

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**2001**Department of the Treasury
Internal Revenue Service

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2001, or tax year beginning , 2001, and ending

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label
Otherwise,
print
or type
See Specific
Instructions8365 *****ECRLDT**C-004
WILLIAM PENN FOUNDATION
2 LOGAN SQ STE 11
PHILADELPHIA PA 19103-2731P 10 I
B 11 S

Room/suite

A Employer identification number

23-1503488

B Telephone number (see page 10 of
the instructions)

(215) 988-1830

City or town, state, and ZIP code

PHILADELPHIA, PA 19103

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end
of year (from Part II, col (c), line
16) 1,047,720,982J Accounting method ☐ Cash ☐ Accrual
☒ Other (specify) **MODIFIED ACCRUAL**
(Part I, column (d) must be on cash basis)C If exemption application is
pending check here ☐
D 1 Foreign organizations check here ☐
2 Foreign organizations meeting the
55% test, check here and attach
computation ☐
E If private foundation status was terminated
under section 507(b)(1)(A) check here ☐
F If the foundation is in a 60-month termination
under section 507(b)(1)(B) check here ☐**Part I Analysis of Revenue and Expenses**
(The total of amounts in columns (b) (c), and
(d) may not necessarily equal the amounts in
column (a) (see page 10 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (attach schedule) Check ☐ if the foundation is not required to attach Sch. B	17,260,000.			STMT 1
2 Distributions from split-interest trusts				
3 Interest on savings and temporary cash investments	48,776	48,776		
4 Dividends and interest from securities	24,345,393	27,066,757		
5a Gross rents				
b (Net rental income or (loss))				
6a Net gain or (loss) from sale of assets not on line 10	107,603,016			
b Gross sales price for all assets on line 6a 2501637523				
7 Capital gain net income (from Part IV, line 2)		109,331,299		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)		1,231,019		STMT 2
12 Total. Add lines 1 through 11	149,257,185	137,677,851		
13 Compensation of officers, directors, trustees, etc.	606,099	173,223		432,876
14 Other employee salaries and wages	2875,297	174,704		1,210,593
15 Pension plans, employee benefits	657,658	69,693		497,911
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	2,607,312	2,556,808		166,138
17 Interest				
18 Taxes (attach schedule) (see page 14 of instructions)	1,458,611	17,873		151,467
19 Depreciation (attach schedule) and depletion	283,878	30,083		
20 Occupancy	232,053	24,591		249,942
21 Travel, conferences, and meetings	86,426	9,159		77,267
22 Printing and publications	86,809	9,199		77,610
23 Other expenses (attach schedule) STMT 5	848,145	52,030		796,116
24 Total operating and administrative expenses Add lines 13 through 23	8,242,288	3,117,363		3,659,920
25 Contributions, gifts, grants paid	61,473,262		STMT 5A	64,653,552
26 Total expenses and disbursements. Add lines 24 and 25	69,715,550	3,117,363		68,313,472
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	79,541,635			
b Net investment income (if negative enter -0-)		134,560,488		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only. (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		161,399	159,001	159,001
	2	Savings and temporary cash investments		28,976,724	42,527,981	42,526,806
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		15,298	7,569	7,569
	10	a Investments - U.S. and state government obligations (attach schedule)		79,913,860	174,690,358	178,999,980
		b Investments - corporate stock (attach schedule) STMT 7		682,876,922	808,821,643	751,545,217
		c Investments - corporate bonds (attach schedule) STMT 8		233,244,750	72,166,383	72,297,056
	Liabilities	11	Investments - land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule)				
12		Investments - mortgage loans				
13		Investments - other (attach schedule) STMT 9		NONE	1,500,000	1,288,823
14		Land, buildings, and equipment basis		2,175,632		
		Less: accumulated depreciation (attach schedule)		1,279,102	896,530	896,530
15		Other assets (describe)				
16		Total assets (to be completed by all filers - see page 16 of the instructions. Also, see page 1, item I)		1,025,987,619	1,100,769,465	1,047,720,982
17		Accounts payable and accrued expenses		54,560,302	50,909,394	
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)		170,296	113,531	STMT 10
	22	Other liabilities (describe) STMT 11		2,658,577	1,606,461	
	23	Total liabilities (add lines 17 through 22)		57,389,175	52,629,386	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 24 through 28 and lines 30 and 31.					
	24	Unrestricted		968,598,444	1,048,140,079	
	25	Temporarily restricted				
	26	Permanently restricted				
	Organizations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see page 17 of the instructions)		968,598,444	1,048,140,079		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		1,025,987,619	1,100,769,465		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	968,598,444
2	Enter amount from Part I, line 27a	2	79,541,635
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,048,140,079
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,048,140,079

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 { 2	109,331,299
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2000	65,598,706	1,178,031,087	0.05568503813
1999	57,964,224	1,102,730,459	0.05256427219
1998	48,075,178	1,015,717,581	0.04733124532
1997	58,371,416	924,042,290	0.06316963697
1996	49,785,772	777,234,935	0.06405498487

2 Total of line 1, column (d)	2	0.28280517748
3 Average distribution ratio for the 5-year base period-divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.05656103550
4 Enter the net value of noncharitable-use assets for 2001 from Part X, line 5	4	1,055,631,111
5 Multiply line 4 by line 3	5	59,707,589
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,345,605
7 Add lines 5 and 6	7	61,053,194
8 Enter qualifying distributions from Part XII, line 4	8	68,612,280

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
b Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic organizations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,345,605.
c All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	1,345,605.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		5	1,345,605.
6 Credits/Payments			
a 2001 estimated tax payments and 2000 overpayment credited to 2001	6a	1,258,000	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	85,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,343,000	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,605	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2002 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities.		
c Did the organization file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the organization \$ <u>NONE</u> (2) On organization managers \$ <u>NONE</u>		
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers \$ <u>NONE</u>		
2 Has the organization engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the organization have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the organization have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <u>PENNSYLVANIA, DELAWARE</u>		
b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV on page 25)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application?	X	
Web site address <u>WWW.WPENNFNDN.ORG</u>		
12 The books are in care of <u>LOUIS J. MAYER</u> Telephone no <u>(215) 988-1830</u> Located at <u>TWO LOGAN SQUARE, 11TH FLOOR, PHILA, PA</u> ZIP+4 <u>19103-2757</u>		
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

	Yes	No
1 a During the year did the organization (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <i>See Part VII</i>	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here	☐	
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?	☐ Yes	☒ No
If "Yes," list the years		
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 19 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3 a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001)	3b	N/A
4 a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?	4b	X
5 a During the year did the organization pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes	☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	5b	N/A
Organizations relying on a current notice regarding disaster assistance check here	☐	
c If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6 a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	X
If you answered "Yes" to 6b, also file Form 8870		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
SEE STATEMENT 12		603,051.	137,940	18,015

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
MARK EYERLY	DIR - COMMUNICATIONS			
C/O WILLIAM PENN FOUNDATION	40 HRS/WK	92,400	22,213.	11,862
JEAN HUNT	PROG DIRECTOR			
C/O WILLIAM PENN FOUNDATION	40 HRS/WK	90,000.	21,659	780.
OLIVE MOSIER	PROG DIRECTOR			
C/O WILLIAM PENN FOUNDATION	40 HRS/WK	90,000.	14,448	130.
GERALDINE WANG	PROG DIRECTOR			
C/O WILLIAM PENN FOUNDATION	40 HRS/WK	83,000	13,731.	780
HOLLISTER KNOWLTON	MGR - PROG COMM			
C/O WILLIAM PENN FOUNDATION	40 HRS/WK	77,120	13,055	780
Total number of other employees paid over \$50,000				6

3 Five highest-paid independent contractors for professional services - (see page 21 of the instructions) If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 13	NONE	

Total number of others receiving over \$50,000 for professional services 8

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 CHILDREN, YOUTH & FAMILIES	
FURTHER STATISTICAL INFORMATION WILL BE PROVIDED UPON REQ	23,343,995
2 ENVIROMENT & COMMUNITY	
FURTHER STATISTICAL INFORMATION WILL BE PROVIDED UPON REQ.	14,143,895.
3 ARTS & CULTURE	
FURTHER STATISTICAL INFORMATION WILL BE PROVIDED UPON REQ	13,630,106.
4 INTERDISCIPLINARY	
FURTHER STATISTICAL INFORMATION WILL BE PROVIDED UPON REQ	8,016,416

Form 990-PF (2001)

Part IX-B Summary of Program-Related Investments (see page 21 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program related investments See page 22 of the instructions	
3 NONE	
Total Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 22 of the instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	1,071,587,626
b Average of monthly cash balances	1b	119,086
c Fair market value of all other assets (see page 22 of the instructions)	1c	NONE
d Total (add lines 1a, b, and c)	1d	1,071,706,712
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	NONE
3 Subtract line 2 from line 1d	3	1,071,706,712
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4	16,075,601.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,055,631,111
6 Minimum investment return Enter 5% of line 5	6	52,781,556

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1 Minimum investment return from Part X, line 6	1	52,781,556.
2a Tax on investment income for 2001 from Part VI, line 5	2a	1,345,605
b Income tax for 2001 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	1,345,605
3 Distributable amount before adjustments Subtract line 2c from line 1	3	51,435,951
4a Recoveries of amounts treated as qualifying distributions	4a	57,607
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	57,607.
5 Add lines 3 and 4c	5	51,493,558
6 Deduction from distributable amount (see page 23 of the instructions)	6	NONE
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	51,493,558

Part XII Qualifying Distributions (see page 23 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	68,313,472
b Program-related investments - Total from Part IX-B	1b	NONE
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	298,808.
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	NONE
b Cash distribution test (attach the required schedule)	3b	NONE
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8 and Part XIII, line 4	4	68,612,280
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	1,345,605
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	67,266,675

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				51,493,558
2 Undistributed income, if any, as of the end of 2000				
a Enter amount for 2000 only			NONE	
b Total for prior years		NONE		
3 Excess distributions carryover, if any, to 2001				
a From 1996	12,290,336			
b From 1997	14,754,538			
c From 1998	NONE			
d From 1999	4,895,449			
e From 2000	8,966,672			
f Total of lines 3a through e	40,906,995			
4 Qualifying distributions for 2001 from Part XII, line 4 ▶ 68,612,280				
a Applied to 2000, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see page 24 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 24 of the instructions)	NONE			
d Applied to 2001 distributable amount				51,493,558
e Remaining amount distributed out of corpus	17,118,722			
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	58,025,717			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 25 of the instructions		NONE		
e Undistributed income for 2000. Subtract line 4a from line 2a. Taxable amount - see page 25 of the instructions			NONE	
f Undistributed income for 2001. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2002				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)	NONE			
8 Excess distributions carryover from 1996 not applied on line 5 or line 7 (see page 25 of the instructions)	12,290,336			
9 Excess distributions carryover to 2002. Subtract lines 7 and 8 from line 6a	45,735,381			
10 Analysis of line 9				
a Excess from 1997	14,754,538			
b Excess from 1998	NONE			
c Excess from 1999	4,895,449			
d Excess from 2000	8,966,672			
e Excess from 2001	17,118,722			

Form 990-PF (2001)

Part IV Private Operating Foundations (see page 25 of the instructions and Part VII-A, question 9)**NOT APPLICABLE**

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2001, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - Enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see page 26 of the instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc. (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 14

- b** The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 15

- c** Any submission deadlines

N/A

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors SEE STATEMENT 15

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 16				
Total			▶ 3a	64,653,552.
b Approved for future payment SEE STATEMENT 17				
Total			▶ 3b	52,302,803

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions on page 26 to verify calculations.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting organization to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other Transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

[illegible]

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

David J. Mayer
 Signature of officer or trustee

Sign Here

Paid Preparer's Use Only	Preparer's signature <i>Donald E. ...</i>
	Firm's name (or yours if self-employed), address, and ZIP code KPMG LLP 1600 MARKET STREET PHILADELPHIA, PA

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545 0047

2001

Name of organization

Employer identification number

THE WILLIAM PENN FOUNDATION**23-1503488**

Organization type (check one)

Filers of

Section

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule**. (Note. Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule - see instructions)**General Rule -**☒

For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules -☐

For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

☐For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)☐For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year) ▶ \$ _____**Caution.** Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990 990-EZ or 990-PF) but they **must** check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2001)

If a section 501(c)(7), (8), or (10) organization received contributions or bequests for use exclusively for religious, charitable, etc., purposes (sections 170(c)(4), 2055(a)(3), or 2522(a)(3)) -

List in Part I each contributor whose contributions total more than \$1,000 during the year that were for a religious, charitable, etc., purpose. To determine the \$1,000, aggregate all of a contributor's gifts for the year (regardless of amount). For a noncash contribution, complete Part II.

All section 501(c)(7), (8), or (10) organizations that received any charitable contributions and listed any charitable contributors on Part I must also complete Part III.

If a section 501(c)(7), (8), or (10) organization received charitable gifts, but is not required to list any charitable contributors on Part I, check the box on line A at the top of Schedule B (Form 990 or 990-EZ) and enter the amount of charitable contributions received in the space provided. The organization need not complete and attach Part III.

Specific Instructions

Note. You may duplicate Parts I, II, and III if more copies are needed. Number each page of each Part.

Part I. In column (a), identify the first contributor listed as no. 1 and the second contributor as no. 2, etc. Number consecutively. Show the contributor's name, address, aggregate contributions for the year, and the type of contribution (e.g., whether an individual, payroll, or noncash contribution). Report payroll contributions by listing the employer's name, address, and total amount given (unless an employee gave enough to be listed individually).

Part II. In column (a), show the number that corresponds to the contributor's number in Part I. Describe the noncash contribution fully. Report on property with readily determinable market value (i.e., market quotations for securities) by listing its fair market value (FMV). For marketable securities registered and listed on a recognized securities exchange, measure market value by the average of the highest and lowest quoted selling prices (or the average between the bona fide bid and asked prices) on the contribution date. See Regulations section 20.2031-2 to determine the value of contributed stocks and bonds. When market value cannot be readily determined, use an appraised or estimated value. To determine the amount of a noncash contribution that is subject to an outstanding debt, subtract the debt from the property's fair market value.

Part III. Section 501(c)(7), (8), or (10) organizations that received contributions or bequests for use exclusively for religious, charitable, etc., purposes must complete Parts I through III for those persons whose gifts totaled more than \$1,000 during the year. Show also, in the heading of Part III, total gifts that were \$1,000 or less and were for a religious, charitable, etc., purpose. Complete this information only on the first Part III page.

If an amount is set aside for a religious, charitable, etc., purpose, show in column (d) how the amount is held (e.g., whether it is mingled with amounts held for other purposes). If the organization transferred the gift to another organization, show the name and address of the transferee organization in column (e) and explain the relationship between the two organizations.

Name of organization

Employer identification number

THE WILLIAM PENN FOUNDATION

23-1503488

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	OTTO HAAS CHARITABLE TRUSTS TWO LOGAN SQUARE - SUITE 1110 PHILADELPHIA, PA 19103	17,260,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Note Do not complete **Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time - Only submit original (no copies needed)

Note Form 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I only ☐
All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization	Employer identification number
	The William Penn Foundation	23-1503488
	Number street and room or suite no. If a P.O. box, see instructions	
	Two Logan Square - 11th Floor	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	Philadelphia, PA 19103-2757	

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-month, for **990-T corporation**) extension of time until August 15, 2002 to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 2001 or
- ☐ tax year beginning _____, _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

- 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ 1,338,362
- b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ 1,258,000
- c **Balance Due** Subtract line 3b from line 3a. Include your payment with this form or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions \$ 80,362

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ►	Title ►	Date ►
For Paperwork Reduction Act Notice, see Instruction		

Form 8868 (12-2000)

THE WILLIAM PENN FOUNDATION
EIN 23-1503488
YEAR ENDED DECEMBER 31, 2001

FORM 990-PF

STATEMENT 1

PART I, LINE 1 - CONTRIBUTION, GIFTS, GRANTS AND SIMILAR AMOUNTS RECEIVED

Contributions in excess of \$5,000 have been reported on Schedule B of Form 990
Pursuant to IRS Regulations, this information is not subject to public disclosure

STATEMENT 1

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041 (or Form 5227) See the separate instructions for
Form 1041 (or Form 5227)

OMB No 1545-0092

2001

Name of estate or trust

Employer identification number

THE WILLIAM PENN FOUNDATION

23-1503480

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo day yr)	(c) Date sold (mo day yr)	(d) Sales price	(e) Cost or other basis (see page 29)	(f) Gain or (Loss) (col (d) less col (e))
1					
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				3	-1,779,579
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2000 Capital Loss Carryover Worksheet				4	()
5 Net short-term gain or (loss) Combine lines 1 through 4 in column (f) Enter here and on line 14 below				5	-1,779,579

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo day yr)	(c) Date sold (mo day yr)	(d) Sales price	(e) Cost or other basis (see page 29)	(f) Gain or (Loss) (col (d) less col (e))	(g) 28% Rate Gain or (Loss) *(see instr below)
6						
SEE STATEMENT 1			2501637523	2394034506	107,603,017	NONE
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				7		
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts				8	3,507,861	
9 Capital gain distributions				9		
10 Gain from Form 4797, Part I				10		
11 Long-term capital loss carryover Enter in both columns (f) and (g) the amount, if any, from line 14, of the 2000 Capital Loss Carryover Worksheet				11	()	()
12 Combine lines 6 through 11 in column (g)				12		
13 Net long-term gain or (loss) Combine lines 6 through 11 in column (f) Enter here and on line 15 below				13	111,110,878	

*28% rate gain or loss includes all "collectibles gains and losses" (as defined on page 30 of the instructions) and up to 50% of the eligible gain on qualified small business stock (see page 28 of the instructions)

Part III Summary of Parts I and II

	(1) Beneficiaries' (see page 30)	(2) Estate's or trust's	(3) Total
14 Net short-term gain or (loss) (from line 5 above)	14		-1,779,579
15 Net long-term gain or (loss):			
a 28% rate gain or (loss) (from line 12 above)	15a		
b Unrecaptured section 1250 gain (see line 17 of the worksheet on page 31)	15b		
c Total for year (from line 13 above)	15c		111,110,878
16 Total net gain or (loss). Combine lines 14 and 15c	16		109,331,299

Note If line 16 column (3) is a net gain enter the gain on Form 1041, line 4. If lines 15c and 16, column (2) are net gains, go to Part V, and do not complete Part IV. If line 16, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D (Form 1041) 2001

Part IV Capital Loss Limitation

17 Enter here and enter as a (loss) on Form 1041, line 4, the smaller of

a The loss on line 16, column (3) or

b \$3,000

17 ()

If the loss on line 16, column (3), is more than \$3,000, or if Form 1041 page 1 line 22 is a loss, complete the **Capital Loss Carryover Worksheet** on page 32 of the instructions to determine your capital loss carryover**Part V Tax Computation Using Maximum Capital Gains Rates** (Complete this part only if both lines 15c and 16 in column (2) are gains, and Form 1041, line 22 is more than zero)

Note If line 15a column (2) or line 15b, column (2) is more than zero, complete the worksheet on page 34 to figure the instructions to figure the amount to enter on lines 20, 27, and 38 below and skip all other lines below. Otherwise, go to line 18

