Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning , 2009, and ending , 20

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Doing Business As

Number and street (or P O box if mail is not delivered to street address) 6 WEST 48TH STREET, 10TH FLOOR

Room/suite

City or town, state or country, and ZIP + 4 NEW YORK, NY 10036

D Employer identification number 13-3615533

E Telephone number (212) 812-4330

G Gross receipts $ 44,003,773.

F Name and address of principal officer STUART P. DAVIDSON
6 WEST 48TH STREET, 10TH FL., NEW YORK, NY 10036

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) Are all affiliates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.ROCKPA.ORG

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1991 **M** State of legal domicile DE

Part I Summary

1 Briefly describe the organization's mission or most significant activities.
HELP DONORS CREATE THOUGHTFUL, EFFECTIVE PHILANTHROPY THROUGHOUT THE WORLD. RPA ASSISTS INDIVIDUALS, INSTITUTIONS, GOVERNMENT AGENCIES AND GROUPS IN ACHIEVING THEIR PHILANTHROPIC GOALS.

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	17
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	16
5	Total number of employees (Part V, line 2a)	5	89
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

		Prior Year	Current Year
8	Contributions and grants (Part VIII, line 1h)	41,887,115.	29,507,357.
9	Program service revenue (Part VIII, line 2g)	5,394,647.	5,621,901.
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	2,084,675.	800,537.
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,598,800.	673,294.
12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	50,965,237.	36,603,089.
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	27,660,610.	17,505,981.
14	Benefits paid to or for members (Part IX, column (A), line 4)		0.
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	6,787,624.	6,695,665.
16a	Professional fundraising fees (Part IX, column (A), line 11e)		0.
b	Total fundraising expenses, Part IX, column (D), line 25) ▶ 479,185.		
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	21,553,497.	8,439,440.
18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	56,001,731.	32,641,086.
19	Revenue less expenses. Subtract line 18 from line 12	-5,036,494.	3,962,003.

		Beginning of Year	End of Year
20	Total assets (Part X, line 16)	42,366,149.	48,792,085.
21	Total liabilities (Part X, line 26)	8,155,297.	5,681,249.
22	Net assets or fund balances. Subtract line 21 from line 20	34,210,852.	43,110,836.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

▶ Signature of officer

▶ Travis J. Cary VP Finance/Operations
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ CONDON O'MEARA MCGINTY & D
ONE BATTERY PARK PLAZA, NEW YORK, NY 1

May the IRS discuss this return with the preparer shown above? (see instructio

For Privacy Act and Paperwork Reduction Act Notice, see the separate inst

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Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission
SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☒ No
If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code _____) (Expenses $ 13,245,559. including grants of $ 13,118,689) (Revenue $ 0.)
DONOR-ADVISED FUND - SERVES AS A GRANT-MAKING VEHICLE
THAT ENABLES A DONOR TO MAKE RECOMMENDATIONS WITH
RESPECT TO HIS OR HER PHILANTHROPIC OBJECTIVES.

4b (Code _____) (Expenses $ 10,115,431. including grants of $ 4,387,292.) (Revenue $ 505,000.)
SPECIAL PROJECT FUND - DESIGNED TO ENABLE INDIVIDUALS
OR GROUPS TO PURSUE A VARIETY OF SPECIALIZED CHARITABLE
GRANT-MAKING PROJECTS AND ACTIVITIES CONSISTENT WITH
THE GENERAL MISSION OF RPA.

4c (Code. _____) (Expenses $ 6,800,279. including grants of $ 0.) (Revenue $ 5,116,901.)
GENERAL FUND - TO FACILITATE PHILANTHROPY AND TO SUPPORT THE
GROWTH OF A VITAL, CREATIVE, NOT-FOR-PROFIT SECTOR.

4d Other program services (Describe in Schedule O)
(Expenses $ including grants of $) (Revenue $)

4e Total program service expenses ▶ 30,161,269.

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4	X	
5	**Sections 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6	X	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? *If" Yes," complete Schedule D, Part V*	10	X	
11	Is the organization's answer to any of the following questions "Yes"? *If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable*	11	X	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*			
	• Did the organization report an amount for investments—other-securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*			
	• Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	X	
12A	Was the organization included in consolidated, independent audited financial statement for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* (Yes / No)	12A		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II*	15	X	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		X

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24 a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to question 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25 a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c	X	
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		X
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U S Information Returns Enter -0- if not applicable	1a 156		
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b 0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 89		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* this return (see instructions)			
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?	3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*	3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X	
b	If "Yes," enter the name of the foreign country ▶ IRELAND See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c	If "Yes," to question 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?	5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?	6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	**Organizations that may receive deductible contributions under section 170(c).**			
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d		
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		X
9	**Sponsoring organizations maintaining donor advised funds.**			
a	Did the organization make any taxable distributions under section 4966?	9a		X
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		X
10	**Section 501(c)(7) organizations.** Enter			
a	Initiation fees and capital contributions included on Part VIII, line 12	10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11	**Section 501(c)(12) organizations.** Enter			
a	Gross income from members or shareholders	11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b		
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a	17		
b	Enter the number of voting members that are independent	1b	16		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		X	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3			X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4			X
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5			X
6	Does the organization have members or stockholders?	6			X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a			X
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b			X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?	8a		X	
b	Each committee with authority to act on behalf of the governing body?	8b		X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	9a			X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	X	
11A	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	12c	X	
13	Does the organization have a written whistleblower policy?	13	X	
14	Does the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ ATTACHMENT 2

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization. ▶ TRAVIS CARY/THE ORGANIZATION, 6 WEST 48TH STREET, 10TH FL., NY, NY 10036
212-812-4330

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order individual trustees or directors, institutional trustees; officers; key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
MELISSA A. BERMAN PRESIDENT/CEO	35.00	X		X				350,005.	0.	59,715.
KEVIN P.A. BRODERICK BOARD CHAIR	1.00	X						0.	0.	0.
STUART P. DAVIDSON TREASURER	1.00	X		X				0.	0.	0.
ANNE BARTLEY DIRECTOR	1.00	X						0.	0.	0.
RIEN VAN GENDT DIRECTOR	1.00	X						0.	0.	0.
CATHY FRANK HALSTEAD DIRECTOR	1.00	X						0.	0.	0.
JESSE D. JOHNSON DIRECTOR	1.00	X						0.	0.	0.
DAVID KAISER DIRECTOR	1.00	X						0.	0.	0.
SHARON KING DIRECTOR - FORMER	1.00	X						0.	0.	0.
ABBY M. O'NEILL DIRECTOR	1.00	X						0.	0.	0.
MARNIE S. PILLBURY DIRECTOR	1.00	X						0.	0.	0.
CLAYTON A. ROCKEFELLER DIRECTOR	1.00	X						0.	0.	0.
MICHAEL ROCKEFELLER DIRECTOR	1.00	X						0.	0.	0.
SHARON P. ROCKEFELLER DIRECTOR	1.00	X						0.	0.	0.
STUART ROCKEFELLER DIRECTOR	1.00	X						0.	0.	0.
ROBERT K. ROSS DIRECTOR	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
VALERIE R. WAYNE DIRECTOR	1.00	X						0.	0.	0.
DOUGLAS B. BAUER SENIOR VICE PRESIDENT	35.00			X				101,438.	0.	44,951.
CHRIS PAGE SENIOR VICE PRESIDENT	35.00			X				213,413.	0.	54,322.
JUDY BELK-PEEKS SENIOR VICE PRESIDENT	35.00			X				214,095.	0.	54,769.
YOLANDA ARIAS-BROWNELL DIR. OF OPERATIONS & H.R.	35.00			X				107,807.	0.	32,274.
DONNA L. CHRISTIE SECRETARY	35.00			X				102,500.	0.	31,737.
TRAVIS CAREY V.P. OF FINANCE & OPERATIONS	35.00			X				60,692.	0.	9,578.
MARJORIE MCANDREWS DIRECTOR OF FINANCE	35.00			X				40,462.	0.	442.
JESSICA CHAO VICE PRESIDENT	35.00					X		178,915.	0.	50,518.
ANNE SLOANE VICE PRESIDENT	35.00					X		148,625.	0.	20,392.
MICHAEL SHELLENBERGER DIRECTOR	35.00					X		140,000.	0.	1,528.
RICH MCKEON DIRECTOR	35.00					X		123,000.	0.	42,686.
WALTER SWEET DIRECTOR	35.00					X		123,000.	0.	35,285.
1b Total ▶								1,903,952.	0.	438,197.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 15

		Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	X	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 3		

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 15

Part VIII Statement of Revenue 13-3615533

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a Federated campaigns 1a				
	b Membership dues 1b				
	c Fundraising events 1c				
	d Related organizations 1d				
	e Government grants (contributions) 1e 2,156,179				
	f All other contributions, gifts, grants, and similar amounts not included above 1f 27,351,178				
	g Noncash contributions included in lines 1a-1f $ 1,864,282				
	h Total. Add lines 1a-1f ▶	29,507,357			
Program Service Revenue		Business Code			
	2a ADVISORY FEES	5,116,901	5,116,901.		
	b SPONSORSHIP FEES	505,000	505,000.		
	c				
	d				
	e				
	f All other program service revenue				
	g Total. Add lines 2a-2f ▶	5,621,901.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶	815,528.			815,528.
	4 Income from investment of tax-exempt bond proceeds ▶	0.			
	5 Royalties ▶	0.			
		(i) Real / (ii) Personal			
	6a Gross Rents				
	b Less rental expenses				
	c Rental income or (loss)				
	d Net rental income or (loss) ▶	0.			
		(i) Securities / (ii) Other			
	7a Gross amount from sales of assets other than inventory 7,385,693.				
	b Less: cost or other basis and sales expenses 7,400,684.				
	c Gain or (loss) -14,991.				
	d Net gain or (loss) ▶	-14,991.			-14,991.
	8a Gross income from fundraising events (not including $ _____ of contributions reported on line 1c) See Part IV, line 18 a				
	b Less: direct expenses b				
	c Net income or (loss) from fundraising events ▶	0			
	9a Gross income from gaming activities. See Part IV, line 19 a				
	b Less direct expenses b				
	c Net income or (loss) from gaming activities ▶	0.			
	10a Gross sales of inventory, less returns and allowances a				
	b Less: cost of goods sold b				
	c Net income or (loss) from sales of inventory ▶	0.			
	Miscellaneous Revenue	Business Code			
	11a CANCELLED GRANTS	141,000	141,000.		
	b MISC. INCOME	532,294	532,294		
	c				
	d All other revenue				
	e Total. Add lines 11a-11d ▶	673,294.			
	12 Total Revenue. See instructions ▶	36,603,089.	6,295,195		800,537

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21	13,684,589.	13,684,589.		
2 Grants and other assistance to individuals in the U S See Part IV, line 22	0.			
3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	3,821,392.	3,821,392.		
4 Benefits paid to or for members	0.			
5 Compensation of current officers, directors, trustees, and key employees	1,478,200.	1,217,023.	205,402.	55,775.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.			
7 Other salaries and wages	3,934,902.	3,256,131.	534,360.	144,411.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	265,810.	214,243.	40,403.	11,164.
9 Other employee benefits	665,621.	536,490.	101,175.	27,956.
10 Payroll taxes	351,132.	283,012.	53,372.	14,748.
11 Fees for services (non-employees)				
a Management	0.			
b Legal	208,143.	156,107.	52,036.	
c Accounting	104,744.	78,558.	26,186.	
d Lobbying	0.			
e Professional fundraising services See Part IV, line 17	0.			
f Investment management fees	20,000.	18,070.	1,930.	
g Other	3,052,830.	2,744,959.	307,871.	
12 Advertising and promotion	0.			
13 Office expenses	990,960.	748,470.	196,805.	45,685.
14 Information technology	126,369.	94,777.	25,274.	6,318.
15 Royalties	0.			
16 Occupancy	1,467,078.	1,132,584.	267,008.	67,486.
17 Travel	182,053.	141,091.	40,962.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings	0.			
20 Interest	124,374.	93,280.	24,876.	6,218.
21 Payments to affiliates	0.			
22 Depreciation, depletion, and amortization	530,298.	397,723.	106,060.	26,515.
23 Insurance	68,282.	51,212.	13,656.	3,414.
24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a PROGRAM RELATED FEES	1,481,792.	1,481,792.		
b LEASEHOLD IMPROVEMENTS	5,104.	3,828.	1,276.	
c FUNDRAISING AND MARKETING	69,495.			69,495.
d MISCELLANEOUS	7,918.	5,938.	1,980.	
e				
f All other expenses				
25 Total functional expenses. Add lines 1 through 24f	32,641,086.	30,161,269.	2,000,632.	479,185.
26 Joint Costs. Check here ▶ ☐ If following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

			(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing	8,111.	1	0.
	2	Savings and temporary cash investments	15,515,751.	2	18,282,776.
	3	Pledges and grants receivable, net	874,653.	3	2,486,536.
	4	Accounts receivable, net	2,393,034.	4	1,088,964.
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net	1,000,000.	7	1,000,000.
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	133,773.	9	146,801.
	10a	Land, buildings, and equipment cost or other basis. Complete Part VI of Schedule D — 10a: 3,489,703.			
	b	Less: accumulated depreciation — 10b: 1,099,792.	2,607,795.	10c	2,389,911.
	11	Investments - publicly traded securities	19,833,032.	11	23,397,097.
	12	Investments - other securities See Part IV, line 11		12	
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11		15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	42,366,149.	16	48,792,085.
Liabilities	17	Accounts payable and accrued expenses	1,285,323.	17	941,416.
	18	Grants payable	3,716,205.	18	2,084,086.
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	2,000,000.	23	1,850,000.
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities Complete Part X of Schedule D	1,153,769.	25	805,747.
	26	**Total liabilities.** Add lines 17 through 25	8,155,297.	26	5,681,249.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	31,185,166.	27	31,346,102.
	28	Temporarily restricted net assets	3,025,686.	28	11,764,734.
	29	Permanently restricted net assets		29	
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	34,210,852.	33	43,110,836.
	34	Total liabilities and net assets/fund balances	42,366,149.	34	48,792,085.

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	X	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	X	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	X	

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	**Employer identification number**
ROCKEFELLER PHILANTHROPY ADVISORS, INC.	13-3615533

Part I **Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box . . . . . ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i)	A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)	
(ii)	A family member of a person described in (i) above?	11g(ii)	
(iii)	A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	

h Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the US?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")	35,687,539	47,108,370.	59,984,792	41,887,115	29,507,357.	214,175,173.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	35,687,539	47,108,370.	59,984,792.	41,887,115.	29,507,357.	214,175,173
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	63,980,509.
6 **Public support.** Subtract line 5 from line 4	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	150,194,664.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	35,687,539.	47,108,370.	59,984,792	41,887,115	29,507,357	214,175,173.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	1,573,931.	2,012,256.	2,190,361	1,482,989.	815,528.	8,075,065.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)	203,068	1,749.	56,991.	1,598,800.	673,294	2,533,902.
11 **Total support.** Add lines 7 through 10	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	224,784,140.
12 Gross receipts from related activities, etc. (see instructions)					12	22,713,896.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14	Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	66.82%
15	Public support percentage from 2008 Schedule A, Part II, line 14	15	67.09%

16a **33 1/3% support test - 2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test - 2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test - 2009.** If the organization did not check a box on line 13, 16a or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16	Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2009** (line 10c, column (f) divided by line 13, column (f))	17	%
18	Investment income percentage from **2008** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3 %, and line 18 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions**

OMB No 1545-0047

2009

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations. Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)). Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of organization	Employer identification number
ROCKEFELLER PHILANTHROPY ADVISORS, INC.	13-3615533

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures . . . . . ▶ $

3 Volunteer hours . . . . . .

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . . . ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . . ☐ Yes ☐ No

4a Was a correction made? . . . . . ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . . . ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b . . . . . ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? . . . . . ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-.

