $10,000 00 | c/o Levy, Pazanti & Associates | 9911 West Pico Boulevard, Suite 510 | Los Angeles | CA | 90035 |
| Museum of Modern Art | 9/9/2009 | $25,000 00 | 11 West 53rd Street | | New York | NY | 10019-5498 |
| Museum of Modern Art | 12/7/2009 | $35,000 00 | 11 West 53rd Street | | New York | NY | 10019-5497 |
| Museum of the Moving Image | 3/19/2009 | $7,500.00 | Event Associates, Inc. | 162 West 56th Street, Suite 405 | New York | NY | 10019 |
| Museum of the Moving Image | 11/5/2009 | $8,333 00 | 162 West 56th Street | Suite 405 | New York | NY | 10019 |
| MusiCares | 10/8/2009 | $5,500 00 | 3030 Olympic Boulevard | | Santa Monica | CA | 90404 |
| National Coalition for LGBT Health, The | 2/19/2009 | $5,000 00 | 1325 Massachusetts Avenue NW | Suite 700 | Washington | DC | 20005 |
| National Corporate Theatre Fund | 3/8/2009 | $2,500 00 | 505 Eight Avenue | Suite 2303 | New York | NY | 10018 |
| National Jewish Medical and Research Center | 12/7/2009 | $5,000 00 | 271 Madison Avenue | 19th Floor | New York | NY | 10016 |
| National Multiple Sclerosis Society | 6/25/2009 | $5,000 00 | 2440 S Sepulveda Blvd | Suite 115 | Los Angeles | CA | 90064 |
| National Music Council | 5/14/2009 | $1,800 00 | American Eagle Awards | 75 East End Avenue, Suite 11L | Montclair | NJ | 10028 |
| National Public Radio | 9/10/2009 | $100,000 00 | 635 Massachusetts Avenue, NW | | Washington | D C | 20001-3753 |
| Natural Resources Defense Council | 2/12/2009 | $25,000 00 | c/o 5B Events | 10536 Culver Sity Blvd | Culver City | CA | 90232 |
| New York Landmarks Conservancy | 11/5/2009 | $1,500 00 | One Whitehall Street | | New York | NY | 10004 |
| New York Public Library | 3/3/2009 | $1,000 00 | Fifth Avenue and 42nd Street | Room 73 | New York | NY | 10018 |
| New York Restoration Project | 3/26/2009 | $1,000 00 | c/o Buckley Hall Events | 17-19 Marble Avenue | Pleasantville | NY | 10570 |
| New York Theatre Workshop | 12/3/2009 | $1,000 00 | 79 East 4th Street | | New York | NY | 10003 |
| New York University | 9/29/2009 | $5,000 00 | 721 Broadway | | New York | NY | 10003-6807 |
| Oceana | 10/22/2009 | $10,000 00 | c/o 5B Events | 10536 Culver Blvd | Culver City | CA | 90232 |
| Operation Smile | 10/8/2009 | $5,000 00 | 5670 Wilshire Blvd | Suite 1590 | Los Angeles | CA | 90036 |
| Painted Turtle Gang Camp | 7/8/2009 | $10,000 00 | 1300 4th Street | Suite 300 | Santa Monica | CA | 90401 |
| Para Los Ninos | 5/14/2009 | $5,000 00 | 500 Lucas Avenue | | Los Angeles | CA | 90017-2002 |
| Parents Action for Children | 7/1/2009 | $614,000 00 | 9171 Wilshire Blvd | Suite 400 | Beverly Hills | CA | 90210 |
| People Assisting the Homeless | 11/5/2009 | $10,000 00 | 340 North Madison Ave | | Los Angeles | CA | 90004 |
| PHFE | 5/14/2009 | $1,000 00 | 9021 Melrose Avenue | Suite 309 | West Hollywood | CA | 90069 |
| Project Angel Food | 10/8/2009 | $10,000 00 | 922 Vine Street | | Los Angeles | CA | 90038-2702 |
| Public Counsel | 4/15/2009 | $1,000 00 | 610 South Ardmore Avenue | | Los Angeles | CA | 90005 |
| Public Theater | 6/10/2009 | $10,000 00 | 425 Lafayette St | | New York | NY | 10003 |
| Race to Erase MS | 4/8/2009 | $2,000.00 | 1801 Avenue of the Stars | Suiote 1400 | Los Angeles | CA | 90067 |
| Rape Foundation | 7/16/2009 | $8,333 00 | 1223 Wilshire Blvd | Suite 410 | Santa Monica | CA | 90403 |

