

Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 12-01-2015, and ending 11-30-2016

Name of foundation THE HW WILSON FOUNDATION INC		<b>A Employer identification number</b> 23-7418062	
% WILLIAM HAYDEN		<b>B</b> Telephone number (see instructions)  (212) 972-6490	
Number and street (or P O box number if mail is not delivered to street address) 420 LEXINGTON AVENUE STE 2450 Suit	Room/suite		
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10170		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/>  <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 27,896,419		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) BASIS (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .	0			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	30,408	30,408		
	4 Dividends and interest from securities . . . . .	1,253,048	1,253,048		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	387,685			
	b Gross sales price for all assets on line 6a _____ 4,693,859				
	7 Capital gain net income (from Part IV, line 2) . . . . .		387,685		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	1,671,141	1,671,141		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc . . . . .	279,000	179,000		100,000
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule). . . . .				
	b Accounting fees (attach schedule). . . . .	34,963	29,963	0	5,000
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .		18,188		
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings. . . . .	10,036	8,036		2,000
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule). . . . .	28,876	18,526		10,350
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	352,875	253,713	0	117,350
	25 Contributions, gifts, grants paid . . . . .	1,139,093			1,139,093
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	1,491,968	253,713	0	1,256,443
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	179,173			
	b <b>Net investment income</b> (if negative, enter -0-)		1,417,428		
	c <b>Adjusted net income</b> (if negative, enter -0-)				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	790,451	710,149	710,149
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	11,060	22,454	22,454
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	24,222,472	24,470,553	27,163,816
	c	Investments—corporate bonds (attach schedule) . . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	25,023,983	25,203,156	27,896,419	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	25,023,983	25,203,156	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions) . . . . .	25,023,983	25,203,156	
31	Total liabilities and net assets/fund balances(see instructions) . . . . .	25,023,983	25,203,156		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	25,023,983
2	Enter amount from Part I, line 27a . . . . .	2	179,173
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	25,203,156
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	25,203,156



Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	Date sold (d) (mo , day, yr )
1 a	VARIOUS SHORT-TERM	P		
b	VARIOUS LONG-TERM	P		
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 1,921,453		1,884,374	37,079
b 2,772,406		2,421,800	350,606
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			37,079
b			350,606
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	387,685
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,227,296	28,540,929	0 043001
2013	939,251	28,639,811	0 032795
2012	1,159,971	22,745,398	0 050998
2011	840,287	20,647,934	0 040696
2010	608,980	14,782,837	0 041195

2	Total of line 1, column (d). . . . .	2	0 208685
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 041737
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. . . . .	4	27,276,649
5	Multiply line 4 by line 3. . . . .	5	1,138,445
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	14,174
7	Add lines 5 and 6. . . . .	7	1,152,619
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	1,256,443

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions



Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	14,174
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2. . . . .		3	14,174
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	14,174
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a 15,000		
b Exempt foreign organizations—tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868). . . . .	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d. . . . .		7	15,000
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	79
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	747
11 Enter the amount of line 10 to be Credited to 2015 estimated tax 0 Refunded		11	747

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input checked="" type="checkbox"/> . . . . .	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		No
c Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes <input checked="" type="checkbox"/> . . . . .	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T <input checked="" type="checkbox"/>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	10		No



Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	Yes	
14	The books are in care of ▶ WILLIAM HAYDEN Telephone no ▶ (212) 972-6490 Located at ▶ 420 LEXINGTON AVENUE NEW YORK NY ZIP+4 ▶ 10170			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015 ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No



Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☐ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
WILLIAM STANTON 420 LEXINGTON AVENUE STE 2450 NEW YORK, NY 10170	EXECUTIVE DIRECTOR 0	150,000		
JIM MATARAZZO 420 LEXINGTON AVENUE STE 2450 NEW YORK, NY 10170	VICE PRES 0	43,000		10,036
WILLIAM HAYDEN 420 LEXINGTON AVENUE STE 2450 NEW YORK, NY 10170	VP TREAS 0	43,000		
HAROLD REGAN 420 LEXINGTON AVENUE STE 2450 NEW YORK, NY 10170	PRESIDENT 0	43,000		

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

<b>3</b> Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> TOTAL CONTRIBUTIONS	
<b>2</b>	
<b>3</b>	
<b>4</b>	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> NONE	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . ▶



Part X

**Minimum Investment Return**  
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	27,216,172
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	475,857
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	27,692,029
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	27,692,029
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	415,380
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	27,276,649
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	1,363,832

Part XI

**Distributable Amount**  
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	1,363,832
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5. . . . .	<b>2a</b>	14,174
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	14,174
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	1,349,658
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	1,349,658
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	1,349,658