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	93,000.	
b Total lobbying expenditures to influence a legislative body (direct lobbying)	760,820.	
c Total lobbying expenditures (add lines 1a and 1b)	853,820.	
d Other exempt purpose expenditures	29,307,449.	
e Total exempt purpose expenditures (add lines 1c and 1d)	30,161,269.	
f Lobbying nontaxable amount Enter the amount from the following table in both columns	1,000,000.	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

g Grassroots nontaxable amount (enter 25% of line 1f)	250,000.	
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If these is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ Yes ☒ No

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying non-taxable amount	1,000,000.	1,000,000.	1,000,000.	1,000,000.	4,000,000.
b Lobbying ceiling amount (150% of line 2a, column (e))					6,000,000.
c Total lobbying expenditures	564,300.	438,000.	587,500.	853,820.	2,443,620.
d Grassroots nontaxable amount	250,000.	250,000.	250,000.	250,000.	1,000,000.
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000.
f Grassroots lobbying expenditures	61,000.	15,000.	130,000.	93,000.	299,000.

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Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities? If "Yes," describe in Part IV			
j	Total Add lines 1c through 1i			
2 a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."**

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

Part IV **Supplemental Information** *(continued)*

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number: 13-3615533

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	104	
2	Aggregate contributions to (during year)	8,975,712.	
3	Aggregate grants from (during year)	13,118,689.	
4	Aggregate value at end of year	29,271,572.	

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? [X] Yes [ ] No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? [X] Yes [ ] No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

[ ] Preservation of land for public use (e g., recreation or pleasure)
[ ] Protection of natural habitat
[ ] Preservation of open space
[ ] Preservation of an historically important land area
[ ] Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

		Held at the End of the Year
a	Total number of conservation easements	2a
b	Total acreage restricted by conservation easements	2b
c	Number of conservation easements on a certified historic structure included in (a)	2c
d	Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? [ ] Yes [ ] No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? [ ] Yes [ ] No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . . . ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . . . ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? . . . . . ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current Year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	3,025,686.	5,881,045.			
b Contributions	18,581,440.	22,820,486.			
c Net investment earnings, gains, and losses	829,154.	-1,303,533.			
d Grants or scholarships					
e Other expenditures for facilities and programs	10,671,546.	24,372,312.			
f Administrative expenses					
g End of year balance	11,764,734.	3,025,686.			

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ _____ %
b Permanent endowment ▶ _____ %
c Term endowment ▶ 100.0000 %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		X
(ii) related organizations	3a(ii)		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		1,509,967.	188,279.	1,321,688.
d Equipment		1,979,736.	911,513.	1,068,223.
e Other				
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				2,389,911.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15)* ▶	

Part X Other Liabilities. See Form 990, Part X, line 25

1. (a) Description of liability	(b) Amount
Federal income taxes	
DEFERRED RENT	805,747.
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	805,747.

2. FIN 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	36,603,089.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	32,641,086.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	3,962,003.
4	Net unrealized gains (losses) on investments	4	4,552,255.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	385,726.
9	Total adjustments (net) Add lines 4 through 8	9	4,937,981.
10	Excess or (deficit) for the year per audited financial statements Combine lines 3 and 9	10	8,899,984.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	41,540,642.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	4,551,827.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d	385,726.		
e	Add lines **2a** through **2d**			2e	4,937,553.
3	Subtract line **2e** from line **1**			3	36,603,089.
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12)*			5	36,603,089.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	32,641,086.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	32,641,086.
4	Amounts included on Form 990, Part IX, line 25, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18)*			5	32,641,086.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

SEE PAGE 5

Part XIV Supplemental Information *(continued)*

ENDOWMENT FUNDS

PART V - LINE 4

TEMPORARILY RESTRICTED NET ASSETS INCLUDE CONTRIBUTIONS OF CASH AND OTHER ASSETS WITH DONOR STIPULATIONS THAT LIMIT THE USE OF THE DONATED ASSETS. THE FOLLOWING IS A SUMMARY OF RPA'S TEMPORARILY RESTRICTED NET ASSETS:

-SPECIAL PROJECT FUND - A TEMPORARILY RESTRICTED FUND THAT IS DESIGNED TO ENABLE INDIVIDUALS OR GROUPS TO PURSUE A VARIETY OF SPECIALIZED CHARITABLE GRANT-MAKING PROJECTS AND ACTIVITIES CONSISTENT WITH THE GENERAL MISSION OF RPA.

-COLLABORATION FUND - A TEMPORARILY RESTRICTED FUND THAT OFFERS A WAY FOR A GROUP OF INDIVIDUALS TO WORK COOPERATIVELY IN PLANNING AND IMPLEMENTING A FUND-RAISING AND GRANT-MAKING PROGRAM THAT IS FOCUSED ON A PROJECT OR ISSUE.

THE FUNDS SUPPORT WORK IN THE AREAS OF ART, ENVIRONMENT, HEALTH, EDUCATION, HUMAN AND SOCIAL SERVICES, PUBLIC AND CIVIC AFFAIRS, CONFLICT RESOLUTION, RELIGION AND SPIRITUAL DEVELOPMENT.

Part XIV Supplemental Information *(continued)*

RECONCILIATION OF CHANGE IN NET ASSETS

PART XI - LINE 8

8. PENSION ADJUSTMENT: $385,726.

RECONCILIATION OF REVENUE

PART XII - LINE 2D

2D. PENSION ADJUSTMENT: $385,726.

Schedule F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b line 15, or line 16.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 **For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States

3 Activities per Region (Use Schedule F-1 (Form 990) if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures in region
Totals . . . . . ▶					

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990. **Schedule F (Form 990) 2009**

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Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Check this box if no one recipient received more than $5,000 . . . . . ▶ ☐
Use Schedule F-1 (Form 990) if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE/ICELAND/GREENLAND	SUPPORT	810,113	VARIOUS			
		SOUTH ASIA	SUPPORT	28,600	VARIOUS			
		EAST ASIA/PACIFIC	SUPPORT	2,572,959.	VARIOUS			
		NORTH AMERICA	SUPPORT	107,000	VARIOUS			
		SUB-SAHARAN AFRICA	SUPPORT	165,000.	VARIOUS			
		CENT. AMERICA/CARIBBEAN	SUPPORT	137,720	VARIOUS			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ 147

3 Enter total number of other organizations or entities . . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

Part IV Supplemental Information
Complete this part to provide the information required in Part I, line 2, and any additional information

STATEMENT OF ACTIVITIES OUTSIDE THE UNITED STATES

PART I - QUESTION 2

ROCKEFELLER PHILANTHROPY ADVISORS INC. HAS A STRONG COMMITMENT TO ACCOUNTABILITY AND SOUND GOVERNANCE, AS EXPRESSED IN ITS BY-LAWS AND BOARD OF DIRECTORS' POLICIES AND PROCEDURES.

IN ORDER TO REDUCE THE LIKELIHOOD THAT GRANTS RECOMMENDED OR ADMINISTERED BY ROCKEFELLER PHILANTHROPY ADVISORS, INC., INCLUDING THOSE DISTRIBUTED THROUGH ITS DONOR-ADVISED FUND (THE PHILANTHROPIC COLLABORATIVE OR TPC), WILL BE DIVERTED FOR INAPPROPRIATE PURPOSES, THE ORGANIZATION HAS ADOPTED GENERAL PRINCIPLES, PRACTICES, AND DUE DILIGENCE PROCEDURES.

THESE CRITERIA INCLUDE COMPLIANCE WITH IRS GUIDELINES AND OTHER INDUSTRY BEST PRACTICES AND MORE SPECIFICALLY INCLUDE THE FOLLOWING:

1. PRE-AWARD SCREENING CRITERIA
2. ANTI-TERRORISM RESEARCH - LIST CHECKING
3. INFORMATION GATHERING FROM BOTH GRANTEE AND INDEPENDENT SOURCES - EXTENT BASED ON INTERNAL CRITERIA FRO PRE-AWARD SCREENING
4. INTERNAL DOCUMENT REVIEW AND APPROVAL AGAINST RPA STANDARDS
5. RISK ASSESSMENT AND IF NECESSARY MORE DETAILED REVIEW
6. WRITTEN AGREEMENTS
7. POST AWARD MONITORING FOR COMPLIANCE BASED ON INDIVIDUAL CIRCUMSTANCES FOR BOTH PROGRAM AND FINANCIAL REPORTING

SCHEDULE I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number
13-3615533

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000 Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . . ▶ [ ]

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SEE ATTACHMENT #4 6 WEST 48TH STREET, 10TH FLOOR			13,684,589.				SEE SCH O

2 Enter total number of section 501(c)(3) and government organizations . . . . . ▶ 911

3 Enter total number of other organizations . . . . . ▶ 22

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22 Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

GRANTS AND OTHER ASSISTANCE IN THE UNITED STATES

PART I - QUESTION 2

ROCKEFELLER PHILANTHROPY ADVISORS INC. HAS A STRONG COMMITMENT TO ACCOUNTABILITY AND SOUND GOVERNANCE, AS EXPRESSED IN ITS BY-LAWS AND BOARD OF DIRECTORS' POLICIES AND PROCEDURES.

IN ORDER TO REDUCE THE LIKELIHOOD THAT GRANTS RECOMMENDED OR ADMINISTERED BY ROCKEFELLER PHILANTHROPY ADVISORS, INC., INCLUDING THOSE DISTRIBUTED THROUGH ITS DONOR-ADVISED FUND (THE PHILANTHROPIC COLLABORATIVE OR TPC),

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

WILL BE DIVERTED FOR INAPPROPRIATE PURPOSES, THE ORGANIZATION HAS ADOPTED GENERAL PRINCIPLES, PRACTICES, AND DUE DILIGENCE PROCEDURES.

THESE CRITERIA INCLUDE COMPLIANCE WITH IRS GUIDELINES AND OTHER INDUSTRY BEST PRACTICES AND MORE SPECIFICALLY INCLUDE THE FOLLOWING:

1. CHARITABLE DETERMINATION

2. RISK ASSESSMENT

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

3. VERIFICATION OF EXEMPT STATUS

4. INTERNAL DOCUMENT REVIEW AND APPROVAL AGAINST RPA STANDARDS

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 23.**

▶ **Attach to Form 990.** ▶ **See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number
13-3615533

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items			
	☐ First-class or charter travel	☐ Housing allowance or residence for personal use		
	☐ Travel for companions	☐ Payments for business use of personal residence		
	☐ Tax indemnification and gross-up payments	☐ Health or social club dues or initiation fees		
	☐ Discretionary spending account	☐ Personal services (e g , maid, chauffeur, chef)		
b	If any of the boxes on line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply			
	☐ Compensation committee	☐ Written employment contract		
	☐ Independent compensation consultant	☒ Compensation survey or study		
	☐ Form 990 of other organizations	☒ Approval by the board or compensation committee		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	4a		X
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" to line 5a or 5b, describe in Part III			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	X	
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
MELISSA A. BERMAN	(I)	350,005.	0.	0.	31,850.	27,865.	409,720.	0.
	(II)	0.	0.	0.	0.	0.	0.	0.
CHRIS PAGE	(I)	213,413.	0.	0.	26,769.	27,553.	267,735.	0.
	(II)	0.	0.	0.	0.	0.	0.	0.
JUDY BELK-PEEKS	(I)	214,095.	0.	0.	27,832.	26,937.	268,864.	0.
	(II)	0.	0.	0.	0.	0.	0.	0.
JESSICA CHAO	(I)	178,915.	0.	0.	23,259.	27,259.	229,433.	0.
	(II)	0.	0.	0.	0.	0.	0.	0.
ANNE SLOANE	(I)	148,625.	0.	0.	18,050.	2,342.	169,017.	0.
	(II)	0.	0.	0.	0.	0.	0.	0.
RICH MCKEON	(I)	123,000.	0.	0.	15,990.	26,696.	165,686.	0.
	(II)	0.	0.	0.	0.	0.	0.	0.
WALTER SWEET	(I)	123,000.	0.	0.	15,990.	19,295.	158,285.	0.
	(II)	0.	0.	0.	0.	0.	0.	0.
	(I)							
	(II)							
	(I)							
	(II)							
	(I)							
	(II)							
	(I)							
	(II)							
	(I)							
	(II)							
	(I)							
	(II)							
	(I)							
	(II)							
	(I)							
	(II)							
	(I)							
	(II)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

COMPENSATION INFORMATION

PART I - QUESTION 7

RPB HAS A PERFORMANCE BASED INCENTIVE COMPENSATION PLAN. AN INDIVIDUAL CAN EARN INCENTIVE COMPENSATION BASED ON THEIR WORK PLANS, WHICH ARE REVIEWED AND APPROVED BY THEIR SUPERIOR AND THE CEO.

SCHEDULE L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions With Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open To Public Inspection

Name of the organization
ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number
13-3615533

Part I Excess Benefit Transacations (section 501(c)(3) and section 501(c)(4) organizations only)

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1 (a) Name of disqualified person	(b) Description of transaction	(c) Corrected? Yes	(c) Corrected? No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ $

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization? To	(b) From	(c) Original principal amount	(d) Balance due	(e) In default? Yes	(e) No	(f) Approved by board or committee? Yes	(f) No	(g) Written agreement? Yes	(g) No
Total . . . . . ▶ $										

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount and type of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	(e) No
CHRIS PAGE	SENIOR VICE PRESIDENT	1,000,000.	MANAGE FUNDS		X

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

▶ Attach to Form 990.

OMB No 1545-0047

2009

Open To Public Inspection

Name of the organization: ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number: 13-3615533

Part I Types of Property

		(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1	Art-Works of art				
2	Art-Historical treasures				
3	Art-Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles				
7	Boats and planes				
8	Intellectual property				
9	Securities-Publicly traded	X	20	1,864,282.	FAIR MARKET VALUE
10	Securities-Closely held stock				
11	Securities-Partnership, LLC, or trust interests				
12	Securities-Miscellaneous				
13	Qualified conservation contribution-Historic structures				
14	Qualified conservation contribution-Other				
15	Real estate-Residential				
16	Real estate-Commercial				
17	Real estate-Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶(_____)				
26	Other ▶(_____)				
27	Other ▶(_____)				
28	Other ▶(_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement 29

		Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, line 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		X
b	If "Yes," describe the arrangement in Part II		
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	X	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	X	
b	If "Yes," describe in Part II.		
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II		

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

NON CASH CONTRIBUTIONS

PART I - QUESTION 31

CONTRIBUTIONS MUST BE ACCEPTABLE TO ROCKEFELLER PHILANTHROPY ADVISORS, WHICH RESERVES THE RIGHT TO DECLINE ANY CONTRIBUTIONS, VERIFY THE SOURCE OF ANY FUNDS, AND IN THE CASE OF NONMARKETABLE ASSETS REQUIRE AN INDEPENDENT APPRAISAL OF VALUE.