# The David Geffen Foundation - 2009 Charitable Contributions

95-4085811

| Beneficiary | Date | Amount | Address1 | Address2 | City | State | Zip |
|---|---|---|---|---|---|---|---|
| Retinitis Pigmentosa International | 5/14/2009 | $5,000 00 | 5903 Noble Avenue | | Van Nuys | CA | 91411 |
| Richstone Center, The | 8/5/2009 | $75,000 00 | 13620 Cordary Avenue | | Hawthorne | CA | 90250 |
| Rock and Roll Hall of Fame Foundation, Inc | 3/3/2009 | $6,500 00 | 1100 Rock and Roll Blvd | | Cleveland | OH | 44114 |
| Rock and Roll Hall of Fame Foundation, Inc | 10/14/2009 | $10,000 00 | c/o Event Associates | 162 West 56th Street, Suite 405 | New York | NY | 10019 |
| Shakespeare Festival/LA | 5/14/2009 | $10,000 00 | 9911 West Pico Boulevard | Suite 1530 | Los Angeles | CA | 90035 |
| Sierra Club Foundation | 7/1/2009 | $10,000.00 | 3439 Wade Street | | Los Angeles | CA | 90066 |
| Simon Wiesenthal Center | 1/28/2009 | $10,000 00 | 1399 South Roxbury Drive | | Los Angeles | CA | 90035 |
| Simon Wiesenthal Center | 3/25/2009 | $25,000 00 | 1399 South Roxbury Dnve | | Los Angeles | CA | 90035 |
| Skid Row Housing Trust | 8/5/2009 | $5,000 00 | 1317 E. 7th Street | | Los Angeles | CA | 90021 |
| Society of Singers | 3/3/2009 | $1,500 00 | 15456 Venutra Blvd. | Suite 304 | Sherman Oaks | CA | 91403 |
| St Joseph Center | 4/15/2009 | $3,000 00 | 204 Hampton Drive | | Venice | CA | 90291-8633 |
| St Jude Children's Research Hosp | 12/3/2009 | $1,000,000 00 | 501 St Jude Place | | Memphis | TN | 38105 |
| Sundance Institute | 4/22/2009 | $1,250 00 | Attn Celebration Office | 8530 Wilshire Blvd , 3rd Floor | Beverly Hills | CA | 90211 |
| T J Martell Foundation | 9/29/2009 | $7,500 00 | 72 Spring Street | Suite 303 | New York | NY | 10012 |
| Thalians Mental Health Center | 9/9/2009 | $1,800 00 | 8730 Alden Drive, Suite C-210 | | Los Angeles | CA | 90048 |
| Trevor Project | 5/14/2009 | $5,000 00 | 9056 Santa Monica Blvd | Suite 208 | West Hollywood | CA | 90069 |
| Trevor Project | 11/3/2009 | $5,000 00 | 9056 Santa Monica Blvd. | Suite 208 | West Hollywood | CA | 90069 |
| UJA-Federation of New York | 9/16/2009 | $5,500 00 | 130 East 59th St | Suite 959 | New York | NY | 10022 |
| United Friends of the Children | 3/3/2009 | $5,000 00 | c/o 5B Events | 10536 Culver Boulevard | Culver City | CA | 90232 |
| United Nations Children's Fund (UNICEF) | 11/5/2009 | $5,000 00 | c/o Levy, Pazanti and Associates | 9911 W. Pico Boulvard, Suite 510 | Los Angeles | CA | 90035 |
| USC Architectural Guild, The | 3/26/2009 | $1,000 00 | University of Southern California | 204 Watt Hall | Los Angeles | CA | 90089-0291 |
| Venice Family Clinic | 11/3/2009 | $5,000 00 | 604 Rose Ave | | Venice | CA | 90291 |
| Virginia Holocaust Museum | 3/26/2009 | $5,000 00 | 2000 East Cary Street | | Richmond | Virginia | 23223 |
| Wattstar Theatre | 8/5/2009 | $10,000 00 | 10124 South Broadway | Suite 110D | Los Angeles | CA | 90003 |
| Weingart Center Association | 2/19/2009 | $5,000 00 | Weingart Center Association | 566 S San Pedro St | Los Angeles | CA | 90013 |
| Witness | 5/27/2009 | $2,500 00 | 80 Hanson Place | | Brooklyn | NY | 11217 |
| Yale University | 9/16/2009 | $5,000 00 | Yale University Office of Development | P O Box 2038 | New Haven | CT | 06521-2038 |