Part XII

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	1,256,443
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,256,443
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	14,174
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	1,242,269

**Note:**The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,349,658
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only. . . . .			41,579	
b Total for prior years 2013 , 2012 , 2011		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010. . . . .				
b From 2011. . . . .				
c From 2012. . . . .				
d From 2013. . . . .				
e From 2014. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 1,256,443				
a Applied to 2014, but not more than line 2a			41,579	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2015 distributable amount. . . . .				1,214,864
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				134,794
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . . . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a. . . . .	0			
10 Analysis of line 9				
a Excess from 2011. . . . .				
b Excess from 2012. . . . .				
c Excess from 2013. . . . .				
d Excess from 2014. . . . .				
e Excess from 2015. . . . .	0			



**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- [illegible]

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NA

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

WILLIAM STANTON  
420 LEXINGTON AVENUE SUITE 2450  
NEW YORK, NY 10017  
(212) 972-6490

- b** The form in which applications should be submitted and information and materials they should include

### A DESCRIPTION OF THE ORGANIZATION (LIBRARY) AND PROPOSED USE OF PROCEEDS

- c** Any submission deadlines

NONE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GIFTS ARE USUALLY MADE TO LIBRARIES



### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2015)



Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	30,408	
<b>4</b> Dividends and interest from securities. . . . .			14	1,253,048	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property . . . . .					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	387,685	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory . . . . .					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .				1,671,141	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations )			<b>13</b>		1,671,141

[illegible]



## Part XVII

1. Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a** Transfers from the reporting foundation to a noncharitable exempt organization of

(1) Cash. . . . .

(2) Other assets. . . . .

**b Other transactions**

**(1)** Sales of assets to a noncharitable exempt organization. . . . .

**(2) Purchases of assets from a noncharitable exempt organization.** . . . . .

**(3) Rental of facilities, equipment, or other assets.** . . . . .

(4) Reimbursement arrangements. . . . .

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations. . . . .

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes  
☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

\* \* \* \* \*

2017-02-28

Signature of officer or trustee

Date \_\_\_\_\_

Print/Type preparer's name  
STEVEN SCHAEFFER

Preparer's Signature

Firm's name ►  
COHEN & SCHAEFFER PC

Firm's address ▶  
420 LEXINGTON AVENUE NEW YORK, NY 10170

**Sign  
Here**

**Paid  
Preparer  
Use  
Only**



## TY 2015 Accounting Fees Schedule

**Name:** THE HW WILSON FOUNDATION INC

**EIN:** 23-7418062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	34,963	34,963		



**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

## **TY 2015 Depreciation Schedule**

**Name:** THE HW WILSON FOUNDATION INC

**EIN:** 23-7418062



**TY 2015 Investments Corporate Stock Schedule****Name:** THE HW WILSON FOUNDATION INC**EIN:** 23-7418062

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
STOCKS & OPTIONS	16,518,800	19,302,630
OPEN END MUTUAL FUNDS	3,211,806	3,148,636
PREFERRED/FIXED RATE CAP SEC	544,720	612,000
CLOSED END MUTUAL FUNDS	4,195,227	4,100,550



**TY 2015 Other Expenses Schedule****Name:** THE HW WILSON FOUNDATION INC**EIN:** 23-7418062

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	2,089	2,089		
STATE REGISTRATION & FEES	750	750		
BANK SERVICE CHARGES	15	15		
DUES AND SUBSCRIPTIONS	2,750	2,750		
MEDICAL INSURANCE	10,938	10,938		
PAYROLL FEES	2,281	2,281		
PAYROLL TAX EXPENSE	9,670	9,670		
PRINTING	283	283		
MISCELLANEOUS	100	100		