NON CASH CONTRIBUTIONS

PART 1 - QUESTION 32A

THE ORGANIZATION USES JP MORGAN CHASE TO RECEIVE AND SELL DONATED SECURITIES.

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

ATTACHMENT 1

STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

PART III - LINE 1

RPA'S MISSION IS TO HELP DONORS CREATE THOUGHTFUL, EFFECTIVE PHILANTHROPY THROUGHOUT THE WORLD. RPA ASSISTS INDIVIDUALS, INSTITUTIONS, GOVERNMENT AGENCIES AND GROUPS IN ACHIEVING THEIR PHILANTHROPIC GOALS BY OFFERING ADMINISTRATIVE, FINANCIAL, GRANT- MAKING AND PROGRAM DEVELOPMENT SUPPORT.

GOVERNANCE, MANAGEMENT, AND DISCLOSURE

PART VI, SECTION A. - QUESTION 2

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

BOARD OF DIRECTORS RELATIONSHIPS - 2009

KEVIN P.A. BRODERICK:

SON-IN-LAW OF ABBY M. O'NEILL, DIRECTOR

NEPHEW BY MARRIAGE OF SHARON PERCY ROCKEFELLER, DIRECTOR

COUSIN BY MARRIAGE OF MICHAEL ROCKEFELLER, DIRECTOR

COUSIN BY MARRIAGE OF VALERIE R. WAYNE, DIRECTOR

COUSIN BY MARRIAGE OF CLAYTON A. ROCKEFELLER, DIRECTOR

COUSIN BY MARRIAGE OF DAVID KAISER, DIRECTOR

COUSIN BY MARRIAGE OF STUART ROCKEFELLER, DIRECTOR

Name of the organization: ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number: 13-3615533

ATTACHMENT 1 (CONT'D)

DAVID KAISER:

NEPHEW OF ABBY M. O'NEILL, DIRECTOR

NEPHEW BY MARRIAGE OF SHARON PERCY ROCKEFELLER, DIRECTOR

COUSIN BY MARRIAGE OF KEVIN P.A. BRODERICK, DIRECTOR

COUSIN OF MICHAEL ROCKEFELLER, DIRECTOR

COUSIN OF VALERIE R. WAYNE, DIRECTOR

COUSIN OF CLAYTON A. ROCKEFELLER, DIRECTOR

COUSIN OF STUART ROCKEFELLER, DIRECTOR

ABBY M. O'NEILL:

MOTHER-IN-LAW OF KEVIN P.A. BRODERICK, DIRECTOR

COUSIN BY MARRIAGE OF SHARON PERCY ROCKEFELLER, DIRECTOR

AUNT OF CLAYTON A. ROCKEFELLER, DIRECTOR

AUNT OF MICHAEL ROCKEFELLER, DIRECTOR

AUNT OF VALERIE R. WAYNE, DIRECTOR

AUNT OF DAVID KAISER, DIRECTOR

AUNT OF STUART ROCKEFELLER, DIRECTOR

CLAYTON ROCKEFELLER:

NEPHEW OF ABBY M. O'NEILL, DIRECTOR

NEPHEW BY MARRIAGE OF SHARON PERCY ROCKEFELLER, DIRECTOR

COUSIN BY MARRIAGE OF KEVIN P.A. BRODERICK, DIRECTOR

COUSIN OF MICHAEL ROCKEFELLER, DIRECTOR

COUSIN OF VALERIE R. WAYNE, DIRECTOR

COUSIN OF DAVID KAISER, DIRECTOR

Name of the organization: ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number: 13-3615533

ATTACHMENT 1 (CONT'D)

COUSIN OF STUART ROCKEFELLER, DIRECTOR

MICHAEL ROCKEFELLER:

BROTHER OF STUART ROCKEFELLER, DIRECTOR

NEPHEW OF ABBY M. O'NEILL, DIRECTOR

NEPHEW BY MARRIAGE OF SHARON PERCY ROCKEFELLER, DIRECTOR

COUSIN BY MARRIAGE OF KEVIN P.A. BRODERICK, DIRECTOR

COUSIN OF VALERIE R. WAYNE, DIRECTOR

COUSIN OF CLAYTON A. ROCKEFELLER, DIRECTOR

COUSIN OF DAVID KAISER, DIRECTOR

SHARON PERCY ROCKEFELLER:

MOTHER OF VALERIE R. WAYNE, DIRECTOR

COUSIN BY MARRIAGE OF ABBY M. O'NEILL, DIRECTOR

AUNT BY MARRIAGE OF KEVIN P.A. BRODERICK, DIRECTOR

AUNT BY MARRIAGE OF MICHAEL ROCKEFELLER, DIRECTOR

AUNT BY MARRIAGE OF CLAYTON A. ROCKEFELLER, DIRECTOR

AUNT BY MARRIAGE OF DAVID KAISER, DIRECTOR

AUNT BY MARRIAGE OF STUART ROCKEFELLER, DIRECTOR

STUART ROCKEFELLER:

BROTHER OF MICHAEL ROCKEFELLER, DIRECTOR

NEPHEW OF ABBY M. O'NEILL, DIRECTOR

NEPHEW BY MARRIAGE OF SHARON PERCY ROCKEFELLER, DIRECTOR

COUSIN BY MARRIAGE OF KEVIN P.A. BRODERICK, DIRECTOR

Name of the organization: ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number: 13-3615533

ATTACHMENT 1 (CONT'D)

COUSIN OF VALERIE R. WAYNE, DIRECTOR

COUSIN OF CLAYTON A. ROCKEFELLER, DIRECTOR

COUSIN OF DAVID KAISER, DIRECTOR

VALERIE R. WAYNE:

DAUGHTER OF SHARON PERCY ROCKEFELLER, DIRECTOR

NIECE OF ABBY M. O'NEILL, DIRECTOR

COUSIN BY MARRIAGE OF KEVIN P.A. BRODERICK, DIRECTOR

COUSIN OF MICHAEL ROCKEFELLER, DIRECTOR

COUSIN OF CLAYTON A. ROCKEFELLER, DIRECTOR

COUSIN OF DAVID KAISER, DIRECTOR

COUSIN OF STUART ROCKEFELLER, DIRECTOR

GOVERNANCE, MANAGEMENT, AND DISCLOSURE

PART VI, SECTION B. - QUESTION 11A

THE FORM 990 WILL BE DISTRIBUTED TO THE BOARD CHAIR, TREASURER, AND AUDIT COMMITTEE CHAIR PRIOR TO FILING FOR THEIR REVIEW AND COMMENT.

GOVERNANCE, MANAGEMENT, AND DISCLOSURE

PART VI, SECTION B. - QUESTION 12C

THE SECRETARY OF RPA ANNUALLY CIRCULATES A DISCLOSURE FORM FOR DIRECTORS AND STAFF TO LIST ORGANIZATIONS IN WHICH THE DIRECTOR OR STAFF MEMBER OR A SPOUSE OR CHILD PLAYS A FIDUCIARY OR LEADING ROLE. IN ADDITION, DIRECTORS AND STAFF WILL LIST THOSE ORGANIZATIONS IN WHICH THEY, A SPOUSE OR A CHILD HOLD A SIGNIFICANT FINANCIAL INTEREST AND WHICH HAVE A

Name of the organization: ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number: 13-3615533

ATTACHMENT 1 (CONT'D)

BUSINESS RELATIONSHIP WITH RPA. ON THE SAME FORM, DIRECTORS AND STAFF ACKNOWLEDGE THAT THEY UNDERSTAND AND ADHERE TO THE RPA'S CONFLICT OF INTEREST POLICY AND PROCEDURE. DIRECTORS AND STAFF WILL INFORM THE SECRETARY OF RPA OF ANY CHANGES OR NEW RELATIONSHIPS.

IF A POTENTIAL CONFLICT EXISTS, INVOLVED PARTIES WILL DISCLOSE THE POTENTIAL CONFLICT AND EXCUSE THEMSELVES FROM DISCUSSION OR ANY VOTE RELATED TO THE MATTER.

GOVERNANCE, MANAGEMENT, AND DISCLOSURE

PART VI, SECTION B. - QUESTIONS 15A & 15B

PHILOSOPHY:

ROCKEFELLER PHILANTHROPY ADVISORS IS COMMITTED TO RECRUITING, RETAINING, AND MOTIVATING HIGHLY QUALIFIED AND HIGH-PERFORMING STAFF, AND PROVIDING A MARKET-COMPETITIVE COMPENSATION AND BENEFITS PACKAGE, LINKED TO THE SUCCESS OF THE INDIVIDUAL, THE ORGANIZATION AND ITS MISSION-DRIVEN OUTCOMES. RPA PRIMARILY COMPARES ITS COMPENSATION TO THE LARGER FOUNDATIONS IN THE NEW YORK CITY REGION AS WELL AS TO SMALL TO MID-SIZE CONSULTING ORGANIZATIONS NATIONWIDE, WHILE ALSO MONITORING PRACTICES AND TRENDS IN THE BROADER NONPROFIT AND GENERAL EMPLOYMENT MARKETS. RPA IS COMMITTED TO BOTH EXTERNAL COMPETITIVENESS AND INTERNAL EQUITY FOR ITS COMPENSATION PROGRAM PRACTICES.

EMPLOYEE POLICIES:

Name of the organization: ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number: 13-3615533

ATTACHMENT 1 (CONT'D)

RPA'S PERFORMANCE AND COMPENSATION PLANS, INCLUDING BUDGETS ARE APPROVED ANNUALLY BY THE BOARD OF DIRECTORS. THE FOLLOWING IS A SUMMARY OF THE GENERAL PRINCIPLES ON WHICH RPA'S PERFORMANCE ASSESSMENT AND COMPENSATION PROCESSES ARE BASED.

PERFORMANCE REVIEW AND COMPENSATION DECISIONS AT RPA ARE MADE ON THE BASIS OF A BOARD-APPROVED PERFORMANCE APPRAISAL FORM, WHICH ESTABLISHES PERFORMANCE STANDARDS AND IMPORTANT VALUES THAT ARE EXPECTED OF ALL EMPLOYEES. AT THE BEGINNING OF EACH CALENDAR YEAR, EACH RPA EMPLOYEE DEVELOPS A PERFORMANCE PLAN THAT HIS/HER SUPERVISOR AND THE PRESIDENT OF RPA MUST APPROVE. ELIGIBLE EMPLOYEES ALSO ESTABLISH SPECIFIC GOALS FOR INCENTIVE COMPENSATION (BONUS) PAYMENTS. AT THE END OF THE CALENDAR YEAR, AN EMPLOYEE AND HIS/HER SUPERVISOR REVIEW HOW THE EMPLOYEE HAS PERFORMED AGAINST THIS PLAN AND THE PERFORMANCE STANDARDS.

SUPERVISORS COMPLETE THE PERFORMANCE APPRAISAL FORM IN WRITING, AND RECOMMEND SALARY INCREASES (AS WELL AS INCENTIVE PAYMENTS FOR ELIGIBLE EMPLOYEES) BASED ON PERFORMANCE. BOTH SUPERVISOR AND EMPLOYEE MUST SIGN THE PERFORMANCE APPRAISAL FORM. SALARY ADJUSTMENTS AND BONUS PAYMENTS MUST BE APPROVED BY THE PRESIDENT OF RPA.

GOVERNANCE, MANAGEMENT, AND DISCLOSURE

PART VI, SECTION C. - QUESTION 19

RPA MAKES IT'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE GENERAL PUBLIC UPON REQUEST.

Name of the organization: ROCKEFELLER PHILANTHROPY ADVISORS, INC.
Employer identification number: 13-3615533

ATTACHMENT 2

FORM 990, PART VI, LINE 17 - STATES

AL,AZ,AR,CA,CT,

GA,IL,KS,KY,LA,ME,MD,MA,MI,

MN,MS,MO,NH,NJ,NM,NY,ND,OH,OR,PA,

RI,SC,TN,VA,WA,WV,WI.

ATTACHMENT 3

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
WINOKER REALTY COMPANY 462 SEVENTH AVENUE, FLOOR 12A NEW YORK, NY 10018	REALTOR	837,353.
KIWI PARTNERS, INC. 381 PARK AVENUE SOUTH, SUITE 820 NEW YORK, NY 10016	HUMAN RESOURCES	391,119.
QUINN GILLESPIE & ASSOCIATES LLC P.O. BOX 933170 ATLANTA, GA 31193-3170	PUBLIC RELATIONS SVC	310,601.
VANGUARD COMMUNICATIONS 2121 K STREET, NW SUITE 300 WASHINGTON, DC 20037	COMMUNICATION	305,008.
LINK MEDIA, INC. 901 BATTERY STREET, SUITE 308 SAN FRANCISCO, CA 94111	BUSINESS CONSULTING	222,236.
	TOTAL COMPENSATION	2,066,317.