18	Enter taxable income from Form 1041, line 22	18		
19	Enter the smaller of line 15c or 16 in column (2)	19		
20	If the estate or trust is filing Form 4952, enter the amount from line 4e, otherwise, enter -0-	20		
21	Subtract line 20 from line 19. If zero or less, enter -0-	21		
22	Subtract line 21 from line 18. If zero or less, enter -0-	22		
23	Figure the tax on the amount on line 22. Use the 2001 Tax Rate Schedule on page 20 of the instructions	23		
24	Enter the smaller of the amount on line 18 or \$1,800	24		
If line 24 is greater than line 22, go to line 25. Otherwise, skip lines 25 through 31 and go to line 32.				
25	Enter the amount from line 22	25		
26	Subtract line 25 from line 24. If zero or less, enter -0- and go to line 32	26		
27	Enter the estate's or trust's allocable portion of qualified 5-year gain, if any, from line 7c of the worksheet on page 33	27		
28	Enter the smaller of line 26 or line 27	28		
29	Multiply line 28 by 8% (.08)	29		
30	Subtract line 28 from line 26	30		
31	Multiply line 30 by 10% (.10)	31		
If the amounts on lines 21 and 26 are the same, skip lines 32 through 35 and go to line 36.				
32	Enter the smaller of line 18 or line 21	32		
33	Enter the amount, if any, from line 26	33		
34	Subtract line 33 from line 32	34		
35	Multiply line 34 by 20% (.20)	35		
36	Add lines 23, 29, 31, and 35	36		
37	Figure the tax on the amount on line 18. Use the 2001 Tax Rate Schedule on page 20 of the instructions	37		
38	Tax on all taxable income (including capital gains). Enter the smaller of line 36 or line 37 here and on line 1a of Schedule G, Form 1041	38		

Schedule D (Form 1041) 2001

23-1503488

STATEMENT

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
SUBPART F INCOME		1,230,704.
OTHER PORFOLIO INCOME FROM PARTNERSHIP		315.
	-----	-----
TOTALS		1,231,019.
	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
INVESTMENT FEES	2,421,481.	2,421,481.	
PROFESSIONAL FEES	185,831.	19,693.	166,138
OTHER PORTFOLIO DEDUCTIONS		115,634.	
	-----	-----	-----
TOTALS	2,607,312.	2,556,808.	166,138
	=====	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
PAYROLL TAXES	146,469.	15,522.	131,631
OCCUPANCY TAXES	22,187.	2,351.	19,836
FEDERAL EXCISE TAX	1,289,955.		
	-----	-----	-----
TOTALS	1,458,611.	17,873.	151,467
	=====	=====	=====

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
AGENCY TEMPORARY HELP	36,483.	3,866.	32,617.
AMORTIZED INTEREST EXP	23,235.	2,462.	20,773.
BOARD/STAFF DEVELOPMENT	43,561.	4,617.	38,945.
BKS/PERIODICALS/MEDIA/PR	11,226.	1,190.	10,036.
COMPUTER EXPENSE	65,386.	6,929.	58,457.
FURN/EQUIP/LEASE/MAINT	24,001.	2,543.	21,457.
GENERAL INSURANCE	33,456.	3,545.	29,911.
INSTITUTIONAL DUES	131,650.	13,951.	117,699.
MISCELLANEOUS EXPENSE	27,333.	2,896.	24,437.
POSTAGE/DELIVERY	10,104.	1,071.	9,034.
PROGRAM DEVELOPMENT	95,604.		95,604.
PROGRAM EVALUATION	261,558.		261,558.
RECRUITMENT EXPENSE	22,703.	2,406.	20,297.
STATIONARY/SUPPLIES	33,445.	3,544.	29,901.
TELEPHONE	28,400.	3,010.	25,390.
	-----	-----	-----
TOTALS	848,145.	52,030.	796,116.
	=====	=====	=====

Statement #5A.

The William Penn Foundation

EIN 23-1503488

Form 990 PF

Tax year 12/31/2001

Part I - line 25(d)

Total Grants Paid (See final page Appr/Pmt schedule)	65,181,777
Less	
1997 Set Asides	(528,225)
Total	<u>64,653,552</u>

The William Penn Foundation
Cash Disbursement for Grants Set-Aside in 1997
For the year ended 12/31/01

<u>Grant Name</u>	<u>Grant Number</u>	<u>Grant Term (Years)</u>	<u>Grant Amount</u>	<u>Balance As of 12/31/97</u>	<u>Paid in 1998</u>	<u>Paid in 1999</u>	<u>Paid in 2000</u>	<u>Paid in 2001</u>	<u>Balance Future Years</u>
Greater Philadelphia Urban Affairs Coalition A grant to strengthen the Philadelphia Family Centers Network, a government-initiated effort to establish a network of neighborhood prevention services	8097	3	\$ 2,756,250	\$ 2,296,875	\$ 918,750	\$ 918,750	\$ 459,375	\$ -	\$ -
Philadelphia Foundation A grant to continue administering a program that funds enrichment activities for summer camps for children ages 5 to 12	12997	3	2,685,966	1,873,686	935,593	938,093	0	0	\$ -
Philadelphia Health Management Corp A grant to provide coordination and management, communication, evaluation, and technical assistance to the William Penn's Violence Prevention Initiative	8997	3	2,124,733	1,405,810	731,479	674,331	0	0	\$ -
Northwest Interfaith Movement A grant toward continuing and enhancing services for child-care providers through the Philadelphia Early Childhood Collaborative	13997	3	1,662,025	1,074,443	553,400	521,043	0	0	\$ -
Nature Conservancy, Inc (PA) A grant toward land preservation and community-based stewardship programs in Pennsylvania	25397	3	1,650,000	1,501,500	596,000	309,500	196,000	400,000	\$ -
United Way of Southeastern Pennsylvania A grant to support the human service needs of the region through United Way's regrating program	29497	3	1,650,000	1,650,000	550,000	550,000	550,000	0	\$ -
Nature Conservancy, Inc (NJ) A grant toward land preservation and community based programs in the New Jersey Pine Barrens and Delaware Bayshores	25297	3	1,375,000	1,265,000	715,000	550,000	0	0	\$ -

The William Penn Foundation
Cash Disbursement for Grants Set-Aside in 1997
For the year ended 12/31/01

<u>Grant Name</u>	<u>Grant Number</u>	<u>Grant Term (Years)</u>	<u>Grant Amount</u>	<u>Balance As of 12/31/97</u>	<u>Paid in 1998</u>	<u>Paid in 1999</u>	<u>Paid in 2000</u>	<u>Paid in 2001</u>	<u>Balance Future Years</u>
United Way of Southeastern Pennsylvania A grant to establish a center to promote, expand, a strengthen development opportunities	27397	3	1,200,773	1,167,773	149,339	520,224	498,210	0	\$ -
Zoological Society of Philadelphia A grant toward construction of a new primate facility to replace the one destroyed by fire in December 1995	24097	2	1,100,000	1,100,000	275,000	825,000	0	0	\$ -
Philadelphia Foundation A grant toward an endowment for ongoing maintenance of the grounds and buildings of the Fairmount Water Works	24297	2	1,100,000	1,100,000	0	550,000	550,000	0	\$ -
Philadelphia Foundation A grant to this community foundation toward its regranting program	29397	3	819,357	819,357	273,119	273,119	273,119	0	\$ -
Partners for Livable Communities A grant to this national organization, dedicated to improving the quality of life in communities, and for managing the Foundation's Art Works to Build Community initiative	12597	3	697,311	547,207	235,611	311,596	0	0	\$ -
Yale University A grant to this graduate school for the monitoring and evaluation of the Foundation's 50th anniversary grant to the Fairmount Park Commission	13897	5	655,385	534,635	137,080	138,805	130,525	128,225	\$ -
Totals			\$ 19,476,800	\$ 16,336,286	\$ 6,070,371	\$ 7,080,461	\$ 2,657,229	\$ 528,225	\$ -

The William Penn Foundation
EIN 23-1503488
Form 990 PF
Tax year 12/31/2001
Part II - lines 10a, 10b, 10c, /3

	Book Value (1) 12/31/2001	Fair Market Value 12/31/2001
Investments - US Gov't Obligations		
Pacific Investment Management Company	83,780,352	83,138,641
State Street Global Advisors - US Gov't Bond Index	90,910,006	95,861,338
	<u>174,690,358</u>	<u>178,999,980</u>
Investments - Corporate Stock		
State Street Global Advisors - S&P 500 Index Fund	327,610,868	274,860,932
State Street Global Advisors - Wilshire 4500 Index Fund	50,000,000	46,245,494
Oak Associates	53,929,196	36,902,505
Tukman Capital Management	56,767,808	59,276,703
Seneca Capital Management	52,436,028	54,426,577
Schneider Capital Management	66,226,857	75,805,929
Morgan Stanley Asset Management	159,589,483	169,868,013
Templeton Institutional Funds, Inc	42,261,404	34,159,065
	<u>808,821,643</u>	<u>751,545,217</u>
Investments - Corporate Bonds		
Pacific Investment Management Company	71,873,233	72,042,856
Schneider Capital Management	293,150	254,200
	<u>72,166,383</u>	<u>72,297,056</u>
Investments - Other		
Tucker Anthony Private Equity Fund IV	1,500,000	1,288,823

(1) Book value is reported at historical cost.

Statements 6,7,8,9

Statement # 9a.

The William Penn Foundation
 EIN 23-1503488
 Form 990 PF
 Tax year 12/31/2001
 Part I - line 19 & Part II - line 14
 Schedule of Depreciable Assets

Year	Description	Method/Life	Beginning of Year	2001 Additions	2001 Deletions	End of Year	Acc Depr @ 12/31/00	2001 Depreciation	2001 Deletions	Acc Depr @ 12/31/01
1995	Leasehold Improvements	S/L 10 years	496,306			496,306	248,150	49,630		297,780
1996	Leasehold Improvements	S/L 10 years	487,207			487,207	243,609	48,721		292,330
	Less Leasehold Impr Credit	S/L 10 years	(237,582)		47,516	(190,066)				
	Leasehold Improvements Total		745,931	-	47,516	793,447	491,759	98,351	-	590,110
1993	Computer Equipment	S/L 5 years	1,544			1,544	1,544			1,544
1994	Computer Equipment	S/L 5 years	5,812			5,812	5,812			5,812
1996	Computer Equipment	S/L 5 years	63,109		(2,080)	61,029	52,784	10,324	(2,080)	61,028
1997	Computer Equipment	S/L 5 years	125,983			125,983	79,473	25,197		104,669
1998	Computer Equipment	S/L 5 years	145,188			145,188	65,216	29,038		94,253
1999	Computer Equipment	S/L 5 years	75,604			75,604	18,978	15,121		34,099
2000	Computer Equipment	S/L 5 years	143,667			143,667	16,935	28,733		45,668
2001	Computer Equipment	S/L 5 years	-	126,992		126,992	-	11,844		11,844
	Computer Equipment Total		560,907	126,992	(2,080)	685,819	240,742	120,256	(2,080)	358,918
1982	Furniture & Office Equipment	S/L 5-10 years	1,055			1,055	1,055			1,055
1983	Furniture & Office Equipment	S/L 5-10 years	2,730			2,730	2,730			2,730
1984	Furniture & Office Equipment	S/L 5-10 years	25,200		(1,100)	24,100	25,200		(1,100)	24,100
1991	Furniture & Office Equipment	S/L 5-10 years	20,477			20,477	20,480			20,480
1992	Furniture & Office Equipment	S/L 5-10 years	2,096			2,096	2,096			2,096
1994	Furniture & Office Equipment	S/L 5-10 years	15,850			15,850	15,850			15,850
1996	Furniture & Office Equipment	S/L 5-10 years	374,022			374,022	187,510	37,302		224,812
1997	Furniture & Office Equipment	S/L 5-10 years	8,523			8,523	4,893	1,553		6,446
1998	Furniture & Office Equipment	S/L 5-10 years	4,984			4,984	684	249		933
1999	Furniture & Office Equipment	S/L 5-10 years	23,346			23,346	5,407	3,056		8,462
2000	Furniture & Office Equipment	S/L 5-10 years	11,950		(11,950)	-	-			-
2001	Furniture & Office Equipment	S/L 5-10 years	-	219,185		219,185	-	23,111		23,111
	Furniture & Office Equipment Total		490,233	219,185	(13,050)	696,368	265,905	65,271	(1,100)	330,076
	Grand Total		1,797,070	346,177	32,386	2,175,633	998,406	283,878	(3,180)	1,279,104

FORM 990PF, PART II - MORTGAGES AND OTHER NOTES PAYABLE

LENDER: MORTGAGES/NOTES PAYABLE

ENDING BALANCE DUE	113,531.

TOTAL ENDING MORTGAGES AND OTHER NOTES PAYABLE	113,531.
	=====

Notes payable are attributable to long-term rights under a former lease agreement for office space

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION -----	ENDING BOOK VALUE -----
POST-RETIREMENT HEALTH CARE	1,553,804.
FEDERAL EXCISE TAX PAYABLE	31,955.
OTHER	20,702.

TOTALS	1,606,461. =====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====

NAME AND ADDRESS -----	TITLE AND TIME DEVOTED TO POSITION -----	COMPENSATION -----	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS -----	EXPENSE ACCT AND OTHER ALLOWANCES -----
KATHRYN ENGBRETSON C/O THE WILLIAM PENN FOUNDATION	PRESIDENT FROM 10/1 40 HRS/WK	181,759.	4,382.	8,850.
JANET F. HAAS C/O THE WILLIAM PENN FOUNDATION	PRESIDENT TO 9/30 40 HRS/WK	133,269.	29,527.	2,485.
MICHAEL DIBERARDINIS C/O THE WILLIAM PENN FOUNDATION	VP - PROGRAMS 40 HRS/WK	143,863.	76,203.	3,340.
LOUIS J. MAYER C/O THE WILLIAM PENN FOUNDATION	VP - FINANCE 40 HRS/WK	144,160.	27,828.	3,340.
	GRAND TOTALS	----- 603,051. =====	----- 137,940. =====	----- 18,015. =====

MEMBERS OF THE CORPORATION AND BOARD OF DIRECTORS

The William Penn Foundation is directed by a corporation composed of Haas family members and a board with family and public directors

MEMBERS OF THE CORPORATION

Janet Haas, M D , *Chair*

David W Haas

Duncan A Haas

Frederick R Haas

Thomas W Haas

William D Haas

BOARD OF DIRECTORS

David W Haas, *Chair*

Frederick R Haas, *Vice Chair and Secretary*

Carol R Collier, PP, AICP

Joseph A. Dworetzky, Esq

Robert E Hanrahan, Jr.

Nancy B Haas*

Ernest E Jones, Esq

Thomas M McKenna*

John P Mulroney

Terrie S Rouse

Gary Walker

Lise Yasui

* Term effective January 2002

The William Penn Foundation

990 PF Part VIII

Information about Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees & Contractors

12/31/2001

3. Five highest-paid independent contractors for professional services:

Name	Address	Type of Service	Compensation
Schneider Capital Management	460 East Swedesford Road Suite 1080 Wayne, PA 19087	Investment Counsel	545,534
Pacific Investment Management Co	840 Newport Center Drive Suite 300 Newport Beach, CA 92658	Investment Counsel	538,991
Seneca Capital Management	909 Montgomery St Suite 500 San Francisco, CA 94133	Investment Counsel	442,603
Tukman Capital Management	60 East Sir Francis Drake Blvd Suite 204 Larkspur, CA 94939	Investment Counsel	401,201
Standish, Ayer & Wood, Inc	P O Box 1597 Boston, MA 02205	Investment Counsel	212,781

The William Penn Foundation

EIN 23-1503488

Form 990 PF

Tax year 12/31/2001

Part XII - line 2

Assets acquired in 2001 and used directly in carrying out charitable purposes

Description	Amount
Computer Equipment	113,534
Furniture & Office Equipment	185,274
Total	<u>298,808</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS
=====

KATHRYN ENGBRETSON, PRESIDENT
TWO LOGAN SQUARE, 11TH FLOOR
PHILADELPHIA, PA 19103
(215) 988-1830

The William Penn Foundation

EIN 23-1503488

Form 990 PF

Tax year 12/31/2001

Part XV - lines 2b & 2d

Form and Contents of Submitted Applications:

Only written funding requests are accepted. Requests should include a brief history of the petitioner, the nature of the project, anticipated grant outcomes and activities, and financial information of the petitioner.

Restrictions of Limitations on Awards:

- 1) Grants are made to organizations which qualify under IRC Section 501(c)(3) who provide support within the Foundation's grantmaking region
- 2) Grants are not made to individuals or for sectarian religious activities or for political lobbying

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS -----	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT -----	PURPOSE OF GRANT OR CONTRIBUTION -----	AMOUNT -----
CONTRIBUTIONS			64,653,552
SEE ATTACHED STMT #6 & WILLIAM PENN FNDTN APPROP'S			
& PAYMENT REPORT FOR YEAR ENDING 12/31/2001			
		TOTAL CONTRIBUTIONS PAID	64,653,552

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

CONTRIBUTIONS

52,302,803

SEE FINAL PAGE OF WILLIAM PENN FNDTN APPROPRIATION

AND PAYMENT REPORT FOR THE YEAR ENDING 12/31/01

TOTAL CONTRIBUTIONS APPROVED

52,302,803

The William Penn Foundation
Appropriations and Payments - 2001

Total Grant Amount
Award Date
Duration

Paid in
2001

Grant #

50th ANNIVERSARY - FAIRMOUNT PARK

Fairmount Park Commission
 Philadelphia PA

A five-year grant toward the restoration of seven watershed parks reaching all areas of the City, and to expand environmental education and stewardship opportunities in the park

\$26,607,675
 10/18/96
 62 months

\$ 4,090,000

23796

50th ANNIVERSARY - FAIRMOUNT PARK

\$ 4,090,000

ARTS & CULTURE

1812 Productions, Inc
 Philadelphia PA

Part of the Foundation's Theater program, toward salaries of core artistic and administrative staff

\$11,000
 07/11/01
 12 months

\$ 11,000

136-01

Abington Art Center
 Jenkintown, PA

Toward building its organizational capacity to raise funds, earn revenue, and expand program offerings through additional staff positions

\$192,500
 01/26/01
 36 months

\$ 71,500

4-01

Academy of Vocal Arts
 Philadelphia PA

For the replacement and renovation of house and theatrical lighting systems in its Helen Coming Warden Theater

\$81,202
 05/24/01
 4 months

\$ 81,202

107-01

The William Penn Foundation
Appropriations and Payments - 2001

Total Grant Amount
Award Date
Duration

Paid In
2001

Grant #

Act II Playhouse Ltd.

Ambler PA

As part of the Foundation's theater program, toward building capacity through support of core operations

\$15,000 \$ 15,000 95-01
 05/08/01
 12 months

American Ballet Competition

Philadelphia, PA

Toward the 2001 Dance Celebration and NextMove Festival dance season, offered by ABC's subset, Dance Affiliates, which presents and commissions dance

\$55,000 \$ 55,000 5-01
 01/26/01
 6 months

Toward the annual Dance Celebration/NextMove dance series, including development of a new presenting and commissioning strategy by Dance Affiliates—a subset of this organization, and toward commissioning a new holiday production for Philandanco

\$464,750 \$ 165,000 140-01
 07/20/01
 36 months

American Music Theater Festival, Inc.