**TY 2015 Other Income Schedule****Name:** THE HW WILSON FOUNDATION INC**EIN:** 23-7418062

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GAIN FROM INVESTMENT IN LIGHTHOUSE			
OTHER INCOME			



**TY 2015 Taxes Schedule****Name:** THE HW WILSON FOUNDATION INC**EIN:** 23-7418062

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FEDERAL EXCISE TAX				



	2016 (unrestricted)	2015 (unrestricted)
Scholarship program		
Catholic University of America	\$ -	15,000
Dalhousie University	-	15,000
Dominican University		15,000
Drexel University	-	15,000
East Carolina University	15,000	-
Emporia State University	15,000	
Florida State University	15,000	-
Indiana University	15,000	
Kent State University	15,000	
Long Island University	-	15,000
Louisiana State University	15,000	-
McGill University	-	15,000
Pratt Institute	-	15,000
Queens College	15,000	-
Rutgers University	15,000	-
San Jose State University	15,000	
Simmons College	15,000	-
Simmons Graduate School of Library		24,400
St. Catherine University		15,000
St. John's University	100,000	152,100
St. John's University-Department of Law		40,000
State University of New York, Albany	15,000	15,000
Syracuse University	15,000	-
Texas Women's University	15,000	-
University of Alabama	15,000	-
University of Alberta	15,000	-
University of Arizona	15,000	-
University of British Columbia	15,000	
University of California, LA	15,000	
University of Denver	15,000	
University of Kentucky		15,000
University of Michigan		15,000
University of Missouri-Columbia	15,000	
Universite de Montreal	15,000	
University of North Carolina, Chapel hill	15,000	
University of North Carolina, Greensboro	15,000	
University of North Texas	15,000	
University of Ottawa	15,000	



THE H.W. WILSON FOUNDATION, INC

Schedule of Program Grants

	Year Ended November 30,	
	2016	2015
	(unrestricted)	(unrestricted)
University of Rhode Island	15,000	
Univeristy of South Carrollina	15,000	-
University of Texas at Austin	15,000	
University of Toronto		15,000
University of Washigton	-	15,000
University of Western Ontario	15,000	
Univeristy of Wisconsin-Madison	15,000	
Valdosa State University	15,000	
University of Wisconsin -Milwaukee	-	15,000
Wayne State University	15,000	
	<u>\$ 580,000</u>	<u>\$ 443,515</u>



THE H.W. WILSON FOUNDATION, INC  
Schedule of Program Grants (continued)

	Years Ended November 30	
	2016	2015
	(unrestricted)	(unrestricted)
Other contributions		
ALISE	\$ 4,000	8,500
All Hallows High School	-	10,000
Alfred University	10,000	-
American Friends of Westminster Cathedral	-	5,000
American Library in Paris	2,000	-
American Museum of Natural History	2,500	15,000
American Printing House for the Blind	10,000	10,000
Ancient Order of Hibernians Inc	1,000	1,000
Art Libraries Society of North America	3,000	3,000
Art Resource Transfer, Inc	11,500	10,000
Bronx Children's Museum	10,000	25,000
Brooklyn Arts Council	-	15,000
Camp Neveda Foundation Inc	-	15,000
Camp TLC	-	10,000
Canadian Library Association	-	3,000
Catholic Library Association	3,000	3,000
Catholic Relief Services	-	25,000
Center of Mercy Hickville	-	10,000
Charlotte Mecklenburg Library	10,000	-
Chicago Public Library Foundation	10,000	-
Columbus State Library	-	10,000
Congregational Library	145,843	20,000
Contra Costa County Library	-	10,000
Damien-Dutton Society of Leprosy Aid	2,500	10,000
Durham County Library	-	10,000
Flathead County Library	-	10,000
Grace Outreach	5,000	5,000
Grace Smith House	1,000	5,000
Hands Together	-	7,500
Helen Hayes Hospital Foundation	-	25,000
Houston Public Library	10,000	-
Irish Cultural Society	5,000	5,000



THE H.W. WILSON FOUNDATION, INC  
Schedule of Program Grants (continued)

	Years Ended November 30	
	2016	2015
	(unrestricted)	(unrestricted)
John Theissen Children's Foundation	\$ 5,000	\$ 10,000
Juvenile Diabetes Research Foundation	10,000	15,000
La Grange Knights of Columbus	5,000	15,000
Learning Ally	9,000	10,250
Long Island Cares Inc.	5,000	25,000
Long Island Library Resources	1,000	1,000
Loyola University Chicago Libraries	-	10,000
Luke Neuhedel Foundation	-	5,000
Maria Regina Soc. Of St. Vincent de Paul	750	-
Mattituck-Cutchogue UFSD	-	15,000
Melanoma Research Fund	-	1,000
Metropolitan Museum of Art Library		10,000
Midwest Collaborative For Library Services		20,000
Milbrook Arts Group		6,000
Mommas House	5,000	5,000
National Law Enforcement Memorial	20,000	-
New Orleans Public Library Foundation	10,000	-
New York Botanical Garden	15,000	15,000
New York Public Library	5,000	7,500
NFAIS	10,000	10,000
New York Historical Society	-	10,000
North Western Libraries	10,000	
Pal-O-Mine Equestrian, Inc.	10,000	10,000
Plumfield Academy		5,475
Poughkeepsie Public Library District	-	5,000
RAILS	-	10,000
Reference Library Association	500	1,000
Rockland Community College	25,000	25,000
Ryan McElory Children's Cancer Foundation	10,000	10,000
San Diego County Library	10,000	-
Simmons College Dean's Discretionary Fund	-	500
Society of St. Vincent De Paul	8,000	20,000