Recipient	Recipient Address Block	Recipient City	Recipient State	Recipient Zip	EIN	Tax Status	Payment Amount	Nature Of Grant	NTEE Code
Shalom Zone Community Inc.	2309 Dryades Street	New Orleans	LA	70113	72-1474651	501(c)(3)	10 000	Fountain of Youth Foundations	R Civil Rights Social Action & Advocacy
Common Ground Relief	PO Box 8126	New Orleans	LA	70174	06-1816720	501(c)(3)	11 000	Lower 9th Ward Urban Farming Coalition	K Food Agriculture & Nutrition
Restaurant Opportunities Center of New York	275 7th Ave, 17th Floor	New York	NY	10001	030522321	501(c)(3)	10 000	ROC-NOLA to support their Policy Committee	S Community Improvement & Capacity Building
Guild Hall Of East Hampton Inc	158 Main Street	East Hampton	NY	11937	111776034	501(c)(3)	20 000	representing the board commitment of Maureen Bluedorn	A Arts, Culture & Humanities
Alliance Of New York State Arts Organizations	Box 96 245 Love Lane	Mattituck	NY	11952	112381138	501(c)(3)	123 000	Bootstraps (supplemental grant)	A Arts Culture & Humanities
The Public Theater	425 Lafayette St	New York	NY	10003	131644852	501(c)(3)	10 000	general support	A Arts, Culture & Humanities
Thirteen/WNET New York	450 West 33rd Street	New York	NY	10001	131945149	501(c)(3)	47 500	general support	A Arts, Culture & Humanities
Thirteen/WNET New York	450 West 33rd Street	New York	NY	10001	131945149	501(c)(3)	5 000	general support - 2009	B Education
Asia Society	725 Park Ave	New York	NY	10021	133234632	501(c)(3)	5 000	general support	A Arts Culture & Humanities
Village Care of New York Inc	154 Christopher Street	New York	NY	10024	133854754	501(c)(3)	5 000	to support the Momentum program	L Housing & Shelter
The University at Albany Foundation	Nelson A. Rockefeller College	Albany	NY	12222	141503972	501(c)(3)	5 000	$2,500 Rockefeller College Annual Fund, $2 500 Frank J	B Education
New York Foundation for the Arts	155 Avenue of the Americas 6	New York	NY	10013	237126564	501(c)(3)	38 700	the Buddy Fellowship	A Arts, Culture & Humanities
Smith Farm, Ltd	1632 U Street, NW	Washington	DC	20009	521977976	501(c)(3)	43 000	general support	E Health Care
Interfaith Sponsoring Committee BISCO (Bayou Interfaith She	1922 Bayou Rd	Thibodaux	LA	70301	721260542	501(c)(3)	5,000	Emergency grant approved in Sept 2008 but not paid due	C Environment
Interfaith Sponsoring Committee BISCO	1922 Bayou Road	Thibodaux	LA	70301	721260542	501(c)(3)	13 000	Leadership training and community organizing	S Community Improvement & Capacity Building
Mount Desert Island YMCA	21 Park Street	Bar Harbor	ME	04609	01-0211486	501(c)(3)	5 000	Special contribution to this year's annual fund In honor of M	A Arts Culture & Humanities
Waynflete School	360 Spring Street	Portland	ME	4102	01-0211585	501(c)(3)	20 000	general support	B Education
MAPS Worldwide	400 Commonwealth Avenue	Boston	MA	02215	01-0348849	501(c)(3)	6 000	The Guatamala Six Project	O Youth Development
Wendell Gilley Museum of Bird Carving	4 Herrick Road P O Box 254	Southwest Harbor	ME	04679-0254	01-0368574	501(c)(3)	25 000	annual contribution in support of the 2009 operating budge	A Arts Culture & Humanities
Maine Huts & Trails	375 N Main Street	Kingfield	ME	04947	01-0537084	501(c)(3)	10 000	general support	C Environment
D C Preparatory Academy	701 Edgewood Street, NE	Washington	DC	20017	02-0550253	501(c)(3)	5 000	general support	B Education
Communities for Quality Education	11 Dupont Circle	Washington	DC	20036	02-0718299	501(c)(4)	20 000	general support	B Education
Community Policy Research and Training Institute	1072 W Lynch St.	Jackson	MS	39203	02-0787550	501(c)(3)	13 000	Ja Bez Tuition-Free Preschool Programs	B Education
Community Policy Research and Training Institute	1072 W Lynch St.	Jackson	MS	39203	02-0787550	501(c)(3)	10 000	Tylertown-Walthall NAACP Schools and Justice program	B Education
Community Policy Research and Training Institute	1072 W Lynch St.	Jackson	MS	39203	02-0787550	501(c)(3)	12,500	to support quality education and community development	S Community Improvement & Capacity Building
Women's Voices Women Vote Action Fund	1707 L Street NW, Suite 750	Washington	DC	20036	03-0554750	501(c)(4)	50 000	general support	R Civil Rights Social Action & Advocacy
WGBH Educational Foundation	125 Western Avenue	Boston	MA	2134	04-2104397	501(c)(3)	5,000	general support	A Arts, Culture & Humanities
Neighbor to Neighbor Northeast Office	8 Beacon Street - 4th Floor	Boston	MA	02108	04-3507716	501(c)(3)	6 000	general support	S Community Improvement & Capacity Building
The Cantata Singers Inc.	151 First Street, Suite 203	Cambridge	MA	2142	04-6138594	501(c)(3)	30,000	general support	A Arts, Culture & Humanities
Brown University	One Prospect Street□Campus	Providence	RI	02912	05-0258809	501(c)(3)	5 000	general operating	B Education
Cornerstone Campaign	660 Pennsylvania Avenue SE	Washington	DC	20003	05-0528889	501(c)(3)	20 000	for the film "Genomania"	C Environment
Cornerstone Campaign	660 Pennsylvania Avenue SE	Washington	DC	20003	05-0528889	501(c)(3)	108 750	the film "Genomania"	C Environment
Cornerstone Campaign	660 Pennsylvania Avenue SE,	Washington	DC	20003	05-0528889	501(c)(3)	250 000	general support	C Environment
Cornerstone Campaign	660 Pennsylvania Avenue SE	Washington	DC	20003	05-0528889	501(c)(3)	250 000	general support	C Environment
Women Donors Network	1170 Hamilton Court	Menlo Park	CA	94025	05-0542397	501(c)(3)	5 400	general operating support	T Philanthropy & Grantmaking
Fairfield University	1073 N Benson Rd	Fairfield	CT	06824-5171	06-0646823	501(c)(3)	5,000	general support	B Education
Fairfield University	1073 N Benson Rd	Fairfield	CT	06824-5171	06-0646823	501(c)(3)	10,000	Annual Fund	B Education
Yale University, Alumni Fund Office	Contribution Processing□Office	New Haven	CT	06521-2036	06-0646873	501(c)(3)	10 000	General Support	B Education
Choate Rosemary Hall Foundation, Incorporated	333 Christian Street	Wallingford	CT	06492	06-0910420	501(c)(3)	5 000	general support	B Education
Choate Rosemary Hall Foundation, Incorporated	333 Christian Street	Wallingford	CT	06492	06-0910420	501(c)(3)	5,000	general support	B Education
Well Within	1764 Lacrosse Avenue	St. Paul	MN	55119	06-1721815	501(c)(3)	44 000	to support the Energy Healing curriculum project being co	B Education
The Parrish Art Museum	25 Jobs Lane	Southampton	NY	11968	11-1782495	501(c)(3)	25 000	general support of the education program	A Arts Culture & Humanities
International Center for Transitional Justice	5 Hanover Square 24th floor	New York	NY	10004	11-3580024	501(c)(3)	50,000	for Sierra Leone work	Q Intl Foreign Affairs & Natl Security
St. Bernard's School	4 East 98th Street	New York	NY	10029	13-1255270	501(c)(3)	10 000	Annual Fund	B Education
American Friends of Hebrew University	One Battery Park Plaza□25th	New York	NY	10004	13-1568923	501(c)(3)	25 000	general support	B Education
United Nations Association of the USA	801 Second Avenue 2nd Floor	New York	NY	10017-4706	13-1623684	501(c)(3)	25 000	UNA-USA Global Leadership Awards	Q Intl Foreign Affairs & Natl Security
The Brearley School	610 East 83rd Street	New York	NY	10028-7988	13-1623915	501(c)(3)	10,000	Annual Fund	B Education
Museum of the City of New York	1220 Fifth Avenue	New York	NY	10029	13-1624098	501(c)(3)	31,500	general support	A Arts Culture & Humanities
The Museum of Modern Art	11 West 53rd Street	New York	NY	10019	13-1624100	501(c)(3)	5 000	Film Committee	A Arts Culture & Humanities
The Museum of Modern Art	11 West 53rd Street	New York	NY	10019	13-1624100	501(c)(3)	25 000	Annual Fund	A Arts Culture & Humanities
The Museum of Modern Art	11 West 53rd Street	New York	NY	10019	13-1624100	501(c)(3)	5 000	the Education Committee	A Arts Culture & Humanities
The Museum of Modern Art	11 West 53rd Street	New York	NY	10019	13-1624100	501(c)(3)	15 000	the Department of Education	A Arts Culture & Humanities
National Audubon Society	225 Varick Street, 7th Floor	New York	NY	10014	13-1624102	501(c)(3)	35,000	general support	C Environment
National Audubon Society	225 Varick Street, 7th Floor	New York	NY	10014	13-1624102	501(c)(3)	10 000	general support	C Environment
Rockefeller University	1230 York Avenue	New York	NY	10021	13-1624158	501(c)(3)	15 000	general support	B Education
Rockefeller University	1230 York Avenue	New York	NY	10021	13-1624158	501(c)(3)	25 000	general support	B Education
Chapin School	100 East End Avenue	New York	NY	10028	13-1635257	501(c)(3)	5 000	general support	B Education
Chapin School	100 East End Avenue	New York	NY	10028	13-1635257	501(c)(3)	100 000	Endowment Campaign - Together We Will	B Education
The Population Council, Inc	Development Department□On	New York	NY	10017	13-1687001	501(c)(3)	6 000	general support	E Health Care
Historic Hudson Valley	150 White Plains Road	Tarrytown	NY	10591	13-1692608	501(c)(3)	30 000	general support	A Arts Culture & Humanities

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Rippowam Cisque School	P O Box 488	Bedford	NY	10506-0988	13-1740435	501(c)(3)	7 500	general support	B Education	
All Souls Unitarian Church	1157 Lexington Avenue	New York	NY	10075	13-1782493	501(c)(3)	5 000	general support	X Religion-Related	
The Buckley School	113 East 73rd Street	New York	NY	10021	13-1837409	501(c)(3)	5 000	the Annual Fund	B Education	
Vera Institute of Justice	233 BroadwayD12th floor	New York	NY	10279	13-1941827	501(c)(3)	40 000	for Common Justice Project	I Crime & Legal-Related	
Episcopal School in the City of New York	35 East 69th Street	New York	NY	10021	13-1945827	501(c)(3)	7 500	Annual Fund	B Education	
Inter Religious Foundation for Community Organization, Inc.	418 West 145th Street	New York	NY	10031	13-2590546	501(c)(3)	14 000	support of the People's Environmental Center and their wo	R Civil Rights Social Action & Advocacy	
Inter-Religious Foundation for Community Organization, Inc	418 West 145th Street	New York	NY	10031	13-2590546	501(c)(3)	14,000	support of the People's Environmental Center and their wo	R Civil Rights Social Action & Advocacy	
Fund for the City of New York	121 Avenue of the AmericasDC	New York	NY	10013-1605	13-2612524	501(c)(3)	6 000	Likoni Scholarship Fund (LSF)	B Education	
Natural Resources Defense Council	40 West 20th Street	New York	NY	10011	13-2654926	501(c)(3)	250 000	general operating	C Environment	
Learning Leaders Inc	60 Maiden Lane 11th Floor	New York	NY	10038-4729	13-2658549	501(c)(3)	5 000	the Annual Fund	B Education	
Bronx Museum of the Arts Inc	1040 Grand Concourse	Bronx	NY	10456	13-2709388	501(c)(3)	25 000	education program	B Education	
Legal Action Center	225 Varick Street	New York	NY	10014	13-2756320	501(c)(3)	30 000	on behalf of the National H I R E Network, for Federal La	I Crime & Legal-Related	
Human Rights Watch	350 Fifth Avenue 34th Floor	New York	NY	10118-3299	13-2875808	501(c)(3)	25,000	general support	R Civil Rights Social Action & Advocacy	
The Alan Guttmacher Institute	120 Wall Street	New York	NY	10005	13-2880727	501(c)(3)	5 000	general support	F Mental Health & Crisis Intervention	
Scenic Hudson, Inc	One Civic Center Plaza Suite 2	Poughkeepsie	NY	12601-3156	13-2888799	501(c)(3)	5 000	for restoration of the Kathryn W Davis Bathhouse in Sleep	C Environment	
American Family Therapy Academy	1608 20th Street, NW 4th Floo	Washington	DC	20009	13-2951626	501(c)(3)	15 000	general support	F Mental Health & Crisis Intervention	
Asian Cultural Council, Inc	6 West 48th Street, 12th Floor	New York	NY	10036	13-3018822	501(c)(3)	10 000	general support	A Arts Culture & Humanities	
Asian Cultural Council, Inc.	6 West 48th Street, 12th Floor	New York	NY	10036	13-3018822	501(c)(3)	25 000	general support	A Arts Culture & Humanities	
Asian Cultural Council, Inc	6 West 48th Street, 12th Floor	New York	NY	10036	13-3018822	501(c)(3)	25 000	general support	A Arts, Culture & Humanities	
Asian Cultural Council, Inc.	6 West 48th Street, 12th Floor	New York	NY	10036	13-3018822	501(c)(3)	6,500	Annual Support	A Arts Culture & Humanities	
Asian Cultural Council	6 West 48th St., 12th FloorDN	New York	NY	10036-1602	13-3018822	501(c)(3)	5,000	general support	A Arts, Culture & Humanities	
Central Park Conservancy	14 East 60th Street	New York	NY	10022	13-3022855	501(c)(3)	250 000	general support	C Environment	
Tibet Fund	241 East 32nd Street, 2nd Floo	New York	NY	10016	13-3115145	501(c)(3)	10 000	In support of "Wisdom and Compassion for Challenging T	R Civil Rights, Social Action & Advocacy	
Food Bank For New York City Food for Survival	Hunts Point Co-operative Mar	Bronx	NY	10474	13-3178548	501(c)(3)	25 000	general support	S Community Improvement & Capacity Building	
Riverkeeper Inc	828 South Broadway, Suite 10	Tarrytown	NY	10591-6602	13-3204821	501(c)(3)	5 000	general support	C Environment	
Riverkeeper Inc	828 South Broadway Suite 10	Tarrytown	NY	10591-6602	13-3204821	501(c)(3)	5 000	general support	C Environment	
Riverkeeper Inc	828 South Broadway Suite 10	Tarrytown	NY	10591-6602	13-3204821	501(c)(3)	10 000	general support for the April 22nd dinner	A Arts Culture & Humanities	
Riverkeeper Inc	828 South Broadway, Suite 10	Tarrytown	NY	10591-6602	13-3204821	501(c)(3)	5 000	general support	C Environment	
The Bhutan Foundation	2100 Pennsylvania AvenueDSu	Washington	DC	20037	13-3378290	501(c)(3)	5 000	to support the Youth Development Fund	O Youth Development	
The Synergos Institute Inc	51 Madison Avenue 21st floor	New York	NY	10010	13-3392006	501(c)(3)	5 000	In support of Sderot - Gaza Convoy for Peace	Q Intl, Foreign Affairs & Natl Security	
The Doe Fund Inc.	232 East 84th Street	New York	NY	10028	13-3412540	501(c)(3)	7 500	general support	S Community Improvement & Capacity Building	
Médecins Sans Frontières USA	333 Seventh Avenue 2nd Floo	New York	NY	10001-5004	13-3433452	501(c)(3)	60 000	general support	E Health Care	
Médecins Sans Frontières USA	333 Seventh Avenue 2nd Floo	New York	NY	10001-5004	13-3433452	501(c)(3)	60 000	general support	E Health Care	
Urban Justice Center	123 William Street, 16th Floor	New York	NY	10038	13-3442022	501(c)(3)	40 000	general support	S Community Improvement & Capacity Building	
Art In General Inc.	79 Walker Street	New York	NY	10013-3523	13-3472869	501(c)(3)	25,000	The education program	A Arts, Culture & Humanities	
Covenant House Washington	2001 Mississippi Avenue SE	Washington	DC	20020	13-3537709	501(c)(3)	75 000	for support of the Street and Community Outreach progra	O Youth Development	
Planned Parenthood Action Fund	434 West 33rd Street	New York	NY	10001	13-3539048	501(c)(4)	14 000	general support	E Health Care	
City Parks Foundation	830 Fifth AvenueDThe Arsenal	New York	NY	10021	13-3561657	501(c)(3)	20 000	the Ft. Tryon Park Trust	C Environment	
Bronx Cluster of Settlement Houses Inc	337 Alexander Avenue	Bronx	NY	10454	13-3784150	501(c)(3)	50 000	Programs that deliver direct services to individuals and fa	P Human Services	
Shared Interest, Inc.	121 West 27th Street, Suite 9C	New York	NY	10001	13-3836581	501(c)(3)	8 000	general support	P Human Services	
Green Chimneys Children s Services Inc	400 Doansburg Road Box 719	Brewster	NY	10509	13-3897106	501(c)(3)	8,000	general support	C Environment	
Green Chimneys Children's Services Inc	400 Doansburg Road Box 719	Brewster	NY	10509	13-3897106	501(c)(3)	5 000	general support	C Environment	
Green Chimneys Children's Services, Inc.	400 Doansburg Road Box 719	Brewster	NY	10509	13-3897106	501(c)(3)	10 000	general support	C Environment	
Demos. A Network for Ideas and Action	220 Fifth Avenue Fifth Floor	New York	NY	10001	13-4105066	501(c)(3)	5 000	general support	R Civil Rights, Social Action & Advocacy	
Visible Theatre Inc.	P O Box 1110	New York	NY	10159	13-4133834	501(c)(3)	5 000	general support	A Arts Culture & Humanities	
Legal Aid Society	199 Water Street	New York	NY	10038	13-6562265	501(c)(3)	25 000	general support	R Civil Rights Social Action & Advocacy	
New York University	Office of the Treasurer0726 Ba	New York	NY	10003-9580	13-6562308	501(c)(3)	5 000	Tisch School of the Arts	B Education	
The Correctional Association of New York	2090 Adam Clayton Powell Blv	New York	NY	10027	13-6562324	501(c)(3)	30 000	designated for advocacy work around the prison downsizi	I Crime & Legal-Related	
New York Civil Liberties Union Foundation	125 Broad Street, 17th Floor	New York	NY	10004	13-6167207	501(c)(3)	10 000	general support	R Civil Rights Social Action & Advocacy	
International Institute of Rural Reconstruction	40 Exchange Place, Suite 111	New York	NY	10005	13-6175722	501(c)(3)	10 584	SATVE Phase II Project in the Philippines	C Environment	
Rockefeller Family Fund Inc	475 Riverside Drive, Suite 900	New York	NY	10115	13-6257658	501(c)(3)	7 500	general support	T Philanthropy & Grantmaking	
Rockefeller Family Fund Inc.	475 Riverside Drive Suite 900	New York	NY	10115	13-6257658	501(c)(3)	30 000	for the grantmaking program	T Philanthropy & Grantmaking	
Rockefeller Family Fund Inc	475 Riverside Drive Suite 900	New York	NY	10115	13-6257658	501(c)(3)	20 000	general support	T Philanthropy & Grantmaking	
Rockefeller Family Fund Inc.	475 Riverside Drive Suite 900	New York	NY	10115	13-6257658	501(c)(3)	12 000	general support	T Philanthropy & Grantmaking	
Rockefeller Family Fund, Inc	475 Riverside Drive Suite 900	New York	NY	10115	13-6257658	501(c)(3)	5,000	general support	T Philanthropy & Grantmaking	
North Country School, Inc.	P O Box 187	Lake Placid	NY	12946	14-1430542	501(c)(3)	25 000	general support	B Education	
University of Rochester Medical Center	300 East River RoadDP O Bo	Rochester	NY	14627	16-0743209	501(c)(3)	25 000	the Wynne Center for Family Research	H Medical Research	
The Global Peace Initiative of Women	301 East 57th Street, 3rd Floo	New York	NY	10022	20-0499760	501(c)(3)	5 000	general support	Q Intl Foreign Affairs & Natl Security	
Georgia Stand Up	501 Pulliam SLOSte 500	Atlanta	GA	30312	20-0984437	501(c)(3)	7,500	to support the continued work with The Comm Foundation	R Civil Rights Social Action & Advocacy	
Georgia Stand Up	501 Pulliam SLOSte 500	Atlanta	GA	30312	20-0984437	501(c)(3)	5 350	To support the continued work with the Comm Foundation	C Environment	
Portersville Revival Group	PO Box 371	Codan	AL	36523	20-1127484	501(c)(3)	11 000	Community empowerment and organizing programs	S Community Improvement & Capacity Building	