Philadelphia PA

Toward renovations to the black box facility and the multimedia studio and toward other construction to complete this national presenting organization's Prince Music Theater facility on Chestnut Street

\$440,000 \$ 220,000 212-00
 11/10/00
 24 months

As part of the Foundation's theater initiative, for mainstage productions by this presenting organization committed to commissioning new work, showcasing the old, and exploring ways other media inspire and interact with performance

\$165,000 \$ 55,000 21199
 12/03/99
 36 months

Arden Theatre Company

The William Penn Foundation
Appropriations and Payments - 2001

	<u>Total Grant Amount</u> <u>Award Date</u> <u>Duration</u>	<u>Paid in</u> <u>2001</u>	<u>Grant #</u>
Philadelphia, PA			
<i>As part of the Foundation's theater initiative, toward increasing this company's cultural impact on the region by attracting new and diverse audiences through increased marketing</i>	\$247,500 12/03/99 36 months	\$ 82,500	21299
Art Sanctuary Philadelphia PA			
<i>Toward the programming and outreach efforts of this North Philadelphia African-American arts and letters organization devoted to presenting outstanding regional and national talent in the arts</i>	\$22,000 03/07/01 12 months	\$ 22,000	48-01
ARTREACH, Inc. Philadelphia PA			
<i>Toward increasing awareness of the region's arts and culture venues by subsidizing the distribution of "Access the Arts, Etc. , A Guide to the Arts for People with Disabilities "</i>	\$38,500 09/13/01 24 months	\$ 19,250	174-01
Asian Arts Initiative Philadelphia PA			
<i>For support to develop a long-range strategic plan, which will chart multiple facets of the organization's direction through the year 2005</i>	\$50,600 11/08/00 12 months	\$ 34,100	211-00
Asociacion De Musicos Latino Americanos, Inc. Philadelphia, PA			
<i>Toward the salaries of its managing director & the director & teachers at its Latin School of the Arts to enable the organization to focus on building its new facility, develop new partnerships and funding sources, and advance the work of the school</i>	\$348,661 11/13/01 36 months	\$ 0	198-01

The William Penn Foundation
Appropriations and Payments - 2001

Total Grant Amount
Award Date
Duration

Paid In
2001

Grant #

Athenæum of Philadelphia
 Philadelphia, PA

Toward the Philadelphia Architects and Buildings project, an internet database of architectural and historical information and images of structures in the five-county Philadelphia area, to be created by this research library

\$771,500 \$ 329,950 21099
 12/03/99
 24 months

Atwater Kent Museum
 Philadelphia PA

Toward implementation of new technology to create a professional, sustainable, efficient, and effective Philadelphia history museum that appeals to, educates, and entertains local, regional, and national visitors

\$358,693 \$ 111,193 138-00
 07/21/00
 12 months

Balch Institute
 Philadelphia, PA

Toward Educating for Philadelphia's Changing Diversity The New Immigrants Project, programs and exhibits on ethnic diversity and tolerance, to provide a voice to and increase public understanding of African, Latino, and Korean immigrants

\$396,000 \$ 132,000 12-00
 01/28/00
 36 months

Brandywine Graphic Workshop, Inc.
 Philadelphia, PA

Toward salaries for two new professional staff to advance its ability to market artwork to a broader constituency and to expand and diversify its programmatic, administrative, and fundraising capacity

\$115,610 \$ 57,805 141-01
 07/20/01
 24 months

Brat Productions, Inc
 Philadelphia, PA

The William Penn Foundation
Appropriations and Payments - 2001

	<u>Total Grant Amount</u>		<u>Paid in</u>	<u>Grant #</u>
	<u>Award Date</u>		<u>2001</u>	
	<u>Duration</u>			
As part of the Foundation's theater program, toward building artistic capacity through support of artist fees	\$3,300 03/30/01 12 months	\$	3,300	52-01
Bristol Riverside Theater Company, Inc. Bristol PA				
As part of the Foundation's theater program, for fees of a professional marketing consultant to develop a marketing plan, train the Theatre's staff in effective marketing, and implement a direct mail campaign	\$165,000 01/26/01 24 months	\$	82,500	2-01
Bucks County Historical Society, Inc. Doylstown PA				
Toward an expanded education program at its Mercer Museum, including on- and off-site programs for new and existing audiences and toward additional education staff	\$176,550 04/20/01 36 months	\$	73,700	70-01
Bushfire Theatre of Performing Arts Philadelphia, PA				
As part of the Foundation's theater program, toward production expenses of eight plays, four of which will be world premieres, through support for set construction and props	\$27,500 01/26/01 24 months	\$	13,750	3-01
Chester County Historical Society West Chester, PA				
Toward design, construction, and presentation of its exhibition, Just Over the Line Chester County and the Underground Railroad and related education and public programs	\$220,000 01/26/01 24 months	\$	110,000	6-01

The William Penn Foundation
Appropriations and Payments - 2001

	<u>Total Grant Amount</u> <u>Award Date</u> <u>Duration</u>	<u>Paid In</u> <u>2001</u>	<u>Grant #</u>
<i>Toward stabilization of revenue for increased general operations and core support at this regional history center</i>	\$165,000 04/16/99 36 months	\$ 55,000	6599
Chester Springs Studio, Inc. Chester Sprnges, PA			
<i>Toward enhancing the salary of its executive director to build the capacity of this arts and culture organization serving Chester County by supporting the salary of an executive director, \$55,000 over 24 months</i>	\$55,000 06/06/01 24 months	\$ 38,500	113-01
Clay Studio Philadelphia PA			
<i>Toward building organization capacity to meet programmatic and revenue goals by adding one new full-time development coordinator position and converting a current 30-hour position into a full-time marketing and public relations coordinator</i>	\$115,500 11/13/01 36 months	\$ 15,000	199-01
Closely Watched Films, Inc. Dovlestown, PA			
<i>Toward construction of an accessible first-floor restroom in lobby area from an existing closet and the construction of suitable replacement storage space</i>	\$22,000 12/10/01 12 months	\$ 22,000	238-01
College of Physicians of Philadelphia Philadelphia PA			
<i>Toward cataloging, conservation, and preservation of the historical library collections of this, the nation's oldest medical academy, to make them accessible for long-term use</i>	\$639,640 07/20/01 36 months	\$ 213,214	142-01

The William Penn Foundation
Appropriations and Payments - 2001

	<u>Total Grant Amount</u>	<u>Paid In</u>	<u>Grant #</u>
	<u>Award Date</u>	<u>2001</u>	
	<u>Duration</u>		
Creative Artists Network			
Philadelphia, PA			
<i>For support of Hot Off the Presses, an exhibit and related activities organized as part of entitled, Celebrating Prints and Printmaking In Homage of Dox Thrash a citywide event</i>	\$5,929 06/01/01 8 months	\$ 5,929	110-01
<i>To support CAN's exhibitions program, bringing the work of the region's emerging artists to local audiences</i>	\$82,500 12/13/01 36 months	\$ 27,500	242-01
Curtis Institute of Music			
Philadelphia, PA			
<i>Toward the cost of redesign and construction of the 1724 Locust Street entrance to its historic Curtis Hall, bringing it into compliance with the Americans with Disabilities Act, as part of a major facilities upgrade</i>	\$330,000 01/26/01 12 months	\$ 330,000	8-01
Drexel University			
Philadelphia, PA			
<i>For completion of research that will provide a comprehensive assessment of public funding streams for the arts and assess current attitudes with respect to arts funding among elected officials county administrators, and civic leaders</i>	\$75,460 08/09/01 9 months	\$ 75,460	165-01
Fairmount Park Historic Preservation Trust, Inc.			
Philadelphia PA			
<i>Toward an interpretive signage program to improve the public's interpretation of adaptively reused buildings in Fairmount Park</i>	\$37,950 12/10/01 12 months	\$ 37,950	60-01

The William Penn Foundation
Appropriations and Payments - 2001

	<u>Total Grant Amount</u>		<u>Paid In</u>	<u>Grant #</u>
	<u>Award Date</u>		<u>2001</u>	
	<u>Duration</u>			
Fredric R. Mann Music Center Philadelphia, PA				
<i>Toward support for its 25th Anniversary concert in August 2001 featuring the Israeli Philharmonic Orchestra</i>	\$82,500 05/16/01 6 months	\$	82,500	103-01
<i>Toward support for the production and marketing of several new programming initiatives over the next two concert seasons that build on recent capital improvements and program diversification to increase revenues and maximize use of this facility</i>	\$440,000 01/28/00 24 months	\$	165,000	13-00
Friends of Lemon Hill Blue Bell, PA				
<i>Toward the repair of the staircase, historic balustrades, and porches at Lemon Hill Mansion in Philadelphia's Fairmount Park</i>	\$33,000 12/04/01 3 months	\$	33,000	237-01
Greater Philadelphia Chamber of Commerce Regional Foundation Philadelphia, PA				
<i>Toward increasing the capacity of its Arts & Business Council to provide technical assistance programs and services that build the infrastructure, capacity, and audiences of arts and cultural groups in the five-county region</i>	\$115,500 04/20/01 36 months	\$	38,500	76-01
Greater Philadelphia Cultural Alliance Philadelphia PA				
<i>Toward its efforts to create a viable regional cultural plan and a regional infrastructure of local arts agencies and to design a standard data-gathering mechanism for arts organizations throughout the six-county area</i>	\$811,234 04/20/01 36 months	\$	273,084	74-01

The William Penn Foundation
Appropriations and Payments - 2001

	<u>Total Grant Amount</u>		<u>Paid In</u>	<u>Grant #</u>
	<u>Award Date</u>		<u>2001</u>	
	<u>Duration</u>			
Greater Philadelphia Tourism Marketing Corporation				
Philadelphia PA				
<i>Toward the efforts of this public/private partnership to increase the marketing capability of and promotional resources for regional cultural attractions and increase frequency of area resident visits to a larger number of attractions</i>	\$887,095 04/20/01 36 months	\$	378,675	75-01
Greater Philadelphia Urban Affairs Coalition				
Philadelphia PA				
<i>Toward expansion of the Department of Recreation's Mural Arts Program for adolescents in five low-income neighborhoods, coordinated with the help of this citywide organization that promotes partnerships to solve urban problems</i>	\$466,400 01/29/99 36 months	\$	110,000	2399
Hatboro-Horsham Educational Foundation				
Horsham PA				
<i>Toward development of a K-12 performing arts curriculum in the Hatboro-Horsham School District employing Pennsylvania Arts and Humanities Standards</i>	\$27,500 09/07/01 24 months	\$	17,600	171-01
Headlong Dance Theater				
Philadelphia, PA				
<i>As part of the Foundation's Theater program toward, enhanced professional salaries, and development of marketing materials by this collaborative dance company</i>	\$11,000 07/11/01 12 months	\$	11,000	134-01
Hedgerow Theatre				
Media PA				

The William Penn Foundation
Appropriations and Payments - 2001

	<u>Total Grant Amount</u>		<u>Paid In</u>	<u>Grant #</u>
	<u>Award Date</u>		<u>2001</u>	
	<u>Duration</u>			
<i>As part of the Foundation's theater program, toward general operating support in response to the May 2001 fire at the theatre</i>	\$10,000 05/16/01 12 months	\$	10,000	102-01
Historic Rittenhousetown, Inc. Philadelphia, PA				
<i>A matching grant toward urgently needed exterior restoration on the circa 1707 home of Nicholas Rittenhouse</i>	\$64,405 02/06/01 10 months	\$	64,405	12-01
Independence Seaport Museum Philadelphia, PA				
<i>Toward expansion of staff and programs of the department of interpretation for this regional maritime museum</i>	\$187,000 10/02/98 36 months	\$	55,000	21698
Interact, Inc. Philadelphia, PA				
<i>As part of the Foundation's theater initiative, toward this company's three-year initiative designed to strengthen and expand its commitment to development and production of new plays</i>	\$187,000 12/03/99 36 months	\$	82,500	21399
Lantern Theater Company Philadelphia, PA				
<i>As part of the Foundation's theater program, toward an automated ticketing system and related equipment</i>	\$10,957 04/15/01 14 months	\$	10,957	66-01

The William Penn Foundation
Appropriations and Payments - 2001

	<u>Total Grant Amount</u>	<u>Paid in</u>	<u>Grant #</u>
	<u>Award Date</u>	<u>2001</u>	
	<u>Duration</u>		
Library Company of Philadelphia			
Philadelphia PA			
<i>Toward its renovation of an adjacent building, the historic Cassatt House, as a research center and toward acquisition of the Michael Zinman Collection of Early American Imprints</i>	\$880,000 07/21/00 48 months	\$ 275,000	139-00
 Mid Atlantic Arts Foundation, Inc.			
Baltimore, MD			
<i>Toward continuation and expansion of Pennsylvania Performing Arts on Tour (PennPAT), to increase opportunities for touring engagements and professional development for performing artists</i>	\$486,000 07/21/00 36 months	\$ 408,000	140-00
<i>Toward support for 21 artists and critic residencies in the Philadelphia region, part of Artist as Catalyst, a program that provides access to the arts and opportunities for artists in community settings, coordinated by this org that serves art groups</i>	\$198,000 07/09/99 36 months	\$ 66,000	12899
 Mill At Anselma Preservation and Educational Trust, Inc.			
Chester Springs PA			
<i>Toward restoration of the historic Anselma Mill's water wheel, which powers its 18th century grain mill</i>	\$66,000 04/17/01 36 months	\$ 22,000	65-01
 Moonstone, Inc.			
Philadelphia, PA			
<i>Toward support of a Latin American arts festival held October-November 2001 in various locations throughout Philadelphia in order to increase awareness and visibility of the arts and Latin American culture</i>	\$22,000 09/24/01 6 months	\$ 22,000	178-01

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	<u>Total Grant Amount</u>		<u>Paid in</u>	<u>Grant #</u>
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	<u>Duration</u>			
Moore College of Art				
Philadelphia, PA				
<i>Toward Philadelphia Selections 4, an exhibition of work by local artists, and a two-year curatorial assistantship for a person of color recruited from an area college or university</i>	\$61,875 02/28/01 16 months	\$	61,875	45-01
 Mum Puppettheatre, Ltd.				
Philadelphia PA				
<i>As part of the Foundation's theater program, for a strategic opportunity to hire a master puppet builder to translate and artists design into theater puppets</i>	\$11,000 02/28/01 2 months	\$	11,000	44-01
 <i>As part of the Foundation's theater initiative, for organizational development through the expansion of this company's Philadelphia season</i>	\$82,500 12/03/99 36 months	\$	27,500	21499
 National Liberty Museum				
Philadelphia, PA				
<i>Toward expanding & evaluating a pilot program that reaches families of students who visit the Museum, reinforcing the educational & historical themes of responsible citizenship, respect for diversity, and dealing with conflict, violence, and prejudice</i>	\$77,000 11/20/01 24 months	\$	38,500	231-01
 Nonprofit Finance Fund				
New York, NY				
<i>Toward the Arts Comprehensive Capitalization Program of this national organization's local office, designed to provide capacity-building assistance to nonprofit cultural groups throughout the five county Philadelphia region</i>	\$750,930 07/20/01 36 months	\$	247,953	147-01

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	<u>Duration</u>			
<i>For the first phase of a study of the programming, management, governance, and financial requirements for a facility plan for the Philadelphia region's dance community, undertaken in partnership with the Pew Charitable Trusts</i>	\$40,150 11/20/01 9 months	\$	40,150	230-01
Old Christ Church Preservation Trust Philadelphia, PA				
<i>For retaining an architectural firm to prepare conceptual and schematic designs for its Neighborhood House, which play a critical role in the cultural and other communities served by Old Christ Church</i>	\$82,500 12/13/01 6 months	\$	82,500	182-01
Opera Company of Philadelphia Philadelphia PA				
<i>Toward expanded audiences, strengthened financial stability, and increased programming for this regional performing arts organization dedicated to production of grand opera</i>	\$714,500 04/16/99 36 months	\$	228,000	6799
Partners for Sacred Places, Inc Philadelphia, PA				
<i>Toward field-testing and evaluation of its Sacred Places Tool Kit, designed to help congregations assess their older historic religious buildings in terms of assets, needs, and opportunities</i>	\$112,200 11/10/00 24 months	\$	56,100	215-00
Pennsylvania Ballet Association Philadelphia PA				
<i>Toward a comprehensive, three-year branding campaign to reach a wider audience in the Philadelphia region, utilizing advertising and marketing vehicles that are new to this classical performing company</i>	\$537,883 07/21/00 36 months	\$	171,050	141-00

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	<u>Duration</u>		
People's Light and Theatre Company			
Malvern, PA			
<i>For renovation and expansion of its Main Stage Lobby, providing space for augmented arts education programs and enhanced services for audiences, as part of its \$9.3 million capital campaign, The Century Fund for People's Light</i>	\$378,393 07/20/01 36 months	\$ 126,131	143-01
<i>As part of the Foundation's theater initiative, toward commissioning new plays for this Chester County company, marketing to reach new audiences for new programs, and subsidizing free or low-cost tickets</i>	\$247,500 12/03/99 36 months	\$ 82,500	21599
Philadelphia Chamber Music Society			
Philadelphia, PA			
<i>Toward establishing its first performance season at the new Kimmel Center for the Performing Arts and sustaining and developing its capacity to serve the Greater Philadelphia region</i>	\$247,500 11/13/01 36 months	\$ 82,500	200-01
Philadelphia Company			
Philadelphia, PA			
<i>As part of the Foundation's theater initiative, toward payment for larger casts for this company's productions, additional and unusual costs of presenting world premieres, and increased artist compensation</i>	\$247,500 12/03/99 36 months	\$ 82,500	21599
Philadelphia Dance Company			
Philadelphia, PA			
<i>Toward salaries for dancers and others and per diem costs incurred at the 8th Annual International Contemporary Dance Conference and Performance Festival in Poland</i>	\$16,500 07/11/01 2 months	\$ 16,500	135-01

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	<u>Duration</u>		
Philadelphia Folklore Project			
Philadelphia PA			
<i>Toward its Community Projects program which offers folk and traditional artists and organizations assistance in and opportunities for earning income and having their art forms with the community</i>	\$183,599 11/13/01 36 months	\$ 0	195-01
Philadelphia Fringe Festival			
Philadelphia, PA			
<i>Toward building organizational capacity to raise funds, earn revenue, and enhance program and organization management through stabilized staffing for this annual festival that presents risk-taking, cutting-edge art</i>	\$449,790 04/20/01 36 months	\$ 149,930	71-01
Philadelphia Gay Men's Chorus			
Philadelphia, PA			
<i>Toward training to enhance the groups' musical performance and toward marketing for audience development</i>	\$6,600 03/07/01 12 months	\$ 6,600	49-01
Philadelphia Orchestra Association			
Philadelphia PA			
<i>Toward new and increased marketing and public relations efforts to leverage upcoming opportunities to reshape the Philadelphia Orchestra's "brand" in order to increase audiences and revenue</i>	\$550,000 07/20/01 36 months	\$ 275,000	144-01
Philadelphia Singers			
Philadelphia, PA			

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	<u>Duration</u>			
<i>For general operating support toward stabilization of overall operations of this professional small chorale</i>	\$200,000	\$	100,000	145-01
	07/20/01			
	12 months			
Philadelphia Sketch Club				
Philadelphia, PA				
<i>Toward completion of high-priority safety-related repairs recommended made in the preservation plan for its historic building</i>	\$82,500	\$	82,500	104-01
	05/16/01			
	8 months			
Philadelphia Volunteer Lawyers for the Arts				
Philadelphia, PA				
<i>Toward capacity building for this organization that provides pro bono legal assistance and basic business counseling to low-income artists and nonprofit cultural organizations</i>	\$82,500	\$	27,500	261-00
	12/13/00			
	36 months			
Pig Iron Theatre Company, Inc.				
Philadelphia, PA				
<i>As part of the Foundation's theater program, toward support of its managing director's salary in order to increase capacity and focus on artistic quality</i>	\$16,500	\$	16,500	53-01
	03/30/01			
	12 months			
Pottstown Symphony Orchestra				
Pottstown, PA				
<i>A one-time grant to match a Pew Philadelphia Music Project grant toward expansion of an annual concert presentation to thousands of the region's elementary school students</i>	\$33,000	\$	33,000	188-01
	10/26/01			
	10 months			