**TOTAL** **$4,035,351**

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . . . . . . . . . . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Electronic Filing (*e-file*)** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits.*

**Type or print**

File by the due date for filing your return See instructions

Name of Exempt Organization: THE DAVID GEFFEN FOUNDATION
**Employer identification number**: 95-4085811
Number, street, and room or suite no If a P O box, see instructions: 12011 SAN VICENTE BLVD, SUITE 606
City, town or post office, state, and ZIP code For a foreign address, see instructions: LOS ANGELES, CA 90049-4926

**Check type of return to be filed** (file a separate application for each return)

| | | |
|---|---|---|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- The books are in the care of ▶ RICHARD SHERMAN

Telephone No ▶ 310 581-5900 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . ▶ ☐ . If it is for part of the group, check this box . . ▶ ☐ and attach a list with the names and EINs of all members the extension will cover.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 2010 , to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶ ☒ calendar year 2009 or
▶ ☐ tax year beginning ______ , ______ , and ending ______ , ______ .

**2** If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 3a | $ 460,409. |
| b | If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit. | 3b | $ 45,409. |
| c | **Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 3c | $ 415,000. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . . . . . ▶ ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** ( on page 1)

## Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

| | | |
|---|---|---|
| **Type or print**<br>File by the extended due date for filing the return See instructions | Name of Exempt Organization<br>THE DAVID GEFFEN FOUNDATION | **Employer identification number**<br>95-4085811 |
| | Number, street, and room or suite no. If a P O box, see instructions<br>12011 SAN VICENTE BLVD, SUITE 606 | **For IRS use only** |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions.<br>LOS ANGELES, CA 90049-4926 | |

**Check type of return to be filed** (File a separate application for each return):

| | | | |
|---|---|---|---|
| ☐ Form 990 | ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 6069 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 4720 | ☐ Form 8870 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 5227 | |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ RICHARD SHERMAN

Telephone No ▶ 310 581-5900 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box . . . ▶ ☐. If it is for part of the group, check this box . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15/2010

5 For calendar year 2009 , or other tax year beginning ______, and ending ______

6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension ALL INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN HAS NOT YET BEEN OBTAINED.

| | | | |
|---|---|---|---|
| 8a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | $ 460,409. |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | 8b | $ 460,409. |
| c | **Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS(Electronic Federal Tax Payment System). See instructions. | 8c | $ 0. |

## Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Christina Figueroa Title ▶ CPA Date ▶ 8/4/10

Form **8868** (Rev 4-2009)

PRICEWATERHOUSECOOPERS LLP, ATTN:CHRISTINA FIGUEROA
350 SOUTH GRAND AVENUE, 49TH FLOOR
LOS ANGELES, CA 90071