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Calcutta Kids Inc.		c/o Nosh LevinsonO31-C Jay (	Cambridge	MA	2139	20-1726303	501(c)(3)	12 500	general support	E Health Care
Mentoring Today		2309 Martin Luther King, Jr Av	Washington	DC	20020	20-2325886	501(c)(3)	10 000	to support a partnership between Mentoring Today and Co	O Youth Development
Mentoring Today		2309 Martin Luther King Jr Av	Washington	DC	20020	20-2325886	501(c)(3)	50 000	mentoring and legal advocacy services for youth in New B	O Youth Development
The Jonang Foundation		182 Howard Street #206	San Francisco	CA	94105	20-2754684	501(c)(3)	15 000	08-013-8-OV Jonang Tibetan Manuscript Text Preservatio	Q Intl Foreign Affairs & Natl Security
The Hole in the Wall Foundation		One Century TowerO265 Chur	New Haven	CT	6510	20-3865042	501(c)(3)	10 000	the June 6th Celebration	F Mental Health & Crisis Intervention
The Hole in the Wall Foundation		One Century TowerO265 Chur	New Haven	CT	6510	20-3865042	501(c)(3)	10,000	the June 6th Celebration	F Mental Health & Crisis Intervention
Social Impact International		P O Box 37	Los Gatos	CA	95031-0037	20-4754157	501(c)(3)	94 711	general support	P Human Services
Tri-Coastal Community Outreach, Inc		10520 Highway 188	Grand Bay	AL	36541	20-5554250	501(c)(3)	13 000	Continued support to aid the elderly and under-privileged r	B Education
Youth Represent, Inc.		c/o CASEBO346 Broadway 3(	New York	NY	10013	20-8034010	501(c)(3)	30 000	general support	R Civil Rights Social Action & Advocacy
Safe Streets-Strong Communities		1600 Oretha C Haley Blvd	New Orleans	LA	70113	20-8295353	501(c)(3)	30 000	general support	O Youth Development
Safe Streets-Strong Communities		1600 Oretha C Haley Blvd	New Orleans	LA	70113	20-8295353	501(c)(3)	10,000	Ongoing work on police monitoring including gathering "b	R Civil Rights Social Action & Advocacy
Puentes New Orleans		1050 S Jefferson Davis Pkwy(	New Orleans	LA	70125	20-8846198	501(c)(3)	15 000	support of the Inter-ethnic Leadership and Youth Develop	R Civil Rights Social Action & Advocacy
Lawrenceville School		PO Box 6125	Lawrenceville	NJ	08648	21-0834503	501(c)(3)	10 000	Annual Fund	B Education
Lawrenceville School		PO Box 6125	Lawrenceville	NJ	08648	21-0834503	501(c)(3)	5 000	Anonymous general support contribution for restricted gift	B Education
Village Enterprise Fund		751 Laurel Street, PMB 222	San Carlos	CA	94070	22 2852248	501(c)(3)	25 000	for its integrated Micro-enterprise Development and Cons	S Community Improvement & Capacity Building
The Arnold Gold Foundation		619 Palisade Avenue 2nd floo	Englewood Cliffs	NJ	7632	22-3052098	501(c)(3)	10,000	general support	E Health Care
Public Conversations Project, Inc.		46 Kondazian Street	Watertown	MA	02472-2832	22-3432160	501(c)(3)	5,000	general support	W Public & Societal Benefit
Public Conversations Project, Inc		46 Kondazian Street	Watertown	MA	02472-2832	22-3432160	501(c)(3)	5,000	general support	R Civil Rights Social Action & Advocacy
Desiderata Hederae Athletica Corporation		P O Box 224	Briarcliff	NY	10510	22-3757804	501(c)(3)	10 000	scholarship fund	N Recreation & Sports
American Friends Service Committee		1501 Cherry Street	Philadelphia	PA	19102-1479	23-1352010	501(c)(3)	12 000	immigrant rights work in the US especially among the und	Q Intl Foreign Affairs & Natl Security
First Presbyterian Church of Bayou Blue		3200 Highway 316	Gray	LA	70359	23-6393377	501(c)(3)	14 000	to support Grand Bayou Community United	S Community Improvement & Capacity Building
Oxfam America Inc.		226 Causeway St 5th Floor	Boston	MA	2114	23-7069110	501(c)(3)	70 000	general support	Q Intl Foreign Affairs & Natl Security
New York Preparatory School Foundation		40 West 68th Street	New Y ork	NY	10023	23-7068898	501(c)(3)	20 000	$10 000 for the Annual FundO$10 000 for the Scholarship	B Education
Maine Coast Heritage Trust		1 Bowdoin Mill Island, Suite 201	Topsham	ME	04086	23-7099105	501(c)(3)	50 000	for the new MCHT office in Somesville	C Environment
Maine Coast Heritage Trust		1 Bowdoin Mill Island Suite 201	Topsham	ME	04086	23-7099105	501(c)(3)	20 000	general support	C Environment
North Charles Foundation		955 Massachusetts AvenueOS	Cambridge	MA	2139	23-7157222	501(c)(3)	12 000	general support	E Health Care
Sarah Lawrence College		1 Meadway	Bronxville	NY	10708	23-7223216	501(c)(3)	30 000	Social Responsibility Scholarship Fund	R Civil Rights Social Action & Advocacy
International Women's Health Coalition, Inc.		333 Seventh Avenue, 6th floor	New York	NY	10001	23-7378153	501(c)(3)	5 000	general support	E Health Care
Friends of the Earth		311 California St., Suite 510	San Francisco	CA	94104	23-7420680	501(c)(3)	5 000	Planet Advocacy Fund to honor Brant Blackwelder	C Environment
Friends of the Earth		1717 Massachusetts Avenue (	Washington	DC	20036-2002	23-7420680	501(c)(3)	7,000	general support	C Environment
Ophidian Research Institute		1530 Locust Street, Suite 80	Philadelphia	PA	19102-4415	26-0033085	501(c)(3)	13 365	Program 6008-090-6-GZ Guwa Cultural Restoration Asse	Q Intl Foreign Affairs & Natl Security
Education Equality Project		895 BroadwayO5th Floor	New York	NY	10013	26-3252725	501(c)(3)	25,000	in support of the Education Equality Project's education ra	B Education
Education Equality Project		895 BroadwayO5th Floor	New York	NY	10013	26-3252725	501(c)(3)	100 000	in support of its education rally on May 16 2009 in Washi	B Education
HoopNights		824 Seventh St	New Orleans	LA	70115	26-4078863	501(c)(3)	10 000	support of the Lower 9th Ward pilot program combining pl	R Civil Rights, Social Action & Advocacy
Common Purpose Project		1300 Connecticut Avenue NW	Washington	DC	20036	26-4325885	501(c)(4)	5 000	General operating support	R Civil Rights, Social Action & Advocacy
America Votes Education and Action		1401 New York Avenue Suite	Washington	DC	20005	26-4568349	501(c)(4)	50 000	general support	R Civil Rights, Social Action & Advocacy
Center for American Progress Action Fund		1333 H Street NW, 10th Floor	Washington	DC	20005	30-0192708	501(c)(4)	5 000	support for efforts to enhance rapid response communica	R Civil Rights Social Action & Advocacy
Interfaith Youth Core		910 W Van buren st, 4th fl	Chicago	IL	60607	30-0212534	501(c)(3)	10 000	support "United We Serve" Summer Program in Chicago	B Education
Thrice Blessed Foundation		P O Box 2571	Westport	CT	06880	30-0472228	501(c)(3)	10 000	is one time grant to support the John of God photographic	X Religion-Related
Center for Environmental and Economic Justice		336 Rodenburg Avenue	Biloxi	MS	39531-3444	31-1786883	501(c)(3)	20 000	support of the Woman's Resource and Empowerment Ce	R Civil Rights, Social Action & Advocacy
Woonasquatucket Valley Community Build		27 Sims Avenue	Providence	RI	2909	32-0015513	501(c)(3)	8 000	The Steelyard	S Community Improvement & Capacity Building
One Fire Development Corporation		1220 Southmore	Houston	TX	77004	33-1017561	501(c)(3)	10 000	to support the involvement of Native American youth in the	A Arts, Culture & Humanities
Case Western Reserve University		The Center for Research on TI	Cleveland	OH	44106-7125	34-1018992	501(c)(3)	55 512	08-077-T-SO Sheep Loan Program, Phase TAR $24 000	Q Intl Foreign Affairs & Natl Security
Neighborhood Partnership Network		4902 Canal St.OSte 301	New Orleans	LA	70119	35-2261054	501(c)(3)	20 000	support of the Capacity College to establish a new standa	R Civil Rights Social Action & Advocacy
Chicago Community Trust		111 E Wacker Drive #1400	Chicago	IL	60601	36-2167000	501(c)(3)	500 000	Launch of One Nation Civic Engagement Initiative in Chica	B Education
Interfaith Worker Justice		1020 West Bryn Mawr 4th Flo	Chicago	IL	60660-4627	36-4063982	501(c)(3)	10 000	support of Interfaith Worker Justice New Orleans work and	R Civil Rights, Social Action & Advocacy
Kids Rethink New Orleans Schools		338 Baronne Street 3rd Floor	New Orleans	LA	70117	38-3655028	501(c)(3)	5 000	support of the expansion of the Rethink School based clut	R Civil Rights Social Action & Advocacy
Kids Rethinking New Orleans' Schools		1011 Orleans Street	New Orleans	LA	70116	383-655-028	501(c)(3)	5 000	to assist Rethink families who evacuated with their return t	C Environment
White Earth Land Recovery Project		607 Main Avenue	Callaway	MN	56521	41-1673825	501(c)(3)	25,000	support the Climate Change Resilience project	C Environment
NonProfit Innovations		115 West 30th Street, Suite 7C	New York	NY	10001	42-1587468	501(c)(3)	15 000	support the NY AIDS Coalition	E Health Care
NonProfit Innovations		115 West 30th Street, Suite 7C	New York	NY	10001	42-1587468	501(c)(3)	15 000	support the NY AIDS Coalition	E Health Care
NonProfit Innovations		115 West 30th Street, Suite 7C	New York	NY	10001	42-1587468	501(c)(3)	20 000	to support the Kirkridge Retreat & Study Center	C Environment
NonProfit Innovations		115 West 30th Street, Suite 7C	New York	NY	10001	42-1587468	501(c)(3)	20 000	to support the Kirkridge Retreat & Study Center	C Environment
The Youth Empowerment Project		1604 Oretha Castle Haley Blvd	New Orleans	LA	70113	42-1633080	501(c)(3)	30 000	general support	O Youth Development
Global Rites of Passage		P O Box 1314	Abiquiu	NM	87510	42-1750547	501(c)(3)	5 000	to support the "Children of Gravity" video documentary pro	B Education
Free Minds		P O Box 5821	Arlington	VA	22205	43-2066514	501(c)(4)	9 000	to support a part-time Reentry Outreach Worker	O Youth Development
Free Minds		P O Box 5821	Arlington	VA	22205	43-2066514	501(c)(4)	50 000	general support	O Youth Development
Accidental Repertory Theater		555 Eighth AvenueOSuite 403	New York	NY	10018	43-2108773	501(c)(3)	17 500	general support	C Environment
Media Matters for America		1625 Massachusetts Ave NW,	Washington	DC	20036	47-0928008	501(c)(3)	5,000	project support	R Civil Rights Social Action & Advocacy
Tides Foundation		The PresidioOP O Box 29186	San Francisco	CA	94129	51-0198509	501(c)(3)	30 000	to support the Youth Justice Funding Collaborative	O Youth Development
Sailors for the Sea		58 Commercial Wharf East	Boston	MA	2110	51-0508943	501(c)(3)	30,000	as a general support contribution to be matched on a 3 1	C Environment