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	<u>Duration</u>			
Red Heel Theater Company, Inc Philadelphia PA				
<i>As part of the Foundation's theater program, toward designers' fees related to production of "Twelfth Night" by this company, now known as The Philadelphia Shakespeare Festival</i>	\$8,250 04/26/01 3 months	\$	8,250	93-01
Regional Performing Arts Center, Inc Philadelphia, PA				
<i>A one-time grant for bus transportation in order to allow School District of Philadelphia students to attend a performance by jazz musician Branford Marsalis as part of the Kimmel Center for the Performing Arts Inaugural Festival</i>	\$10,472 11/20/01 3 months	\$	10,472	229-01
Relache, Inc. Philadelphia, PA				
<i>Toward strengthening the organization's administrative capacity by supporting the salary of an executive director</i>	\$74,250 06/16/00 24 months	\$	44,550	112-00
Rosenbach Museum and Library Philadelphia PA				
<i>Toward renovation and upgrades of its historic buildings that will allow expansion of public programming and completion of Americans with Disabilities Act (ADA) adaptations</i>	\$706,262 11/13/01 36 months	\$	0	196-01

Rutgers University Foundation
 New Brunswick NJ

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	<u>Duration</u>			
<i>To its Rutgers - Camden Center for the Arts, toward developing more effective marketing methods</i>	\$330,000 07/21/00 36 months	\$	110,000	143-00
Schwenkfelder Library Pennsburg PA				
<i>Toward improving the efficiency of staff interactions and collections management and facilitating increased collections use by patrons and researchers at this collecting and research institution serving as a repository for local history and genealogy</i>	\$19,800 06/27/01 3 months	\$	19,800	118-01
Scribe Video Center, Inc. Philadelphia, PA				
<i>Toward capacity building through support for core programs and administration</i>	\$330,000 07/20/01 36 months	\$	154,000	146-01
Settlement Music School of Philadelphia Philadelphia, PA				
<i>A challenge grant toward a comprehensive technology upgrade project, for the school's six branches, that will significantly enhance organizational capacity</i>	\$550,000 01/26/01 24 months	\$	275,000	11-01
Shirley Rock School of the Pennsylvania Ballet Philadelphia, PA				
<i>Toward implementing innovative in-school and after-school arts education programs for inner-city and at-risk youth</i>	\$623,859 11/10/00 36 months	\$	159,171	216-00

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	<u>Duration</u>		
Suburban Music School			
Media PA			
<i>Toward three outreach programs providing music education opportunities to children from Chester who would not otherwise have access to such programs</i>	\$66,000 08/28/00 36 months	\$ 22,000	189-00
Taller Puertorriqueno, Inc.			
Philadelphia PA			
<i>Toward capacity building for this North Philadelphia community arts organization dedicated to preserving, developing, and promoting Puerto Rican and Latin American artistic and cultural traditions</i>	\$165,000 04/20/01 36 months	\$ 55,000	72-01
The Franklin Institute			
Philadelphia, PA			
<i>For construction of one of two new fire towers, providing emergency stairwells essential to the safety of visitors to this science museum serving the region</i>	\$777,299 01/26/01 24 months	\$ 388,650	9-01
Theater Catalyst, Inc.			
Philadelphia PA			
<i>One-year small theater initiative, toward general operating support for its 2nd Stage program, which provides Philadelphia's new and emerging theater companies with low-cost space materials, and technical assistance</i>	\$5,000 05/16/01 12 months	\$ 5,000	101-01
Theatre Alliance of Greater Philadelphia			
Philadelphia, PA			

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	<u>Duration</u>			
<i>Toward developing the artistic growth and public prominence of the Philadelphia theater community through a marketing initiative, conducted by this membership organization that works to build audiences and awareness of theater</i>	\$247,500 01/28/00 36 months	\$	82,500	14-00
Theatre Communications Group, Inc. New York NY				
<i>As part of the Foundation's program to strengthen the theater community, toward underwriting membership and conference costs for 21 non-member Philadelphia theaters and partial costs of 12 member theaters to attend its June 2001 National Conference</i>	\$78,210 02/16/01 12 months	\$	78,210	42-01
Theatre Double Repertory Company Philadelphia PA				
<i>One-year small theater initiative, toward the salary of the newly created position of managing director</i>	\$11,000 05/24/01 12 months	\$	11,000	106-01
Theatre Exile Company Philadelphia PA				
<i>As part of the Foundation's theater program, toward the part-time salaries of a marketing and development director</i>	\$2,750 05/08/01 12 months	\$	2,750	96-01
University of Pennsylvania - Institute of Contemporary Art Philadelphia, PA				
<i>To its Institute of Contemporary Art, toward expanding and diversifying its exhibition season through programming of two new exhibition spaces and adding a new staff position</i>	\$142,306 11/10/00 24 months	\$	71,153	217-00

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	<u>Duration</u>		
University of Pennsylvania - Museum of Archaeology and Anthropology			
Philadelphia, PA			
<i>Toward construction of the museum's east wing, which will serve as a storage and study facility</i>	\$385,000 01/29/99 36 months	\$ 110,000	2499
University of the Arts			
Philadelphia, PA			
<i>Toward the re-creation of the historic Horace Trumbauer facades on the Broad and Walnut Street elevations of its Terra Building</i>	\$220,000 07/21/00 15 months	\$ 110,000	144-00
<i>Toward the Center for Professional Development and Community Education, a project of the Philadelphia Arts in Education Partnership, designed to bring arts education programs to the region's public schools</i>	\$1,306,514 04/20/01 36 months	\$ 435,506	77-01
<i>Toward expanding the programs of the Philadelphia Arts in Education Partnership, a collaborative housed within this university, designed to bring arts education programs to the region's public schools</i>	\$82,500 02/28/01 12 months	\$ 82,500	46-01
Village of Arts and Humanities, Inc.			
Philadelphia, PA			
<i>Toward renovation of four adjacent properties into two new facilities to accommodate the expansion of education programs offered to youth, 6 to 18, by this arts and neighborhood development organization serving North Central Philadelphia</i>	\$302,500 01/26/01 12 months	\$ 302,500	13-01
Wagner Free Institute of Science			
Philadelphia, PA			

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<i>Toward a new roof, restored windows, and expanded capacity for fundraising to complete the restoration of this National Historic Landmark museum of natural history</i>	\$440,000 12/04/98 36 months	\$ 110,000	23698
Walnut Street Theater Corporation Philadelphia, PA			
<i>As part of the Foundation's theater initiative, toward improving quality and accessibility of this company's programming, from its Mainstage series to its education programs</i>	\$247,500 12/03/99 36 months	\$ 82,500	21699
Wilma Theater Philadelphia, PA			
<i>For the purchase of and renovations to a guest-artist housing facility and toward other capital needs in its theater space</i>	\$500,869 04/20/01 24 months	\$ 250,435	73-01
<i>As part of the Foundation's theater initiative, toward this company's artistic advancement through increased compensation for and length of employment of actors and theater artists, in order to attract a higher level of talent</i>	\$247,500 12/03/99 36 months	\$ 82,500	21799
WITF, Inc. Harrisburg, PA			
<i>For a collaboration of this public radio station with WHYY to create programmatic content about the 5-county Philadelphia region's historical markers as part of the implementation of a statewide digital history initiative called, "ExplorePAHistory.com"</i>	\$144,100 11/13/01 12 months	\$ 0	197-01
Young Audiences of Eastern Pennsylvania Philadelphia, PA			

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	<u>Duration</u>		
<i>Toward adaption and implementation in Philadelphia public schools of its Arts for Learning program, an arts-in-education visual/audio presentation of local artists and arts organizations used by teachers as a tool to integrate the arts into curriculum</i>	\$99,000 11/10/00 36 months	\$ 33,000	218-00

ARTS & CULTURE \$ 9,833,760

CHILDREN, YOUTH, & FAMILIES

African-American Interdenominational Ministries, Inc.
Philadelphia, PA

<i>Toward the Ministers' Buddy System which will train volunteers to mentor adjudicated youth in Southwest Philadelphia and identify various types of community support for their families</i>	\$82,500 02/28/01 12 months	\$ 82,500	43-01
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AIDS Law Project of Pennsylvania
Philadelphia, PA

<i>Toward strengthening and refocusing the work of the Standby Guardianship Initiative, conducted by this regional public interest law firm, to support children whose parents become severely ill or die from AIDS</i>	\$82,500 06/06/01 12 months	\$ 82,500	112-01
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Albert Einstein Healthcare Foundation
Philadelphia, PA

<i>As part of the Youth Opportunities Initiative toward implementation, by the Philadelphia YES Coalition, of an afterschool enrichment program to support development of 30 youth in the East Germantown area</i>	\$125,400 01/28/00 36 months	\$ 41,800	26-00
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Albert Einstein Healthcare Network
Philadelphia, PA

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	<u>Duration</u>			
<i>As part of the Healthcare Connection Cluster, toward establishing a violence intervention system that can identify, assess, and refer youth victims (ages 8-24) of injury and reduce the rate of re-injury</i>	\$552,242 01/26/01 36 months	\$	229,027	36-01
Allegheny West Foundation Philadelphia, PA <i>For the 2001 Summer Youth Career Exploration Program</i>	\$34,941 07/09/01 6 months	\$	34,941	130-01
Alliance Organizing Project for Education Reform Philadelphia, PA <i>Toward increasing parental involvement, enhancing school decision-making processes, developing leaders, and building partnerships with local teacher union committees at four Philadelphia public schools</i>	\$82,500 08/16/01 12 months	\$	82,500	166-01
Aspira, Inc. of Pennsylvania Philadelphia, PA <i>For the 2001 Summer Youth Career Exploration Program</i>	\$107,439 07/09/01 6 months	\$	107,439	131-01
Big Brothers/Big Sisters of Bucks County, Inc. Jamison, PA <i>Toward launching the Mentor Institute in Bucks County, to provide technical assistance to organizations interested in providing mentoring services and training</i>	\$262,020 12/03/99 36 months	\$	83,270	21899

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	<u>Duration</u>			
Big Brothers/Big Sisters of Chester County				
West Chester PA				
<i>To support the Phoenixville Outreach Program in an effort to increase the number of children matched with a mentor in Chester County</i>	\$9,900 08/09/01 12 months	\$	9,900	164-01
 Big Picture Alliance				
Philadelphia PA				
<i>Toward program staff salaries and equipment for this after-school enrichment program's work with at-risk teens from Philadelphia and Chester counties to create narrative films and teach video, film, and media skills</i>	\$247,500 04/20/01 24 months	\$	123,750	83-01
 Boys and Girls Clubs of Metropolitan Philadelphia, Inc.				
Philadelphia PA				
<i>For a collaborative project to enhance youth opportunities and expand community and organizational capacity to sustain programs that promote positive outcomes in communities with high rates of violence (Peacemaker Cluster)</i>	\$194,922 07/21/00 24 months	\$	97,462	166-00
 <i>For the 2001 Summer Youth Career Exploration Program</i>	 \$132,962 07/09/01 6 months	 \$	 132,962	 128-01
 <i>Toward implementation of its plan to strengthen capacities for program management, administration, and technology of its citywide network of Boys and Girls Clubs</i>	 \$968,880 07/09/99 36 months	 \$	 270,380	 13199

Brady Center to Prevent Gun Violence
Washington, DC

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<i>For creating the infrastructure for a new, unified, grassroots, statewide gun violence prevention organization, the Pennsylvania Million Mom March State Council</i>	\$255,310 11/13/01 24 months	\$	131,725	205-01
Cambodian Association of Greater Philadelphia Philadelphia PA				
<i>For the 2001 Summer Youth Career Exploration Program</i>	\$2,702 07/09/01 6 months	\$	2,702	126-01
<i>As part of the Youth Opportunities Initiative, toward support for the Leadership for Kom Khmer program, designed to engaged Cambodian and Khmer Krom youth in personal and community decisions</i>	\$66,000 01/28/00 36 months	\$	22,000	43-00
Camden County Council on Economic Opportunity, Inc. Camden, NJ				
<i>For the 2001 Summer Youth Career Exploration Program</i>	\$61,281 07/09/01 6 months	\$	61,281	133-01
CASA/Youth Advocates, Inc. Media PA				
<i>Toward expansion of this organization's capacity to provide Court Appointed Special Advocates (CASA) for abused and neglected children of Delaware County</i>	\$45,000 08/10/00 37 months	\$	15,000	178-00
Center on Budget and Policy Priorities Washington DC				

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	<u>Duration</u>			
<i>Toward continued support for policy analysis and technical assistance regarding the impact of TANF and other government policies on low-income families</i>	\$82,500 04/10/01 12 months	\$	82,500	61-01
Centro Nueva Creacion-New Creation Community Center Philadelphia PA				
<i>For the 2001 Summer Youth Career Exploration Program</i>	\$21,097 07/09/01 6 months	\$	21,097	125-01
Children's Defense Fund Washington, DC				
<i>Toward educating and mobilizing leaders from the religious community to promote advocacy efforts by their congregations to establish children's needs as a priority of policymakers and the general public</i>	\$495,000 09/17/99 36 months	\$	165,000	16099
Children's Hospital Foundation Philadelphia, PA				
<i>Toward a pilot intervention program that seeks to improve the developmental outcomes of infants born of mothers with cognitive impairments, from birth through the age of 6</i>	\$599,633 01/26/01 36 months	\$	229,918	14-01
<i>As part of the Healthcare Connection Cluster, toward establishing a violence intervention system that can identify, assess, and refer youth victims (ages 8-24) of injury and reduce the rate of re-injury</i>	\$755,093 01/26/01 36 months	\$	299,960	37-01

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	<u>Duration</u>			
<i>As part of the Youth Opportunities Initiative, toward an expanded set of training and support services to eight organizations in the Haddington section of Philadelphia that provide after-school tutoring</i>	\$132,000 01/28/00 36 months	\$	44,000	34-00
Childrens Village Philadelphia, PA				
<i>Toward renovation and expansion of its current child care facility serving low-income working parents of the Chinatown community, to provide 20 additional child care slots and a year-round after-school program for 35 children, ages 6-10 years</i>	\$267,300 11/13/01 12 months	\$	267,300	201-01
Childspace Cooperative Development Philadelphia, PA				
<i>Toward continued support for establishing individual development accounts for low-income child-care workers to improve job quality and the quality of child care provided</i>	\$341,000 12/03/99 36 months	\$	121,000	21999
Christus Evangelical Lutheran Church Camden, NJ				
<i>For a collaborative project to enhance youth opportunities and expand community and organizational capacity to sustain programs that promote positive outcomes in communities with high rates of violence (Peacemaker Cluster)</i>	\$191,545 07/21/00 24 months	\$	91,800	167-00
City Year, Inc - Greater Philadelphia Philadelphia PA				
<i>Toward improving recruitment and retention practices to increase this youth service organization's capacity to provide leadership development skills to a larger and more diverse corps</i>	\$346,500 07/20/01 36 months	\$	181,500	149-01

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	<u>Duration</u>			
Communities in Schools of Phila.				
Philadelphia PA				
<i>Toward expansion, into three additional schools, of the Youth Enterprise Education Project, a business training and startup program for at-risk students in grades 9 - 12, offered by this network of organizations working to keep youth in school</i>	\$205,425 04/28/00 36 months	\$	68,475	80-00
Community Action Agency of Delaware County, Inc.				
Media PA				
<i>For the 2001 Summer Youth Career Exploration Program</i>	\$68,169 07/09/01 6 months	\$	68,169	122-01
Community College of Philadelphia				
Philadelphia, PA				
<i>Toward its establishment of the Youth Development Certificate Program, designed to improve the quality of training and education for professional youth-service workers in the region</i>	\$374,506 04/16/99 36 months	\$	108,658	6899
Community Development Corporation of Frankford Group Ministry				
Philadelphia PA				
<i>Toward support for Frankford Career Services, a program of the CDC of Frankford Group Ministry, designed to provide career counseling, job coaching, resume writing, and a local job bank</i>	\$66,000 10/15/01 12 months	\$	66,000	185-01
Community Legal Services, Inc.				
Philadelphia PA				

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	<u>Award Date</u>		<u>2001</u>	
	<u>Duration</u>			
<i>Toward expanding its capacity to handle demand for its services by non- and limited-English speaking indigent residents of Philadelphia</i>	\$553,077 04/16/99 36 months	\$	184,359	8599
Concern for Children's Betterment Philadelphia PA				
<i>As part of the Youth Opportunities Initiative, toward cultural arts scholarships for 20 low-income youth during the school year and 20 youth from West Philadelphia in the summer session to attend this school of performing arts</i>	\$33,000 01/28/00 36 months	\$	11,000	33-00
Crime Prevention Association of Philadelphia Philadelphia PA				
<i>For the 2001 Summer Youth Career Exploration Program</i>	\$64,228 07/09/01 6 months	\$	64,228	123-01
<i>Toward developing a plan to integrate youth development principles and practices into all aspects of agency's youth-serving operations</i>	\$65,704 11/28/01 12 months	\$	65,704	236-01
<i>Toward replication of Healthy Families, a comprehensive early intervention/child-abuse prevention program for first-time parents, to be implemented by a consortium of five child-welfare agencies in Philadelphia and Bucks County</i>	\$650,100 09/17/99 36 months	\$	216,700	16199

Cross City Campaign for Urban School Reform
Chicago, IL

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<i>Toward the Philadelphia portion of its Indicators Project on Education Organizing, a strategic action research & communication project to make education-reform work of organized parents & community leaders stronger, better understood, & supported</i>	\$202,400 07/20/01 24 months	\$	101,200	154-01
Delaware Valley Association for the Education of Young Children Philadelphia PA				
<i>Toward its Worthy Wage Campaign, a grassroots organizing effort to educate child care workers and the public on the link between quality child care and improved compensation for workers, to bring about systematic change in child care funding</i>	\$165,000 04/28/00 24 months	\$	82,500	81-00
Delaware Valley Child Care Council Philadelphia, PA				
<i>Toward expanded use of its GIS mapping capability for child-care data and increased research report production and public education on child-care issues by this regional child-care advocacy organization</i>	\$247,500 01/28/00 24 months	\$	121,000	15-00
Domestic Violence Center of Chester County, Inc. West Chester, PA				
<i>Toward support for and expansion of counseling services for both adult and child victims of domestic abuse in Chester County</i>	\$82,500 08/07/01 12 months	\$	82,500	163-01
Educating Children for Parenting Philadelphia PA				
<i>Toward increasing the capacity of this organization to provide parenting education in the Philadelphia region through additional managerial staff and enhanced data collection and assessment abilities</i>	\$82,500 10/04/01 12 months	\$	82,500	180-01

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Education Law Center - PA				
Philadelphia, PA				
<i>Toward expanding the capacity of this statewide public interest law firm's project, the Pennsylvania School Reform Network, to perform both ongoing work and new initiatives through community engagement in systemic change at the state and local level</i>	\$487,245 01/26/01 36 months	\$	162,415	21-01
Empowerment Group				
Philadelphia PA				
<i>Toward expanding and strengthening Empowerment Painters, a business development, job training, and community revitalization program</i>	\$80,080 03/30/01 24 months	\$	40,040	51-01
Faith Assembly of God				
Philadelphia, PA				
<i>For a collaborative project to enhance youth opportunities and expand community and organizational capacity to sustain programs that promote positive outcomes in communities with high rates of violence (Peacemaker Cluster)</i>	\$199,365 07/21/00 24 months	\$	99,683	170-00
Family Service Association of Bucks County				
Langhorne, PA				
<i>Toward replication of Healthy Families, a comprehensive early intervention/child-abuse prevention program for first-time parents, to be implemented by a consortium of five child-welfare agencies in Philadelphia and Bucks County</i>	\$650,100 09/17/99 36 months	\$	216,700	16299
Family Support Services				
Upper Darby PA				