THE H.W. WILSON FOUNDATION, INC  
Schedule of Program Grants (continued)

	Years Ended November 30	
	2016	2015
	(unrestricted)	(unrestricted)
Society of St. Vincent De Paul - Dismas House	\$	\$ 5,000
Society of St Vincent De Paul - Maria Regina	-	500
St. Banets Hall	6,000	
St. John's Bread and Life	25,000	20,000
St. John's University-Division of Library	6,500	
St Mary's Foundation for Children	-	25,000
St Mary's Healthcare System for Children	-	5,000
St. Padre Pio Miracle Foundation	2,500	7,500
Stanford Fire Company	-	15,000
Stanford Free Library	5,000	12,500
Texax A&M University Library		10,000
The American Ireland Fund	25,000	25,000
The American Library in Paris	-	15,000
The National Law Enforcement Officers Memorial	-	20,000
The New York Society Library	-	5,000
The Salvation Army		25,000
The Susan L Curtis Foundation	6,000	
The Ursuline School	25,000	25,000
UMOJA Student Development Corp.	2,500	7,500
University of Pittsburg School of Infor Services	2,500	-
Unity Neighborhood Center	2,500	4,000
Vancouver Public Library	10,000	
University of texas at San Antonio Lib.	-	10,000
Wells County Public Library		10,000
WFUV - Fordham University	5,000	7,500
Whitney Museum of American Art		5,000
Wildlife Conservation Society	5,000	15,000
Winterthur	10,000	10,000
Yorktown Teen Center Inc		5,000
	\$ 559,093	\$ 855,240
	\$ 1,139,093	\$ 1,298,755



THE H.W. WILSON FOUNDATION INC.  
420 LEXINGTON AVENUE, SUITE 2450  
NEW YORK, NY 10170

EIN: 23-741062  
FORM: 990PF  
FYE: 11/30/2016

<b>CAPITAL GAINS REPORT</b>		<b>Date of</b>	<b>Date of</b>			<b>Realized</b>
<b>Quantity</b>	<b>Name</b>	<b>Purchase</b>	<b>Sales</b>	<b>Cost</b>	<b>Proceeds</b>	<b>Gains/Loss</b>
<b><u>SHORT TERM</u></b>						
10000	Baxalta, Inc	10/28/2015	2/8/2016	\$356,491 17	\$365,281 18	8,790 01
23000	PEPCO Holdings Inc	9/14/2015	03/01/216	\$531,818 67	\$545,915 63	14,096 96
30000	Micron Technology Inc	VAR	5/3/2016	\$535,856 76	\$305,237 87	(230,618 89)
4900	Eagle Bancorp Inc	VAR	7/1/2016	\$196,773 95	\$230,538 87	33,764 92
1000	JP Morgan Chase	11/4/2015	9/29/2016	\$66,620 26	\$66,492 75	(127 51)
15000	Exelis Inc	7/1/2016	10/10/2016	\$98,406 60	\$179,399 47	80,992 87
15000	Exelis Inc	7/1/2016	11/10/2016	\$98,406 61	\$228,587 01	\$130,180 40
				1,884,374 02	1,921,452 78	37,078 76
<b><u>LONG TERM</u></b>						
5000	Universal display	VAR	1/20/2016	\$134,404 03	\$209,692 92	75,288 89
7000	Baxalta	9/5/2014	2/8/2016	\$233,328 62	\$255,696 82	22,368 20
3000	Baxalta	1/6/2015	2/8/2016	\$96,934 67	\$109,584 35	12,649 68
6700	Baxter International Inc	9/5/2014	5/19/2016	\$272,644 16	\$288,296 87	15,652 71
19296	American Capital Ltd	VAR	6/1/2016	\$186,044 80	\$308,273 32	122,228 52
3300	Baxter International Inc	VAR	6/1/2016	\$134,287 42	\$141,984 72	7,697 30
5000	Eagle Bancorp Inc	VAR	6/16/2016	\$187,429 63	\$238,991 09	51,561 46
5100	Eagle Bancorp Inc	3/12/2015	7/1/2016	\$191,178 22	\$243,974 51	52,796 29
10000	Hain Celestial Group Inc	5/26/2016	9/29/2016	\$391,483 44	\$361,192 19	(30,291 25)
9000	JP Morgan Chase	5/26/2016	9/29/2019	\$591,684 19	\$598,434 72	6,750 53
625	PJT Partners Inc	VAR	9/29/2016	\$2,380 72	\$16,284 29	13,903 57
				2,421,799 90	2,772,405 80	350,605 90
				4,306,173 92	4,693,858 58	387,684 66