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Project Eye to Eye	30 Tomkins Place	Brooklyn	NY	11231	61-0570498	501(c)(3)	10 000	San Francisco Chapter	E Health Care		
Washington Peace Center	1525 Newton Street, NW	Washington	DC	20010	52-0810472	501(c)(3)	5 000	Voters for Peace	R Civil Rights Social Action & Advocacy		
Amnesty International U S A	5 Penn Plaza	New York	NY	10001	52-0851555	501(c)(3)	10,000	general support	Q Intl Foreign Affairs & Natl Security		
Environmental Law Institute	2000 L Street, Ste 620	Washington	DC	20036-4919	52-0901863	501(c)(3)	25 000	to launch an annual two-day Public Interest Environmenta	C Environment		
National Park Foundation	1201 I Street, NW Suite 550B	Washington	DC	20005	52-1088751	501(c)(3)	25 000	general support	C Environment		
Catholics for a Free Choice	1436 U Street NWOSuite 301	Washington	DC	20009-3916	52-1154418	501(c)(3)	18 000	general support	E Health Care		
Center on Budget and Policy Priorities	820 First Street, N E OSuite 51	Washington	DC	20002	52-1234565	501(c)(3)	10 000	general operating support	R Civil Rights Social Action & Advocacy		
Washington Office on Latin America Inc	1666 Connecticut Avenue NW	Washington	DC	20009	52-1249353	501(c)(3)	15 000	general support	Q Intl Foreign Affairs & Natl Security		
League of Conservation Voters Education Fund	1920 L Street, NWOSuite 800	Washington	DC	20036	52-1379881	501(c)(3)	50 000	general support	B Education		
National Fish and Wildlife Foundation	1133 Fifteenth Street NW, Suit	Washington	DC	20005	52-1384139	501(c)(3)	100,000	Support for 3 events in 2008 and 2009	C Environment		
National Fish and Wildlife Foundation	1133 Fifteenth Street NW Suit	Washington	DC	20005	52-1384139	501(c)(3)	25 000	general support	C Environment		
American Bird Conservancy	PO Box 249	The Plains	VA	20198	52-1501259	501(c)(3)	20,000	general operating support	D Animal-Related		
Metro Teen AIDS	651 Pennsylvania Ave , SE	Washington	DC	20003	52-1810088	501(c)(3)	8 000	to support the DC Alliance of Youth Advocates in creating	O Youth Development		
Metro Teen AIDS	651 Pennsylvania Ave SE	Washington	DC	20003	52-1810088	501(c)(3)	50 000	general support of D C Alliance of Youth Advocates	R Civil Rights, Social Action & Advocacy		
Alliance for Healthy Homes	P O Box 75941	Washington	DC	20013	52-1879599	501(c)(3)	10 000	to support Moving Forward Gulf Coast as the fiscal sponsor	R Civil Rights Social Action & Advocacy		
World Wildlife Fund	1250 - 24th Street, NW	Washington	DC	20090-7180	52-1883387	501(c)(3)	50,000	09-078-T-NC Changtang Management Capacity Developr	Q Intl Foreign Affairs & Natl Security		
World Wildlife Fund	1250 - 24th Street, NW	Washington	DC	20090-7180	52-1883387	501(c)(3)	8 000	general support	D Animal-Related		
League of Conservation Voters	1920 L Street, NWOSuite 800	Washington	DC	20036	52-1733698	501(c)(4)	200 000	for the State Capacity Building Division	C Environment		
League of Conservation Voters	1920 L Street, NWOSuite 800	Washington	DC	20036	52-1733698	501(c)(4)	10 000	general support	R Civil Rights Social Action & Advocacy		
Faith & Politics Institute	110 Maryland Avenue, NE Suit	Washington	DC	20002	52-1759052	501(c)(3)	5 000	general support	X Religion-Related		
Women for Women International	4455 Connecticut Avenue NW	Washington	DC	20008	52-1838756	501(c)(3)	10 000	general support	P Human Services		
Women for Women International	4455 Connecticut Avenue, NW	Washington	DC	20008	52-1838756	501(c)(3)	10 000	general support	Q Intl, Foreign Affairs & Natl Security		
Farmworker Health Services Inc	1221 Massachusetts Ave NW	Washington	DC	20005	52-1852828	501(c)(3)	20 000	general support	S Community Improvement & Capacity Building		
Urban Alliance Foundation	1327 14th Street, NW Suite 2(	Washington	DC	20005	52-1936443	501(c)(3)	20 000	the Alumni Service program for Urban Alliance alumni wh	O Youth Development		
See Forever Foundation	1436 U Street, NW	Washington	DC	20009	52-1942257	501(c)(3)	50 000	the Transition Center's educational, employment and life	O Youth Development		
USAction Education Fund	1825 K Street, NWOSuite 210	Washington	DC	20006	52-2214307	501(c)(3)	5 000	general support	R Civil Rights Social Action & Advocacy		
USAction Education Fund	1825 K Street, NWOSuite 210	Washington	DC	20006	52-2214307	501(c)(3)	5 000	general support	B Education		
The Wilderness Society	1615 M Street, NW	Washington	DC	20036	53-0167933	501(c)(3)	25 000	to further the goals of the Environmental Diversity Working	C Environment		
Georgetown University	1304 36th St NWOGeorgetov	Washington	DC	20057	53-0196603	501(c)(3)	10 000	School of Foreign Service	B Education		
The Africa-America Institute	420 Lexington Ave OSuite 170(	New York	NY	10170-0002	53-0218859	501(c)(3)	10,000	in lieu of attending the September 21st 25th Awards Gala	B Education		
The Nature Conservancy - Maine Chapter	Fort AndrossO14 Maine Street	Brunswick	ME	4011	53-0242652	501(c)(3)	5 000	general support	C Environment		
Colonial Williamsburg Foundation	P O Box 1776	Williamsburg	VA	23187-1776	54-0505886	501(c)(3)	5 000	general support	A Arts Culture & Humanities		
Colonial Williamsburg Foundation	P O Box 1776	Williamsburg	VA	23187-1776	54-0505886	501(c)(3)	5 000	general support	B Education		
Virginia Organizing Project	703 Concord Avenue	Charlottesville	VA	22903	54-1674992	501(c)(3)	20 000	general operating	C Environment		
International Fund for China's Environment	2421 Pennsylvania Ave NW	Washington	DC	20037	54-1814885	501(c)(3)	10 000	Program 8 - NGO capacity development	Q Intl Foreign Affairs & Natl Security		
Barrier Free Living Holding Inc	270 East Second Street	New York	NY	10009-7815	54-2082229	501(c)(3)	20 000	general support	E Health Care		
North Carolina Therapeutic Riding Center	P O Box 841	Carrboro	NC	27510-0841	56-1381694	501(c)(3)	25 000	general support	E Health Care		
North Carolina Therapeutic Riding Center	P O Box 841	Carrboro	NC	27510-0841	56-1381694	501(c)(3)	10 000	$5 000 for the Equine Assisted Psychotherapy, $5 000 for	F Mental Health & Crisis Intervention		
Guardians Institute	P O Box 3782	New Orleans	LA	70117	56-2559502	501(c)(3)	10 000	Sankofa Saturdays Promoting Youth Education and Multi	B Education		
South Carolina Coastal Conservation League	P O Box 1765	Charleston	SC	29042-9940	57-0887278	501(c)(3)	20 000	general operating support	C Environment		
Spelman College	350 Spelman Lane SW	Atlanta	GA	30314	58-0566243	501(c)(3)	8 000	general support	B Education		
Spelman College	350 Spelman Lane SW	Atlanta	GA	30314	58-0566243	501(c)(3)	5 000	general support	B Education		
Federation of Southern Cooperatives Land Assistance Fund	PO Box 95	Epes	AL	35460	58-1026895	501(c)(3)	6,000	support of the joint work with South Bay Communities Alli	R Civil Rights Social Action & Advocacy		
Federation of Southern Cooperatives Land Assistance Fund	PO Box 95	Epes	AL	35460	58-1026895	501(c)(3)	13 750	to support South Bay Community Alliances	R Civil Rights Social Action & Advocacy		
Institute for Southern Studies Inc.	P O Box 531	Durham	NC	27702	58-1090440	501(c)(3)	13,000	Realizing Our Nations Promise to the Gulf Coast A Comn	R Civil Rights Social Action & Advocacy		
Tacoma Pierce County Habitat for Humanity	P O Box 7124	Tacoma	WA	98407	58-1735531	501(c)(3)	85 000	2009 Sponsorship for "Under One Roof Inter-faith Build"	L Housing & Shelter		
Friends of the Rockefeller State Park Preserve	P O Box 8444	Sleepy Hollow	NY	10591	58-2295320	501(c)(3)	5 000	general support	C Environment		
Friends of the Rockefeller State Park Preserve	PresidentOP O Box 8444	Sleepy Hollow	NY	10591	58-2295320	501(c)(3)	5 500	general support	C Environment		
Friends of the Rockefeller State Park Preserve	PresidentOP O Box 8444	Sleepy Hollow	NY	10591	58-2295320	501(c)(3)	5 000	general support	C Environment		
Friends of the Rockefeller State Park Preserve	P O Box 8444	Sleepy Hollow	NY	10591	58-2295320	501(c)(3)	5 000	an additional general support grant	C Environment		
Advocates for Environmental Human Rights	650 Poydras Street, Suite 252	New Orleans	LA	70130	60-0589888	501(c)(3)	7,500	Nowa Miasto received a grant in 2008 but did not have a f	C Environment		
Southern Poverty Law Center Inc	400 Washington Avenue	Montgomery	AL	36104	63-0586743	501(c)(3)	7 500	general support	S Community Improvement & Capacity Building		
Alabama Arise	P O Box 612	Montgomery	AL	36101	63-1030875	501(c)(4)	10 000	to advocate for fair Katrina relief for some 1000 families c	R Civil Rights Social Action & Advocacy		
The United Christian Community Association	1618 County Road 65	Safford	AL	36773	63-1205867	501(c)(3)	14,000	support of rural community development and education for	R Civil Rights Social Action & Advocacy		
Southwest Alabama Association of Rural & Minority Women Inc	P O Box 2087	Tuscaloosa	AL	35403	63-1218242	501(c)(3)	13 750	U-FACT project "Youth In Action," emphasis on youth cult	R Civil Rights Social Action & Advocacy		
Mighty Men of Valor	c/o Craig Dolby 102 Ruby Drive	Gulfport	MS	39503	64-0434184	501(c)(3)	5 000	MMOV Leadership Institute Mentoring Mississippi Men	O Youth Development		
Mighty Men of Valor	c/o Craig Dolby 102 Ruby Drive	Gulfport	MS	39503	64-0434184	501(c)(3)	12 500	To support the youth leadership development work of Migh	O Youth Development		
Housing 2010 - Moss Point	5000-A Morris Street	Moss Point	MS	39563	64-0904170	501(c)(3)	12 500	support to educate and empower the residents of Kreole (	R Civil Rights Social Action & Advocacy		
Florida Gulf Coast University Foundation	Dr Thomas J RobertsOAssoc	Fort Myers	FL	33965-6565	65-0403969	501(c)(3)	15 000	to support Richard Clugston as Project Director of Earth C	Q Intl, Foreign Affairs & Natl Security		
BlueVoice org	24 Dolphin Drive	St Augustine	FL	32080	68-0458549	501(c)(3)	5 000	general support	C Environment		
BlueVoice org	24 Dolphin Drive	St Augustine	FL	32080	68-0458549	501(c)(3)	25 000	general support	C Environment		