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	<u>Duration</u>			
<i>Toward replication of Healthy Families, a comprehensive early intervention/child abuse prevention program for first time parents, to be implemented by a consortium of five child-welfare agencies in Philadelphia and Bucks County</i>	\$650,100 09/17/99 36 months	\$	216,700	16399
Father's Day Rally Committee, Inc. Philadelphia, PA				
<i>As part of the Youth Opportunities Initiative, toward support for the David P. Richardson Rites of Passage Program, an yearlong African-American history and self-esteem program for youth ages 10 to 14 in the West Oak Lane neighborhoods</i>	\$132,000 01/28/00 36 months	\$	44,000	27-00
First United Methodist Church of Germantown Philadelphia, PA				
<i>Toward expansion of an after-school program for academically at-risk 9th grade Germantown high school students</i>	\$28,050 06/27/01 36 months	\$	28,050	117-01
Foundations, Inc. Mt. Laurel, NJ				
<i>As part of the Youth Opportunities Initiative, toward conducting afterschool programs at Leeds Middle School for 45 students and establishing new middle school programming standards</i>	\$198,000 01/28/00 36 months	\$	66,000	28-00
Free Library of Philadelphia Philadelphia, PA				
<i>As part of the Youth Opportunities Initiative, toward Project LEAP, a program to provide homework and technology assistance and cultural enrichment programs to 2,160 children in Haddington, Logan/Orley, Wadsworth/East Germantown and West Oak Lane</i>	\$272,250 01/28/00 36 months	\$	90,750	29-00

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GALAEI Project				
Philadelphia, PA				
<i>Toward expanding and strengthening their RAVE program targeted to Latino gay, lesbian, bisexual, and transgender youth (Sexual minority youth)</i>	\$200,000 07/21/00 36 months	\$	66,000	164-00
 Girl Scouts of Southeastern Pennsylvania, Inc.				
Philadelphia, PA				
<i>As part of the Youth Opportunities Initiative, toward promoting healthy living, enhancing self-esteem, and encouraging community services among girls ages 10 to 14, through the establishment of 10 new troops in Logan/Olney and East Germantown</i>	\$349,800 01/28/00 36 months	\$	116,600	30-00
 Good Shepherd Neighborhood House Mediation Program				
Philadelphia, PA				
<i>For monitoring implementation and program performance, furnishing administrative and logistical support and identifying and leveraging community resources to strengthen and sustain the youth opportunities network in East Germantown</i>	\$210,000 01/28/00 36 months	\$	70,000	54-00
 Greater Philadelphia Federation of Settlements				
Philadelphia, PA				
<i>An additional grant to cover the general operating costs of managing the Youth Opportunities Initiative</i>	\$80,300 12/13/00 36 months	\$	23,100	258-00
 <i>For the 2001 Summer Youth Career Exploration Program</i>	\$300,203 07/09/01 6 months	\$	300,203	121-01

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As part of the Youth Opportunities Initiative, for providing overall project coordination and management of the Initiative	\$495,000 01/28/00 36 months	\$	165,000	51-00
Greater Philadelphia Urban Affairs Coalition Philadelphia, PA				
Toward construction, by the Community Education and Tennis Association, of a facility and for educational programs to increase opportunities for positive activities for at-risk youth in North Central Philadelphia	\$440,000 11/10/00 24 months	\$	82,500	236-00
Toward conducting analyses of the per pupil allotment formula for charter schools in other regions and making recommendations for an equitable funding formula for Philadelphia charter schools	\$33,000 04/03/01 12 months	\$	33,000	56-01
Toward support for the Delaware Valley Financial Literacy Coalition to provide training for underserved consumers in managing assets and debt, to bring mainstream banking to these communities, and help consumers strengthen their economic base	\$74,685 07/18/01 12 months	\$	74,685	161-01
For expansion of the Big Picture Program, to include high school students and increased operational capacity of administrative functions	\$82,500 07/09/01 12 months	\$	82,500	119-01
For the 2001 Summer Youth Career Exploration Program	\$381,042 07/09/01 6 months	\$	381,042	120-01

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<i>Toward expansion of the Work-Stream/Twilight Program to assist non-disabled out-of-school youth in completing their high school degrees and/or connecting to jobs</i>	\$387,568 11/13/01 36 months	\$ 197,312	209-01
<i>For a strategic planning effort to strengthen and improve the Philadelphia Anti-Drug/Anti-Violence Network's program planning and development capabilities, administrative functions, general operations, and documentation of service delivery systems</i>	\$323,070 11/13/01 24 months	\$ 169,543	206-01
<i>Toward support for a project of the Philadelphia Chapter of the Black Alliance for Educational Options (BAEO) to provide leadership training to parents around school reform issues</i>	\$41,250 11/20/01 12 months	\$ 41,250	233-01
<i>For Firearms Connection, a comprehensive youth violence-prevention program aimed at reducing firearms possession and injuries among young people in two North Philadelphia neighborhoods</i>	\$990,000 10/02/98 36 months	\$ 181,500	21798
Harold Oliver Davis Memorial Baptist Church Philadelphia, PA			
<i>Toward creating a community-wide youth development initiative in Logan/Olney/Nicetown</i>	\$293,700 07/20/01 36 months	\$ 97,900	150-01
Heavenly Hall Church Philadelphia, PA			
<i>As part of the Youth Opportunities Initiative, toward the We've Got Rhythm Youth Project, which will involve 25 at-risk youth from Haddington in an exploration of the nature and power of music</i>	\$66,000 01/28/00 36 months	\$ 22,000	35-00

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Impact Services Corporation Philadelphia, PA			
<i>For the 2001 Summer Youth Career Exploration Program</i>	\$56,500 07/09/01 6 months	\$ 56,500	124-01
Indochinese-American Council Philadelphia PA			
<i>As part of the Youth Opportunities Initiative, toward Project Soar-Youth Development through Art, a community-based and project-oriented arts initiative for 60-75 youth in the Logan/Olney area</i>	\$132,000 01/28/00 36 months	\$ 44,000	44-00
Institute for the Development of African American Youth, Inc. Philadelphia PA			
<i>Toward capacity building for this organization that provides educational and cultural programs and a comprehensive system of prevention and intervention services for at-risk youth and their families</i>	\$260,000 04/20/01 24 months	\$ 130,000	82-01
Institute for the Study of Civic Values Philadelphia, PA			
<i>As part of the Youth Opportunities Initiative, toward its Youth Vote Project, Social Contract Project, and a Free for the Weekend Campaign, all designed to build youth leadership skills, instill civic values and responsibility in youth from Logan/Olney</i>	\$99,000 01/28/00 36 months	\$ 33,000	45-00
Joseph J Peters Institute Philadelphia PA			

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<i>Toward implementation by this treatment center, of a child sexual abuse prevention model in Philadelphia in partnership with STOP IT NOW!, a national organization that promotes public awareness of this issue</i>	\$247,500 07/21/00 36 months	\$ 82,500	159-00
Junior Achievement Incorporated			
Philadelphia PA			
<i>Toward development and ongoing support of the Exchange City project, a hands-on learning site to be built in Chester by this national organization and coupled with a curriculum for the region's fifth and sixth graders</i>	\$247,500 09/17/99 36 months	\$ 27,500	16699
Juvenile Law Center			
Philadelphia PA			
<i>Toward strengthening organizational capacity of this nonprofit, public interest law firm that advances the rights and well-being of children in jeopardy throughout Pennsylvania, particularly in the Philadelphia region</i>	\$250,000 11/10/00 24 months	\$ 125,000	237-00
Kennett Square Main Street Association			
Kennett Square, PA			
<i>Toward support for the final construction phase of The Center, a centralized services and office space for use by local nonprofits and managed by this civic association</i>	\$45,650 01/18/01 6 months	\$ 45,650	1-01
Kensington Area Ministries of the United Methodist Church			
Philadelphia, PA			
<i>Toward general operating support for the after-school programs for area children in four member churches</i>	\$22,000 03/27/00 24 months	\$ 11,000	64-00

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Korean Community Development Services Center			
Philadelphia PA			
<i>For the 2001 Summer Youth Career Exploration Program</i>	\$39,061 07/09/01 6 months	\$ 39,061	129-01
<i>As part of the Youth Opportunities Initiative, toward an afterschool, cross-cultural educational program for approximately 300 students at Olney and Morrison Schools in Logan/Olney</i>	\$66,000 01/28/00 36 months	\$ 22,000	46-00
 La Comunidad Hispana, Inc.			
Kennett Square, PA			
<i>Toward program support of this organization providing social services, adult education, and primary healthcare services to low-income Chester County residents, primarily Latino farmworkers and their families</i>	\$82,500 04/03/01 12 months	\$ 82,500	54-01
 Lafiya Family Services Center, Inc.			
Philadelphia, PA			
<i>Toward salaries for two new positions, a youth programs director and an administrative assistant, in order to expand its services to meet the needs of more participants</i>	\$33,000 01/18/00 24 months	\$ 16,500	8-00
 LaSalle University			
Philadelphia, PA			
<i>As part of the Youth Opportunities Initiative, toward four educational and cultural non-school hour programs and a summer camp serving about 135 adolescents per year in the Logan/Olney area</i>	\$132,000 01/28/00 36 months	\$ 88,000	47-00

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League of Women Voters of Pennsylvania Citizen Education Fund			
Harrisburg PA			
<i>Toward expansion into Philadelphia Schools, of its Kids Around Town service learning program, by this organization dedicated to increasing knowledge of government and public policy issues</i>	\$66,000 04/26/99 36 months	\$ 22,000	8899
Lutheran Children and Family Service			
Philadelphia, PA			
<i>Toward expansion of the existing network of youth programs coordinated by the Southeast Philadelphia Collaborative, a group of 8 community based organizations & governmental resources & creation of an infrastructure that can ensure program quality</i>	\$330,000 11/13/01 36 months	\$ 110,000	204-01
Manpower Demonstration Research Corporation			
New York, NY			
<i>Toward the Philadelphia component of its Project on Devolution and Urban Change, a research study designed to assess the impact of devolution and TANF block grants on urban families and communities</i>	\$500,000 01/26/01 24 months	\$ 350,000	15-01
Maternal Child Health Consortium			
West Chester, PA			
<i>Toward its Latina Health Initiative, providing low-income pregnant and parenting Latina women and their children with linkages to health insurance and prenatal and pediatric care</i>	\$302,500 11/10/00 24 months	\$ 110,000	238-00
Maternity Care Coalition			
Philadelphia, PA			

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<i>Toward staff and program costs for its Women's Health, Children's Futures program which combines advocacy, outreach, education, and research efforts to increase access to and use of public benefits programs by low-income and working poor families</i>	\$351,890 11/13/01 24 months	\$ 180,950	202-01
Metropolitan Aids Neighborhood Nutrition Alliance Philadelphia, PA			
<i>For technology upgrades to enhance its delivery of services, program management, and tracking of volunteers and donors</i>	\$82,500 04/03/01 12 months	\$ 82,500	55-01
Metropolitan Career Center Philadelphia PA			
<i>Toward a five-fold expansion of the Center's computer technology institute, designed to train and place economically disadvantaged Philadelphians in jobs that will enable them to achieve self-sufficiency and long-term employment</i>	\$643,500 04/16/99 36 months	\$ 170,500	7299
Mount Carmel Baptist Church Philadelphia, PA			
<i>As part of the Youth Opportunities Initiative toward a comprehensive crime prevention educational and enrichment program designed to development and strengthen skills in English, math, social studies and the arts for about 150 youth in Haddington</i>	\$165,000 01/28/00 36 months	\$ 55,000	37-00
<i>As part of the Youth Opportunities Initiative, toward support for artistic, cultural, and educational programming for 70 to 100 youth in Haddington</i>	\$16,500 01/28/00 36 months	\$ 5,500	36-00
Mt. Airy USA Philadelphia, PA			

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<i>For the 2001 Summer Youth Career Exploration Program</i>	\$29,130 07/09/01 6 months	\$ 29,130	132-01
National Practitioners Network for Fathers and Families Washington, DC			
<i>Toward a four-city study, "Confronting Gender Bias in Employment and Social Services at the Local Level," the first step toward determining the attitudes of front-line social service and employment workers about low-income men</i>	\$25,000 10/25/01 12 months	\$ 25,000	187-01
Neighbor to Neighbor Community Development Corporation Sharon Hill, PA			
<i>Toward general support of youth and family programs provided to low-income households in Darby Township and surrounding communities in Delaware County</i>	\$44,000 06/27/00 24 months	\$ 17,000	115-00
Norris Square Civic Association Philadelphia, PA			
<i>Toward construction and start-up expenses of the Norms Square Children's Center, which will provide child care for 200 children and create new jobs for the primarily Hispanic neighborhood of Kensington</i>	\$264,000 01/26/01 12 months	\$ 264,000	16-01
Norris Square Neighborhood Project Philadelphia PA			
<i>For a collaborative project to enhance youth opportunities and expand community and organizational capacity to sustain programs that promote positive outcomes in communities with high rates of violence (Peacemaker Cluster)</i>	\$165,000 07/21/00 24 months	\$ 82,500	171-00

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Northwest Interfaith Movement, Inc.			
Philadelphia PA			
<i>Toward continuation and extension of the work of the Philadelphia Early Childhood Collaborative, a partnership of three neighborhood-based resource programs serving low-income children (five and under), their parents, and child-care providers</i>	\$1,411,080 12/03/99 36 months	\$ 470,360	22099
 Northwest Raiders Athletic Association			
Philadelphia PA			
<i>As part of the Youth Opportunities Initiative, toward the Our Turf Has No Boundaries program, which works to decrease youth violence and teen pregnancy through involvement in athletics in Logan/Olney</i>	\$16,500 01/28/00 36 months	\$ 5,500	48-00
 Opportunities Industrialization Centers of America, Inc			
Philadelphia PA			
<i>Toward creation of a fundraising strategic plan for and department within the organization</i>	\$80,300 06/15/01 12 months	\$ 80,300	116-01
 <i>Toward matching funds for building repairs to the current headquarters of this organization that provides education, training, and job placement services to disadvantaged youth and adults in the Philadelphia area</i>	 \$440,000 04/28/00 12 months	 \$ 330,000	 82-00
 Our Lady of Victory			
Philadelphia PA			
<i>As part of the Youth Opportunities Initiative, toward expansion of the Onta Program, designed to help up to 30 adolescents in Haddington to explore the role of church, community, and family in their lives</i>	\$49,500 01/28/00 36 months	\$ 16,500	38-00

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Panther Youth Development Corporation Philadelphia PA				
<i>As part of the Youth Opportunities Initiative, toward program continuation and expansion for this organization dedicated to working with schools, churches, and families to provide athletic and tutorial programs for youth in Haddington</i>	\$16,500 01/28/00 36 months	\$	5,500	39-00
Parents Union for Public Schools In Philadelphia Philadelphia, PA				
<i>For support and expansion of Parent Resource Centers, to provide additional technical assistance and information to parents in the MLK and West Philadelphia Clusters and develop new Mini Resource Centers in the Germantown Cluster</i>	\$290,000 04/28/00 24 months	\$	130,000	83-00
Pennsylvania Partnerships for Children Harrisburg PA				
<i>Toward continued and expanded research dissemination of information, and advocacy on children's issues, by this statewide advocacy agency</i>	\$300,000 07/09/99 36 months	\$	100,000	13499
Pennsylvania State University - College of Health and Human Development University Park, PA				
<i>Toward technical assistance to and an evaluation recommendation regarding Healthy Families, a comprehensive early intervention and child-abuse prevention program for first-time parents</i>	\$314,575 01/28/00 36 months	\$	104,859	16-00
People's Emergency Center Philadelphia, PA				

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<i>Toward development of its new Families First Center, which will house a child care facility, expanded employment preparation and placement programs, and healthcare services in one location</i>	\$400,000 04/20/01 12 months	\$	400,000	78-01
Philadelphia Citizens for Children and Youth Philadelphia, PA				
<i>Toward continued and expanded research, dissemination of information, and advocacy on children's issues, by this regional advocacy agency</i>	\$495,000 04/16/99 36 months	\$	165,000	7799
Philadelphia Community Health Alternatives Philadelphia PA				
<i>Toward expanding and strengthening counseling programs and services Philadelphia for organizations that serve gay, lesbian, bisexual, and transgender youth (Sexual minority youth)</i>	\$198,000 07/21/00 36 months	\$	66,000	165-00
Philadelphia Education Fund Philadelphia, PA				
<i>Toward continuing the Excellence in Teaching Partnership, managed by this education reform organization with the School District and Temple University and designed to increase number and quality of all middle school teachers in the public schools</i>	\$588,808 04/28/00 24 months	\$	294,404	84-00
<i>Toward planning the establishment of a School Leadership Collaborative with the School District of Philadelphia, Commonwealth Association of School Administrators, and local universities, to improve school principals' leadership skills</i>	\$178,805 07/20/01 12 months	\$	178,805	155-01

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<i>Toward a strategic planning process that will re-align its mission and structure to reflect pending changes in the School District's governance and toward support of core leadership activities during the planning period</i>	\$385,000 11/13/01 6 months	\$	385,000	210-01
<i>Toward continuation and enhanced capacity of the College Access Program, which prepares first-generation, college-bound students from Philadelphia public schools for success in higher education</i>	\$759,000 04/16/99 36 months	\$	253,000	7399
Philadelphia FIGHT Philadelphia, PA				
<i>Toward implementation of a comprehensive model program designed to help high-risk teens overcome barriers to accessing healthcare and education by providing ongoing links between out-of-school youth and healthcare providers and educational resources</i>	\$252,684 01/28/00 36 months	\$	80,170	17-00
Philadelphia Foundation Philadelphia, PA				
<i>Toward re-grants to build capacity of 55 nonprofit organizations to enrich their summer camp programs for children 5 to 12 and for administration of the re-grant program by this community foundation in 2000 and 2001</i>	\$1,700,000 04/28/00 24 months	\$	850,000	85-00
<i>For administration of 2001 Summer Youth Career Exploration Program</i>	\$157,667 07/17/01 6 months	\$	157,667	137-01

Philadelphia Health Management Corporation
Philadelphia PA

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<i>Toward constructing and equipping the child-care and playground portions of its new Interim House West facility a transitional housing and treatment facility for women with addictions and their children in the Parkside section of West Philadelphia</i>	\$175,000 07/21/00 24 months	\$	87,500	160-00
<i>As part of the Healthcare Connection Cluster, toward establishing a violence intervention system that can identify, assess, and refer youth victims (ages 8-24) of injury and reduce the rate of re-injury</i>	\$541,256 01/26/01 36 months	\$	174,657	39-01
<i>Toward improving the capacity of the Women's Death Row Review Team, a multi-agency effort to improve policy coordination, planning and data collections regarding women's deaths due to domestic violence</i>	\$27,500 11/01/01 12 months	\$	27,500	189-01
<i>Toward development of a Health Data Resource Center for and dissemination of pertinent data to health and social service providers in southeastern Pennsylvania, by this group that addresses the connections between public health and social issues</i>	\$383,180 01/28/00 24 months	\$	191,590	18-00
Philadelphia High School Academies, Inc.				
Philadelphia PA				
<i>Toward support for its 28 current programs in 18 public high schools to prepare students for transition to work</i>	\$600,000 07/20/01 12 months	\$	600,000	153-01
Philadelphia Physicians for Social Responsibility, Inc.				
Philadelphia, PA				
<i>Toward designing and implementing policy and procedural changes in cooperation with the Philadelphia Health Department and the Philadelphia Department of Human Services regarding family violence prevention and intervention</i>	\$338,247 01/26/01 36 months	\$	110,000	17-01

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<i>Toward ongoing program costs for and expansion of Peaceful Posse A Violence-Prevention Model for adolescents</i>	\$275,000 07/20/01 36 months	\$	110,000	151-01
Philadelphia Society for Services to Children Philadelphia PA				
<i>Toward replication of Healthy Families, a comprehensive early intervention/child-abuse prevention program for first-time parents, to be implemented by a consortium of five child-welfare agencies in Philadelphia and Bucks County</i>	\$650,100 09/17/99 36 months	\$	216,700	16499
Philadelphia Student Union Philadelphia, PA				
<i>Toward building capacity through strategic planning and addition of staff for this organization that develops student leadership skills and toward deepening its current organizing efforts in West Philadelphia, Bertram, and Simon Gratz high schools</i>	\$148,500 11/13/01 12 months	\$	148,500	211-01
Philadelphia Youth Tennis Philadelphia, PA				
<i>Toward construction costs of its new, indoor/outdoor Arthur Ashe Youth Tennis Center, more than double the capacity of its current facility, to be built in Fairmount Park</i>	\$249,700 07/20/01 12 months	\$	249,700	152-01
Planned Parenthood Association of Bucks County Bristol PA				
<i>Toward sexuality education in Bucks County for youth, ages 11 to 18, who have been placed in alternative educational settings where risk of STD's and pregnancy are higher</i>	\$110,306 01/26/01 24 months	\$	54,281	18-01

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	<u>Total Grant Amount</u>		<u>Paid in</u>	<u>Grant #</u>
	<u>Award Date</u>		<u>2001</u>	
	<u>Duration</u>			
Planned Parenthood of Chester County, Inc.				
West Chester PA				
<i>Toward construction of the Coatesville Center for Community Health a multi-purpose center to house seven social-service and healthcare providers in an effort to serve low-income families and children in the Coatesville area</i>	\$396,000 01/26/01 12 months	\$	396,000	19-01
Planned Parenthood of Southern New Jersey, Inc.				
Camden NJ				
<i>For a collaborative project to enhance youth opportunities and expand community and organizational capacity to sustain programs that promote positive outcomes in communities with high rates of violence (Peacemaker Cluster)</i>	\$177,618 07/21/00 24 months	\$	90,190	172-00
Please Touch Museum				
Philadelphia PA				
<i>Toward construction, at Penn's Landing, of a new expanded facility and exhibits by this museum focusing on young children, ages 1 to 8</i>	\$1,650,000 01/28/00 36 months	\$	550,000	19-00
Police Athletic League of Philadelphia				
Philadelphia, PA				
<i>As part of the Youth Opportunities Initiative, toward continuation and expansion of present programs, including the Computer Outreach Program Services initiative, for 60 to 85 youth per year in East Germantown</i>	\$99,000 01/28/00 36 months	\$	33,000	31-00
Providence Center				
Philadelphia PA				

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	<u>Duration</u>			
<i>Toward ESL classes and after-school programs for Latino parents and their children in North Philadelphia</i>	\$10,000 05/08/01 12 months	\$	10,000	94-01
Public Education Fund Network				
Washington DC				
<i>Toward the Campaign for All Children, a statewide effort to inform, educate and organize citizens around the issues of comprehensive school reform, finance adequacy/equity for all, and accountability</i>	\$1,350,000 04/20/01 36 months	\$	450,000	81-01
Public Interest Law Center of Philadelphia				
Philadelphia, PA				
<i>Toward this public interest law firm's advocacy efforts to equalize public school funding in Pennsylvania in order to benefit poor, rural and predominantly minority school districts that are currently underfunded</i>	\$82,500 10/09/00 12 months	\$	41,250	203-00
Public/Private Ventures				
Philadelphia, PA				
<i>For an evaluation of six Peacemaker programs that foster youth development opportunities in high risk neighborhoods</i>	\$164,331 11/10/00 18 months	\$	82,166	235-00
<i>To support comprehensive job-readiness assessments and program recommendations for youth partners in Youth Violence Reduction Project</i>	\$82,500 12/10/01 12 months	\$	82,500	68-01

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	<u>Duration</u>			
<i>Toward a research & evaluation project on youth violence in Philadelp, by this national consulting organization that works on behalf of at-risk youth with special focus on implementing Boston's successful Violence Reduction Project in Philadelphia</i>	\$932,690 01/28/00 36 months	\$	310,896	20-00
Research for Action, Inc. Philadelphia, PA				
<i>For a pilot study, using ethnographic methods, exploring language and numeracy practices of urban per-K children in classrooms and at home</i>	\$62,500 12/13/01 12 months	\$	62,500	241-01
Resources for Human Development, Inc. Philadelphia PA				
<i>Toward hiring a program coordinator to build readership and enhance content of the "Philadelphia Public School Notebook," and to develop strong relationships with activists working on public school improvement</i>	\$71,500 08/16/01 12 months	\$	71,500	167-01
Respond, Inc. Camden, NJ				
<i>For the 2001 Summer Youth Career Exploration Program</i>	\$43,578 07/09/01 6 months	\$	43,578	127-01
Rutgers, the State University New Brunswick, NJ				
<i>Toward expansion of the Rutgers/Camden Parents Academy for School Reform, a leadership development training program promoting greater parental involvement in Camden public schools</i>	\$330,000 04/16/99 36 months	\$	82,500	7499

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	<u>Duration</u>		
Saint Mary's Church Philadelphia, PA			
<i>As part of the Youth Opportunities Initiative, toward an afterschool program to teach bike repair and safe cycling to approximately 30 youth, ages 8 to 17 from West Philadelphia</i>	\$82,500 01/28/00 36 months	\$ 27,500	40-00
School District of Philadelphia Philadelphia, PA			
<i>Toward expansion of Freedom School Summer Site</i>	\$220,000 04/20/01 12 months	\$ 220,000	85-01
Southeast Asian MAA Coalition, Inc. Philadelphia, PA			
<i>Toward its Reaching Asian Youth program, a youth and family initiative designed to increase the graduation rate among Southeast Asian students and toward hiring two additional professional bilingual counselors for the program</i>	\$99,000 07/21/00 24 months	\$ 46,200	161-00
St. Helena Church Philadelphia, PA			
<i>As part of the Youth Opportunities Initiative, toward continuation and expansion of a recreational and educational summer camp and afterschool program for youths ages 10 to 14 in the Logan/Olney</i>	\$66,000 01/28/00 36 months	\$ 22,000	49-00
St Mark's Center Philadelphia, PA			

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	<u>Duration</u>			
<i>As part of the Youth Opportunities Initiative, toward a recreational and educational field trip series for youths ages 10 to 14 from West Oak Lane</i>	\$66,000 01/28/00 12 months	\$	22,000	32-00
Temple University - Center for Public Policy Philadelphia PA				
<i>As part of the Healthcare Connection Cluster, toward establishing a violence intervention system that can identify, assess, and refer youth victims (ages 8-24) of injury and reduce the rate of re-injury</i>	\$1,115,954 01/26/01 39 months	\$	314,423	40-01
Temple University - Department of Criminal Justice Philadelphia, PA				
<i>Toward designing and implementing a method for matching high-risk delinquent Philadelphia youths to the most appropriate court-mandated programs in order to increase the likelihood of successful program completion</i>	\$150,183 11/13/01 24 months	\$	78,767	207-01
Temple University - Department of Psychology Philadelphia, PA				
<i>Toward the Philadelphia component of the Pathways to Desistance project, a longitudinal study of the factors influencing desistance from criminal activity among serious juvenile offenders, in order to decrease crime & promote positive youth development</i>	\$601,928 11/13/01 36 months	\$	183,771	208-01
Tenants Action Group Philadelphia PA				
<i>Toward a childhood lead poisoning prevention program that educates families and nonprofit agencies in Philadelphia about the symptoms and dangers of lead poisoning, and assists families in lead removal</i>	\$82,500 05/16/01 24 months	\$	41,250	105-01

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Terri Lynne Lokoff Foundation
King of Prussia, PA

Toward the Mobile Children's Safety Center, a Philadelphia-focused pilot project to train child care providers in low-income neighborhoods about injury prevention strategies in child care settings

Total Grant Amount
Award Date
Duration

\$66,000 \$ 66,000 239-01
12/10/01
12 months

The Attic Youth Center
Philadelphia, PA

Toward expanding and strengthening programs and services gay, lesbian, bisexual and transgender youth (Sexually minority youth)

\$300,000 \$ 100,000 162-00
07/21/00
36 months

Toward expanding its James H. Bryson Institute, which provides services for lesbian, gay, bisexual, transgender and questioning young people in Philadelphia, to serve youth in Montgomery, Bucks, Chester, and Delaware counties

\$330,000 \$ 110,000 203-01
11/13/01
36 months

The Colours Organization
Philadelphia, PA

Toward expanding and strengthening programs and services gay, lesbian, bisexual, and transgender youth of color (Sexually minority youth)

\$159,500 \$ 55,000 163-00
07/21/00
36 months

The Enterprise Center
Philadelphia, PA

Toward improving outcomes for more of its youth participants by enhancing internal organizational structure, improving transitions between programs, and increasing data and tracking capacity of this organization that assists low-income entrepreneurs

\$220,000 \$ 110,000 84-01
04/20/01
24 months

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The New Frankford Community Y
Philadelphia, PA

For capital improvements to expand its out-of-school program capacity and to enable it to apply for state licensure

Total Grant Amount
Award Date
Duration

Paid In
2001

Grant #

\$82,500 \$ 82,500 115-01
06/09/01
12 months

The Reinvestment Fund, Inc.
Philadelphia, PA

Toward its Workforce Connections effort, designed to help inner-city adults and young people become productive wage earners by helping them gain entry to training and jobs in Information Technology and access to supportive workplace services

\$984,500 \$ 492,250 79-01
04/20/01
24 months

The Working Wardrobe
Philadelphia PA

Toward capacity building for this organization that provides interview-appropriate clothing and related services to economically challenged women actively seeking employment

\$55,000 \$ 27,500 185-00
08/10/00
24 months

Thomas Jefferson University
Philadelphia PA

As part of the Healthcare Connection Cluster, toward establishing a violence intervention system that can identify, assess, and refer youth victims (ages 8-24) of injury and to reduce the rate of re-injury

\$746,946 \$ 489,947 38-01
01/26/01
36 months

To Our Children's Future With Health, Inc.
Philadelphia, PA

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	<u>Duration</u>		
<i>For monitoring implementation & program performance, furnishing administrative and logistical support, identifying and leveraging community resources to strengthen and sustain the youth opportunities in Haddington section</i>	\$210,000 01/28/00 36 months	\$ 70,000	52-00
Tolentine Community Center and Development Corporation Philadelphia, PA			
<i>Toward support for its Youth-Education Mentoring Program, which will utilize academic tutoring and cultural-educational field trips</i>	\$44,000 12/12/00 24 months	\$ 22,000	257-00
Training for Change Philadelphia, PA			
<i>Toward leadership training and intergenerational dialogues for young activists for social change, to be provided in collaboration with Civic House of the University of Pennsylvania</i>	\$62,722 04/17/01 12 months	\$ 62,722	69-01
Unemployment Information Ctr. Philadelphia, PA			
<i>Toward support for its Mothers on the Move(MOMs), women transitioning from welfare to work, in their organizing efforts to improve welfare to work programs and support for families in Philadelphia</i>	\$82,500 07/18/01 12 months	\$ 82,500	162-01
United Way of Southeastern Pennsylvania Philadelphia, PA			
<i>Toward a three-year extension of the Foundation's Child Care Matters Initiative, being carried out by United Way and four local child care service and advocacy organizations in order to increase investment in high-quality child care in the region</i>	\$6,357,701 04/28/00 36 months	\$ 2,428,851	88-00

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	<u>Duration</u>		
<i>Toward continuation for two final years, of work by its Center for Youth Development to improve the youth development service system through training and technical assistance</i>	\$1,298,603 01/26/01 24 months	\$ 1,298,603	20-01
<i>Toward "Watching Out for Children in Changing Times," a joint project with Philadelphia Citizens for Children and Youth, to monitor and report on the status of children and the responses of the agencies that serve them to changes in welfare policy</i>	\$407,000 04/16/99 36 months	\$ 110,000	7899
University of Pennsylvania - Center for Greater Philadelphia			
Philadelphia, PA			
<i>Toward continuation of its Greater Philadelphia High School Partnership, a program that pairs urban and suburban high schools to develop and maintain year-long community service learning projects</i>	\$880,000 11/10/00 24 months	\$ 440,000	242-00
<i>To its Center for Greater Philadelphia, toward support of advocacy work with corporate and union constituencies to promote school funding reform and educator accountability linked to state standards</i>	\$985,000 11/13/01 36 months	\$ 350,000	212-01
University of Pennsylvania - Center for the Study of Youth Policy			
Philadelphia PA			
<i>Toward providing a program assessment of three existing statewide juvenile justice advocacy projects to enhance knowledge about the process of creating and managing agency collaborations to advocate for youth policy changes in Pennsylvania</i>	\$71,875 11/12/01 18 months	\$ 40,963	192-01

University of Pennsylvania - Department of Sociology
Philadelphia, PA

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	<u>Duration</u>			
<i>To its Department of Sociology, toward an extension of the Philadelphia Educational Longitudinal Study (PELS), which began in 1996 with a survey of 1,500 eighth-grade students, to include two additional years of indepth followup survey data</i>	\$300,000 11/13/01 24 months	\$	236,635	213-01
University of Pennsylvania - Graduate School of Education				
Philadelphia, PA				
<i>Toward planning and development of a database that integrates and analyzes data being collected about Philadelphia children and a pilot project to improve school readiness by coordinating the transition from child care to elementary school</i>	\$598,400 07/20/01 14 months	\$	299,200	148-01
University of Pennsylvania - School of Social Work				
Philadelphia, PA				
<i>Toward evaluation of the Foundation's Youth Opportunities Initiative, a coordinated network of youth development programs proposed in response to plans created by three Philadelphia neighborhoods</i>	\$640,640 01/28/00 36 months	\$	213,546	21-00
Urban Bridges At St. Gabriel's Episcopal Church, Inc.				
Philadelphia, PA				
<i>As part of the Youth Opportunities Initiative, toward development and implementation of an afterschool arts and technology project for children aged 10 to 14 from Logan/Olney</i>	\$66,000 01/28/00 36 months	\$	22,000	50-00
Urban Tree Connection				
Philadelphia, PA				
<i>As part of the Youth Opportunities Initiative, toward putting children at the center of a dialogue about neighborhood beautification, collaborating with local schools, and establishing an arboretum in Haddington</i>	\$49,500 01/28/00 36 months	\$	16,500	41-00

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	<u>Duration</u>		
Urbanpromise Ministries, Inc.			
Camden, NJ			
<i>Toward hiring an educational coordinator and for implementation of a tracking system for the StreetLeaders Program, a teenage leadership development opportunity</i>	\$77,000 12/13/01 12 months	\$ 77,000	244-01
 West Oak Lane Community Development Corporation			
Philadelphia PA			
<i>For monitoring performance, furnishing administrative and logistical support, and identifying, cultivating and leveraging community resources to strengthen and sustain the youth opportunities network in West Oak Lane</i>	\$210,000 01/28/00 36 months	\$ 70,000	53-00
 Wheelock College - Center for Career Development in Early Care and Education			
Boston MA			
<i>Toward implementation in southeastern Pennsylvania of its Gateways to Early Childhood Careers Career Development in the Context of Welfare Reform program to improve educational opportunities for child-care practitioners</i>	\$375,000 07/09/99 30 months	\$ 150,000	13699
 Wistar Institute of Anatomy and Biology			
Philadelphia, PA			
<i>Toward a program to train economically disadvantaged students for entry-level biomedical technician jobs in nonprofit and industry research facilities in the region</i>	\$82,500 04/10/01 16 months	\$ 82,500	62-01
 Women's Association for Women's Alternatives			
Swarthmore PA			

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	<u>Total Grant Amount</u> <u>Award Date</u> <u>Duration</u>	<u>Paid In</u> <u>2001</u>	<u>Grant #</u>
<i>Toward an innovative research project to study the impact of welfare reform on low-income Pennsylvania families using the Self Sufficiency Standard for Pennsylvania</i>	\$82,500 06/01/01 12 months	\$ 82,500	109-01
<i>Toward expansion of the Family Economic Self-Sufficiency Project in order to provide its training and technical assistance program to community-based human service providers</i>	\$190,300 04/28/00 24 months	\$ 92,950	89-00
Women's Law Project Philadelphia, PA			
<i>Toward a comprehensive analysis of and treatment of children and families in Philadelphia Family Court development of recommendations to improve the response of the Philadelphia Police Department to sexual assault victims, especially children</i>	\$543,527 11/10/00 24 months	\$ 263,657	244-00
Woodrock, Inc. Philadelphia, PA			
<i>For a collaborative project to enhance youth opportunities and expand community and organizational capacity to sustain programs that promote positive outcomes in communities with high rates of violence (Peacemaker Cluster)</i>	\$164,814 07/21/00 24 months	\$ 82,408	174-00
YMCA of Philadelphia & Vicinity Philadelphia, PA			
<i>Toward a capital campaign to strengthen the capacity of its West Philadelphia branch</i>	\$495,000 04/20/01 12 months	\$ 495,000	80-01

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	<u>Duration</u>			
<i>As part of the Youth Opportunities Initiative, toward a program that enables ouths aged 10-14 to research, develop, design and print their own newspapers</i>	\$39,600 01/28/00 36 months	\$	13,200	42-00
Young Women's Christian Association of Chester Chester, PA				
<i>Toward strengthening the staff and building the capacity of this youth organization serving the city of Chester</i>	\$220,000 04/16/99 36 months	\$	55,000	7699
Young Women's Christian Association of Germantown Philadelphia, PA				
<i>Toward administrative support while they rebuild its funding and program base</i>	\$82,500 11/27/00 12 months	\$	41,250	245-00
Youth Empowerment Services Philadelphia, PA				
<i>Toward a planning grant to develop a system that effectively reconnects young adults who leave school without credentials and skills with opportunities to pursue education or employment</i>	\$82,500 11/08/01 12 months	\$	82,500	194-01
Youth Service, Inc. Philadelphia, PA				
<i>Toward expanded family support at cnis nurseries, physical improvements and increased access to prevention services in West Philadelphia</i>	\$82,500 12/13/01 12 months	\$	82,500	240-01

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	<u>Duration</u>		
Toward replication of Healthy Families, a comprehensive early intervention/child-abuse prevention program for first-time parents, to be implemented by a consortium of five child-welfare agencies in Philadelphia and Bucks County	\$650,100 09/17/99 36 months	\$ 216,700	16599

CHILDREN, YOUTH, & FAMILIES

\$ 28,233,337

ENVIRONMENT & COMMUNITIES

Academy of Natural Sciences of Philadelphia
Philadelphia PA

Toward its Patck Center for Environmental Research, toward a watershed-level assessment that will guide for streambank restoration planning and maximize the benefits of npanan reforestation work by nonprofits and others