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BlueVoice org	10 Sunfish Drive	St Augustine	FL	32080	68-0458549	501(c)(3)	8 000	general support	C Environment	
Louisiana Conference of the United Methodist Church	527 North Boulevard	Baton Rouge	LA	70802-5700	72-0459028	501(c)(3)	10 000	support of the Dulac Community Center	R Civil Rights Social Action & Advocacy	
The People s Institute for Survival and Beyond	601 N Carrollton	New Orleans	LA	70119	72-1160700	501(c)(3)	15 000	support of the program Strong Leaders Moving from Vict	R Civil Rights Social Action & Advocacy	
Video Verosity	8117 Oak Street Suite 204	New Orleans	LA	70118	72-1248350	501(c)(3)	10 000	support of the Patois International Human Rights Film Fes	R Civil Rights, Social Action & Advocacy	
Center for Fair Housing	PO Box 161202	Mobile	AL	36616	72-1341767	501(c)(3)	13 750	support of the Trinity Gardens Preservation Initiative	R Civil Rights Social Action & Advocacy	
Mercy Housing and Human Development	1010 Ford Street□Gulfport, MI	Gulfport	MS	39507	72 1354070	501(c)(3)	8 500	GCF Advisor Discretionary Gift: Thao Vu	L Housing & Shelter	
Families and Friends of Louisiana's Incarcerated Children (F	1600 Orethe Castle Haley Blvd	New Orleans	LA	70113	72-1403026	501(c)(3)	15 000	support of Parents In Schools Leadership Project	R Civil Rights Social Action & Advocacy	
Tekrema Center for Art and Culture	5640 Burgundy St	New Orleans	LA	70117	72-1500232	501(c)(3)	10 000	the State of Mind project to address Black on Black crime	R Civil Rights, Social Action & Advocacy	
Innocence Project New Orleans	636 Baronne Street	New Orleans	LA	70113	72 1501261	501(c)(3)	20 000	to support Voice of the Ex-Offender (VOTE)	R Civil Rights Social Action & Advocacy	
National Economic and Social Rights Initiative	90 John St., Ste 308	New York	NY	10038	73-1714118	501(c)(3)	10 000	May Day New Orleans and their campaigns to address the	S Community Improvement & Capacity Building	
Rocky Mountain Institute	1739 Snowmass Creek Road	Snowmass	CO	81654	74-2244146	501(c)(3)	5 000	general support	C Environment	
Southwest Workers Union	P O Box 830708	San Antonio	TX	78283	74-2720710	501(c)(3)	10 000	general support	J Employment	
Texas Criminal Justice Coalition	510 S Congress Avenue□Suit	Austin	TX	78704	74 2969471	501(c)(3)	30,000	general support	R Civil Rights Social Action & Advocacy	
Blue Planet Run Foundation	500 Sansome St Suite 205	San Francisco	CA	94111	74-3050022	501(c)(3)	5 000	general support	C Environment	
Fair Chance	2000 M Street, NW Suite 530	Washington	DC	20036	74-3091832	501(c)(3)	5 000	to create a Learning Community of organizations that serv	O Youth Development	
Fair Chance	2000 M Street, NW Suite 530	Washington	DC	20036	74-3091832	501(c)(3)	50 000	capacity-building partnerships with organizations that serv	S Community Improvement & Capacity Building	
Fund for Global Human Rights	One Farragut Square South 16	Washington	DC	20006	75-3028338	501(c)(3)	50 000	for West Africa grants to support human rights activists in	Q Intl Foreign Affairs & Natl Security	
New Orleans Food and Farm Network	4840 Banks St	New Orleans	LA	70119	75-3182338	501(c)(3)	14 000	support of the Farm Yard Project and the development of	R Civil Rights Social Action & Advocacy	
Youth for Environmental Sanity (YES)	240 Harkleroad Avenue	Santa Cruz	CA	95062	77-0467495	501(c)(3)	5 000	to honor of the efforts of those dedicated to working for a	C Environment	
Hedgebrook Foundation	2197 Millman Road	Langley	WA	98260	80-0012829	501(c)(3)	5 000	General support	A Arts Culture & Humanities	
American Indian Institute	P O Box 1388	Bozeman	MT	59715	81-0339551	501(c)(3)	8 000	support the Fire & Ice ceremony/ Sirmiq Aatiq	A Arts Culture & Humanities	
GrowSmart Maine	Sparhawk Mill Building□81 Bric	Yarmouth	ME	4096	81-0620680	501(c)(3)	5 000	general support	C Environment	
The Ordinary People's Society	403 West Powell St	Dothan	AL	36303	82-0587071	501(c)(3)	15 000	to restore and habilitate people's lives affect policy & shif	R Civil Rights Social Action & Advocacy	
Food Bank of the Rockies	c/o FirstBank Box 151560	Lakewood	CO	80215-9801	84-0772672	501(c)(3)	7,750	general support	P Human Services	
Capitol Hill Community Services	1420 Ogden Street	Denver	CO	80218	84 1002978	501(c)(3)	8 000	general support	P Human Services	
Morris Animal Foundation	10200 East Girard Avenue B4	Denver	CO	80231	84-6032307	501(c)(3)	40 000	to support the Morris Animal Veterinary Student Scholars	D Animal-Related	
Denver Rescue Mission	P O Box 5022	Denver	CO	80217	84-6036762	501(c)(3)	8 000	general support	P Human Services	
Collective Heritage Institute/Bioneers	1607 Paseo de Peralta Suite 1	Lamy	NM	87501	85-0432731	501(c)(3)	25 000	to continue to expand its work with the Indigenous Forum	A Arts Culture & Humanities	
Associated Ministries of Tacoma-Pierce County	1224 South I Street	Tacoma	WA	98405	91-0847534	501(c)(3)	5 000	2009 Sponsorship of the Puget Sound Interfaith Youth Ca	X Religion-Related	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	47 316	Program 1 1 $18 000 US Program Management (fringe b	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	72 624	Program 1 1 Payroll & Fringe Benefits $54 324□Program	B Education	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	8 000	Program 8 Project funds for teachers and volunteers	B Education	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	20 000	Program NOR-4-08 Support for International Program act	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	50 000	TBF International Program Management	Q Intl, Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW, Unit A	Washington	DC	20009	91-2144422	501(c)(3)	22,000	TBF Scholarship and Training Programs 2009	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW, Unit A	Washington	DC	20009	91-2144422	501(c)(3)	47 000	TBF US Program Management - Salary Benefits and Frir	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW, Unit A	Washington	DC	20009	91-2144422	501(c)(3)	20 000	NOR-2-08 $10 000 TBF Coordination Meetings in China□	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW, Unit A	Washington	DC	20009	91-2144422	501(c)(3)	5,000	Khangsar Boarding School project development expenses	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW, Unit A	Washington	DC	20009	91-2144422	501(c)(3)	31 500	Program 1 1 Payroll & Fringe Benefits $18 000 for TBF st	Q Intl, Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	11 223	TBF International Program Management □Program NOR-	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW, Unit A	Washington	DC	20009	91-2144422	501(c)(3)	83 327	TBF US Program Management - Salary Benefits and Frin	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	5 000	Grant to TBF-VA - Operational account for administrative	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	15 000	TBF Cultural Heritage Program NOR-8-08	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street NW, Unit A	Washington	DC	20009	91 2144422	501(c)(3)	80 700	Program 1 1 $38 000 Payroll $10 200 benefits-Insurance	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	27 821	Higher Education Program - USAID Rigdrol Boston Unive	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	16 000	TBF Higher Education Program supported by Michael We	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	82 682	Q4 2009 Monthly Projections□Program 1 1 Personnel $5	Q Intl Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW, Unit A	Washington	DC	20009	91-2144422	501(c)(3)	13 500	Program 4 Education consultant - Kevin Stuart	Q Intl, Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	20 000	November 2009 Salary, benefits Direct Costs Bangkok T	Q Intl, Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	41,875	Program 1 3 - operational costs	Q Intl, Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW Unit A	Washington	DC	20009	91-2144422	501(c)(3)	75,000	TBF International Program Management□Program NOR	Q Intl, Foreign Affairs & Natl Security	
The Bridge Fund	2018 R Street, NW, Unit A	Washington	DC	20009	91-2144422	501(c)(3)	18 450	TBF International Program Management□Program NOR-	Q Intl, Foreign Affairs & Natl Security	
Alaska Conservation Foundation	441 West 5th Avenue Suite 40	Anchorage	AK	99501-2340	92-0061466	501(c)(3)	15 000	general support	C Environment	
Rafal Nursery	1720 West 25th Avenue	Eugene	OR	97405	93-0764800	501(c)(3)	10 000	Therapeutic Early Childhood Program	B Education	
Western States Center Inc	522 SW 5th Ave , Ste 1390	Portland	OR	97240	93-0952137	501(c)(3)	10 000	support for the Paul Loeb Project	S Community Improvement & Capacity Building	
Stanford University	326 Galvez Street	Stanford	CA	94305-6105	94-1156365	509(a)(1)	10 000	In support of the Bio-X Student Travel Awards	H Medical Research	
Stanford University	326 Galvez Street	Stanford	CA	94305-6105	94-1156365	509(a)(1)	20 000	to support the research of molecular mechanisms of inflar	H Medical Research	
Stanford University	Design Institute□Building 524□	Stanford	CA	94305	94-1156365	509(a)(1)	15 000	to support the Social Entrepreneurship Lab at the Hasso P	S Community Improvement & Capacity Building	
Stanford University	326 Galvez St.	Stanford	CA	94305-6105	94-1156365	509(a)(1)	25 000	support for the Third Annual Comprehensive Cancer Rese	H Medical Research	
Stanford University	326 Galvez St.	Stanford	CA	94305-6105	94-1156365	509(a)(1)	21 600	support underwriting cost of 25 seminar speakers for the	H Medical Research	
Stanford University	326 Galvez St.	Stanford	CA	94305-6105	94-1156365	509(a)(1)	21 600	support underwriting cost of 25 seminar speakers for the	H Medical Research	

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Stanford University	326 Galvez St	Stanford	CA	94305-8105	94-1156365	509(a)(1)	8 640	Travel expenses for post-doctorate fellow in Immunology	H Medical Research	
University of California San Francisco Foundation	UCSF Helen Diller Family Com	San Francisco	CA	94104	94-2829914	501(c)(3)	20 000	grant is on behalf of the UCSF Pancreas Cancer Program	H Medical Research	
The Island Institute	P O Box 648□388 Main Stree	Rockland	ME	4841	94-3057411	501(c)(3)	10 000	2009 operating budget and community-wind project	C Environment	
Spirit in Action	274 North Street	Belchertown	MA	1007	94-3213100	501(c)(3)	20 000	general support	R Civil Rights, Social Action & Advocacy	
BUILD	5 Palo Alto Square 6th Floor□	Palo Alto	CA	94306	94-3388895	501(c)(3)	20,000	supporting youth entrepreneurship to reduce drop-out rate	O Youth Development	
University of Southern California	University Park Campus□Cent	Los Angeles	CA	90089-1147	95-1642394	501(c)(3)	63 343	for support of the American Muslim Civic Leaders (AMCL)	A Arts Culture & Humanities	
Pro Mujer	240 West 35th Street, Suite 4(	New York	NY	10001	98-0115409	501(c)(3)	15 000	general support	Q Intl Foreign Affairs & Natl Security	
National Wildlife Federation	11100 Wildlife Center Drive	Reston	VA	20190-5362	53-0204616	501(c)(3)	25 000	to educate the public and build support for policies that wil	S Community Improvement & Capacity Building	
Salisbury School Inc	251 Canaan Road	Salisbury	CT	6068	06-0646588	501(c)(3)	5 000	general support	B Education	
The Abraham Path Initiative	1245 Pearl Street, Suite 208	Boulder	CO	80302	20-8041715	501(c)(3)	5 000	general support	X Religion-Related	
United Church of Christ	1914 N Miro Street	New Orleans	LA	70119	72-0696797	501(c)(3)	10 000	Three part healing ministry	E Health Care	
Princeton University	Trustees of Princeton Universit	Princeton	NJ	08543-0046	21-0634501	501(c)(3)	25 000	20th Reunion Gift	B Education	
Detroit Historical Society	5401 Woodward Avenue	Detroit	MI	48202	38-1381144	501(c)(3)	7 500	general support	A Arts Culture & Humanities	
Princeton University	PO Box 48	Princeton	NJ	8544	21-0634501	501(c)(3)	10 000	Class of 1998	B Education	
Princeton University	PO Box 48	Princeton	NJ	08544	21-0634501	501(c)(3)	10 000	Atelier Endowment	B Education	
New York Landmarks Conservancy Inc.	One Whitehall Street	New York	NY	10004	23-7181785	501(c)(3)	6 000	$5 000 for the Sacred Sites Program□$1 000 for the City	A Arts Culture & Humanities	
New York Public Library	Fifth Avenue and 42nd Street□	New York	NY	10018-2788	13-1867440	501(c)(3)	5,000	to renew membership at the Conservator level	B Education	
Food Animal Concerns Trust, Inc	P O Box 14599	Chicago	IL	60614	36-3172805	501(c)(3)	24 000	Keep Antibiotics Working campaign	K Food Agriculture & Nutrition	
Winrock International Institute for Agricultural Development	2101 Riverfront Drive	Little Rock	AR	72202	71-0803580	501(c)(3)	20 000	general support	C Environment	
Hampshire College	893 West Street	Amherst	MA	1002	04-6130872	501(c)(3)	7 500	general support	B Education	
Trident United Way	P O Box 63305	North Charleston	SC	29419	57-0314378	501(c)(3)	10 000	general support	P Human Services	
Reed College	3203 S E Woodstock Boulevi	Portland	OR	97202	93-0386908	501(c)(3)	5,000	general support	B Education	
Midwest Academy Inc.	28 East Jackson Suite 605	Evanston	IL	60604	36-2776406	501(c)(3)	5 000	in the name of Heather Booth	B Education	
Natural Resources Defense Council Action Fund	40 West 20th Street	New York	NY	10011	13-3976062	501(c)(4)	250 000	general support	C Environment	
ProgressNow Action	1536 Wynkoop Street Suite 4A	Denver	CO	80202	65-1244918	501(c)(4)	5 000	Video Production training to state affiliates	R Civil Rights Social Action & Advocacy	
Bayou Grace Community Services Incorporated	PO Box 238	Chauvin	LA	70344	20-8026024	501(c)(3)	14 000	to support the volunteer coordination project and the comr	R Civil Rights Social Action & Advocacy	
Council on Foreign Relations	58 East 68th Street	New York	NY	10065	13-1628188	501(c)(3)	5,000	general support - 2009	Q Intl Foreign Affairs & Natl Security	
Student Conservation Association Inc.	689 River Road P O Box 550	Charlestown	NH	03603-0550	91-0880684	501(c)(3)	25,000	support from the NRCA will strengthen and sustain SCA's	C Environment	
Sustainable Forestry Initiative Inc.	1600 Wilson Blvd Suite 810	Arlington	VA	22209	80-0030060	501(c)(3)	13 000	to facilitate a partnership with Habitat for Humanity the Na	P Human Services	
African Wildlife Foundation	1400 Sixteenth St. N W Suite	Washington	DC	20036	52-0781380	501(c)(3)	25 000	The African Wildlife Foundation, together with the people o	C Environment	
National Environmental Education Foundation	4301 Connecticut Avenue NW	Washington	DC	20008	54-1557043	501(c)(3)	25 000	to allow the National Environmental Education Foundation	C Environment	
SeaWeb	8401 Colesville Rd □Suite 500	Silver Spring	MD	20910	52 2156577	501(c)(3)	25,000	strengthen ability of Pacific Island community leaders trib	A Arts Culture & Humanities	
William C Velasquez Institute	209 Lombard Drive	San Antonio	TX	78226	74-2378901	501(c)(3)	25 000	to begin to achieve transformations along the LA River thr	C Environment	
Tides Foundation for The Philanthropy Workshop West	P O Box 29198	San Francisco	CA	94129-0198	51-0198509	501(c)(3)	5 000	The Philanthropy Workshop West Alumni Fund, Ruth Ben	T Philanthropy & Grantmaking	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	1 000 000	transfer of $1 million to Distel Partners LLC Uwazi Fund	T Philanthropy & Grantmaking	
Adopt A-Minefield	801 2nd Avenue	New York	NY	10017	13-1623884	501(c)(3)	10,000	for No More Landmines	R Civil Rights Social Action & Advocacy	
Alliance for Young Artists & Writers Inc.	555 Broadway	New York	NY	10012	13-3780998	501(c)(3)	10 000	general support	A Arts Culture & Humanities	
Gilda's Club Westchester	80 Maple Avenue	White Plains	NY	10601	13-3939823	501(c)(3)	20 000	support Latino outreach and Noogieland programs	E Health Care	
Gilda s Club Westchester	80 Maple Avenue	White Plains	NY	10601	13-3939823	501(c)(3)	20 000	support Latino outreach and Noogieland programs	E Health Care	
Northside Center for Child Development	1301 Fifth Avenue	New York	NY	10029	13-1656879	501(c)(3)	10 000	general support	P Human Services	
Northside Center for Child Development	1301 Fifth Avenue	New York	NY	10029	13-1656879	501(c)(3)	10 000	general support	P Human Services	
One Heart, Inc.	352 Denver Street Suite 350	Salt Lake City	UT	84111	20-0443243	501(c)(3)	75,000	Program 6 9 mother and child health center in Ihass TAR	E Health Care	
Trinity Lutheran Church	168 W 100th Street	New York	NY	10025			15 000	to support the Trinity Place shelter for LGBTQ youth	X Religion-Related	
Volunteers of America - Greater New York	340 West 85th Street	New York	NY	10024	58-1959781	501(c)(3)	10 000	to enhance institutional giving and major donor services	T Philanthropy & Grantmaking	
National Jewish Health	1400 Jackson Street□Suite M	Denver	CO	80206	74-2044647	501(c)(3)	20 000	to support the 10th Annual Colorado Immunology Confere	H Medical Research	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	299 047	final transfer of remaining funds to "Distel Partners LLC, P	T Philanthropy & Grantmaking	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	95 401	final transfer of remaining funds to "Distel Partners LLC, R	T Philanthropy & Grantmaking	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	81,193	final transfer of remaining funds to "Distel Partners LLC U	T Philanthropy & Grantmaking	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	466 955	final transfer of remaining funds to "Distel Partners LLC C	T Philanthropy & Grantmaking	
The Ohio State University Medical Center	215 Means Hall□1654 Upham	Columbus	OH	43210-1250	31-1145986	501(c)(3)	50 200	assist in the development of a comprehensive website for	H Medical Research	
Vermont Studio Center Inc.	P O Box 613	Johnson	VT	5656	22 2478074	501(c)(3)	29 000	general support, renovation of the Trustee House and pur	A Arts Culture & Humanities	
YMCA of Greater Boston	316 Huntington Avenue	Boston	MA	02115	04-2103551	501(c)(3)	67 118	general support	W Public & Societal Benefit	
Texas Environmental Advocacy Services (TEJAS)	6733 Harrisburg Blvd	Houston	TX	77077	02-0749501	501(c)(3)	11 000	Home Safe Home Project	P Human Services	
Mossville Environmental Action Now	650 Prater Rd	Westlake	LA	70669	72-1425917	501(c)(3)	11 000	Mossville environmental justice project	B Education	
Center for Rural Strategies	46 East Main Street	Whitesburg	KY	41858	81-1379952	501(c)(3)	8 000	After the Storm (Winter 2009 grant)	A Arts Culture & Humanities	
Water keeper Alliance, Inc.	50 South Buckhout Street, Suit	Irvington	NY	10533	13-4071318	501(c)(3)	5,000	to support Atle Klein's work on The Dirty Lie website maint	C Environment	
Efforts of Grace	Ashe Cultural Arts Center□171	New Orleans	LA	70113	72-1266819	501(c)(3)	10,000	AIRNOLA Douglas Redd Cultural Summit	A Arts Culture & Humanities	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	21,676	transfer of funds to "Distel Partners LLC Tanager Fund #	T Philanthropy & Grantmaking	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	10 927	transfer of funds to "Distel Partners LLC Tanager Fund #	T Philanthropy & Grantmaking	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	7,630	transfer of funds to "Distel Partners LLC Tanager Fund #	T Philanthropy & Grantmaking	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	7 750	transfer of funds to "Distel Partners LLC, Tanager Fund #	T Philanthropy & Grantmaking	

ATTACHMENT 4

Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	6 500	transfer of funds to "Distal Partners LLC Tanager fund #1	T Philanthropy & Grantmaking	
Fidelity Charitable Gift	100 Crosby Parkway□Mail Zor	Covington	KY	41015-9325	11-0303001	501(c)(3)	5 953	transfer of funds to "Distal Partners LLC Tanager Fund #	T Philanthropy & Grantmaking	
Princeton University Class of 1958	P O Box 5357	Princeton	NJ	08543	21-0834501	501(c)(3)	5 000	Princeton University Annual Giving - Class of 1958	B Education	
Al-Maun - Neighborly Needs - of Las Vegas	Imam Fatesen Sisfullah□711 M	Las Vegas	NV	89106	32-0067925	501(c)(3)	5 000	Civic Leadership Intensive Training - Tuition & Books - Ara	B Education	
New Orleans Parent Organizing Network	200 Broadway St.□Ste 106	New Orleans	LA	70118	26-2603979	501(c)(3)	10 000	To support the parents in charge leadership series	B Education	
Central City Renaissance Alliance	1809 Oretha Castle Haley Blvd	New Orleans	LA	70113	26-1556360	501(c)(3)	11 000	the Latino Farmers Cooperative s Incubator Project	B Education	
Health Care for America Now!	1825 K Street, NW, 4th Floor	Washington	DC	20006	352-332-813	501(c)(4)	50 000	general operating	E Health Care	
Progress Now Education	1536 Wynkoop Street #4A	Denver	CO	80202	20-8722091	501(c)(3)	50 000	general support	B Education	
Harvard University	Class of 1979 Alumni Fund□Le	Boston	MA	02215	53-0199180	501(c)(3)	25 000	for the Class of 1979 Alumni Fund	E Health Care	
American Alumni of Glasgow University	American Alumni of Glasgow U	Milford	CT	06460-3468	26-2199868	501(c)(3)	12 000	Dr Tessa Holyoake's Autophagy Project	E Health Care	
LifeSTARTS Youth & Family Services	1115 Good Hope Road SE	Washington	DC	20020	52-2049292	501(c)(3)	10,000	to support a full-time Outreach Specialist and to engage a	O Youth Development	
ARCH Training Center	1227 Good Hope Road SE	Washington	DC	20020	52-1514297	501(c)(3)	8 000	to develop a Basic Literacy program for unemployed youn	O Youth Development	
The Henry L. Stimson Center	1111 19th St. N W □12th Floo	Washington	DC	20036	52-1640938	501(c)(3)	5 000	the Rule of Law Committee for the Oceans	C Environment	
Arabella Legacy Fund	c/o Arabella Philanthropic Inves	Washington	DC	20036	20-5806345	501(c)(3)	25 000	for Fair Elections Legal Network	W Public & Societal Benefit	
Public Interest Pictures	4334 Glencoe Avenue #8	Marina Del Rey	CA	90292	35-2201704	501(c)(3)	10 000	general support	A Arts Culture & Humanities	
Green Chimneys Children's Services, Inc.	400 Doansburg Road□Caller E	Brewster	NY	10509-0719	14-1568025	501(c)(3)	5 000	to support the construction projects and related initiatives	P Human Services	
HOPE Worldwide, Ltd	353 West Lancaster Avenue□S	Wayne	PA	19087	04-3129839	501(c)(3)	10 000	for the "1000 Dreams" program	S Community Improvement & Capacity Building	
Nuru International	521 Del Medio Ave □#312	Mountain View	CA	94040	26-1250716	501(c)(3)	25 000	to support the rainwater catchment project in Kenya	C Environment	
Development in Gardening	P O Box #18138	Denver	CO	80218	20-4708212	501(c)(3)	24,374	for its continued urban gardening projects	C Environment	
Green Chimneys Children s Services Inc	400 Doansburg Road□Caller E	Brewster	NY	10509-0719	14-1568025	501(c)(3)	5 000	general support	C Environment	
Partnership for Youth Empowerment (PYE Global)	P O Box 846	Langley	WA	98260	80-0429162	501(c)(3)	5 000	designated towards the Matching grant	O Youth Development	
Sixteen Thirty Fund	Unity 09□734-15th Street NW,	Washington	DC	20005	26-4486735	501(c)(4)	5 000	to support the State Research and Power Mapping progra	R Civil Rights, Social Action & Advocacy	
Colorado College	14 East Cache La Poudre	Colorado Springs	CO	80903	84-0402510	501(c)(3)	5,000	Public Interest Fellowship Program	B Education	
University of Minnesota Foundation	American Indian Studies 330 S	Minneapolis	MN	55455	41-6042488	501(c)(3)	8,000	a one time grant to support American Indian Studies spec	A Arts Culture & Humanities	
8 Lab Company	8 Walnut Avenue	Berwyn	PA	19312	20-5958773	501(c)(3)	10 000	support for the GIIRS business planning process	S Community Improvement & Capacity Building	
Indigenous Education Network of Turtle Island	P O Box 485	Bemidji	MN	56619	38-3853476	501(c)(3)	10 000	AKA (common name) Indigenous Environmental Network	C Environment	
Leadership Conference on Civil Rights	1629 K Street, NW 10th Floor	Washington	DC	20006	52-0789800	501(c)(3)	75 000	to advance voter registration modernization	R Civil Rights Social Action & Advocacy	
The Sentencing Project	514 Tenth Street, NW□Suite 1	Washington	DC	20004	52-1472546	501(c)(3)	40 000	for State-level advocacy	I Crime & Legal-Related	
Center for Court Innovation (part of the Fund for the City of New York)	520 Eighth Avenue	New York	NY	10018	13-261-2524	501(c)(3)	25,000	for Midtown Community Court	I Crime & Legal-Related	
Fair Housing Agency of Alabama	574 Azalea Rd □Ste 124	Mobile	AL	36609	72-1383239	501(c)(3)	5 000	support to identify and remove social injustices economic	R Civil Rights, Social Action & Advocacy	
Divine Love World Charity Inc.	P O Box 388	New York	NY	12529	22-3872857	501(c)(3)	5,000	general support	P Human Services	
Coastal Women for Change	P O Box 4194	Biloxi	MS	39532	20-4770180	501(c)(3)	12 500	support to build the capacity of historically marginalized wo	R Civil Rights, Social Action & Advocacy	
Horticultural Society of New York Inc.	148 West 37th Street□13th Fk	New York	NY	10018	13-0854930	501(c)(3)	8 500	the annual luncheon	C Environment	
The Eli and Edythe Broad Foundation	10900 Wilshire Boulevard Twe	Los Angeles	CA	90024	95-6192122	501(c)(3)	70 095	outstanding balance of a $3 500 000 grant	B Education	
Global Development And Environment Institute	Tufts University□44 Teele Ave	Somerville	MA	02144	04-2103634	501(c)(3)	100 000	to be used where most effective	C Environment	
Columbia University School of Nursing	617 West 168th Street	New York	NY	10032	13-5598093	501(c)(3)	50 000	Mary O Neil Mundinger Professorship Endowed Chair	E Health Care	
Northside Cultural Community	7425 Woody Creek Dr	Beaumont	TX	77708	76-0845861	501(c)(3)	13 750	support of health education, job training and civic engage	R Civil Rights, Social Action & Advocacy	
Colorado State University	Animal Cancer Center□Veterin	Fort Collins	CO	80524	23-7098397	501(c)(3)	50 000	In support of the Skippy Frank Fund for Translational Med	H Medical Research	
Boston College	Boston College University Adve	Chestnut Hill	MA	02467	04-2103545	501(c)(3)	5 000	general support of the McMullen Museum of Art	B Education	
Sunlight Network	901 - 15th St. NW Suite 350	Washington	DC	2005	20-3903633	501(c)(3)	75 000	to support work to promote transparency in government	R Civil Rights Social Action & Advocacy	
Teagrae Helping Hands	5130 Chartre St.	New Orleans	LA	70117	74 3116390	501(c)(3)	10 000	to support the Lower Ninth Ward Center for Sustainable E	C Environment	
The Cornerstone School, Inc.	2313 S E Lake Weir Road	Ocala	FL	34471	59-2217451	501(c)(3)	5 000	the Annual Fund	B Education	
ProgressNow Education	1536 Wynkoop Street, #203	Denver	CO	80202	20-8722091	501(c)(3)	50,000	general education	B Education	
Center for Student Success	324 Blackwell Street, Suite 120	Durham	NC	27701	87-0793846	501(c)(3)	7,000	Parents Equipped	B Education	
LifeSTARTS Youth & Family Services	1115 Good Hope Road SE	Washington	DC	20020	52-2049292	501(c)(3)	60 000	truancy outreach and supportive services for disconnected	O Youth Development	
ARCH Training Center	1227 Good Hope Road SE	Washington	DC	20020	52-1514297	501(c)(3)	60 000	for support of the Adult Basic Education pre-GED program	O Youth Development	
Latin American Youth Center	1419 Columbia Road NW	Washington	DC	20009	52-1023074	501(c)(3)	50 000	In support of the Promotor Pathway program	O Youth Development	
DC Public Education Fund	1534 14TH St NW	Washington	DC	20005	26-1807955	501(c)(3)	50 000	for the expansion of the Student Placement Team model	O Youth Development	
Lowcountry Open Land Trust	485 East Bay Street	Charleston	SC	29403	57-0809313	501(c)(3)	5 000	general support	C Environment	
Open Door Family Medical Group North Tarrytown	165 Main Street	Ossining	NY	10562	13-2613103	501(c)(3)	5 000	general support	E Health Care	
Idealist org	302 5th Ave 11th Floor	New York	NY	10001	13-3838763	501(c)(3)	25 000	general operating	J Employment	
Colorado State University	Animal Cancer Center□Veterin	Fort Collins	CO	80524	23-7098397	501(c)(3)	75 000	This Grant is to support the research titled "Myeloid Suppr	H Medical Research	
New Jersey Institute for Social Justice	60 Park Place Suite 811	Newark	NJ	07102	22-3478143	501(c)(3)	5 000	general support	R Civil Rights Social Action & Advocacy	
National Coalition for Dialogue	114 W Springvale Rd	Boiling Springs	PA	17007			5 000	general support	Q Intl Foreign Affairs & Natl Security	
Conflict Resolution Consortium - (check was made out to the University of Colorado, the fiscal sponsor	University of Colorado□Campu	Boulder	CO	80309			5 000	general support	Q Intl Foreign Affairs & Natl Security	

ATTACHMENT 4

Form 8868
(Rev April 2009)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . ▶ X
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization	Employer identification number
	ROCKEFELLER PHILANTHROPY ADVISORS, INC.	13-3615533
	Number, street, and room or suite no. If a P.O. box, see instructions.	
	6 WEST 48TH STREET, 10TH FLOOR	
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	NEW YORK, NY 10036	

Check type of return to be filed (file a separate application for each return)

X	Form 990	☐	Form 990-T (corporation)	☐	Form 4720
☐	Form 990-BL	☐	Form 990-T (sec. 401(a) or 408(a) trust)	☐	Form 5227
☐	Form 990-EZ	☐	Form 990-T (trust other than above)	☐	Form 6069
☐	Form 990-PF	☐	Form 1041-A	☐	Form 8870

- The books are in the care of ▶ THE ORGANIZATION

Telephone No. ▶ 212 812-4330 FAX No ▶ 212 812-4335

- If the organization does not have an office or place of business in the United States, check this box . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . ▶ ☐ . If it is for part of the group, check this box . . ▶ ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶ X calendar year 2009 or
▶ ☐ tax year beginning _____, _____, and ending _____, _____.

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print	Name of Exempt Organization	Employer identification number
File by the extended due date for filing the return See instructions	ROCKEFELLER PHILANTHROPY ADVISORS, INC.	13-3615533
	Number, street, and room or suite no If a P O. box, see instructions 6 WEST 48TH STREET, 10TH FLOOR	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10036	

Check type of return to be filed (File a separate application for each return):

[X] Form 990	[ ] Form 990-PF	[ ] Form 1041-A	[ ] Form 6069
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 4720	[ ] Form 8870
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ THE ORGANIZATION
  Telephone No ▶ 212 812-4330 FAX No. ▶ 212 812-4335
- If the organization does not have an office or place of business in the United States, check this box . . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . . . ▶ [ ]. If it is for part of the group, check this box . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/2010

5 For calendar year 2009 , or other tax year beginning _____, and ending _____.

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ALL THE INFORMATION NECESSARY TO COMPLETE THE RETURN IS NOT AND WILL NOT BE AVAILABLE BY THE DUE DATE. THEREFORE WE RESPECTFULLY REQUEST ADDITIONAL TIME TO COMPLETE THE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ ACCOUNTANTS AUTHORIZED TO SIGN RETURNS Title ▶ Date ▶ AUG 09 2010

Form **8868** (Rev 4-2009)

CONDON O'MEARA MCGINTY & DONNELLY L
ONE BATTERY PARK PLAZA
NEW YORK, NY 10004-1405

JSA
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