\$82,500
04/17/01
24 months

\$ 41,250

67-01

Alliance for the Chesapeake Bay, Inc.
Harrisburg PA

Toward establishing a technical assistance program, Restore Corps, to strengthen the capacity of local watershed organizations in the Chesapeake Bay's drainage area in southeastern and south-central Pennsylvania

\$308,330
11/13/01
24 months

\$ 152,075

216-01

American Institute for Social Justice, Inc.
New Orleans, LA

Toward a predatory lending outreach, education and reform initiative to be conducted in Philadelphia by the Institute's local subsidiary, ACORN

\$82,500
05/08/01
12 months

\$ 82,500

99-01

American Littoral Society
Highlands, NJ

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<i>Toward development and implementation of a model stormwater management program to address flooding in the Delaware River watershed</i>	\$154,000 04/20/01 24 months	\$ 77,000	88-01
Association of New Jersey Environmental Commissions Mendham, NJ			
<i>Toward building its capacity to provide technical assistance to locally based citizen environmental commissions in South Jersey municipalities</i>	\$250,250 11/13/01 24 months	\$ 129,250	224-01
Awbury Arboretum Association, Inc. Philadelphia PA			
<i>Toward increasing and diversifying its fundraising efforts through improved publications, communications, special events, and a new computer database</i>	\$82,500 04/09/01 12 months	\$ 82,500	64-01
Berks County Conservancy Reading PA			
<i>In collaboration with the Hawk Mountain Sanctuary, toward land preservation of at least 100 acres along the Kittatiny Ridge</i>	\$48,950 06/04/01 12 months	\$ 48,950	111-01
Bicycle Coalition of the Delaware Valley Philadelphia, PA			
<i>Toward publication of a regional bicycle map for the Delaware Valley</i>	\$27,500 04/06/01 12 months	\$ 27,500	58-01

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Camphill Village Kimberton Hills, Inc.
 Kimberton, PA

<i>Toward an education program designed to increase watershed stewardship in northern Chester County</i>	\$33,000 12/13/01 12 months	\$	33,000	243-01
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Central Philadelphia Development Corporation
 Philadelphia PA

<i>Toward a demonstration neighborhood marketing initiative designed to help stabilize and revitalize targeted middle-income communities in Philadelphia</i>	\$374,000 07/20/01 24 months	\$	165,000	159-01
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Chester County Community Foundation, Inc.
 West Chester PA

<i>For a report on community attitudes toward development that results in suburbanization of rural areas, and to foster municipal collaboration to support smart growth</i>	\$72,600 09/14/01 12 months	\$	72,600	176-01
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Citizens for Pennsylvania's Future
 Harrisburg, PA

<i>Toward a public education and policy initiative to address the environmental impact of factory farming on watersheds and related ecosystems in Pennsylvania</i>	\$165,000 07/20/01 24 months	\$	102,300	156-01
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Clean Water Fund
 Philadelphia, PA

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	<u>Duration</u>			
Toward a grass-roots advocacy and public education campaign to increase the recycling programs in the city of Philadelphia	\$55,000 04/05/01 12 months	\$	55,000	57-01
Cobbs Creek Community Environmental Education Center, Inc. Philadelphia PA				
Toward building the organizational capacity of the Center to expand its programs within the Cobbs Creek watershed in Fairmount Park	\$68,200 10/23/01 22 months	\$	31,300	186-01
Delaware & Raritan Greenway, Inc Princeton NJ				
Toward strengthening its capacity to develop greenway corridors and preserve important Delaware River watershed lands in Burlington, Mercer and Hunterdon counties, New Jersey	\$341,000 11/13/01 24 months	\$	121,000	214-01
Delaware River Basin Commission West Trenton, NJ				
Toward enhancing public participation and regional cooperation in the development of a new comprehensive water resource plan for the Delaware River Basin	\$330,000 04/20/01 36 months	\$	110,000	91-01
Toward enhancing public participation in the development of a management program to control PCB's in the Delaware Estuary	\$82,500 03/29/01 6 months	\$	82,500	50-01
Delaware River Greenway Partnership Frenchtown NJ				

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	<u>Duration</u>			
<i>Toward strengthening the capacity of the Partnership to implement the Delaware River Heritage Trail, from Trenton to Philadelphia</i>	\$110,000 11/13/01 24 months	\$	36,666	215-01
East Coast Greenway Alliance Wakefield RI				
<i>Toward implementation of the East Coast Greenway within southeastern Pennsylvania</i>	\$44,000 05/08/01 12 months	\$	44,000	100-01
Enterprising Environmental Solutions, Inc. Pittsburgh PA				
<i>Toward development of an interactive, web-based database of sustainable development investors</i>	\$77,000 10/08/01 12 months	\$	77,000	183-01
Fair Hill Burial Ground Corporation Philadelphia, PA				
<i>Toward physical improvements to and building local support for the restoration of this historic burial ground in North Philadelphia</i>	\$27,500 06/01/01 12 months	\$	27,500	108-01
Fairmount Park Foundation Philadelphia, PA				
<i>For a consensus-based planning effort to develop a strategic plan for the recently created Fairmount Park Foundation</i>	\$81,400 06/27/01 4 months	\$	81,400	138-01

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	<u>Duration</u>			
Frankford Group Ministry, Inc				
Philadelphia PA				
<i>Toward urban greening in the Frankford neighborhood and restoration of the portion of Tacony/Frankford Creek within Philadelphia</i>	\$82,500 05/08/01 12 months	\$	82,500	97-01
French and Pickering Creeks Conservation Trust, Inc				
Pottstown PA				
<i>Toward increasing its operating income through hiring of a new development director to expand and diversify fundraising</i>	\$82,500 04/12/01 36 months	\$	39,600	63-01
Greater Philadelphia Chamber of Commerce Regional Foundation				
Philadelphia PA				
<i>Toward development of a neighborhood redevelopment plan for Parkside in West Philadelphia, in collaboration with the Daily News and Urban Land Institute, to stimulate increased civic dialogue and implementation of reinvestment strategies in low-income</i>	\$77,000 06/22/01 6 months	\$	77,000	168-01
Greater Philadelphia First Foundation				
Philadelphia PA				
<i>Toward completion of a comparative analysis of knowledge-based economies in Greater Philadelphia and in successful competitor regions in the United States</i>	\$55,000 09/13/01 6 months	\$	55,000	173-01
Green Valleys Association of Southeastern Pennsylvania				
Pottstown, PA				

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	<u>Duration</u>		
<i>Toward continued implementation of its Sustainable Watershed Management program, which provides technical assistance to municipalities in northern Chester County, to establish scientifically based land use management policies</i>	\$150,700 04/20/01 24 months	\$ 75,350	89-01
Greensgrow Philadelphia Project Incorporated Philadelphia, PA			
<i>Toward expansion of its outdoor, hydroponic farm in New Kensington as a model for urban vacant land re-use and toward development of new protocols to increase yield and marketability</i>	\$33,000 02/01/01 12 months	\$ 33,000	10-01
Hawk Mountain Sanctuary Association Kempton, PA			
<i>Toward acquisition of a 9-acre parcel of land as part of the Sanctuary's Center for Conservation Learning</i>	\$82,500 04/06/01 12 months	\$ 82,500	59-01
Housing and Community Development Network of New Jersey, Inc. Trenton, NJ			
<i>Toward expansion of its technical assistance programs to strengthen the capacity of community-based organizations working to stabilize and revitalize targeted neighborhoods in Camden</i>	\$341,000 11/13/01 24 months	\$ 176,000	221-01
Jewish Employment and Vocational Service Philadelphia, PA			
<i>Toward implementation of a pilot home-maintenance training program for low-income, first-time homeowners in collaboration with four community development corporation in Philadelphia</i>	\$44,000 10/05/01 12 months	\$ 44,000	181-01

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	<u>Duration</u>			
Metropolitan Area Research Corporation Minneapolis MN				
<i>Toward the first phase, the southeastern Pennsylvania portion, of a statewide study of regional socioeconomic and development patterns</i>	\$77,000 06/06/01 6 months	\$	77,000	114-01
National Audubon Society Harrisburg, PA				
<i>Toward expansion of Audubon Pennsylvania's Important Bird Area Program (IBA) and Kittatinny Conservation Planning Project to protect the Commonwealth's largest IBA-Kittatinny Ridge along the Appalachian Trail</i>	\$407,000 07/20/01 24 months	\$	203,500	157-01
National Council for Urban Economic Development, Inc Washington DC				
<i>Toward scholarships for representatives of local community-based economic development organizations to attend CUED's 2001 annual conference in Philadelphia</i>	\$22,000 05/14/01 12 months	\$	22,000	98-01
National Parks Conservation Association Washington, DC				
<i>Toward implementation of its National Parks Business Plan Initiative, a national program to help national parks enhance resource protection through improved financial management and efficiency, at Valley Forge Historical Park</i>	\$27,500 11/08/01 8 months	\$	27,500	191-01
Natural Lands Trust, Incorporated Media, PA				

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	<u>Duration</u>			
<i>Toward protection and enhanced stewardship of environmentally significant lands within the Delaware River watershed and Pennsylvania Highlands through conservation planning, land acquisition, and community-based stewardship programs</i>	\$1,650,000 07/20/01 36 months	\$	550,000	158-01
Nature Conservancy, Inc (New Jersey Field Office) Chester, NJ				
<i>Toward acquisition and management of threatened natural areas in New Jersey's Delaware Bayshores, Pine Barrens, and Skylands within the Foundation's grantmaking region</i>	\$1,650,000 01/26/01 36 months	\$	1,100,000	24-01
Nature Conservancy, Inc. (Pennsylvania Field Office) Conshohocken, PA				
<i>Toward completion of a conservation plan for the 25,700-acre Piedmont landscape conservation area in southeastern Pennsylvania</i>	\$27,500 08/22/01 6 months	\$	27,500	169-01
New Jersey Conservation Foundation Far Hills, NJ				
<i>Toward improved management and protection of New Jersey's natural resources through coordinated public and private planning, policy, and land preservation efforts in the Delaware Bay Watershed and the Delaware River Corridor</i>	\$660,000 01/26/01 24 months	\$	330,000	22-01
New Manayunk Corporation Philadelphia, PA				
<i>Toward a feasibility study for funding a park and facilities on Lower Venice Island and toward an organizational strategic plan</i>	\$49,225 02/06/01 12 months	\$	49,225	41-01

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	<u>Duration</u>			
Pennsylvania Environmental Council, Inc.				
Philadelphia PA				
<i>For promotion of innovative landscape remediation and sustainable development techniques for vacant land and inclusion of these strategies into the City of Philadelphia's comprehensive redevelopment plan for 11 miles of the North Delaware riverfront</i>	\$55,000 06/27/01 4 months	\$	55,000	139-01
Pennsylvania Horticultural Society				
Philadelphia, PA				
<i>Toward a pilot program by its Philadelphia Green program to develop and test a citywide strategy for vacant and open land management in collaboration with the City of Philadelphia</i>	\$300,000 11/13/01 18 months	\$	50,000	218-01
Philadelphia Association of Community Development Corporations				
Philadelphia, PA				
<i>Toward expansion of its programs to build the capacity of Philadelphia's community-based organizations working to stabilize and revitalize their neighborhoods</i>	\$154,000 11/13/01 24 months	\$	77,000	220-01
Philadelphia Interfaith Action, Inc.				
Philadelphia, PA				
<i>Toward expanding its membership and leadership from suburban communities and establishing a broad-based coalition of congregations and organizations to address smart growth issues in the region</i>	\$165,000 11/13/01 24 months	\$	82,500	222-01
Phoenix Land Recycling Company				
Harrisburg, PA				

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<i>Toward creation of a financial intermediary for the redevelopment of brownfield properties in Pennsylvania, by this organization created to facilitate the reuse of abandoned industrial sites</i>	\$165,000 04/20/01 12 months	\$	165,000	86-01
Pottstown Area Industrial Development, Inc. Pottstown, PA				
<i>Toward development and promotion of model, illustration-based smart growth ordinances in the Borough of Pottstown</i>	\$55,000 09/14/01 12 months	\$	27,500	175-01
Project for Public Spaces, Inc. New York, NY				
<i>Toward a planning study of the Vine Street Expressway, conducted in partnership with Center City District, that will identify ways to revitalize the area and reconnect adjacent cultural institutions & neighborhoods divided by the expressway</i>	\$292,600 11/13/01 18 months	\$	292,600	217-01
Public Interest Research Foundation of New Jersey, Inc. Trenton, NJ				
<i>Toward a collaborative effort among local, regional, and statewide organizations to improve state watershed protection regulations in New Jersey</i>	\$55,000 09/10/01 16 months	\$	33,000	172-01
RFK Riverfront CDC Philadelphia, PA				
<i>Toward establishing its Clean and Green Corps, which will address the problem of graffiti and litter in the Philadelphia neighborhoods of Richmond, Fishtown, and Kensington</i>	\$47,850 01/23/01 12 months	\$	47,850	7-01

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	<u>Duration</u>			
SEDA Council of Governments				
Lewisburg PA				
<i>Toward completion of a concept plan for the Susquehanna Greenway that will link 22 counties within the 965-square mile river corridor</i>	\$55,000 08/29/01 12 months	\$	55,000	170-01
Stroud Water Research Center				
Avondale PA				
<i>Toward continuing its research on the Schuylkill River watershed and expanding into the greater Delaware River Basin, its training of teachers, citizens, and technical assistance organizations</i>	\$482,790 04/20/01 36 months	\$	155,320	90-01
Temple University - Center for Public Policy				
Philadelphia PA				
<i>Toward its Research for Democracy project, a collaboration with the Eastern Pennsylvania Organizing Project (EPOP) to conduct studies with community researchers to develop a regional policy agenda and immigration strategy for Philadelphia</i>	\$253,000 11/13/01 24 months	\$	126,500	223-01
The Reinvestment Fund, Inc.				
Philadelphia, PA				
<i>Toward completion of feasibility studies for new private-sector investment products to stabilize and revitalize neighborhoods in Philadelphia and the region</i>	\$82,500 11/06/01 8 months	\$	82,500	190-01

Trust for Public Land
 Morristown NJ

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	<u>Duration</u>			
<i>Toward development of a greenway corridor from the Delaware River to Barnegat Bay and preservation of important habitat and watershed lands within the greenway and Barnegat Bay watershed in New Jersey</i>	\$1,100,000 01/26/01 24 months	\$	550,000	23-01
United Way of Southeastern Pennsylvania Philadelphia PA				
<i>Toward Philadelphia Neighborhood Development Collaborative, a funding group established to build the organizational capacity of selected community development corporations to stabilize and revitalize their neighborhoods</i>	\$2,000,000 04/20/01 36 months	\$	660,000	87-01
University of Pennsylvania - Graduate School of Fine Arts Philadelphia PA				
<i>Toward enhancement and expanded usage of the Neighborhood Information System (NIS), a comprehensive, web-based geographic information system database of key Philadelphia neighborhood indicators, developed by the University and City of Philadelphia</i>	\$300,000 11/13/01 36 months	\$	110,000	219-01
Village of Arts and Humanities, Inc. Philadelphia, PA				
<i>Toward a community-based effort to create a green corridor along Germantown Avenue, linking the Village to the North Central and American Street Empowerment Zones in North Philadelphia</i>	\$110,000 07/20/01 12 months	\$	55,000	160-01
Women's Health & Environmental Network Philadelphia, PA				
<i>Toward a demonstration project with four Philadelphia hospital systems to reduce medical waste and incineration</i>	\$55,000 11/08/01 12 months	\$	27,500	193-01

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	<u>Duration</u>		

ENVIRONMENT & COMMUNITIES

\$ 7,384,236

INTERDISCIPLINARY

AIDS Fund

Philadelphia PA

To sponsor 11 Foundation staff members choosing to walk on October 21, 2001, in AIDS Walk Philly a fund-raising activity to support the work of Fund in providing grants to organizations that provide HIV/AIDS education, prevention, & services

\$6,600 \$ 6,600 184-01
 10/11/01
 3 months

Ben Franklin Technology Center of Southeastern Pennsylvania

Philadelphia, PA

Toward launching the Greater Philadelphia College-town Project's web service which will provide entertainment information, job listings real estate resources, a transportation guide and inter-campus communications systems

\$60,500 \$ 60,500 234-01
 11/20/01
 12 months

Black United Fund of Pennsylvania Inc.

Philadelphia PA

Toward maintaining and expanding its re-grant program according to its new strategic plan

\$5,060 \$ 2,530 29-01
 01/26/01
 24 months

Bread and Roses Community Fund

Philadelphia, PA

Toward increasing its support for small social justice organizations through general fund and discretionary/emergency fund re-grants

\$31,592 \$ 15,796 30-01
 01/26/01
 24 months

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	<u>Duration</u>			
<i>Toward its Barbara Smith Leadership Training Program, a three-year pilot technical assistance/leadership development project that will serve 40 small grassroots organizations working for social and economic justice in the six-county region</i>	\$257,435 11/13/01 36 months	\$	81,852	225-01
Charity Lobbying in the Public Interest Washington, DC				
<i>To educate nonprofit organizations about how to participate in the public policy process</i>	\$50,000 09/26/01 12 months	\$	50,000	179-01
Chester County Community Foundation, Inc West Chester, PA				
<i>Toward building its internal management and governance systems during a year of leadership transition</i>	\$75,000 11/20/01 4 months	\$	75,000	235-01
Community Design Collaborative of AIA Philadelphia Philadelphia, PA				
<i>Toward expanding its services for nonprofits, including planning, feasibility, site evaluation, conceptual design, increasing the number of volunteer architects, and assessing program effectiveness</i>	\$110,000 01/26/01 24 months	\$	55,000	25-01
Eastern National Fort Washington, PA				
<i>Toward development and construction of the Independence Park Institute, an education facility for Independence National Historic Park</i>	\$3,300,000 11/13/01 36 months	\$	1,100,000	227-01

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	<u>Duration</u>			
Environmental Fund for Pennsylvania				
Philadelphia PA				
<i>Toward increasing re-grants to environmental and conservation organizations and targeting funds toward inner-city watershed education and drinking water protection programs</i>	\$57,200 01/26/01 24 months	\$	28,600	31-01
Executive Service Corps of the Delaware Valley				
Ardmore PA				
<i>Toward technical assistance to help increase efficiency, effectiveness, and increased capacity of nonprofit organizations, recruit and train additional volunteer consultants, and assess program effectiveness</i>	\$66,000 01/26/01 24 months	\$	33,000	26-01
Free Library of Philadelphia				
Philadelphia PA				
<i>Toward the Library's Regional Foundation Center, which provides fundraising information and services to the nonprofit community</i>	\$10,000 03/07/01 12 months	\$	10,000	47-01
Greater Philadelphia Urban Affairs Coalition				
Philadelphia PA				
<i>Toward expansion and evaluation of Community Capital Works, a program of GPUAC's Philadelphia Development Partnership that is designed to develop micro-enterprises in low-income communities in Philadelphia and Chester</i>	\$363,000 07/21/00 24 months	\$	165,000	155-00
Hispanics in Philanthropy				
Emerville CA				

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	<u>Duration</u>			
<i>Toward establishment of the Philadelphia site of its national Funders' Collaborative for Strong Latino Communities, to provide capacity-building re-grants to small- and medium-sized Latino nonprofit organizations</i>	\$402,600 11/13/01 36 months	\$	134,200	226-01
LaSalle University Philadelphia PA				
<i>To its Nonprofit Management Development Center, toward expanded services including subsidized consulting, workshops, and education programs, development of programs to train and place people on nonprofit boards, and helping with executive transitions</i>	\$322,300 01/26/01 24 months	\$	161,700	27-01
National Constitution Center Philadelphia, PA				
<i>A challenge grant toward increasing its endowment and toward developing an initial implementation of the education program for a national museum devoted to interpreting and understanding the US Constitution, to be built on Independence Mall</i>	\$2,334,121 04/28/00 42 months	\$	695,246	97-00
Pennsylvania Economy League, Inc. Philadelphia PA				
<i>Toward a joint effort with the Reinvestment Fund and 10,000 Friends of Pennsylvania to establish an independent regional resource center for conducting and communicating research and policy analyses about the five-county Philadelphia metropolitan area</i>	\$3,840,000 12/03/99 36 months	\$	1,015,000	23099
Philadelphia Bar Foundation Philadelphia PA				
<i>Toward general operating support re-grants to local organizations providing free legal services and other assistance to victims of poverty, abuse and discrimination</i>	\$110,000 01/26/01 24 months	\$	55,000	32-01

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Philadelphia Foundation			
Philadelphia, PA			
<i>Toward general operating support re-grants to nonprofit organizations including those working in areas of interest to the Foundation, emerging organizations, or those helping in the event of community emergencies or disasters</i>	\$803,000 01/26/01 24 months	\$ 401,500	33-01
<i>Toward planning and development, including the hiring of program content staff, for the National Center for the American Revolution to be located in the Valley Forge National Historical Park</i>	\$220,000 11/13/01 12 months	\$ 220,000	228-01
Rockefeller Family Fund - Technology Project			
Philadelphia PA			
<i>Toward support for the expansion of a technical assistance program by its Technology Project to build the organizational and technological capacity of nonprofits in the Foundation's grantmaking region</i>	\$550,000 07/21/00 24 months	\$ 275,000	157-00
Rutgers University Foundation			
New Brunswick, NJ			
<i>To its Walter Rand Institute for Public Affairs, toward customized technical assistance and training services for Camden nonprofits and toward the Institute's communication and convening functions</i>	\$55,000 01/26/01 12 months	\$ 55,000	28-01
Temple University - College of Liberal Arts			
Philadelphia, PA			
<i>Toward continuation of the University Community Collaborative of Philadelphia, to provide research, technical assistance, and networking support to community organizations in North and South Philadelphia</i>	\$330,000 11/10/00 24 months	\$ 165,000	219-00

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	<u>Duration</u>		
United Way of Southeastern Pennsylvania			
Philadelphia PA			
<i>Toward general operating support re-grants to nonprofit organizations providing services for children, youth, and families and toward meeting its newly established goals</i>	\$1,210,000 01/26/01 24 months	\$ 605,000	34-01
<i>An emergency grant to the United Way September 11th Fund to aid victims and their families of the attacks on the World Trade Center in New York City and the Pentagon in Washington D C</i>	\$250,000 09/20/01 12 months	\$ 250,000	177-01
WHYY, Inc			
Philadelphia PA			
<i>Toward a demonstration project to develop a community-service model for this public broadcasting station's news and public affairs service, focusing on cultural barriers that keep Hispanic women from seeking preventative healthcare</i>	\$384,977 04/20/01 12 months	\$ 384,977	92-01
<i>To fund an independent study of the community's need for and expectations of the WHYY Learning Center, which will begin construction in mid-2002</i>	\$82,500 11/20/01 6 months	\$ 0	232-01
Womens Way			
Philadelphia, PA			
<i>Toward general operating support re-grants to member organizations that provide vital services for women and their families</i>	\$146,652 01/26/01 24 months	\$ 73,326	35-01
INTERDISCIPLINARY		\$ 6,174,827	

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Total Grant Amount

Award Date

Duration

Paid in
2001

Grant #

NATURAL & PHYSICAL ENVIRONMENT

Academy of Natural Sciences of Philadelphia

Philadelphia, PA

For a scientific study of alternative intervention and management techniques for control of two invasive species, Japanese knotweed and Norway maple, to be conducted in Fairmount Park by this international research institution and museum

\$1,210,000
 01/29/99
 48 months

\$ 291,500

2799

Alliance for a Living Ocean

North Beach Haven, NJ

Toward increasing stewardship of the coastal ecosystem by expanding its educational program, From the Raindrop to the Ocean which highlights the problems of nonpoint source pollution on water resources

\$11,000
 08/28/00
 24 months

\$ 3,300

188-00

American Littoral Society

Highlands NJ

Toward continued public education and advocacy by this national organization that encourages the study and conservation of marine life and habitat, for the protection of southern New Jersey's coastal ecosystem

\$150,800
 07/21/00
 24 months

\$ 73,800

145-00

Toward continued support and expansion of its Delaware Riverkeeper Network streambank restoration program in the Delaware River watershed

\$610,500
 12/03/99
 36 months

\$ 176,000

22399

Berks County Conservancy

Reading PA

Toward land preservation through easements, acquisitions, and transfers, watershed planning and remediation, and improvement of the organization's fundraising capacity

\$597,300
 07/21/00
 24 months

\$ 270,600

146-00

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Chesapeake Bay Foundation, Inc.				
Harrisburg, PA				
<i>Toward expansion of this regional conservation organization's Chesapeake Bay protection program to include the Indian Run and Hammer Creek subwatersheds in Lancaster County</i>	\$308,000 01/29/99 36 months	\$	93,500	2999
Chester County Community Foundation, Inc.				
West Chester, PA				
<i>Toward implementation of an education and advocacy plan on issues of sprawl in Chester County by its affiliated fund the Chester County 2020 Trust</i>	\$165,000 10/02/98 36 months	\$	27,500	22098
Clean Ocean Action, Inc.				
Highlands, NJ				
<i>Toward continued public education and advocacy, by this regional coalition of organizations dedicated to protecting marine waters, for the protection of southern New Jersey's marine ecosystem</i>	\$146,300 07/21/00 24 months	\$	71,500	147-00
Clean Water Fund				
Philadelphia, PA				
<i>Toward continuation of its Tap Into Watersheds Project, designed to enhance coordination of and public participation in water-protection efforts in southeastern Pennsylvania</i>	\$192,500 04/28/00 24 months	\$	93,500	90-00
Community Ventures				
Philadelphia, PA				

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<i>Toward development of livable communities that utilize a village design with diverse building types, serve multiple income levels, and preserve open space, coordinated by this housing developer in partnership with local organizations</i>	\$82,500 08/12/98 36 months	\$	27,500	19398
Delaware Nature Society, Inc. Hockessin DE				
<i>Toward continued support for its Soil Watch Program, designed to promote soil stewardship and reduce nonpoint source and sediment pollution in Delaware, and toward capital improvements to its Ashland Nature Center</i>	\$209,000 11/10/00 18 months	\$	110,000	220-00
<i>Toward development and implementation, by this conservation organization, of an environmental education program for children and adults at its Coverdale Farm</i>	\$82,500 07/12/99 24 months	\$	38,500	14399
Delaware Valley Citizens Council for Clean Air Philadelphia, PA				
<i>Toward its Manayunk Travel Awareness Campaign, a public-education program designed to reduce vehicular trips</i>	\$58,300 07/10/00 30 months	\$	25,300	133-00
Delaware Valley Habitat for Humanity Philadelphia PA				
<i>Toward the efforts of this regional support organization, to strengthen the capacity of Habitat affiliates in the Delaware Valley</i>	\$660,000 12/03/99 36 months	\$	165,000	22599
Earth Force, Inc. Wyncote PA				

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<i>Toward expanding this national organization's environmental education program, Community Action and Problem Solving (CAPS), through its Philadelphia affiliate, which is working in Philadelphia and Montgomery Counties</i>	\$82,500 06/16/00 24 months	\$	49,500	111-00
Environmental Alliance for Senior Involvement Catlett, VA				
<i>Toward expansion of this national organization's intergenerational environmental monitoring and education program in southeastern Pennsylvania</i>	\$132,000 07/21/00 24 months	\$	44,000	148-00
Fund for the Water Works Philadelphia, PA				
<i>Toward improvements to the Fairmount Water Works Interpretive Center, a site for urban environmental education, which is administered by the Philadelphia Water Department (SWRI)</i>	\$1,100,000 01/28/00 24 months	\$	550,000	22-00
Heritage Conservancy Dovlestown, PA				
<i>Toward increased public awareness and implementation of watershed protection and smart growth initiatives in Bucks County</i>	\$825,000 11/10/00 36 months	\$	365,000	221-00
Lancaster Farmland Trust Lancaster, PA				
<i>Toward preservation of prime agricultural land in Lancaster County, through the acquisition of easements by this regional land trust</i>	\$825,000 07/09/99 36 months	\$	275,000	13999

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Local Initiatives Support Corporation				
Trenton NJ				
<i>To the camden office of this national nonprofit, toward expansion of its technical and financial assistance toward revitalization, of the Cooper Plaza and Broadway commercial district in Camden</i>	\$400,000 11/10/00 24 months	\$	200,000	222-00
<i>To the Philadelphia office of this national nonprofit toward a technical and financial assistance program to build the capacity of community development corporations in Philadelphia</i>	\$500,000 11/10/00 24 months	\$	250,000	223-00
National Fish and Wildlife Foundation				
Washington, DC				
<i>Toward increasing corporate fundraising and quality and quantity of project grants within the Foundation's environmental geographic area by this organization created to secure private funds to leverage the conservation activities of federal agencies</i>	\$165,000 11/10/00 18 months	\$	82,500	224-00
Natural Lands Trust, Incorporated				
Media, PA				
<i>Toward a plan for the Schuylkill River watershed and a land-protection program for six critical landscapes in Pennsylvania and New Jersey, to be implemented by this regional land trust</i>	\$1,292,500 04/24/98 36 months	\$	110,000	8698
Nature Conservancy, Inc. (Pennsylvania Field Office)				
Conshohocken PA				
<i>Toward land preservation and community-stewardship programs in the Serpentine Barrens, Mount Bethel Fens and Acopian watershed, and toward completion of three eco-regional planning studies</i>	\$1,650,000 10/17/97 37 months	\$	400,000	25397

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Neighborhood Gardens Association/A Philadelphia Trust			
Philadelphia, PA			
<i>Toward preservation of community gardens in low- to moderate-income communities in Philadelphia through long-term site protection by this citywide urban land trust</i>	\$110,000 01/28/00 24 months	\$ 55,000	23-00
New Jersey Future, Inc.			
Trenton, NJ			
<i>Toward efforts by statewide advocacy organization to promote implementation of smart-growth policies and systems in New Jersey</i>	\$330,000 07/21/00 24 months	\$ 154,000	150-00
New Kensington Community Development Corporation			
Philadelphia, PA			
<i>Toward this community-based organization's comprehensive community revitalization efforts in North Philadelphia</i>	\$550,000 11/10/00 36 months	\$ 165,000	225-00
Norris Square Civic Association			
Philadelphia, PA			
<i>Toward site acquisition to facilitate the expansion of El Mercado, a small business incubator featuring tropical and local produce and food products made by local entrepreneurs, and toward the revitalization of a blighted 7-acre parcel</i>	\$344,300 11/10/00 24 months	\$ 55,000	226-00
Norris Square Neighborhood Project			
Philadelphia, PA			

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	<u>Duration</u>			
<i>Toward development of an independent Norris Square Park community stewardship collaborative to ensure comprehensive and consistent community participation in maintenance and planning for the park</i>	\$137,500 01/28/00 36 months	\$	43,300	24-00
OMG Center for Collaborative Learning				
Philadelphia PA				
<i>For an evaluation of the Foundation's 1999 grant to support the Pennsylvania Horticultural Society's Philadelphia Green programs</i>	\$300,000 12/03/99 36 months	\$	150,100	22799
Partnership for the Delaware Estuary, Inc.				
Wilmington DE				
<i>Toward establishing indicators to measure the health of the Delaware Estuary and expanding this outreach and education organization's mini-grant program and its general fundraising efforts</i>	\$245,300 11/10/00 24 months	\$	102,300	227-00
Pennsylvania Council of Churches				
Harrisburg PA				
<i>Toward expansion in southeastern Pennsylvania, of its Interfaith Global Climate Change Campaign to engage congregations in energy conservation</i>	\$77,000 09/12/00 22 months	\$	44,000	195-00
Pennsylvania Environmental Council, Inc.				
Philadelphia, PA				
<i>Toward sustaining and expanding its Environmental Advisory Council Network, GreenSpace Alliance, and Livable Communities Project in southeastern Pennsylvania</i>	\$906,620 07/21/00 25 months	\$	417,340	151-00

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	<u>Total Grant Amount</u>	<u>Paid In</u>	<u>Grant #</u>
	<u>Award Date</u>	<u>2001</u>	
	<u>Duration</u>		
Pennsylvania Horticultural Society Philadelphia, PA			
<i>Toward the Philadelphia Green program, working with community-based organizations and public agencies to enhance and manage open space in low- to moderate-income neighborhoods</i>	\$2,750,000 09/17/99 36 months	\$ 962,500	16899
Pennsylvania Low Income Housing Corporation Glenside, PA			
<i>Toward promoting implementation of state public policies and funding programs that facilitate the preservation and production of decent, safe, and affordable rental housing</i>	\$154,000 11/10/00 24 months	\$ 77,000	228-00
Perkiomen Valley Watershed Association Schwenksville, PA			
<i>Toward long-term organizational capacity building and sustainability through development of a strategic action plan and increased financial stability</i>	\$171,600 11/10/00 36 months	\$ 49,500	229-00
Philadelphia Association of Community Development Corporations Philadelphia, PA			
<i>Toward a technical assistance program to build the capacity of its member community development organizations to access and use the Internet and other computer-based information systems</i>	\$49,500 10/17/00 12 months	\$ 38,500	206-00
Pinelands Preservation Alliance, Inc. Pemberton, NJ			

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	<u>Award Date</u>	<u>2001</u>	
	<u>Duration</u>		
<i>Toward expansion of this advocacy organization's education and outreach program to increase public and private efforts to protect the New Jersey Pinelands</i>	\$143,000 07/21/00 24 months	\$ 71,500	153-00
Project H O.M.E Philadelphia, PA			
<i>Toward revitalization of the St. Elizabeth's/Diamond neighborhood in North Philadelphia through improvements along the Ridge Avenue commercial corridor between 22nd and 29th Streets and greening of vacant lots</i>	\$187,000 04/28/00 24 months	\$ 66,000	93-00
Rancocas Watershed Conservation Foundation, Inc Mount Holly, NJ			
<i>Toward greenway planning and open-land protection within the Rancocas Creek watershed in south central New Jersey, by this local land trust</i>	\$189,200 10/02/98 12 months	\$ 106,700	22298
Reading Area Community College Educational Foundation Reading, PA			
<i>Toward conversion of a canal bridge and two abandoned railroad bridges over the Schuylkill River for pedestrian and bicycle use</i>	\$55,000 02/17/00 18 months	\$ 55,000	58-00
Regional Housing Legal Services Glenside, PA			
<i>Toward promoting implementation of state policies and funding programs that facilitate housing development in older, economically distressed communities and enhancing its technical assistance program for Philadelphia community organizations</i>	\$165,000 11/10/00 24 months	\$ 77,000	230-00

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	<u>Total Grant Amount</u>	<u>Paid In</u>	<u>Grant #</u>
	<u>Award Date</u>	<u>2001</u>	
	<u>Duration</u>		
Ridge and Valley Conservancy			
Blairstown NJ			
<i>Toward the acquisition of environmentally significant lands within the Upper Delaware watershed for inclusion in nature preserves</i>	\$82,500 06/14/00 12 months	\$ 27,500	113-00
Schuylkill Center for Environmental Education			
Philadelphia, PA			
<i>Toward restoration and expansion of its Education Center Loop and Ravine Loop trails and integration of these restoration projects into the Center's education programs</i>	\$550,000 07/21/00 24 months	\$ 220,000	154-00
Schuylkill River Development Council, Inc.			
Philadelphia, PA			
<i>Toward a master plan, staffing, and project support to initiate projects and raise funds for revitalization along and conservation of the tidal Schuylkill River</i>	\$797,500 11/10/00 36 months	\$ 275,000	231-00
Schuylkill River Greenway Association			
Wyomissing, PA			
<i>Toward enhancing its organizational capacity through funding diversification, project support, and expanded communication resources</i>	\$276,900 11/10/00 24 months	\$ 276,900	232-00
The New Jersey Academy for Aquatic Sciences, Inc.			
Camden, NJ			

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	<u>Total Grant Amount</u>		<u>Paid in</u>	<u>Grant #</u>
	<u>Award Date</u>		<u>2001</u>	
	<u>Duration</u>			
<i>Toward Families Exploring Science Together, a collaboration of this aquanum with 3 other science institutions and 12 community-based organizations to increase parental involvement in children's science learning through hands-on environmental education</i>	\$273,900 04/28/00 36 months	\$	94,820	92-00

The Reinvestment Fund, Inc.
Philadelphia, PA

<i>Toward a community development resource fund, administered by DVCRF, to provide support for core operations, organizational development, & technical assistance to neighborhood-based organizations implementing community revitalization programs in Camden</i>	\$500,000 12/03/99 36 months	\$	200,000	22999
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United Community Services of Bucks County
Fairless Hills, PA

<i>Toward a community-development resource fund to provide core operating support and organizational-development assistance to local organizations implementing affordable housing programs in Bucks County</i>	\$300,000 07/10/98 36 months	\$	100,000	16198
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Universal Community Homes
Philadelphia, PA

<i>Toward strengthening the capacity of this organization in implementing its five-year strategic plan for revitalization of South Central Philadelphia</i>	\$385,000 04/28/00 24 months	\$	165,000	94-00
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University of Pennsylvania - Morris Arboretum
Philadelphia, PA

<i>Toward continued promotion of the Gardens Collaborative in the Philadelphia region and development of environmental education programs at member gardens and arboreta</i>	\$126,500 04/28/00 28 months	\$	60,500	95-00
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	<u>Total Grant Amount</u>	<u>Paid in</u>	<u>Grant #</u>
	<u>Award Date</u>	<u>2001</u>	
	<u>Duration</u>		
Wildlands Conservancy, Inc.			
Emmaus, PA			
<i>Toward protection and restoration of the Lehigh River watershed and smart growth initiatives within the Lehigh Valley</i>	\$660,000 11/10/00 36 months	\$ 220,000	233-00
Women's Community Revitalization Project			
Philadelphia, PA			
<i>Toward continued support for this community-based organization's community revitalization efforts in eastern North Philadelphia</i>	\$344,300 11/10/00 36 months	\$ 110,000	234-00
Yale University - School of Forestry and Environmental Studies			
New Haven, CT			
<i>To its School of Forestry and Environmental Studies for monitoring and evaluating the Foundation's 50th anniversary grant to the Fairmount Park Commission</i>	\$655,385 01/31/97 57 months	\$ 128,225	13897
<i>To its School of Forestry and Environmental Studies for expansion of the monitoring and evaluation of the Foundation's 50th-Anniversary grant to the Fairmount Park Commission</i>	\$177,705 12/04/98 48 months	\$ 115,687	24098
NATURAL & PHYSICAL ENVIRONMENT		\$ 8,476,872	

12/31/2001

The William Penn Foundation
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Total Grant Amount**Award Date****Duration****Paid in****2001****Grant #**

2001 Grant Payments Total: \$ 64,193,032

2001 Small & Matching Gift Payments: \$ 988,745

2001 Grand Total Payments: \$ 65,181,777

Total Future Commitments as of 12/31/01: \$ 52,302,803